LTCO BUDGET NARRATIVE – 2017-2019

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CERTIFICATION

I hereby certify that the accompanying summary and detailed statements are true and correct to the best of my knowledge and belief and that the accuracy of all numerical information has been verified.

The Office of the Long-Term Care Ombudsman	3855 Wolverine NE, Suite 6, Salem, OR
AGENCY NAME	**AGENCY ADDRESS**
	Agency Director, Oregon Long-Term Care Ombudsman
SIGNATURE	**TITLE**

X Agency Request _____ Governor's Budget _____ Legislatively Adopted

Budget Page 2

Seventy-Eighth Oregon Legislative Assembly - 2015 Regular Session

MEASURE: SB 5521 A

BUDGET REPORT AND MEASURE SUMMARY

CARRIER: Rep. Boone

Joint Committee On Ways and Means

Action: Do Pass.
Action Date: 05/15/15
Vote:
House
Yeas: 10 - Buckley, Gomberg, Huffman, Komp, Nathanson, Rayfield, Read, Smith, Whitsett, Williamson
Exc: 2 - McLane, Whisnant
Senate
Yeas: 11 - Burdick, Devlin, Girod, Hansell, Johnson, Monroe, Roblan, Shields, Steiner Hayward, Whitsett, Winters
Exc: 1 - Thomsen
Prepared By: Art Ayre, Department of Administrative Services
Reviewed By: Laurie Byerly, Legislative Fiscal Office

Agency: Long Term Care Ombudsman
Biennium: 2015-17

Budget Summary*

	2013-15 Legislatively Approved Budget[1]	2015-17 Current Service Level	2015-17 Committee Recommendation	Committee Change from 2013-15 Leg. Approved	
				$ Change	% Change
General Fund	$ 3,784,880	$ 6,066,619	$ 6,086,984	$ 2,302,104	60.8%
Other Funds Limited	$ 703,321	$ 726,536	$ 719,650	$ 16,329	2.3%
Total	$ 4,488,201	$ 6,793,155	$ 6,806,634	$ 2,318,433	51.7%

Position Summary

Authorized Positions	24	25	25	1
Full-time Equivalent (FTE) positions	16.81	24.25	24.50	7.69

[1] Includes adjustments through December 2014

* Excludes Capital Construction expenditures

Revenue Summary

General Fund supports about 89 percent of the budget for the Long Term Care Ombudsman (LTCO). Most of the remainder is federal Older Americans Act funds received from the Department of Human Services (DHS) as Other Funds. Per Senate Bill 626 (2013), the agency has begun to receive civil penalty revenue from DHS to help cover costs of work under that legislation, which expanded the LTCO's duties to include advocating for residents of care facilities who have mental illness or developmental disabilities.

Summary of Human Services Subcommittee Action

The Office of the Long Term Care Ombudsman (LTCO) is a federally-mandated consumer protection program under the federal Older Americans Act. Its mission is to enhance the quality of life, improve the level of care, protect the individual's rights, and promote the dignity of each Oregon citizen living in a long term care facility. The LTCO supports a network of certified volunteers to investigate and resolve complaints for those who live in Oregon's nursing facilities, residential care facilities, assisted living facilities, and adult foster homes. Program duties were recently expanded by Senate Bill 626 (2013) to cover facilities serving individuals who have mental illness or intellectual and developmental disabilities. Under Senate Bill 1553 (2014), the agency became responsible for providing guardian and conservator services for eligible persons without relatives or friends willing or able to serve as guardians or conservators.

The Human Services Subcommittee approved a budget of $6,806,634 total funds, consisting of $6,086,984 General Fund, $719,650 Other Funds, and 25 positions (24.50 FTE). The approved budget is 51.7 percent above the Legislatively Approved Budget due to the expansion of the agency's

duties during the 2013-15 biennium. Additionally, the Subcommittee approved the reclassification of the agency director position from a Principal Executive Manager E to a Principal Executive Manager F; this change is also the result of expanded scope.

Long Term Care Ombudsman - 010

The Subcommittee approved Package 070: Revenue Shortfalls. This package reduces Other Funds revenue and expenditure limitation by $194,639 to align the budget with projected revenues and results in a loss of 0.34 full-time equivalent position. The agency's Older Americans Act federal dollars passed through DHS have been affected by federal sequestration; funding was already flat and not keeping up with inflation.

The Subcommittee approved Package 101: Restore Position Lost in OF Shortfall. A Program Analyst 2 was reduced from full-time to part-time (0.66 FTE) in Package 070 to balance with projected Older Americans Act revenue. This package restores the position back to 1.00 FTE by adding $59,325 General Fund.

The Subcommittee approved Package 102: Position 1140009 to 1.0 FTE. This package increases funding for the agency's data (research) analyst position from 0.75 full-time equivalent to 1.00 full-time equivalent by adding $24,914 General Fund and $8,306 Other Funds expenditure limitation. The expansion of the agency as a result of the new Residential Facilities Ombudsman program and the Oregon Public Guardian programs is increasing workload for data collection and reporting.

