

# PARTNERSHIP or JOINT VENTURE LICENSE APPLICATION

Office location: 201 High St SE, Suite 600 Salem, OR 97301

Mailing address: PO Box 14140 Salem, OR 97309-5052

Secure Fax: (503) 373-2155



Board website: www.oregon.gov/ccb

Questions? Email <u>ccb.info@ccb.oregon.gov</u> or call (503) 378-4621

# CONSTRUCTION CONTRACTORS BOARD (CCB) LICENSE APPLICATION INSTRUCTIONS

	Skip the paper application and <u>APPLY ONLINE</u> today!!						
Submitting your application online allows for faster submission, instant confirmation of submission, and faster processing times.							
	RUCTIONS CAREFULLY. If you wish to pay by check, you must submit the following documents by <u>e complete packet</u> to the CCB for licensure:						
	Application						
	Payment Information						
	<u>Surety Bond</u> (2-page document for the proper amount in the exact business name listed on your completed and signed application). Do not submit separately. a. Page 1 is the Bond.						
	<ul> <li>ALL partner's must be listed. If the partner is a person or individual, list their full, legal name. If the partner is another entity, list the entity name.</li> <li>b. Page 2 is the Power of Attorney</li> </ul>						
	Certificate of Liability Insurance - Do not submit separately.						
	a. Certificate <b>must</b> list all partners. If the partner is a person or individual, list their full, legal name. If the partner is another entity, list the entity name.						
	Proof of passing the Oregon Contractor Exam, if applicable.						
	<b>Organization Structure Chart</b> – Required only if an entity is a partner. This chart must clearly identify each association for each owner/member/officer/partner and include their full legal name, date of birth, social security number, and driver's license information for <b>all</b> individuals.						
	Social Security Number / Disclosure for Foreign Applicants. Per OAR 812-003-0260, all						

**Social Security Number / Disclosure for Foreign Applicants.** Per <u>OAR 812-003-0260</u>, all associated partners are required to provide their social security number. Each partner that does not have a social security number must sign this section.

# ASSUMED BUSINESS NAME(S)

An Assumed Business Name (ABN) is a business name that must be registered with the State of <u>Oregon</u> <u>Secretary of State (SOS) Corporation Division</u> as an assumed business name. All assumed business names must be registered at the Oregon SOS and lists all partners as registrants. Contact the <u>Oregon SOS</u> to register your business name(s) or call (503) 986-2200.

# ENDORSEMENTS

Contractors must carry the proper <u>endorsement</u> for the type of structures they work on. In selecting the correct endorsement, you will need to know such information as whether you will just work on residential or commercial structures, or whether you will work on both. If you are unsure of what endorsement(s) to select, see the <u>Endorsement Chart.</u>

If you are endorsed as a residential specialty contractor, for example, and decide to bid on a large commercial project, you must change your license to carry both endorsements.

#### WORKERS COMPENSATION

If you are Non-exempt (have employees) you must provide the name and policy number of your Workers Compensation carrier.

If you selected a commercial endorsement without employees, you must provide, on the application form, Personal Election Workers Compensation coverage.

All Exempt classes (no employees) must complete the Exempt Workers Compensation Status Declaration section of this application. For more information about workers compensation, talk to an insurance agent or visit the <u>Oregon Workers Compensation Division</u> website. <u>Learn more about who is required to carry Workers</u> <u>Comp (exempt vs non-exempt)</u>.

#### **RESPONSIBLE MANAGING INDIVIDUAL & PRE-LICENSE TRAINING AND TEST**

Most endorsements are required to have a Responsible Managing Individual (RMI). The RMI may be a partner, or employee of the business applying for the license. The RMI must have management or supervisory authority over the construction activities of the business.

You can find a <u>list of approved pre-license educators</u> on the Board website. Once you have taken the training, you will be directed to take the test from your <u>pre-license training provider</u>.

#### CRIMINAL BACKGROUND

Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

#### METHOD OF PAYMENT

The application fee is \$325 and is non-refundable. This fee covers administrative costs for processing your application.

#### Paying online?

You can submit payments online using VISA, MasterCard, Discover, or ACH/e-Check.

#### Submitting a paper application?

Pay by check or money order, made payable to Oregon Construction Contractors Board or Oregon CCB.

