

## LIMITED LIABILITY COMPANY (LLC), CORPORATION, or TRUST LICENSE APPLICATION

## Office location:

201 High St SE, Suite 600 Salem, OR 97301

## Mailing address:

PO Box 14140 Salem, OR 97309-5052

**Secure Fax:** (503) 373-2155



Board website: www.oregon.gov/ccb

## **Questions?**

Email <a href="mailto:ccb.info@ccb.oregon.gov">ccb.info@ccb.oregon.gov</a> or call (503) 378-4621

# CONSTRUCTION CONTRACTORS BOARD (CCB) LICENSE APPLICATION INSTRUCTIONS

Skip the paper application and APPLY ONLINE today!!

Submitting your application online allows for faster submission, instant confirmation of submission, and faster processing times.

READ INSTRUCTIONS CAREFULLY. If you wish to pay by check, you must submit the following documents as <u>one complete packet</u> to the CCB for licensure:

Application
Payment Information
<ul> <li>Surety Bond (2-page document). Do not submit separately.</li> <li>In the proper amount of the endorsement selected and in the exact business name as filed at the Oregon Secretary of State Corporation Division.</li> <li>a. Page 1 is the Bond</li> <li>b. Page 2 is the Power of Attorney</li> </ul>
Certificate of <u>Liability Insurance</u> . Do not submit separately.  Must be issued in the exact business name as filed at the Oregon Secretary of State Corporation Division and naming CCB as the certificate holder.
Proof of passing the Oregon Contractor Exam, if applicable.
<b>Social Security Number / Disclosure for Foreign Applicants</b> - Required signature if any owner, officer, or member do not have a social security number.
<b>Organizational Structure Chart</b> . Required only if an entity is a partner. This chart must identify each association for members, owners, trustees, and partners and include their full legal name, date of birth, social security number, and driver's license information for all individuals.

#### ASSUMED BUSINESS NAME(S)

An Assumed Business Name (ABN) is a business name that must be registered with the State of <u>Oregon Secretary of State (SOS) Corporation Division</u> as an assumed business name. All assumed business names must be registered at the Oregon SOS, unless it includes the applicants legal first, middle, and last name. Contact the <u>Oregon SOS</u> to register your business name(s) or call (503) 986-2200.

#### **ENDORSEMENTS**

Contractors must carry the proper <u>endorsement</u> for the type of structures they work on. In selecting the correct endorsement, you will need to know such information as whether you will just work on residential or commercial structures, or whether you will work on both. If you are unsure of what endorsement(s) to select, see the <u>Endorsement Chart</u> on the Board website.

If you are endorsed as a residential specialty contractor, for example, and decide to bid on a large commercial project, you must change your license to carry both endorsements.

Business Name:					
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#### **WORKERS COMPENSATION**

If you are Non-exempt (have employees) you must provide the name and policy number of your Workers Compensation carrier.

If you selected a commercial endorsement without employees, you must provide, on the application form, Personal Election Workers Compensation coverage.

All Exempt classes (no employees) must complete the Exempt Workers Compensation Status Declaration section of this application.

For more information about workers compensation, talk to an insurance agent or visit the <u>Oregon Workers</u> <u>Compensation Division</u> website. <u>Learn more about who is required to carry Workers Comp (exempt vs non-exempt)</u>.

#### RESPONSIBLE MANAGING INDIVIDUAL & PRE-LICENSE TRAINING AND TEST

Most endorsements are required to have a Responsible Managing Individual (RMI). The RMI may be an owner, officer, partner, or employee of the business applying for the license. The RMI must have management or supervisory authority over the construction activities of the business.

You can find a <u>list of approved pre-license educators</u> on the Board website. Once you have taken the training, you will be directed to take the test from your <u>pre-license training provider</u>.

### **CRIMINAL BACKGROUND**

Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

### **METHOD OF PAYMENT**

The application fee is \$325 and is non-refundable. This fee covers administrative costs for processing your application.

### Paying online?

You can submit payments online using VISA, MasterCard, Discover, or ACH/e-Check.

#### Submitting a paper application?

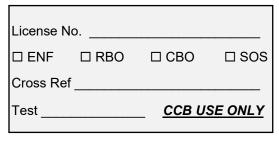
Pay by check or money order, made payable to Oregon Construction Contractors Board or Oregon CCB.