The Subcommittee approved Package 801: LFO Analyst Adjustments. This package includes five adjustments:

(1) An adjustment to the vacancy savings calculation to reflect the agency's growth in positions and a turnover rate of eight percent each year. The changes for this budget structure are decreases of $18,322 General Fund and $3,887 Other Funds.
(2) To account for funding received under the Senior Medicare Patrol program, an increase in expenditure limitation of $103,334 Other Funds because federal grant funds are expected to be continued through May 31, 2018. The program educates seniors, their families, and caregivers on health care fraud, error, and abuse. The federal dollars are authorized under the Older Americans Act, with funding passed through DHS and recorded as Other Funds in the LTCO budget.
(3) The agency has begun to receive civil penalty revenue from DHS to help cover costs of work under SB 626 (2013) that expanded the LTCO's duties to include advocating for residents of care facilities who have mental illness or developmental disabilities. About $40,000 in Other Funds revenue is projected to be in the 2015-17 beginning balance, with an additional $90,000 estimated to come in over the course of the biennium. This adjustment uses $80,000 Other Funds to replace (free up) General Fund in several Services and Supplies accounts. Since this is still a new and relatively untested revenue stream, a balance of the projected revenue is left for potential later use, assuming it estimates are correct.
(4) Retention of $40,000 of the General Fund resources made available by the use of civil penalties revenue as a placeholder for information technology (IT) related expenditures. The agency has recently identified two issues in the IT arena: 1) Increasing costs for telecommuting associated with new staff that are regionally located and 2) Loss of a volunteer that was providing IT systems services free of charge. The agency is evaluating both issues to determine the best next steps; one possibility may be to seek an outside assessment of the current state of the agency's IT systems and service options to maintain those systems. Next steps will likely be determined before the end of the session, at which point the placeholder can be reevaluated if necessary.

(5) A change in the classification of the agency head position from a Principal Executive Manager E (salary range 33X) to a Principal Executive Manager F (salary range 35X). The Department of Administrative Services determined the position should be reclassified to account for the expanded scope and complexity of the ombudsman's duties. As the budgeted rate on the position matches a rate within the salary range of the new classification, no budget adjustment is required.

Public Guardian and Conservator Program - 020

The Subcommittee approved Package 801: LFO Analyst Adjustments. This package makes an adjustment to the vacancy savings calculation to reflect the agency's growth in positions and a turnover rate of eight percent each year. The change for this budget structure is a decrease of $5,552 General Fund.

Summary of Performance Measure Action

See attached Legislatively Adopted 2015-17 Key Performance Measures form.

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

SB 5521-A

Long Term Care Ombudsman
Art Ayre - 503-378-3108

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS LIMITED	OTHER FUNDS NONLIMITED	FEDERAL FUNDS LIMITED	FEDERAL FUNDS NONLIMITED	TOTAL ALL FUNDS	POS	FTE
2013-15 Legislatively Approved Budget at Dec 2014 *	$ 3,784,880	$ -	$ 703,321	$ -	$ -	$ -	$ 4,488,201	24	16.81
2015-17 Current Service Level (CSL)*	$ 6,066,619	$ -	$ 726,536	$ -	$ -	$ -	$ 6,793,155	25	24.25
SUBCOMMITTEE ADJUSTMENTS (from CSL)									
SCR 010 - Long Term Care Ombudsman									
Package 070: Revenue Shortfalls									
Personal Services	$ -	$ -	$ (108,945)	$ -	$ -	$ -	$ (108,945)	0	-0.34
Services and Supplies	$ -	$ -	$ (85,694)	$ -	$ -	$ -	$ (85,694)		
Package 101: Restore position lost in OF Shortfall									
Personal Services	$ 59,325	$ -	$ -	$ -	$ -	$ -	$ 59,325	0	0.34
Package 102: Position 1140009 to 1.0 FTE									
Personal Services	$ 24,914	$ -	$ 8,306	$ -	$ -	$ -	$ 33,220	0	0.25
Package 801: LFO Analyst Adjustments									
Personal Services (Vacancy Savings)	$ (18,322)	$ -	$ (3,887)	$ -	$ -	$ -	$ (22,209)	0	0.00
Services and Supplies									
Agency Program Related S&S	$ -	$ -	$ 103,334	$ -	$ -	$ -	$ 103,334		
Instate Travel	$ (25,000)	$ -	$ 25,000	$ -	$ -	$ -	$ -		
Training	$ (25,000)	$ -	$ 25,000	$ -	$ -	$ -	$ -		
Office Expenses	$ (15,000)	$ -	$ 15,000	$ -	$ -	$ -	$ -		
Other Services and Supplies	$ (15,000)	$ -	$ 15,000	$ -	$ -	$ -	$ -		
IT Professional Services	$ 40,000	$ -	$ -	$ -	$ -	$ -	$ 40,000		
SCR 020 - Public Guardian and Conservator Program									
Package 801: LFO Analyst Adjustments									
Personal Services (Vacancy Savings)	$ (5,552)	$ -	$ -	$ -	$ -	$ -	$ (5,552)	0	0.00
TOTAL ADJUSTMENTS	$ 20,365	$ -	$ (6,886)	$ -	$ -	$ -	$ 13,479	0	0.25
SUBCOMMITTEE RECOMMENDATION *	$ 6,086,984	$ -	$ 719,650	$ -	$ -	$ -	$ 6,806,634	25	24.50
% Change from 2013-15 Leg Approved Budget	60.8%	0.0%	2.3%	0.0%	0.0%	0.0%	51.7%		
% Change from 2015-17 Current Service Level	0.3%	0.0%	-0.9%	0.0%	0.0%	0.0%	0.2%		