# DO NOT EMAIL YOUR APPLICATION OR PAYMENT TO THE BOARD MISREPRESENTATION IN THIS APPLICATION IS CAUSE FOR DENIAL OF LICENSE APPLICATIONS ARE NOT PROCESSED IN-PERSON

# License Application for Partnership or Joint Venture

(Residential, Commercial or DUAL Endorsement)

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# FEE: \$ 325.00



**Important**: Personal information provided on this application will be used to conduct background checks. Incomplete applications cannot be processed. Note that you can now apply for your CCB license and attach any required document(s) <u>online</u> using a debit/credit card or ACH/e-Check payment! This is the preferred and fastest way to submit your application. If you wish to pay by check or money order, please complete <u>all</u> required areas of this paper application, attach additional document(s) as necessary, and include your payment.

# 1 ENTITY OWNERSHIP – The owner must be 18 years or older

### A) OWNERSHIP INFORMATION\*

Partner's FULL First Name	FULL Middle Name	FULL Last Name	
Date of Birth (MM/DD/YYYY)	Social Security Number	Driver's License #	Issuing State
Partner's FULL First Name	FULL Middle Name	FULL Last Name	
Date of Birth (MM/DD/YYYY)	Social Security Number	Driver's License #	Issuing State
Partner's FULL First Name	FULL Middle Name	FULL Last Name	
Date of Birth (MM/DD/YYYY)	Social Security Number	Driver's License #	Issuing State

\*You must complete the above information for <u>all</u> partners or joint venturers per OAR 701-046. If necessary, attach an additional page to list partners. \*If a member is another entity, please include a complete organizational structure chart with the information requested above.

#### **B) BUSINESS ENTITY INFORMATION**

Business <b>Email</b> Address	Bus	Business Phone Number		
Business Mailing Address		The mailing an are the same:	nd physical address	
City	State	Zip Code	County	,
Business Physical Address		City	State	Zip Code

# FAMILY RELATIONSHIP IDENTIFICATION

If you have three or more partners and they are all part of the same family, please list their full legal name(s) below:

Self	Spouse
Son(s)	Daughter(s)
Son(s)-in-law	Daughter(s)-in-law
Brother(s)	Sister(s)
Parents	Grandchildren

\*If this is an all-family partnership the business may be exempt from workers compensation insurance. However, if the family relationship is not listed above (cousins, aunts, uncles, etc.) then your business is non-exempt and workers' compensation must be provided.

# **BUSINESS NAME & ASSUMED BUSINESS NAME(S)**

An Assumed Business Name (ABN) is a business name that is registered with the State of Oregon Secretary of State (SOS) Corporation Division as an assumed business name. All assumed business names must be registered at the Oregon SOS and lists all partners as registrants. Contact the Oregon SOS to register your business name(s) or call (503) 986-2200.

Assumed Business Name / Joint Venture Name (*if applicable*)

Assumed Business Name / Joint Venture Name (*if applicable*)

# EMPLOYER ACCOUNT INFORMATION - If you have employees

Oregon Business Identification Number (BIN):

- Required if the business has employees
- It is not the Social Security Number of the Business Registry Number
- For more information contact the Oregon Department of Revenue (DOR)

Federal Employer Identification Number (EIN):

- Required if the business has employees
- It is not the Social Security Number or the Business Registry Number
- For more information contact the Internal Revenue Service (IRS)

ABN Registry Number

ABN Registry Number

ENDORSEMENT(S) RMI = Responsible Managing Individual CH = Certification Holder Select appropriate endorsement for either Residential, Commercial or Dual Endorsement. If applying for DUAL Endorsement, select the appropriate endorsement for BOTH. If you are unsure of what endorsement(s) to select, see the Endorsement Chart. Residential Endorsement – You may only select ONE residential endorsement Residential General Contractor (RGC) – Requires RMI Residential Specialty Contractor (RSC) – Requires RMI Residential Limited Contractor (RLC) – Requires RMI Residential Developer (RD) – No RMI required Home Services Contractor (HSC) – No RMI required Restoration Contractor (RRC) – No RMI required Home Inspector Services Contractor (HISC) (No RMI required. Requires CH & Home Inspector Certification Application prior to issuing CCB Number/License) List Certification Holder Name **Certification Number** Home Energy Performance Score Contractor (HEPSC) (No RMI required. Requires CH & Home Energy Assessor Application with this CCB license application) List Certification Holder Name Residential Locksmith Services Contractor (RLSC) (No RMI required. Requires CH & Locksmith Certification Application prior to issuing CCB Number/License) List Certification Holder Name **Certification Number Commercial Endorsement** – You may only select **ONE** commercial endorsement Commercial General Contractor Level 1 – Requires RMI Commercial General Contractor Level 2 – Requires RMI Commercial Specialty Contractor Level 1 – Requires RMI Commercial Specialty Contractor Level 2 – Requires RMI Commercial Developer – No RMI required

п '	NT.
Business	Name.
Dabinebb	1 vanne.

