

TEST PLAN

SECURITIES EXAMINER (ENTRY) - #5670

1. Minimum Qualifications Screening
2. Agency may develop position-specific T&E test questions at the time of recruitment, or agency may develop T&E test questions on:
 - A. Accounting, Analysis, and Investigations 35%
 - B. Securities Laws, Rules, and Regulations 35%
 - C. Communication (verbal and written) 30%100%
3. Those ranking highest on the test will be invited to participate in the next phase of the selection process.
4. If you are hired, certain knowledge and skills will be assessed during your trial service period.

MINIMUM QUALIFICATIONS

- a Bachelor's degree in Business Administration, Banking, Economics, or related field; **OR**
- an Associate's degree in Business Administration or a closely-related field **and** two years of experience selling or investigating securities.