*Excludes Capital Construction Expenditures

Legislatively Approved 2015-2017 Key Performance Measures

Agency: LONG-TERM CARE OMBUDSMAN, Office of

Mission: To Enhance The Quality Of Life, Improve The Level Of Care, Protect The Rights Of The Individual And Promote The Dignity Of Each Oregon Citizen Living In A Nursing Facility, Residential Care Facility, Assisted Living Facility Or Adult Foster Care Home.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2016	Target 2017
1 - Percentage of non-referred complaints where action is needed that are partially or fully resolved.		Approved KPM	97.00	98.00	98.00
2 - Average initial response time to non-referred cases.		Approved KPM	1.70	1.50	1.50
3 - Average time to close non-referred cases.		Approved KPM	29.60	25.00	25.00
4 - Percentage of nursing facilities visited at least once annually.		Approved KPM	100.00	100.00	100.00
5 - Percentage of assisted living and residential care facilities visited at least once annually.		Approved KPM	96.00	98.00	98.00
6 - Percentage of adult foster care homes visited at least once annually.		Approved KPM	80.00	80.00	80.00
7 - Number of requests for assistance from consumers, the public, facility staff and agencies.		Approved KPM	5,906.00	6,000.00	6,000.00
8 - Participation in system-wide advocacy meetings at the local, regional, state and national levels.		Approved KPM	848.00	800.00	800.00
9 - Total number of certified ombudsmen volunteer hours annually.		Approved KPM	27,984.00	28,000.00	28,000.00
10 - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Accuracy	Approved KPM	71.00	85.00	85.00
10 - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Availability of Information	Approved KPM	75.00	85.00	85.00
10 - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Expertise	Approved KPM	79.00	85.00	85.00

Agency: LONG-TERM CARE OMBUDSMAN, Office of

Mission: To Enhance The Quality Of Life, Improve The Level Of Care, Protect The Rights Of The Individual And Promote The Dignity Of Each Oregon Citizen Living In A Nursing Facility, Residential Care Facility, Assisted Living Facility Or Adult Foster Care Home.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2016	Target 2017
10 - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Helpfulness	Approved KPM	79.00	85.00	85.00
10 - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Overall	Approved KPM	75.00	85.00	85.00
10 - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Timeliness	Approved KPM	79.00	85.00	85.00

LFO Recommendation:

Approve the KPMs as proposed. Approve targets for 2016 and 2017 as shown.

Sub-Committee Action:

Approved the LFO recommendation.

Seventy-Eighth Oregon Legislative Assembly - 2015 Regular Session **MEASURE: SB 5507 A**

BUDGET REPORT AND MEASURE SUMMARY **CARRIER: Rep. Buckley**

Joint Committee On Ways and Means

Action: Do Pass.
Action Date: 07/03/15
Vote:
House
Yeas: 11 - Buckley, Gomberg, Huffman, Komp, McLane, Nathanson, Rayfield, Read, Smith, Whitsett, Williamson
Exc: 1 - Whisnant
Senate
Yeas: 12 - Burdick, Devlin, Girod, Hansell, Johnson, Monroe, Roblan, Shields, Steiner Hayward, Thomsen, Whitsett, Winters
Prepared By: Linda Ames and Linda Gilbert, Legislative Fiscal Office
Reviewed By: Ken Rocco, Legislative Fiscal Office

Agency: Emergency Board
Biennium: 2015-17

Agencies: Various
Biennium: 2013-15

Budget Summary*

	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
Emergency Board				
General Fund - General Purpose	-	-	$ 30,000,000	$ 30,000,000
General Fund - Special Purpose Appropriations				
State employee compensation changes	-	-	$ 120,000,000	$ 120,000,000
Compensation changes for non-state employees	-	-	$ 10,700,000	$ 10,700,000
Oregon Health Authority/Department of Human Services caseload or other costs	-	-	$ 40,000,000	$ 40,000,000
Education - early learning through post-secondary	-	-	$ 3,000,000	$ 3,000,000
Department of Administrative Enterprise Technology Services rate adjustment costs	-	-	$ 6,500,000	$ 6,500,000
Department of Justice - Defense of Criminal Convictions	-	-	$ 2,000,000	$ 2,000,000
Department of Human Services for provider audits	-	-	$ 100,000	$ 100,000
Various Agencies - Omnibus Adjustments				
General Fund	-	-	$ (28,060,645)	$ (28,060,645)
General Fund Debt Service	-	-	$ (2,018,162)	$ (2,018,162)
Lottery Funds	-	-	$ (725,589)	$ (725,589)
Other Funds	-	-	$ (28,527,657)	$ (28,527,657)
Federal Funds	-	-	$ (11,062,641)	$ (11,062,641)
ADMINISTRATION PROGRAM AREA				
Department of Administrative Services				
General Fund	-	-	$ 2,540,000	$ 2,540,000
Other Funds	-	-	$ 16,800,847	$ 16,800,847
Other Funds Nonlimited	-	-	$ 145,875,000	$ 145,875,000