DO NOT EMAIL YOUR APPLICATION OR PAYMENT TO THE BOARD
MISREPRESENTATION IN THIS APPLICATION IS CAUSE FOR DENIAL OF LICENSE
APPLICATIONS ARE NOT PROCESSED IN-PERSON

## **License Application for Limited Liability** Company (LLC), Corporation, or Trust

(Residential, Commercial or DUAL Endorsement)

FEE: \$ 325.00





Important: Personal information provided on this application will be used to conduct background checks. Incomplete applications cannot be processed. Note that you can now apply for your CCB license and attach any required document(s) online using a debit/credit card or ACH/e-Check payment! This is the preferred and fastest way to submit your application. If you wish to pay by check or money order, please complete <u>all</u> required areas of this paper application, attach additional document(s) as necessary, and include your payment.

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1	ENTIT

## Y OWNERSHIP – The owner must be 18 years or older

Corporate/LLC Name (exactly as file	OR Corporate/LLC Registry			
Corporate/LLC <b>Email</b> Address	Corp/LLC <b>P</b> l	hone Number		
Corporate/LLC <b>Mailing</b> Address			The mailing and phare the same:	·
City	State	Zip Code	County	
Corporation/LLC <b>Physical</b> Address		City	State	Zip
OWNERSHIP INFORMATION*				
Officer/Member FULL First Name	FULL Mid	dle Name	FULL Last Name	
Date of Birth (MM/DD/YYYY)	Social Security	Number	Driver's License #	Issuing State
Officer/Member FULL First Name	FULL Mid	dle Name	FULL Last Name	
Date of Birth (MM/DD/YYYY)	Social Security	Number	Driver's License #	Issuing State
Officer/Member FULL First Name	FULL Mid	dle Name	FULL Last Na	ame

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Self	Spouse
Son(s)	Daughter(s)
Son(s)-in-law	Daughter(s)-in-law
Brother(s)	Sister(s)
Parents	Grandchildren
Secretary of State (SOS) Corporati	is a business name that must be registered with the State of Oregon n Division as an assumed business name. All assumed business names OS, unless it includes the applicants legal first, middle (or initial), and la
Business Name	ABN Registry Number
Business Name	ABN Registry Number
4 EMPLOYER ACCOUNT II	FORMATION — If you have employees
_	nber (BIN):
· ·	oloyees ber of the Business Registry Number se <u>Oregon Department of Revenue</u> (DOR)
Federal Employer Identification N	mber (EIN):

Business Name:

• For more information contact the <u>Internal Revenue Service</u> (IRS)

## 5 ENDORSEMENT(S)

RMI = Responsible Managing Individual

CH = Certification Holder

- Select appropriate endorsement for either Residential, Commercial or Dual Endorsement.
- If applying for DUAL Endorsement, select the appropriate endorsement for BOTH.
- If you are unsure of what endorsement(s) to select, see the Endorsement Chart.

Reside	ntial Endorsement – You may only select ONE residential endorsement					
	Residential General Contractor (RGC) – Requires RMI					
	Residential Specialty Contractor (RSC) – Requires RMI					
	Residential Limited Contractor (RLC) – Requires RMI					
	Residential Developer (RD) – No RMI required					
	Home Services Contractor (HSC) – No RMI required					
	Restoration Contractor (RRC) – No RMI required					
	Home Inspector Services Contractor (HISC) (No RMI required. Requires CH & Home Inspector Certification Application prior to issuing CCB Number/License)					
	List Certification Holder Name Certification Number					
	Home Energy Performance Score Contractor (HEPSC) (No RMI required. Requires CH & Home Energy Assessor Application with this CCB license application)					
	List Certification Holder Name					
	Residential Locksmith Services Contractor (RLSC) (No RMI required. Requires CH & Locksmith Certification Application prior to issuing CCB Number/License)					
	List Certification Holder Name Certification Number					
<u>Commercial Endorsement</u> – You may only select <u>ONE</u> commercial endorsement						
	Commercial General Contractor Level 1 – Requires RMI					
	Commercial General Contractor Level 2 – Requires RMI					
	Commercial Specialty Contractor Level 1 – Requires RMI					
	Commercial Specialty Contractor Level 2 – Requires RMI					
	Commercial Developer – No RMI required					

