

# MEMORANDUM

March 3, 2016

To: MLAC Subcommittee on Independent Medical Examinations

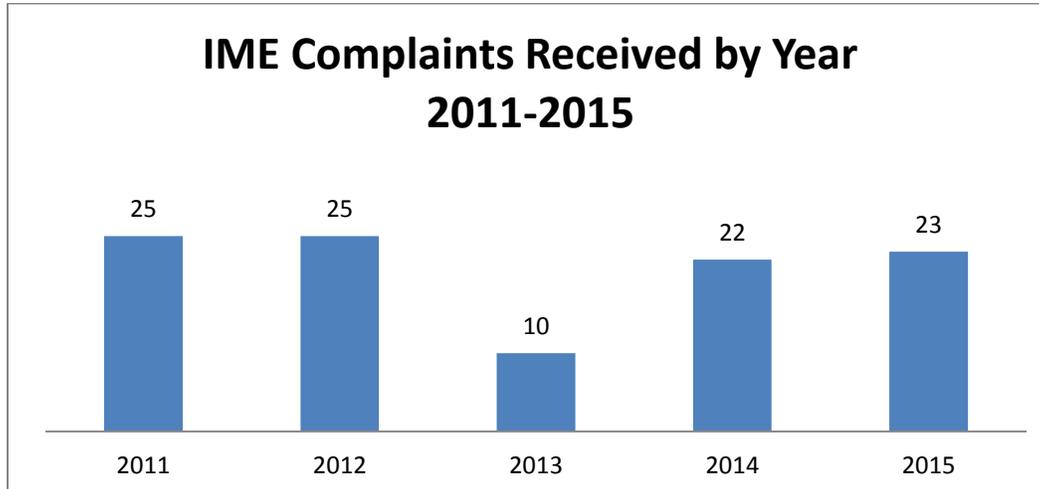
From: Cara Filsinger, Senior Policy Advisor

Subject: IME follow up data

At your last subcommittee meeting, you asked for data and information about independent medical examination complaints and the about the IME provider list maintained by the division.

### **IME complaints**

The department receives complaints by phone, mail, and e-mail. A summary of total complaints received in recent years is below.



We also tabulated the outcome of the division's investigation into the complaints received between 2011 and 2015. That information is on the following page.

### IME Complaint Data 2011-2015

Education	26
Warning	1
Removed	0
Referred to licensing board	0
Withdrawn	3
Incorrect report	1
Unverified-unsubstantiated	64
Other	1
Pending	9
<b>Total</b>	<b>105</b>

- **Education:** IME provider was provided education or information to bring actions into compliance with rules, correct behavior, and/or improve customer service.
- **Warning:** IME provider was advised that future IME complaints could result in more server actions, up to and including removal from the IME list.
- **Removed:** The IME provider was removed from the list of authorized IME providers.
- **Referred to Licensing Board:** The IME complaint was submitted to the IME providers licensing board for further investigation and/or disciplinary action.
- **Withdrawn:** The IME complaint was retracted by the complainant.
- **Incorrect Report:** Complaint was regarding the IME report or a file review, not the actual exam.
- **Unverified- Unsubstantiated:** There was insufficient evidence to substantiate one or more topics of the IME complaint.
- **Other:** The action taken does not fit into any of the other categories.
- **Pending:** In progress.

#### IME provider list

The department is required to keep a list of providers authorized to perform independent medical examinations. To be on the list, a provider must do all of the following:

- Hold a current license and be in good standing with the professional regulatory board that issued the license
- Attend a director-approved training regarding IMEs or review IME training materials approved by the director
- Complete the online application form
- Agree to abide by the standards of professional conduct that either the relevant medical licensing board has adopted or the “IME Standards” published in OAR 436-010 Appendix C
- Agree to abide by the Oregon workers’ compensation laws and rules

There are currently 754 authorized IME providers. There is some turnover on the list. Between 2011 and 2015, we added 285 new providers and removed 119. The main reasons for removal

were due to death, retirement, or license expiration (59 providers), at the physician's request (35), or a licensing board restriction (17).

During the application process, the provider may self-select a specialty and sub-specialty. The following pages show the selections made by the current IME provider list. Please note that each provider may choose more than one specialty or sub-specialty, so the totals in the following tables exceed the number of authorized providers.