6 WORI	KERS COMPENSATION	Non oxompt - Voc omploy	000	
	Exempt = No employees ou have Employees? If using leased employees from a leasi	Non-exempt = Yes employ ing company, check "YES"	YES	<b>NO</b> *
•	ou have three or more officers, members of the same family		YES	<b>NO</b> *
lf you answe	red " <b>YES</b> ", you <u>must</u> provide <b>Workers</b>	Compensation Insurance:		
Work	ers Compensation Policy Carrier	Policy	Number	
3. Are y	ou applying for a commercial endorse	ment?	YES	<b>NO*</b>
lf you answe Compensatio	red " <b>YES</b> ", and you have no employee on coverage:	s, you <u>must</u> provide <b>Persona</b>	al Election Worl	kers
Perso	nal Election Policy Carrier	Policy	Number	
*If vou answere	ed " <b>NO</b> " to all questions, you <u>must</u> complete t	he Exempt Workers Compensation	n Status Declaratio	n section belov

# **7** EXEMPT WORKERS COMPENSATION STATUS DECLARATION\*

\*Required ONLY for Exempt Independent Contractor Class

The license applicant below has filed their CCB license application as an exempt independent contractor class, meaning it will not hire employees. If the applicant decides to hire employees, the applicant understands that Oregon law requires it to:

- 1. Comply with workers compensation laws and maintain a workers compensation insurance policy as long as the application is an employer.
- 2. Provide the Carrier name and policy number
- Notify the CCB of the change to its workers compensation status to nonexempt *before* hiring employees by submitting a <u>Change My Workers Compensation Status</u> form, or changing its status in their <u>Online Services Account</u>. There is no fee to make this change.

By signing this document, the applicant certifies that it will change their workers compensation status to nonexempt with the CCB *before* hiring any employees. It will also provide the name of its workers compensation policy carrier and policy number.

<u>ORS 701.035(3) states</u>: (3) If a person who is licensed as exempt under subsection (2)(b) of this section hires one or more employees, utilizes one or more workers supplied by a worker leasing company or falls into any of the categories set out in subsection (2)(a)(B) of this section, the person is subject to penalties under ORS 701.992 (Civil penalties and other sanctions) for improper licensing. If a person who is licensed as exempt under subsection (2)(b) of this section hires one or more employees or utilizes one or more workers supplied by a worker leasing company, the person is also subject to licensing sanctions under ORS 701.098 (Grounds for discipline). The person must reapply to the Board in the correct class.

**Business Entity or Applicant Name** 

Signature *REQUIRED if exempt* 

Date

# **B** CERTIFICATION OF EXPERIENCE FOR COMMERCIAL CONTRACTORS

Commercial General and Commercial Specialty Level 1 or 2 applicants must certify that their Key Employee(s) have the appropriate amount of construction experience. A "Key" Employee is an owner or employee who is a Corporate Officer, Manager, Superintendent, Lead person or other person who exercises management or supervisor authority over the construction activities of the business.

If you selected: Commercial General Contractor or Commercial Specialty Contractor - Level 1 endorsement

Check this box to certify that your Key Employee(s) have eight (8) years total construction experience as defined below.

#### If you selected: Commercial General Contractor or Commercial Specialty Contractor - Level 2 endorsement

Check this box to certify that your Key Employee(s) have four (4) years total construction experience as defined below.

How many key employee(s) does your company have? \_\_\_\_\_\_

Key Employee(s) must have:

- 1. Experience gained as a licensed contractor, journeyman, foreperson, supervisor or as any other employee engaged in construction work for a licensed contractor.
- 2. The following may substitute for up to a maximum of three (3) years of experience:
  - Completion of an apprenticeship program
  - A bachelor's degree in a construction related field
  - A bachelor's degree or master's degree in business, finance or economics
  - An associate degree in construction or building management

# RESPONSIBLE MANAGING INDIVIDUAL (RMI) & PRE-LICENSE TRAINING AND TEST

You may skip this section if you are applying with any of the following endorsements. All other Residential or Commercial endorsements are required to have an RMI.

- Residential or Commercial Developer
- Home Inspector Services Contractor
- Residential Restoration Contractor
- Residential Locksmith
- Home Energy Performance Contractor

Most licenses must have an RMI at all times and may be a partner or employee of the business applying for the license. The RMI must manage or supervise the construction activities of the business by participating in (1) the administration or construction contracts; or (2) the administration of the day-to-day operations.