_		Business in	ame			
6	WORKERS COMPENSATION					
	Exempt = No employees	Non-exempt = Yes	employees			
1. 2.	Do you have Employees?  • If using leased employees from a leasing of the same family?		YES YES	<ul><li>NO*</li><li>NO*</li></ul>		
	If you answered "YES", you must provide Workers Compensation Insurance:					
	Workers Compensation Policy Carrier		Policy Number	<del></del>		
3.	Are you applying for a commercial endorsemen	t?	YES	□ NO*		
	If you answered "YES", and you have no employ coverage:	vees, you <u>must</u> provide	Personal Election World	kers Compensatior		
*If you	Personal Election Policy Carrier answered " <b>NO</b> " to all questions, you <u>must</u> complete the	ne Exempt Workers Comp	Policy Number pensation Status Declaration	on section below.		
7	EXEMPT WORKERS COMPENSATION S	TATUS DECLARATI	ON*			
	*Required <b>ONLY</b> for Exem	npt Independent Contr	actor Class			
meanir	ense applicant below has filed their CCB license a ag it will not hire employees. If the applicant dec juires it to:	T T	-			
1. 2. 3.	Comply with workers compensation laws and rethe application is an employer.  Provide the Carrier name and policy number.  Notify the CCB of the change to its workers consubmitting a <a href="Change My Workers Compensations">Change My Workers Compensations</a> Account. There is no fee to make this change.	npensation status to n	onexempt <i>before</i> hiring	employees by		
with th	ing this document, the applicant certifies that it we CCB <b>before</b> hiring any employees. It will also policy number.	_	·			
one of a 70. und by	S 701.035(3) states: (3) If a person who is licensed or more employees, utilizes one or more workers the categories set out in subsection (2)(a)(B) of the categories set out in subsection (2)(a)(B) of the categories one or maker subsection (2)(b) of this section hires one or maker leasing company, the person is also subdiscipline). The person must reapply to the Boar	s supplied by a worker is section, the person is proper licensing. If a pe nore employees or utilis ject to licensing sanctio	leasing company or fall s subject to penalties un erson who is licensed as zes one or more workers	s into any oder ORS exempt s supplied		
Busine	ss Entity or Applicant Name					
 Signatı	ure REQUIRED if exempt		Date	_		

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## CERTIFICATION OF EXPERIENCE FOR COMMERCIAL CONTRACTORS

Commercial General and Commercial Specialty Level 1 or 2 applicants must certify that their Key Employee(s) have the appropriate amount of construction experience. A "Key" Employee is an owner or employee who is a Corporate Officer, Manager, Superintendent, Lead person or other person who exercises management or supervisor authority over the construction activities of the business.

If you selected: Commercial General Contractor or Commercial Specialty Contractor – Level 1 endorseme	ent
Check this box to certify that your Key Employee(s) have eight (8) years total construction experier as defined below.	ıce
If you selected: Commercial General Contractor or Commercial Specialty Contractor - Level 2 endorseme	nt
Check this box to certify that your Key Employee(s) have four (4) years total construction experience as defined below.	се
How many key employee(s) does your company have?	

### Key Employee(s) must have:

- 1. Experience gained as a licensed contractor, journeyman, foreperson, supervisor or as any other employee engaged in construction work for a licensed contractor.
- 2. The following may substitute for up to a maximum of three (3) years of experience:
  - Completion of an apprenticeship program
  - A bachelor's degree in a construction related field
  - A bachelor's degree or master's degree in business, finance, or economics
  - An associate degree in construction or building management



## RESPONSIBLE MANAGING INDIVIDUAL (RMI) & PRE-LICENSE TRAINING AND TEST

You may skip this section if you are applying with any of the following endorsements. All other Residential or Commercial endorsements are required to have an RMI.

- Residential or Commercial Developer
- Home Inspector Services Contractor
- Residential Restoration Contractor
- Residential Locksmith
- Home Energy Performance Contractor

Most licenses must have an RMI at all times, and may be an owner, officer, partner, or employee of the business applying for the license. The RMI must manage or supervise the construction activities of the business by participating in (1) the administration or construction contracts; or (2) the administration of the day-to-day operations.

To qualify as an RMI you must:

- 1. Complete the 16-hour pre-license training and pass the Oregon contractor exam, OR
- 2. Passed the NASCLA national exam and pass the Oregon contractor exam, OR
- 3. Provide one or more license number(s) that the owner or RMI has been continuously associated with during the time period before July 1, 2000, until the date of this application with no lapse of more than 24 months.