### Current number of authorized IME providers by Specialty and Sub-Specialty

<i>Note: When applying the provider chooses their Specialty and Sub-Specialty. Each provider may choose more than one Specialty or Sub-specialty. Specialties are <b>Bolded</b>. Sub-specialties are listed below specialties.</i>	Total # Specialties	Total # Sub- Specialties
<b>Acupuncture</b>	12	
Dermatology		1
Gynecology		1
Internal Medicine		1
Mental & Emotional		1
Pain Management		3
Pregnancy		1
Soft Tissue and Ortho, Injury		1
<b>Addiction Medicine</b>	5	
Pain Management		2
<b>Allergy</b>	2	
Occupational (Allergy)		1
<b>Allergy and Immunology</b>	1	
Allergy		1
<b>Anesthesiology</b>	4	
Pain Medicine		3
<b>Athletic Training</b>	1	
<b>Cardiology</b>	6	
Internal Medicine		1
Interventional Cardiology		1
<b>Cardiovascular Disease</b>	3	
<b>Certified Prosthetic &amp; Orthotic Specialist</b>	2	
<b>Chiropractic</b>	73	
DABCO		1
Disk Injuries		2
Independent Chiropractic Examiner		1
Orthopedics		1
Radiology		1
Rehabilitation		1

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<i>Note: When applying the provider chooses their Specialty and Sub-Specialty. Each provider may choose more than one Specialty or Sub-specialty. Specialties are <b>Bolded</b>. Sub-specialties are listed below specialties.</i>	Total # Specialties	Total # Sub- Specialties
Spinal Rehab Exercise (Chiropractic)		1
Spine (Orthopedic)		1
Whiplash and Brain Injury Traumatology		1
<b>Critical Care Medicine</b>	1	
<b>Dentistry</b>	13	
Endodontics		1
Oral Medicine and Orofacial Pain		1
<b>Dermatology</b>	3	
Work Related Skin Disease		1
<b>Emergency Medicine</b>	4	
<b>Endocrinology, Diabetes and Metabolism</b>	4	
Diabetes		1
<b>Family Medicine</b>	2	
<b>Family Medicine, Emergency Medicine</b>	1	
Occupational Medicine		1
<b>Family Practice</b>	6	
<b>Family Practice, Family Medicine</b>	1	
<b>Gastroenterology</b>	1	
<b>General Practice</b>	4	
Soft Tissue and Ortho, Injury		1
<b>General Surgery</b>	9	
Traumatic Surgery		1
Vascular Surgery		2
<b>Hand Surgery</b>	10	
Hand Surgery (Orthopedic Surgery)		3
<b>Hematology</b>	1	
<b>Immunology</b>	2	
Environmental		1
<b>Infectious Diseases</b>	2	
<b>Internal Medicine</b>	36	
Addiction		2
Addictionology		1
Cardiovascular Disease		3
Endocrinology		1
Gastroenterology		1
Infectious Disease		2
Internal Medicine (Orthopedic Medicine)		1
Medical Hematology/Oncology		1
Nuclear Medicine		1
Occupational Medicine		2

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Pain Management		1
Rheumatology		1
<b>Internal Medicine, Addiction Medicine</b>	1	
<b>Internal Medicine, Cardiovascular Disease</b>	2	
<b>Internal Medicine, Clinical Cardiac Electrophysiology</b>	1	
<b>Internal Medicine, Emergency Medicine</b>	1	
<b>Internal Medicine, Endocrinology, Diabetes and Metabolism</b>	1	
<b>Internal Medicine, Hematology</b>	1	
<b>Maxillofacial Surgery</b>	4	
Temporomandibular Joint		2
<b>Naturopath</b>	4	
<b>Nephrology</b>	1	
<b>Neurological Surgery</b>	24	
Legal Medicine		1
Spine (Neurosurgery)		6
<b>Neurology</b>	47	
AAEM		1
Clinical Neurophysiology		2
Electro diagnostic (Neurology)		1
Electromyography		1
Epilepsy		1
Headache (Neurology)		1
Neuro-Ophthalmology		1
Neuromuscular		1
Neuromuscular Electro diagnostic Testing		3
Neuromuscular Medicine; Electro diagnostic Testing		1
Neurophysiology		2
Neurotology (neurology)		1
Pediatric Neurology		1
Sleep Disorders (Neurology)		1
<b>Neurology and Psychiatry</b>	1	
<b>Neurology, Clinical Neurophysiology</b>	2	
<b>Neurology, Internal Medicine</b>	1	
<b>Obstetrics &amp; Gynecology</b>	5	
<b>Occupational Medicine</b>	25	
Family Practice		1
Infectious Diseases		1
Medical Toxicology		3
Pain Management		1
<b>Occupational Medicine, Family Practice</b>	2	