Budget Summary*	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
Office of the Governor				
General Fund	-	-	$ 500,000	$ 500,000
Lottery Funds	-	-	$ 1,332,517	$ 1,332,517
Public Employees Retirement System				
Other Funds	-	-	$ 509,960	$ 509,960
Department of Revenue				
General Fund	-	-	$ 3,935,414	$ 3,935,414
General Fund Debt Service			$ 3,756,256	$ 3,756,256
Other Funds	-	-	$ 28,264,440	$ 28,264,440
ECONOMIC AND COMMUNITY DEVELOPMENT PROGRAM AREA				
Oregon Business Development Department				
General Fund Debt Service	-	-	$ 4,089,357	$ 4,089,357
Lottery Funds	-	-	$ 1,500,000	$ 1,500,000
Other Funds	-	-	$ 227,178,216	$ 227,178,216
Other Funds Nonlimited	-	-	$ 25,000,000	$ 25,000,000
Housing and Community Services Department				
Other Funds	-	-	$ 33,444,789	$ 33,444,789
Department of Veterans' Affairs				
General Fund	-	-	$ 500,000	$ 500,000
EDUCATION PROGRAM AREA				
Department of Education				
General Fund	-	-	$ 56,490,543	$ 56,490,543
Lottery Funds	-	-	$ 66,009,457	$ 66,009,457
Other Funds	-	-	$ 126,210,000	$ 126,210,000

Budget Summary*

	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
Higher Education Coordinating Commission				
General Fund	-	-	$ 5,062,300	$ 5,062,300
Other Funds	-	-	$ 6,019,882	$ 6,019,882
Other Funds Nonlimited	-	-	$ 50,648,642	$ 50,648,642
Oregon Health & Science University				
General Fund Debt Service	-	-	$ 8,522,485	$ 8,522,485
Other Funds Debt Service	-	-	$ 38,689,306	$ 38,689,306
Other Funds	-	-	$ 200,035,000	$ 200,035,000
HUMAN SERVICES PROGRAM AREA				
Department of Human Services				
General Fund	-	-	$ 5,437,494	$ 5,437,494
General Fund Debt Service	-	-	$ 839,543	$ 839,543
Other Funds	-	-	$ 3,355,000	$ 3,355,000
Federal Funds	-	-	$ 160,000	$ 160,000
Oregon Health Authority				
General Fund	-	-	$ 11,060,000	$ 11,060,000
Other Funds	-	-	$ 137,152	$ 137,152
Long Term Care Ombudsman				
General Fund	-	-	$ 100,000	$ 100,000
JUDICIAL BRANCH				
Judicial Department				
General Fund	-	-	$ 700,000	$ 700,000
Other Funds	-	-	$ 40,255,000	$ 40,255,000

Budget Summary*

	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
NATURAL RESOURCES PROGRAM AREA				
Department of Agriculture				
General Fund	-	-	$ 55,000	$ 55,000
Other Funds	-	-	$ 1,992,496	$ 1,992,496
Department of Environmental Quality				
General Fund	-	-	$ 280,000	$ 280,000
Other Funds	-	-	$ 110,092	$ 110,092
Department of Fish and Wildlife				
General Fund	-	-	$ 525,000	$ 525,000
Oregon Department of Forestry				
General Fund	-	-	$ 809,377	$ 809,377
Department of Land Conservation and Development				
General Fund	-	-	$ 494,000	$ 494,000
Department of State Lands				
Federal Funds	-	-	$ 161,488	$ 161,488
Parks and Recreation Department				
Lottery Funds	-	-	$ 2,190,640	$ 2,190,640
Lottery Funds Debt Service	-	-	$ (912,494)	$ (912,494)
Other Funds	-	-	$ 11,815,544	$ 11,815,544
Federal Funds	-	-	$ (899,575)	$ (899,575)
Water Resources Department				
Other Funds	-	-	$ 51,960,889	$ 51,960,889
Other Funds Debt Service	-	-	$ 1,201,865	$ 1,201,865