To qualify as an RMI you must:

- 1. Complete the 16-hour pre-license training and pass the Oregon contractor exam, OR
- 2. Passed the NASCLA national exam and pass the Oregon contractor exam, OR
- 3. Provide one or more license number(s) that the owner or RMI has been continuously associated with during the time period before July 1, 2000, until the date of this application with no lapse of more than 24 months.

RMI Full Legal First Name	Full Legal Middle Name	Full Legal Last Name	
Date of Birth (MM/DD/YYYY)	Last 4 digits of Social Security #	Driver's License #	Issuing State
The RMI is an: 🗌 Owner	Employee		
Attach a copy of the Pre-Licens	e score report OR provide the CCB lic	cense number that the RM	I has

continuously been associated with:

#### As the RMI, I certify that:

I have management or supervisory authority over the construction activities of the business; and if this business incurs a construction debt that it does not pay, I understand that I may be prohibited from serving as an owner, officer, or RMI of another CCB license until that construction debt is satisfied, paid, or discharged.

Signature of Responsible Managing Individual REQUIRED

Date

<b>10</b> C	10 CONSTRUCTION DEBT / LICENSE HISTORY								
1. Che	<ol> <li>Check the appropriate box(es) below if <u>any</u> person listed on this application has <u>any</u> of the following:</li> <li>Neither the business, nor any person listed in the application, have any outstanding construction debt obligations, OR</li> </ol>								
		A final unp	aid orde	r has be	en issued by	the Construction Conti	ractors Boa	ard.	
						unpaid arbitration awa within the United State		al unpaid c	ivil penalty
		•				unpaid civil penalty aris y workers compensatio	-	ailure to m	naintain
		An amount	t is owed	to emp	loyees of a o	construction contracting	g business	for unpaid	wages.
2. Has	any p	person listed	d on this	applicat	ion had a co	ontractor license refused	d or revoke	ed in anoth	er state?
	NO		YES	ľ	f yes, what S	State?			
lf y	ves, lis	st applicant(	s) Full Na	ame:					
						*Addition	al documen	tation may	be requested
	RIM	INAL BACK	GROUN	ND					
Has any	/ pers	on listed on	this app	lication	been indicte	ed for or convicted of ar	ny of the fo	llowing cr	imes?
		NO	YES		If yes, list	applicant(s):			
		Date	e S	itate	County		Date	State	County
🗖 Mur	der					Robbery 1			
🗖 Assa	ault 1					Theft 1			
🛛 Kidr	nappii	ng				Arson 1			
🛛 Sexu	□ Sexual abuse □ Theft by extortion								
Rape, sodomy or unlawful sexual penetration									
If you are under court-ordered supervision, parole or probation, please provide the name and contact information for your parole/probation officer.									
Parole/Probation Officer Name Phone Number									

# Email Address

NOTE: Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

# **12** INDEPENDENT CONTRACTOR CERTIFICATION

<u>All</u> applicants must certify that their business activities will be performed in compliance with Oregon's independent contractor law (ORS 670.600).

At all times while conducting business as a CCB Licensee:

- 1. The applicant will be free from a client's direction and control over the means and manner of providing the services. The applicant is subject only to the right of the client, for whom the services are provided, to specify the desired results of the work.
- 2. The applicant will be customarily engaged in an independently established business by at least three of the following criteria:
  - a) Maintaining a business location that is separate from the business or work location for whom the services are provided; or that is in portion of the applicant's residence and that portion is used primarily for the business.
  - b) Bearing the risk of loss related to the business or provision of services as shown by factors such as: entering into fixed-price contracts; a requirement to correct defective work; warranties the services provided or the applicant negotiates indemnification agreements or purchases liability insurance performance bonds or errors and omissions insurance.
  - c) Providing contract services for two or more different persons within a 12 moths period, or routinely engaging in business advertising, solicitation or other marketing efforts reasonably calculated to obtain new contracts to provide similar services.
  - d) Making significant investment in the business, through means such as: purchasing tools or equipment necessary to provide the services; paying for the premises or the facilities where the services are provided; or paying for the licenses, certificates or specialized training required to provide the services.
  - e) Having the authority to hire other persons to provide or to assist in providing the services and has the authority to fire those persons. Contractors hiring employees must be licensed under the non-exempt class of independent contractor and carry proper workers compensation insurance to protect subject workers.
- 3. The applicant will maintain an active license with the CCB in accordance with ORS 701 while performing construction activities.
- 4. The applicant is responsible for obtaining other licenses or certifications necessary to provide the construction services.