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Sports Medicine		1
<b>Occupational Therapy</b>	38	
Ergonomic Evaluation Specialist		2
Ergonomics		1
Hand Therapist (Occupational Therapy)		6
Industrial Rehabilitation		1
Job Analysis		2
Physical Capacity Evaluation (Occupational Therapy)		3
Physical Capacity Evaluation (Physical Therapy)		1
<b>Oncology, Internal Medicine</b>	1	
<b>Ophthalmology</b>	9	
Neuro-Ophthalmology		1
<b>Optometry</b>	1	
Neuro-Ophthalmology		1
<b>Oral Surgery</b>	4	
Maxillofacial Surgery		1
<b>Orthopedic Surgery</b>	186	
Disorder of the Knee		1
Elbow		2
Foot and Ankle (Orthopedic)		5
Hand Surgery (Orthopedic Surgery)		16
Knee		7
Occupational (Orthopedic Surgery)		2
Only Knee		1
Orthopedic Foot and Ankle Surgery		1
Orthopedic Trauma		1
Pain Management		1
Shoulder		8
Spine (Neurosurgery)		1
Spine (Orthopedic)		22
Sports Medicine		10
Total Joint Replacement		2
Trauma		5
<b>Orthopedic Surgery, Hand Surgery</b>	11	
Microsurgery		1
<b>Orthopedics</b>	17	
Shoulder and Upper Extremity		1
Spine (Orthopedic)		1
<b>Orthopedic Surgery, Orthopedics Sports Medicine</b>	17	
Hand Surgery (Orthopedic Surgery)		2

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Shoulder and Upper Extremity		1
Spine (Orthopedic)		0
<b>Osteopathic Manipulative Medicine</b>	3	
<b>Osteopathic Manipulation Medicine, Family Practice</b>	1	
<b>Osteopathic Manipulation Medicine, General Practice</b>	1	
<b>Osteopathic Manipulation Medicine, Neuro Musculoskeletal Medicine</b>	5	
<b>Osteopathic Medicine</b>	4	
<b>Otolaryngology</b>	1	
<b>Otology, Laryngology, Rhinology</b>	7	
Neurology		1
Neuro-Otology (Otolaryngology)		1
Sleep Disorders (Neurology)		1
<b>Otology, Laryngology, Rhinology, Head and Neck Surgery</b>	1	
Facial Plastics and Reconstructive Surgery		1
<b>Pain Medicine</b>	5	
Pain Management		1
<b>Pain Medicine, Neurological Surgery</b>	1	
<b>Pediatrics</b>	1	
<b>Physical Medicine &amp; Rehabilitation</b>	36	
Electro diagnostic (Physiatry)		6
Pain Management (Physiatry)		2
<b>Physical Medicine &amp; Rehabilitation, Pain Medicine</b>	2	
<b>Physical Therapy</b>	84	
Ergonomic Evaluation Specialist		1
Job Analysis		1
Manual Therapy (Physical Therapy)		1
Occupational Therapy		1
Physical Capacity Evaluations (Physical Therapy)		3
Physical Medicine & Rehab		2
Physical Therapy (Orthopedic)		1
Sports Medicine (Physical Therapy)		1
Work Capacity Evaluations		2
Work Conditioning (Physical Therapy)		1
Wound Care		1
<b>Plastic Surgery</b>	6	
Hand Surgery (Plastic Surgery)		4
<b>Plastic Surgery, Hand Surgery</b>	3	
Hand Surgery		1
<b>Podiatry</b>	15	

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Foot Surgery		1
Sports Medicine		1
<b>Podiatry, Foot Surgery</b>	9	
<b>Podiatry, Podiatric Medicine and Orthopedics</b>	3	
<b>Preventive Medicine</b>	3	
Acupuncturist		1
<b>Preventive Medicine, Osteopathic Manipulation Medicine</b>	1	
<b>Psychiatry</b>	21	
Adult Psychiatry		1
Forensic Psychiatry		6
Psychiatry (Fibromyalgia)		1
Psychiatry (Concussions)		1
PTSD		1
<b>Psychiatry Neurology</b>	1	
<b>Psychiatry Neurology, Child and Adolescent Psychiatry</b>	3	
<b>Psychiatry Neurology, Psychiatry</b>	2	
Psychosomatic Medicine		
<b>Psychiatry, Addiction Medicine</b>	1	
<b>Psychology</b>	39	
Anxiety		1
Biofeedback (Psychology)		1
Chronic Pain Management (Psychology)		1
Clinical Psychology		2
Depression		1
Forensic Psychology		3
Forensic Psychology and Clinical Psychology		1
Healthcare Psychology		1
Medical Psychology		2
Neuropsychology		14
Pain Psychology		1
PTSD		1
Rehabilitation Psychology		2
Stress management/Resilience (Psychology)		1
Trauma		1
Traumatic Brain Injury		2
<b>Psychosomatic Medicine</b>	1	
<b>Pulmonary Diseases</b>	2	
Occupational (Pulmonary Diseases)		1
<b>Pulmonary Diseases, Critical Care</b>	1	
<b>Radiology</b>	4	

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<b>Radiology, Diagnostic Radiology</b>	1	
<b>Rheumatology</b>	7	
<b>Sleep Medicine, Neurology</b>	1	
<b>Sleep Medicine, Pulmonary Diseases</b>	1	
<b>Sports Medicine</b>	6	
<b>Urology</b>	3	
<b>Vascular Surgery</b>	2	
Thoracic Surgery		1