

Substance Abuse and Mental Health Services Administration
Center for Substance Abuse Prevention
Strategic Prevention Framework
Action Plan for Fiscal Years 2004 and 2005

Purpose

This document sets forth a two-year action plan for implementing the SAMHSA Strategic Prevention Framework (SPF) in order to decrease substance use and abuse, promote mental health, prevent mental disorders, and reduce disability, co-morbidity and relapse related to substance use/abuse and mental illness. The SPF implements a five-step process known to promote youth development, reduce risk-taking behaviors, build assets and resilience, and prevent problem behaviors across the life span. The SPF changes SAMHSA's approach to prevention, and helps move the President's vision of a Healthier US to State and community-based action.

Performance Goals

Long Term Goals

To prevent/reduce substance use and abuse, promote mental health, prevent mental disorders, and reduce disability, co-morbidity and relapse in States using the SPF.

Outcome Measures

- Increased abstinence from drug use/alcohol abuse (30-day past use of alcohol, ages 12-17; 30-day past use of illicit drugs, ages 12+).
- Increased perception of drug use as harmful (ages 12-17).
- Increased social supports and social connectedness (developmental).
- Decreased criminal justice/juvenile justice

involvement (developmental).

- Increased stability in family and living conditions (developmental).
- Increased/retained employment or return to school attendance (developmental).
- Increased provision of services within acceptable cost bands (developmental).

Process Measures

- Increase the number of States and communities using the Strategic Prevention Framework.
- Increase the number of States and communities with ongoing outcome monitoring systems.
- Increase the number of States and communities that implement/institutionalize capacity building tools, resources, and data systems to support an effective prevention and early intervention infrastructure.
- Increase the number of States and communities using evidence-based prevention and early intervention programs, policies and practices.
- Increase the number of States and communities tracking and reporting process and outcome measures for prevention and early intervention,

Policy & Program Parameters

The following are policy and program parameters that are addressed or reflected in the action plan:

- The Secretary has launched the Healthier

U.S. initiative, creating an opportunity to integrate substance abuse prevention, mental health promotion and prevention of mental disorders into broader health promotion/prevention activities.

- SAMHSA ultimately plans to implement the Strategic Prevention Framework in every State.
- SAMHSA is operating in an environment of increased performance accountability. To that end, the Administrator has defined a set of National Outcome Measures through which SAMHSA will manage and measure the performance of all SAMHSA grant programs.
- SAMHSA plans to fully implement reporting on National Outcome Measures for prevention in the prevention portion of the Substance Abuse Prevention and Treatment Block Grant and in all other SAMHSA programs.
- SAMHSA is expanding the current National Registry of Effective Programs and Practices (NREPP) into all of the agency's core domains: mental health promotion and treatment, prevention of substance abuse and mental disorders, and substance abuse treatment.

**Key Activities
FY 04**

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- Fund up to 20 State and US Territory Strategic Prevention Framework State Incentive Grants for up to 5 years.
 - Provide guidance to all States on the initial steps of the SPF:

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- conducting statewide substance abuse and mental health needs assessments, including assessment of State capacity to profile population needs, resources, and readiness to address the problems and gaps in current State service delivery.
 - mobilizing and/or building State infrastructure to address identified needs.
 - developing a statewide strategic prevention plan for substance abuse and mental disorders.
 - implementing data management systems to track performance and monitor program outcome elements, including annual performance targets related to the prevention strategic plan and the National Outcome Measures.
- Conduct regional and State-specific technical assistance workshops to assist States and communities in the selection and implementation of "model, effective, and promising" (as defined by NREPP) prevention and early intervention programs, practices, and strategies.
 - Finalize data elements and data collection approaches for the National Outcome Measures for prevention that are still developmental.

- Develop Block Grant Reengineering Report and Center-specific plans for building staff capacity to manage grants in a performance environment. Provide training and other staff development to enable staff to transition the SAPTBG to a performance environment in FY 2005.
- Integrate the SPF into the Safe Schools/Healthy Students grant program.
- Disseminate knowledge regarding use of the SPF to accomplish goals and recommendations of the New Freedom Commission to transform the mental health system.
- Work with other Federal agencies (e.g., Department of Justice, Department of Education) to develop plans for implementing the SPF and National Outcome Measures across Federal agencies.

**Key Activities
FY 05**

- Fund additional State and Territory Strategic Prevention Framework State Incentive Grants for up to 5 years (budget permitting).
- Provide guidance to States on remaining steps/tasks of SPF:
 - implementing evidence-based prevention programs and infrastructure development activities to address mental disorders/substance abuse issues identified in needs assessment.
 - monitoring progress, evaluating effectiveness, sustaining effective

programs/activities, and improving/replacing those that fail.

- Align the Substance Abuse Prevention and Treatment Block Grant/Performance Partnership funding allocations with Strategic Prevention Framework planning and resource allocation.
- Implement the National Outcome Measures for prevention that are currently developmental.
- Continue staff development efforts to ensure transition of the SAPTBG to a performance environment.
- Implement measures to assess the effects of linkages between the SPF and the Safe Schools/Healthy Students grant program.
- Ensure linkages between the SPF State Incentive Grants and the Mental Health Transformation State Incentive Grants.
- Integrate the SPF into all mental health promotion, mental disorders prevention, and relapse prevention initiatives.
- Begin to implement plans to implement SPF and National Outcome Measures across Federal agencies.

Information contained in this summary can be downloaded from SAMHSA's web site at http://www.samhsa.gov/Matrix/SAP_prevention.aspx