Budget Summary*

	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
Oregon Watershed Enhancement Board				
Federal Funds	-	-	$ 200,000	$ 200,000
PUBLIC SAFETY PROGRAM AREA				
Department of Corrections				
Other Funds	-	-	$ 254,568	$ 254,568
Criminal Justice Commission				
General Fund	-	-	$ 5,000,000	$ 5,000,000
Department of Justice				
General Fund	-	-	$ 240,550	$ 240,550
General Fund Debt Service			$ 2,407,587	$ 2,407,587
Other Funds	-	-	$ 15,415,000	$ 15,415,000
Federal Funds	-	-	$ 29,997,991	$ 29,997,991
Military Department				
General Fund	-	-	$ 339,563	$ 339,563
General Fund Debt Service	-	-	$ 434,833	$ 434,833
Other Funds	-	-	$ 153,000	$ 153,000
Federal Funds	-	-	$ 358,253	$ 358,253
Department of State Police				
Lottery Funds	-	-	$ 278,788	$ 278,788
Other Funds	-	-	$ 1,072,470	$ 1,072,470
Federal Funds	-	-	$ 1,163	$ 1,163

Budget Summary*

	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
Oregon Youth Authority				
General Fund Debt Service	-	-	$ 3,115,428	$ 3,115,428
Other Funds	-	-	$ 1,055,565	$ 1,055,565
Federal Funds Debt Service Nonlimited	-	-	$ 1	$ 1
TRANSPORTATION PROGRAM AREA				
Department of Transportation				
General Fund	-	-	$ 130,000	$ 130,000
Other Funds	-	-	$ 56,354,734	$ 56,354,734
2015-17 Budget Summary				
General Fund Total			$ 299,585,923	$ 299,585,923
Lottery Funds Total			$ 69,673,319	$ 69,673,319
Other Funds Limited Total			$ 833,758,158	$ 833,758,158
Other Funds Nonlimited Total			$ 221,523,642	$ 221,523,642
Federal Funds Limited Total			$ 18,916,679	$ 18,916,679
Federal Funds NonlimitedTotal			$ 1	$ 1

*** Excludes Capital Construction**

2013-15 Supplemental Appropriations

	2013-15 Legislatively Approved Budget	2013-15 Committee Recommendation	Committee Change
Oregon Health Authority			
Other Funds	-	$ 45,000,000	$ 45,000,000
Department of Land Conservation and Development			
General Fund	-	$ (194,000)	$ (194,000)

2015-17 Position Summary

	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
Department of Administrative Services				
Authorized Positions	-	-	8	8
Full-Time Equivalent (FTE) positions	-	-	3.47	3.47
Office of the Governor				
Authorized Positions	-	-	6	6
Full-Time Equivalent (FTE) positions	-	-	5.92	5.92
Department of Revenue				
Authorized Positions	-	-	34	34
Full-Time Equivalent (FTE) positions	-	-	33.92	33.92
Oregon Health Authority				
Authorized Positions	-	-	3	3
Full-Time Equivalent (FTE) positions	-	-	2.50	2.50
Department of Agriculture				
Authorized Positions	-	-	6	6
Full-Time Equivalent (FTE) positions	-	-	5.76	5.76
Department of Environmental Quality				
Authorized Positions	-	-	2	2
Full-Time Equivalent (FTE) positions	-	-	1.25	1.25
Oregon Department of Forestry				
Authorized Positions	-	-	1	1
Full-Time Equivalent (FTE) positions	-	-	0.50	0.50

2015-17 Position Summary

	2013-15 Legislatively Approved Budget	2015-17 Legislatively Adopted Budget	2015-17 Committee Recommendation	Committee Change
Department of Land Conservation and Development				
Authorized Positions	-	-	1	1
Full-Time Equivalent (FTE) positions	-	-	1.00	1.00
Department of Justice				
Authorized Positions	-	-	22	22
Full-Time Equivalent (FTE) positions	-	-	21.13	21.13
Oregon Military Department				
Authorized Positions	-	-	3	3
Full-Time Equivalent (FTE) positions	-	-	3.00	3.00
Oregon State Police				
Authorized Positions	-	-	-	-
Full-Time Equivalent (FTE) positions	-	-	(0.50)	(0.50)

Summary of Revenue Changes

The General Fund appropriations made in the bill are within resources available as projected in the May 2015 economic and revenue forecast by the Department of Administrative Services, Office of Economic Analysis, supplemented by transfers from various agency accounts to the General Fund for general governmental purposes as authorized in Senate Bill 501, plus other actions to reduce state agency expenditures.

Summary of Capital Construction Subcommittee Action

Senate Bill 5507 appropriates General Fund to the Emergency Board for general purpose and targeted special purpose appropriations, and makes other adjustments to individual agency budgets and position authority as described below.

Emergency Board

The Emergency Board allocates General Fund and provides Lottery Funds, Other Funds, and Federal Funds expenditure limitation to state agencies for unanticipated needs in approved agency budgets when the Legislature is not in session. The Subcommittee appropriated $30 million General Fund to the Emergency Board for general purposes.

