



State of Oregon Department of Environmental Quality
**Fiscal Sustainability Plan and
Certification**

Contact: [Regional Project Officer](#)
503-229-LOAN

The Fiscal Sustainability Plan is a federal requirement for all treatment works projects funded in-part or in-full with the Clean Water State Revolving Fund. The borrower is required to submit certification as a condition of the loan agreement.

I. The Fiscal Sustainability Plan must cover the entire system for which funding is provided:

- If funding is only for a collection system, then the Plan must cover the entire collection system
- If the funding is only for a treatment system, then the Plan must cover the entire treatment system
- If funding is for both a collection system and a treatment system, then the FSP must cover the entire collection system and the entire treatment system

II. The minimum required elements are:

1. An inventory of critical assets that are part of the system. Critical assets are those that are necessary for sustained system performance
2. An evaluation of the condition and performance of the critical assets or asset groupings, including:
 - Point in expected lifespan
 - Performance
 - Working efficiency
3. A plan to maintain, repair, and replace the critical assets and to fund those activities, including:
 - A schedule indicating when critical assets are expected to be repaired or replaced
 - A financial plan showing the source and amount of funds to finance the repair or replacement
4. A process to evaluate and implement water and energy conservation efforts as part of the plan, including:
 - An inventory and discussion of what critical energy and water-related equipment and or practices are incorporated into the system
 - Identify what efficiency improvements will be made to the equipment or practices

Certification of Fiscal Sustainability Plan

Check the appropriate statement and sign the signature block.

- By signing below, the loan recipient certifies a plan has been developed and is being implemented to meet the requirements outlined in the guidance.

- By signing below, the loan recipient agrees to develop and implement a fiscal sustainability plan, and will certify the plan meets the requirements outlined in the guide as a deliverable under the loan agreement. The plan must be completed prior to final disbursement.

- By signing below, the loan recipient confirms the project is being funded with an interim loan from DEQ with long-term financing through USDA Rural Development, and certifies all requirements associated with fiscal sustainability within Bulletin 1780-2, Preliminary Engineering Report, are complete and being implemented.

Signature	Date
Title	
Utility/Loan Recipient	
Project Name	Contact Number

Alternative formats

DEQ can provide documents in an alternate format or in a language other than English upon request. Call DEQ at 800-452-4011 or email deqinfo@deq.state.or.us