

ReBAR Program Tools

Assessment & Individual Budget Amount (IBA) Implementation

Focus: CDDPs, Counties & Service Providers

December 10, 2008

For general distribution



Our Goal

You will have a basic understanding of:

I Assessment- "Getting Ready"

- ◆ The SIS Assessment Tool and why Oregon chose it
- ◆ Assessment Interview Participants or Respondents
- ◆ Assessment Administration and Scoring

II Individual Budget Amounts & Rates

- ◆ How assessments fit with budgets and rates
- ◆ DD 50 Implementation and future ReBAR activity

III Tools and Resources



ReBAR: Restructuring Budgets, Assessments & Rates

- ◆ **ReBAR will meet state and federal expectations** to make the Comprehensive services rate structure more:
 - **Sensible:** Understandable to all and directly related to a consumer's support needs
 - **Portable:** Consumers can carry their level of need assessment and an appropriate budget as they move or change providers or settings
 - **Fair:** Allocations are uniformly determined – consumers with similar needs get similar budgets




ReBAR: Restructuring Budgets, Assessments & Rates

- ◆ The ReBAR program includes:
 - Doing Support Needs assessments for consumers in DD comprehensive services
 - Using the results to assign a budget for the consumer
 - Implementing fair, equitable and effective service rates for providers
- ◆ Our beginning and major focus today is:

“A” for Assessment





I "A" is for Assessment - Getting Ready



Getting Ready for What?

**Between November 2008 & June 2009
ReBAR will :**

- ◆ **Do assessments** for up to 600 consumers, beginning with the lowest 300 DD50 monthly payment rates in the state.
- ◆ **Establish a level of support for each assessed consumer** that will determine their residential budget (now) and day budget (later).
- ◆ **Apply New DD 50 residential service rates and budgets** for consumers with completed assessments. CPAs will be revised in eXPRS.



"Getting Ready" Activities

◆ Provider & County Orientation

- Targeted Videoconferences & Materials covering:
 - ◆ ReBAR and Assessment Implementation
 - ◆ Staff Preparation for SIS Interviews
 - ◆ "Conducting the Assessment" Filmclip

◆ State & County Scheduling Activities

- CDDP and ReBAR staffs will meet to identify mutually workable roles, timelines, and approaches to scheduling

◆ Consumer & Family Orientation

- The Oregon Council on Developmental Disabilities (OCDD) will orient consumers & family members.



What Oregon Needs for Assessment:

- ◆ A standardized process to gather the same information in the same way for each consumer, no matter the time, place, or level of support needed.
- ◆ Information on the intensity of support needs of a consumer
- ◆ A nationally recognized tool with proven validity and reliability that will help in developing resource allocation and with individualized planning



Supports Intensity Scale (SIS)TM Meets Our Criteria

- ◆ **SIS** is an assessment scale developed by the American Association on Intellectual and Developmental Disabilities (AAIDD formerly AAMR)
 - **Standardized:** same 89 questions
 - **Intensity of Support:** questions focus on frequency, duration and type of supports
 - **Validity and Reliability:** thoroughly tested and now used in 12+ states & many countries
- ◆ **Let's review the tool with a focus on 3 more reasons it makes sense for Oregon**



SIS Makes Sense For Oregon #1:

- ◆ SIS reflects a a positive way of thinking about assessment, focusing on the support needs for the consumer to be successful, not on his or her deficits.
- ◆ “Success” means a level of performance, involvement, and participation in an activity that is comparable to that of typical adults without disabilities.



SIS Makes Sense For Oregon #2

- ◆ SIS uses a small group interview:
 - To engage consumers and other respondents
 - To consider supports needed to meet individual needs and promote personal growth.



SIS Interview Scheduling

Each CDDP has already received names of consumers receiving assessments in the first round of 300. They are now planning and scheduling with ReBAR Assessment staff

- ◆ CDDPs and their Service Coordinators play a pivotal role in scheduling and coordinating calendars for SIS assessments to be performed
- ◆ Typical interview is 3 hours
- ◆ Each Area Assessment Specialist will do 1-2 interviews per day depending on how many individuals need assessments in each local area



The SIS Interview Site

- ◆ Interviews may occur anywhere that allows for uninterrupted privacy
- ◆ The consumer and interview team should agree on a location where everyone will feel comfortable
- ◆ The location should be chosen to help facilitate participation by the consumer
- ◆ Interviews may occur at these or other locations:
 - The consumer's or a family member's home
 - The consumer's day service location, provider meeting room, community meeting room.
 - CDDP or the Assessment Specialist Offices