RMI Full Legal First Name	Full Legal Middle Name	Full Legal Last Name	!
Date of Birth (MM/DD/YYYY)	Last 4 digits of Social Security #	Driver's License #	Issuing State
The RMI is an: Owner	Employee		
Attach a copy of the Pre-Licen	se score report OR provide the CCB	license number that the RMI	l has
continuously been associated	with:		
business incurs a construction	isory authority over the constructio debt that it does not pay, I underst f another CCB license until that cons	and that I may be prohibited	from serving
Signature of Responsible Man	aging Individual REQUIRED	 Date	

	_						Business Name	·			
1	<b>0</b> C	ONS	TRUCTIO	ON DE	BT / LICE	NSE HISTOF	RY				
1.	Check the appropriate box(es) below if <u>any</u> person listed on this application has <u>any</u> of the following										
			Neither the business, nor any person listed in the application, have any outstanding construction debt obligations, OR								
			A final u	npaid o	rder has b	een issued b	y the Construction Con	tractors Bo	ard.		
			A final, unpaid court judgement, a final unpaid arbitration award or a final unpaid civil penalty arising from the construction activities within the United States.								
A final unpaid court judgement or final unpaid civil penalty arising from failure workers compensation insurance or pay workers compensation awards.						failure to m	naintain				
			An amou	ınt is o	wed to em	ployees of a	construction contractir	ng business	for unpaid	wages.	
2.	2. Has any person listed on this application had a contractor license refused or revoked in another state?							ner state?			
		NO		YES			State?				
	If y	es, lis	t applica	_ nt(s) Fu	ll Name: _						
							*Addition	nal documei	ntation may	be requested	
(1	<b>1</b> C	RIMI	INAL BA	CKGRO	DUND						
На	s any	pers	on listed	on this	application	n been indict	ed for or convicted of a	ny of the f	ollowing cr	imes?	
			OV		YES	If yes, list	applicant(s):				
			Da	ate	State	County		Date	State	County	
	Mur	der					Robbery 1				
	Assa	ult 1					☐ Theft 1				
	Kidn	appir	ng				☐ Arson 1				
	Sexu	ıal ab	use				☐ Theft by extortion	າ			
	Rape	e, soc	lomy or u	nlawfu	l sexual pe	netration					
					ed supervisi probation o		r probation, please pro	vide the na	ame and co	ntact	
Parole/Probation Officer Name					ne		Phone	Number			
 En	nail A	ddres	 is								

NOTE: Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

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## INDEPENDENT CONTRACTOR CERTIFICATION

<u>All</u> applicants must certify that their business activities will be performed in compliance with Oregon's independent contractor law (ORS 670.600).

At all times while conducting business as a CCB Licensee:

- 1. The licensee will be free from a client's direction and control over the means and manner of providing the services. The applicant is subject only to the right of the client, for whom the services are provided, to specify the desired results of the work.
- 2. The licensee will be customarily engaged in an independently established business by at least three of the following criteria:
  - a) Maintaining a business location that is separate from the business or work location for whom the services are provided; or that is in portion of the applicant's residence and that portion is used primarily for the business.
  - b) Bearing the risk of loss related to the business or provision of services as shown by factors such as: entering into fixed-price contracts; a requirement to correct defective work; warranties the services provided or the applicant negotiates indemnification agreements or purchases liability insurance performance bonds or errors and omissions insurance.
  - c) Providing contract services for two or more different persons within a 12 moths period, or routinely engaging in business advertising, solicitation or other marketing efforts reasonably calculated to obtain new contracts to provide similar services.
  - d) Making significant investment in the business, through means such as: purchasing tools or equipment necessary to provide the services; paying for the premises or the facilities where the services are provided; or paying for the licenses, certificates or specialized training required to provide the services.
  - e) Having the authority to hire other persons to provide or to assist in providing the services and has the authority to fire those persons. Contractors hiring employees must be licensed under the non-exempt class of independent contractor and carry proper workers compensation insurance to protect subject workers.
- 3. The licensee will maintain an active license with the CCB in accordance with ORS 701 while performing construction activities.
- 4. The licensee is responsible for obtaining other licenses or certifications necessary to provide the construction services.