Senate Bill 5507 makes seven special purpose appropriations to the Emergency Board, totaling $182.3 million General Fund:

- $120 million General Fund for state employee compensation changes.
- $40 million General Fund for the Oregon Health Authority or the Department of Human Services for caseload costs or other budget challenges that the agencies are unable to mitigate. Known potential challenges include costs associated with federal fair labor standards act rule changes affecting home care and personal support workers; these are estimated to be around $17 million but will depend in part on pending litigation and programmatic changes. Another unknown element is the full impact of second fiscal year costs for nursing facility rates that may fluctuate based on bed reduction targets; $4.9 million of rate inflation was originally set aside as part of the Governor's budget to stimulate a discussion on aligning nursing facility cost increases with Oregon Health Plan inflation rates.
- $10.7 million General Fund for allocation to state agencies for compensation changes driven by collective bargaining for workers who are not state employees. Allocations related to child care, adult foster care, homecare, and personal support workers are anticipated.
- $6.5 million General Fund for Department of Administrative Services to be allocated, if necessary, to fund changes in Department of Administrative Services Enterprise Technology Services (ETS) rates and assessments. A budget note in SB 5502, the budget bill for the Department of Administrative Services, required the State Chief Information Officer to recommend during the 2016 Regular Session a new funding formula for ETS that refocuses charges to state agencies on fees for service and deemphasizes the use of assessments, which fund all positions regardless of reductions in services delivered, demonstrate how reductions in services purchased by state agencies would be reflected in reductions in operating expenses, and include price list adjustments needed for implementation of a new revenue formula at the start of second year of the biennium.
- $3 million General Fund for Education, early learning through post-secondary.
- $2 million General Fund for Department of Justice, Defense of Criminal Convictions caseload costs.
- $100,000 General Fund for Department of Human Services (DHS), to be used – if warranted – for completing provider audits, compliance work, or reporting activities. These potential actions are specifically tied to a budget note providing direction regarding wage increases for direct care workers serving people with intellectual and developmental disabilities. The budget note is included in the DHS section of this budget report.

If these special purpose appropriations are not allocated by the Emergency Board before December 1, 2016, any remaining funds become available to the Emergency Board for general purposes.

Adjustments to Approved 2015-17 Budgets

OMNIBUS ADJUSTMENTS

Omnibus adjustments reflect budget changes in multiple agencies based on reductions in Department of Administrative Services' assessments and charges for services, Audits Division assessments, Attorney General rates, and debt service. Total savings are $30.1 million General Fund, $0.7 million Lottery Funds, $28.5 million Other Funds, and $11.1 million Federal Funds.

ADMINISTRATION

Department of Administrative Services

The Subcommittee approved a one-time $951,393 Other Funds expenditure limitation increase and establishment of six limited-duration positions (1.71 FTE) for the Chief Human Resources Office to review the Human Resource Information System project (HRIS). The positions will review and update the preparations in the current project for business processes realignment that will be necessary with the adoption and deployment of any new HR IT system, review and update existing IT modernization plans, and study and improve conversion planning for implementation of HRIS. The Department will report initial findings of this review to the appropriate subcommittees of the Joint Committee on Ways and Means during the 2016 legislative session.

The Subcommittee also approved a $293,314 Other Funds expenditure limitation increase and the addition of two positions for Shared Financial Services to accommodate service provision for the Department of Geology and Mineral Industries, which will now have financial functions carried out by DAS.

The Subcommittee also approved continuing to give nonlimited authority to DAS to make Other Funds expenditures necessary to disburse general obligation bonds sold during the 2013-15 biennium for the benefit of public universities. Disbursement of future bond sales will be done by the Higher Education Coordinating Commission (HECC), but during the 2013-15 biennium, the Department of Administrative Services (DAS) was given initial authority to disburse these proceeds. As the bond project duties related to issuance of Article XI-F and XI-G general obligation bonds transition from DAS to HECC per House Bill 3199, both agencies were given nonlimited authority to disburse proceeds of bonds issued during 2013-15. The total amount of undisbursed proceeds as of June 30, 2015 from 2013-15 bond sales is $196,523,642. The initial estimate of the amount of 2013-15 proceeds DAS will disburse in 2015-17 per agreements with public universities is $145,875,000, with HECC assumed to disburse $50,648,642. These amounts will likely change once the timing of final transition from DAS to HECC is known.

Senate Bill 5507 includes one-time General Fund appropriations to the Department of Administrative Services for the following purposes:

- $1,100,000 for disbursement to the National Urban Housing and Economic Community Development Corporation (NUHECDC) for implementation of an affordable homes, skills training, and jobs for unemployed prior-offenders, at-risk youth, and veterans. NUHECDC is directed to provide written status reports to the Department of Administrative Services and the Legislative Fiscal Officer each quarter during the 2015-17 biennium to document progress in meeting the program's objectives of providing affordable housing for low to

moderate income Oregonians; skill training for prior-offenders, at-risk youth, and veterans; and job placement for those with barriers to quality employment.