Things To Know About the Interview

- ◆ SIS is not a test. There are no “right” or “wrong” answers - just a guided conversation with all participants
- ◆ It's important for all participants to give their input & be as accurate as possible
- ◆ Since SIS is a standardized assessment, we must answer all the questions even if they don't seem to relate to the consumer now or even in the future



Things to Tell the Assessment Specialist Before the Interview

- ◆ How the consumer prefers to participate and communicate
- ◆ If any topics of discussion may "trigger" uncomfortable responses from the consumer, so the group can accommodate how information is best gathered
- ◆ Accommodations needed for any participant



SIS Interview Participants

- ◆ An Invitee is called a “Respondent” and is any person designated to answer questions about the support needs of the consumer:
 - Should know the person for at least 3 months
 - Should have regular (preferably daily) interaction with them in settings where support is needed
 - Should be prepared to provide responses that accurately reflect the support need.



SIS Interview Respondents

- ◆ The right respondents can make all the difference in capturing an accurate picture of a consumer's support needs

- ◆ An interview group will usually include:
 - Consumer
 - Guardian or family member if appropriate
 - One or two staff from day program provider
 - One or two staff from residential provider
 - Service coordinator
 - Interviewer



SIS Respondent: The Consumer

- ◆ The consumer should participate in at least part of the interview, and bring and use their communication devices, if needed
- ◆ In unique situations the consumer may be unable or decide not to be interviewed. If so,
 - The consumer or team should identify who will represent them (family member, advocate, or service coordinator)
 - The Assessment Specialist will document the reason for non-attendance
 - The Assessment Specialist will try to meet the consumer at some point before the interview or prior to finalizing the report.



Consumer Orientation for the Interview

- ◆ Consumers to be interviewed will receive from the Oregon Council on Developmental Disabilities (OCDD):
 - A “Getting Ready For Your Interview” brochure
 - An invitation to attend a local orientation session
- ◆ Participation at a local orientation is not mandatory, but is encouraged. Providers and families are requested to assist, when necessary
- ◆ The Interviewer will ensure the consumer is informed about the process at the start of an interview, if they have not attended an orientation



The Consumer and Identification of Other Respondents

“The interview is about the consumer's life. It is not the family's or provider's interview.”

...AAIDD

- ◆ Consumers should be given the opportunity to express their opinions about individuals invited to the SIS interview, & their preferences considered along with those of others putting the interview team together.
- ◆ Similar to the process used for ISP meeting.



SIS Respondent: The Family

- ◆ A consumer's **legal guardian must be invited** to the interview. The guardian may:
 - Identify a time that fits his or her availability
 - Choose not to participate. If so, the interview will proceed with the other respondents.
- ◆ A consumer may assist in identifying a family member and/or an advocate to participate.
- ◆ Family respondents are invited to orientation sessions & will receive brochures.



SIS Respondents: Service Providers

- ◆ Provider Agencies should designate staff from each of the residential and day services to serve as respondents. Information from both residential (DD 50) and day (DD 54) services is essential.
- ◆ This assessment will establish the individual's Level of Support for the next 5 years, impacting DD 50 now and DD 54 later.
- ◆ To be prepared, designated respondents should
 - review information from the ISP, RTR & other agency staff to be familiar with all assessment areas.
 - Learn more about the SIS through tools provided



SIS Respondents: Service Providers

○ How Many Staff Can Attend?

- In most cases, each of the DD50 and DD54 services would select one respondent who knows and works with the consumer on a daily/weekly basis.
- One additional staff respondent from each of the DD50 and DD54 service may attend if a more thorough understanding of the supports an individual needs can be provided.



SIS Respondent: Service Coordinator

- ◆ If the CDDP Service Coordinator is familiar with the consumer's support needs, they will participate as a respondent.
- ◆ If the Service Coordinator does not meet the criteria as a respondent, they will participate as an observer.



SIS Interviewers: DD Assessment Specialists

- ◆ All are qualified SPD staff who have DD and/or assessment experience.
- ◆ All interviewers have received intensive in-service training on SIS from AAIDD and are required to pass national standards
- ◆ Each Assessment Specialist is responsible to work in partnership with counties, providers and consumers in the local area to assure that interviews are conducted respectfully, completely and consistently
- ◆ All staff will be monitored by SPD supervisors and AAIDD to assure reliability of assessments, and will receive ongoing training to stay up to date.



SIS Makes Sense For Oregon #3

SIS is **comprehensive** and is designed to measure the pattern and intensity of a consumer's support needs for a variety of activities in various settings.



