

**Oregon State Lottery
Internal Audit
CY 2010 Risk Assessment Interview Questionnaire**

I. Factors that Could Impede the Lottery's Success

This section will help Internal Audit assess risks that could adversely affect the Lottery's ability to achieve its mission, which is to *"operate a lottery with the highest standards of integrity and security to earn maximum profits for the people of Oregon commensurate with the public good."*

1. Which internal and external issues do you see emerging as future impediments to the agency's ability to achieve these goals?
2. Please summarize any areas that the Lottery should address to become a more effective organization.
3. Of the major business/technological initiatives currently in process or planned, which ones give you the most concern and why?
4. Of the departments or work groups that you have critical interfaces with, which of these give you the most concern and why?
5. Where do you feel your department and/or the agency are most susceptible to fraud? Do you feel that there are adequate controls in place to mitigate this risk and why?

II. Lottery's Governance

The atmosphere created by the leaders of an organization is an influential factor in how employees conduct themselves. Please rate your level of agreement with the following statements about Lottery's top leaders.

Executive staff, which includes the Director and Assistant Directors:

<<Response Options: 1) Strongly Agree, 2) Agree, 3) Disagree, 4) Strongly Disagree, 5) Don't Know>>

1. Communicates its expectations for ethical behavior.
2. Leads by example.
3. Provides safe mechanisms for employees to raise concerns.
4. Rewards integrity in the workplace.
5. Acts on reports of misconduct.
6. Encourages employees' suggestions.
7. Is willing to hear bad news.
8. Is willing to challenge existing practices and make improvements.