- $850,000 for disbursement to the Pine Valley Fire District for a new location and facility to house the Fire Department in the City of Halfway, Oregon.
- $100,000 for disbursement to the City of Medford to pay for the completion of a feasibility study on development of a conference center in the Medford area. This project could grow beyond a simple conference center and, if feasible, could include sports and recreation components.
- $90,000 for disbursement to the City of Gold Hill for engineering work needed to construct the Gold Hill Whitewater Park at Ti'lomikh Falls on the Rogue River. The Whitewater Park is being built into the new Gold Hills Parks Master Plan. The goal of the project is to turn Gold Hill into a whitewater destination. The engineering of the whitewater project will be completed in 2015. Permitting and fundraising for construction is expected to take two years. Construction of the whitewater features is expected to take two months. The goal is to finish the park before the 2016 Olympics and to have a local paddler trained at the site competing in the 2020 Olympics.

The Subcommittee added $15,556,140 Other Funds expenditure limitation for one-time cost of issuance and special payments associated with the disbursement of proceeds from Lottery Bond sales; projects are detailed below and approved in House Bill 5030. Cost of issuance for these projects totals $456,140. There is no debt service allocated in the 2015-17 biennium, as the bonds will not be sold until the Spring of 2017. Total debt service on all the projects described below is estimated at a total of $2,919,215 Lottery Funds for the 2017-19 biennium.

- $750,000 Other Funds for disbursement to Concordia University for the construction of the Faubion prekindergarten through grade eight school.
- $1,250,000 Other Funds for disbursement to the Elgin Health District for a rural health care clinic.
- $1,000,000 Other Funds for disbursement to Open Meadow for the construction of a new facility for the Open School in Portland.
- $1,000,000 Other Funds for disbursement to the Boys and Girls Clubs of Portland Metropolitan Area for a new Boys and Girls Club in Rockwood.
- $500,000 Other Funds for disbursement to the City of Grants Pass for the Riverside Park renovation project.
- $1,000,000 Other Funds for disbursement to the Mountain West Career Technical Institute for the Career Technical Education Center in Salem.
- $2,000,000 Other Funds for disbursement to Wheeler County for the construction of an underground fiber optic telecommunication line from Condon to Fossil.
- $1,500,000 Other Funds for disbursement to the Port of Umatilla for facilities development at the Eastern Oregon Trade and Event Center in Hermiston.
- $3,000,000 Other Funds for disbursement to Trillium Family Services for improving and expanding the Children's Farm Home near Corvallis which houses the Secure Adolescent Inpatient Program.
- $1,600,000 Other Funds for disbursement to the Port of Morrow for development of an Early Childhood Development Center at the workforce training center at the Port of Morrow.

- $1,500,000 Other Funds for disbursement to the City of Tigard for the Hunziker Development Project.

Senate Bill 5507 includes a one-time $400,000 General Fund appropriation to the Department of Administrative Services for a community-based organization, the YWCA of Greater Portland, to administer the Family Preservation Program (FPP) at Coffee Creek Correctional Facility. The FPP works with the Department of Corrections (DOC) to serve the best interests of the children of incarcerated parents by increasing therapeutic visitation between children and parents. The YWCA of Greater Portland is expected to provide facilitated case management including:

- Participation of a qualified mental health professional with training and experience with persons who have experienced trauma,
- Parenting skills training, including information on child development and attachment,
- Intensive communication between parents and the guardian or caregiver of the child,
- Facilitation of transportation of program participants to and from the prison,
- Facilitation of lodging to program participants when determined to be appropriate,
- Referrals to home visiting services and attorney services,
- Assistance in navigating state agency processes and nonprofit resources, and
- Reporting to the Legislature on measurable outcomes related to the welfare of the participating children and recidivism of participating incarcerated parents.

While the YWCA of Greater Portland will implement the program, DOC also has a role in the program's success. A budget note is included in the DOC section of this report to clarify the DOC role in administering the Family Preservation Program.

Office of the Governor

The Office of the Governor is increased by $1,332,517 Lottery Funds for the establishment of five regional solutions coordinator (PEM/F) positions (4.92 FTE). Three of these positions were in the Office of the Governor on a limited-duration basis during the 2013-15 biennium. The other two positions were in the Oregon Business Development Department on a limited-duration basis during the 2013-15 biennium.

The Office of the Governor is increased by $500,000 General Fund for federal programs coordination. The increase includes one PEM/G position (1.00 FTE) for the program, as well as any needed services and supplies. This function had previously been housed in the Oregon Business Development Department.

Public Employees Retirement System

The Subcommittee increased the Other Funds expenditure limitation by $509,960 for the estimated fiscal impacts of House Bill 3495 ($284,960) and Senate Bill 370 ($225,000).

The Department of Administrative Services is expected to unschedule $509,960 of expenditure limitation that may only be scheduled based upon the joint approval of the Office of the State Chief Information Officer and the Legislative Fiscal Office and after a more detailed evaluation of the information technology implementation plans for these two measures.

Department of Revenue

The Subcommittee approved funding for the second of a four phase project to replace most of the agency's core information technology systems (Core Systems Replacement project). The second phase includes: personal income, transit, self-employment, Senior Property Deferral, and estate and trust tax programs. The scheduled implementation date for this phase is December 1, 2015.