**YES**, I certify to all the above items.

# **13** CERTIFICATION & SIGNATURE(S)

- I certify to the truth and accuracy of all statements, answers and representations made in the foregoing application, including all supplementary statements. I further acknowledge that knowingly supplying false information on the application is a Class A Misdemeanor punishable by law.
- For as long as this license is in effect, the applicant will continue to carry the required liability insurance and surety bond.
- Effective the date of this application, if the applicant hires employees, the applicant is required to comply with workers compensation laws and will maintain a workers compensation insurance policy as long as the applicant is an employer.
- If the Responsible Managing Individual (RMI) or qualifying individual leaves the business, the applicant will notify the CCB in writing immediately and will provide CCB with a new RMI or qualifying individual's name using the <u>RMI Change form</u>.
- The applicant will operate as an independent contractor per ORS 670.600.
- The applicant understands that any and all information regarding their license may be shared with other government agencies.
- The applicant must remain in compliance with the terms of this license. Failure to do so could result in a civil penalty of up to \$5,000 per offense and/or license suspension or revocation.
- If this business incurs a construction debt that it does not pay, the individual(s) understands that they may be prohibited from serving as an owner, officer, or RMI of another license applicant until that construction debt is satisfied, paid, or discharged.
- The applicant understands that signing below as an owner, partner, corporate officer, LLC member, trustee, or RMI of this application; he/she will be held liable for the licensee's compliance with all applicable statutes and rules.
- Any personal identifying information may be used to conduct background screenings on all applicants.

By signing below, I certify that I have read and understand the statements listed above.

Signature of Partner	Printed Name	Date
Signature of Partner	Printed Name	Date
Signature of Partner	Printed Name	Date
Signature of Partner	Printed Name	Date

#### NOTE: ALL partners and RMI must sign the application

If necessary, you may attach an additional page to list additional partners.

# **14** SOCIAL SECURITY NUMBER / DISCLOSURE FOR FOREIGN APPLICANTS

# If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

#### **Declaration of Social Security Number**

As part of your application for an initial license and certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency. The authority for this requirement is Oregon Revised Statute (ORS) 25.785, ORS 701.046, and 42 USC § 666(a)(13) (Federal Law). Failure to provide your Social Security Number will be a basis to deny the certificate or license you seek. Your Social Security Number may be shared with other authorities only for tax administration, debt collection, and child support enforcement purposes.

By signing this application, on the previous page, each owner, officer, member acknowledge the disclosure of their Social Security Number and it's use by the Construction Contractor Board for the purposes stated above.

# If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

#### **Disclosure of NO Social Security Number**

As part of your application for license or certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency if such a number has ever been issued to you. If a member, officer, or owner has not been issued a social security number then the section below must be signed pursuant to ORS 25.785.

Your signature(s) below serves as your declaration that you <u>have not been issued a Social Security</u> <u>Number</u> by the United States Social Security Administration.

Full Legal Name	
Signature to declare NO ISSUANCE OF SSN	Date
Full Legal Name	
Signature to declare NO ISSUANCE OF SSN	Date

# PAYMENT INFORMATION

Please read the application instructions carefully prior to submitting the application and payment to the Board's office. Incomplete applications or missing documentation will delay the licensing process. Verify the information provided is complete and accurate prior to submitting.

You may submit payment and your application using ONE of the following options (please select only one):

#### Online Payment by Credit/Debit Card or ACH/e-Check (preferred method)

#### APPLY ONLINE

You will be required to complete a licensure application at the time of payment. **The online application submission process is recommended.** If you've prepared your paper application, you can follow the online application to upload the details as well as upload any required documents as a PDF, Word, or Excel document.

#### *VISA, MASTERCARD,* or *DISCOVER* <u>*ONLY*</u> accepted for credit card payments.

For card payments, you will be required to enter your card information including cardholder name, card number, expiration date, CVV number and complete billing address.

For **ACH/e-Check payments**, you will be required to enter your checking account information, including bank routing number and checking account number.

Payments are made through a secure portal and all information entered is encrypted.

#### Payment by Check or Money Order

Check or Money Order can be made payable to the "Oregon Construction Contractors Board" or "Oregon CCB". Mail the check/money order to the Board office at:

<u>Regular Mail</u>: P.O. BOX 14140 Salem, OR 97309-5052 <u>Priority Mail</u>: 201 High St SE, Ste. 600 Salem, OR 97301

Receipts for credit/debit card and ACH payments: Upon completion of the online application submission and payment process, you will receive confirmation that your application was submitted successfully. An automated email notification will also be sent to the address you entered with the application.

**Receipts for Check payments:** Your cancelled check is your receipt. If you need more detailed receipt, you may make the request by email to <u>ccb.info@ccb.oregon.gov</u>. The Board will email a receipt to you later.