SIS Life Activities and Rating Scales

6 Activity Domains:

- ◆ Home Living Activities
- ◆ Community Living Activities
- ◆ Lifelong Learning
- ◆ Employment
- ◆ Social
- ◆ Health and Safety

Protection & Advocacy

3 Dimensions Rated:

- ◆ Type of Support Needed
 - None, monitoring, verbal or gestural prompting, partial/full physical assist
- ◆ Frequency of Support
 - None, monthly, weekly, daily, hourly
- ◆ Daily Support Time
 - None, 30 minutes, less than 2 hours, less than 4 hours, more than 4 hours



SIS Exceptional Support Needs

◆ Exceptional Medical Supports

- Asks about 16 medical conditions that typically require increased levels of support

◆ Exceptional Behavioral Supports

- Asks about 13 behavioral situations that typically require increased levels of support

◆ 3 point rating scale:

- 0 = No Support Needed
- 1 = Some Support Needed
- 2 = Extensive Support Needed*

- ◆ Note: Oregon has added selected additional questions to obtain more information about specific support needs



What Happens After the Interview?

◆ **Feedback at End of the Assessment -**

Respondents will be offered a chance to anonymously report their satisfaction with the process at the end of their interview.

◆ **Getting Results of the Assessment -**

The consumer, guardian, provider & CDDP will receive:

- An assessment report after it is processed by AAIDD and DD.
- Notification of the new residential funding amount for the consumer (*explained next*)



II

What Happens to the Information Gathered by the Assessment?

**“B” is for Budget –
The Individual Budget Amount
for the Consumer**

**“R” is for Rate –
The Service Rate the Provider can Bill**



The Individual Budget Amount (IBA)

- ◆ The “Individual Budget Amount” is the amount of funding authorized by SPD for the consumer for a service.
- ◆ It is based on information from the assessment, which is entered into the ReBAR funding model for that service to develop the IBA.
- ◆ At this time only DD 50 residential budgets for people who receive assessments will have IBA's. DD 51 & DD 54 will be included later.



How Does the IBA Work?

- ◆ The assessment information is used to match the individual with one of six funding tiers, reflecting expected support need, from lower to higher.
- ◆ Each funding tier contains several rates that reflect appropriate funding for a person with that support need, adjusted by the size of setting in which they reside.
- ◆ Exceptional support needs beyond the established tiers will be addressed individually.
- ◆ *The next chart shows how the Assessment Tier and Licensed Setting combine to create the IBA.*



DD50 Individual Budget Amounts Monthly \$ by Assessment Tier and Setting

Revision Date 12-10-08

IBA Tier	Tier 1	Tier 2	Tier 3	Tier 4	Tier 5	Tier 6	
9 or More People	2,777	2,780	2,781	3,236	3,999	4,529	
6-8 People	3,641	3,973	4,294	5,195	6,420	7,271	Exceptional Support Review
4-5 People	4,995	5,758	6,222	7,528	9,377	10,996	
3 or Fewer People	4,995	5,758	6,222	11,238	12,805	15,011	



How does the Consumer's Individual Budget Amount relate to the Provider Service Rate?


- ◆ For DD50 the amount the provider may bill for that service is the same as the IBA for the consumer.



ReBAR Upcoming Activities: Beginning July 2009

- ◆ Keep doing assessments for existing DD50 consumers so that all will have an assessment over time.
- ◆ Do assessments for consumers on “Mandated Caseloads” entering DD50, including individuals turning 18 or 21 and individuals “in crisis”
- ◆ Continue service rate and IBA model development for DD51 and DD54





III

Tools and Resources



Tools for You & Your Staff to Use

- ◆ **“Conducting The Assessment”**- An 8-minute video produced by the Washington State DDD that shows what an interview is like
- ◆ **“What to expect in an Assessment Interview”** – A PowerPoint slide show for use with your staff to help them be ready to participate in an Oregon assessment interview



For More Oregon Information

◆ **ReBAR** County, Provider and Staff training

<http://www.dhs.state.or.us/dd/rebar>

- Bottom right corner click on “ReBAR Project” and you can submit any questions or concerns
- (503) 947-5099

◆ **OCDD** for SIS Consumer Family Orientation Information

www.ocdd.org/SISorientation.htm

- (503) 945-9941



For More From SIS-AAIDD

◆ General Reading and Information

http://www.siswebsite.org/cs/root/main/suports_and_sis.

Completed SIS Samples

◆ Darlene Simmons

<http://www.siswebsite.org/galleries/default-file/DarleneSimmonsAAIDD.pdf>



Thank You

For working with us on
Assessment Implementation

ReBAR Program Staff