YES, I certify	v to all the	above	items.
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Business Name:
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## 13 CERTIFICATION & SIGNATURE(S)

- I certify to the truth and accuracy of all statements, answers and representations made in the foregoing application, including all supplementary statements. I further acknowledge that knowingly supplying false information on the application is a Class A Misdemeanor punishable by law.
- For as long as this license is in effect, the applicant will continue to carry the required liability insurance and surety bond.
- Effective the date of this application, if the applicant hires employees, the applicant is required to comply with workers compensation laws and will maintain a workers compensation insurance policy as long as the applicant is an employer.
- If the Responsible Managing Individual (RMI) or qualifying individual leaves the business, the applicant will notify the CCB in writing immediately and will provide CCB with a new RMI or qualifying individual's name using the RMI Change form.
- The applicant will operate as an independent contractor per ORS 670.600.
- The applicant understands that any and all information regarding their license may be shared with other government agencies.
- The applicant must remain in compliance with the terms of this license. Failure to do so could result in a civil penalty of up to \$5,000 per offense and/or license suspension or revocation.
- If this business incurs a construction debt that it does not pay, the individual(s) understands that they may be prohibited from serving as an owner, officer, or RMI of another license applicant until that construction debt is satisfied, paid, or discharged.
- The applicant understands that signing below as an owner, partner, corporate officer, LLC member, trustee, or RMI of this application; he/she will be held liable for the licensee's compliance with all applicable statutes and rules.
- Any personal identifying information may be used to conduct background screenings on all applicants.

By signing below, I certify that I have read and understand the statements listed above.

Signature of officer/member/partner/trustee Printed Name

Signature of officer/member/partner/trustee Printed Name Date

Signature of officer/member/partner/trustee Printed Name Date

NOTE: ALL owners, officers, and/or members must sign the application

If necessary, you may attach an additional page to list additional officers, members, partners, or trustees.

License App LLC, Corp, Trust 4/2024

Date



## 14 SOCIAL SECURITY NUMBER / DISCLOSURE FOR FOREIGN APPLICANTS

If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

### **Declaration of Social Security Number**

As part of your application for an initial license and certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency. The authority for this requirement is Oregon Revised Statute (ORS) 25.785, ORS 701.046, and 42 USC § 666(a)(13) (Federal Law). Failure to provide your Social Security Number will be a basis to deny the certificate or license you seek. Your Social Security Number may be shared with other authorities only for tax administration, debt collection, and child support enforcement purposes.

By signing this application, on the previous page, each owner, officer, member acknowledge the disclosure of their Social Security Number and it's use by the Construction Contractor Board for the purposes stated above.

If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

## **Disclosure of NO Social Security Number**

As part of your application for license or certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency if such a number has ever been issued to you. <u>If a member, officer, or owner has not been issued a social security number then the section below must be signed pursuant to ORS 25.785</u>.

Your signature(s) below serves as your declaration that you <u>have not been issued a Social Security</u>
<u>Number</u> by the United States Social Security Administration.

Full Legal Name					
Signature to declare NO ISSUANCE OF SSN	Date				
Full Legal Name					
Signature to declare NO ISSUANCE OF SSN	Date				

### PAYMENT INFORMATION

Please read the application instructions carefully prior to submitting the application and payment to the Board's office. Incomplete applications or missing documentation will delay the licensing process. Verify the information provided is complete and accurate prior to submitting.

You may submit payment and your application using ONE of the following options (please select only one):

### Online Payment by Credit/Debit Card or ACH/e-Check (preferred method)

### **APPLY ONLINE**

You will be required to complete a licensure application at the time of payment. **The online application submission process is recommended.** If you've prepared your paper application, you can follow the online application to upload the details as well as upload any required documents as a PDF, Word, or Excel document.

VISA, MASTERCARD, or DISCOVER ONLY accepted for credit card payments.

For card payments, you will be required to enter your card information including cardholder name, card number, expiration date, CVV number and complete billing address.

For ACH/e-Check payments, you will be required to enter your checking account information, including bank routing number and checking account number.

Payments are made through a secure portal and all information entered is encrypted.

### Payment by Check or Money Order

Check or Money Order can be made payable to the "Oregon Construction Contractors Board" or "Oregon CCB". Mail the check/money order to the Board office at:

Regular Mail: Priority Mail:

P.O. BOX 14140 201 High St SE, Ste. 600

Salem, OR 97309-5052 Salem, OR 97301

Receipts for credit/debit card and ACH payments: Upon completion of the online application submission and payment process, you will receive confirmation that your application was submitted successfully. An automated email notification will also be sent to the address you entered with the application.

**Receipts for Check payments:** Your cancelled check is your receipt. If you need more detailed receipt, you may make the request by email to <a href="mailto:ccb.info@ccb.oregon.gov">ccb.info@ccb.oregon.gov</a>. The Board will email a receipt to you later.