The Subcommittee approved $25,929,440 of Other Funds expenditure limitation and the establishment of 33 permanent full-time positions (33.00 FTE), which is to be mostly financed with Article XI-Q bonds approved in House Bill 5005 ($19 million). Project revenues also include an estimated $6.9 million in bond proceeds that were authorized and issued during the 2013-15 biennium, but remained unexpended.

The Other Funds budget includes: personal services of $7 million; $532,500 for facility costs; $12.6 million for vendor contract payments; $1.3 million for an independent quality assurance; $592,900 for project management costs; $532,500 for change leadership; $279,000 for hardware and software; and $3 million for a contingency reserve.

Unless otherwise approved by the Legislature or the Emergency Board, the positions budgeted for the Core Systems Replacement (CSR) project are established as permanent full-time positions under the following conditions: (a) the positions will be abolished on or before the completion of the project; (b) the positions are to remain in the Core System Replacement program (i.e., CSR summary cross reference) and may not be transferred to any other program or used for any other purpose other than the development to the Core System Replacement project; and (c) the positions may not be included in any permanent finance plan action.

The Subcommittee approved $3,935,414 General Fund for the agency's payments to the Department of Administrative Services for State Data Center charges related to phase-I of the project ($1.3 million), vendor contract maintenance costs to support the ongoing maintenance of the vendor product after installation ($2.4 million), and non-bondable expenditures related to phase-II of the project ($240,000).

The Subcommittee approved $3,684,413 in additional General Fund Debt Service to support repayment of Article XI-Q General Obligation bond proceeds approved in House Bill 5005.

Other Funds expenditure limitation of $375,000 is included for the cost of issuance of the bonds.

The Subcommittee adopted the Joint Committee on Ways and Means – Information Technology Subcommittee recommendations for the Core Systems Replacement project:

- Continue to work closely with and regularly report project status to the Office of the State Chief Information Officer and the Legislative Fiscal Office throughout the project's lifecycle.
- Continue to follow the Joint State CIO/LFO Stage Gate Review Process.
- Report back to the Joint Interim Committee on Ways and Means on project status in the Fall of 2015 (on readiness to proceed with the CSR Project's Rollout 2 in December 2015), and to the Joint Committee on Ways and Means during the 2016 legislative session.

- Utilize the Office of the State CIO's Enterprise Project and Portfolio Management system as it is deployed for all project review, approval, project status, and QA reporting activities throughout the life of the Core Systems Replacement Project, to include information on the new Fraud Analytics and Detection project planning and execution activities funded by package 151.

The Subcommittee also approved funding for the implementation of the Property Valuation System project, which is a commercial-off-the-shelf solution for an integrated appraisal application.

The Subcommittee approved $1,880,000 of Other Funds expenditure limitation for project costs and the establishment of one permanent full-time position (0.92 FTE), which is to be financed with Article XI-Q bonds approved in House Bill 5005. This includes personal services of $175,260; $56,704 for capital outlay; $1.5 million for vendor contract payments; and $150,000 for an independent quality assurance.

Other Funds expenditure limitation of $80,000 is included for the cost of issuance of the bonds.

The Subcommittee approved $71,843 in General Fund Debt Service to support repayment of Article XI-Q General Obligation bond proceeds approved in House Bill 5005.

The Department of Administrative Services is expected to unschedule $1.5 million of Other Funds expenditure limitation that may only be scheduled based upon the joint approval of the Office of the State Chief Information Officer, Department of Administrative Services – Chief Financial Office, and the Legislative Fiscal Office.

The Subcommittee adopted the Joint Committee on Ways and Means – Information Technology Subcommittee recommendations for the Property Valuation project:

- Continue to work closely with and regularly report project status to the OSCIO and LFO throughout the lifecycle of the proposed DOR Property Valuation System (PVS) project.
- Follow the Joint State CIO/LFO Stage Gate Review Process.
- Hire/retain or contract for qualified project management services with experience in planning and managing projects of this type, scope, and magnitude.
- Update the Business Case and foundational project management documents as required.
- Work with OSCIO to acquire Independent Quality Management Services as required to conduct an initial risk assessment, perform quality control reviews on the Business Case and foundational project management documents as appropriate, and perform ongoing, independent quality management services as directed by the OSCIO.
- Submit the updated Business Case, project management documents, initial risk assessment, and QC reviews to the OSCIO and LFO for Stage Gate Review.
- Report back to the Legislature on project status during the 2016 legislative session and/or to interim legislative committees as required.
- Utilize the Office of the State CIO's Enterprise Project and Portfolio Management system as it is deployed for all project review, approval, project status, and closeout reporting activities throughout the life of the DOR PVS project.

Secretary of State
The Subcommittee approved omnibus budget adjustments that include a $1,149,279 total reduction in state agency assessments and billings for the Audits Division. Secretary of State revenues after this reduction remain sufficient to fully support the legislatively adopted budget for the Division and the Secretary of State.

Treasurer of State
The Subcommittee adopted the following budget note related to the intermediate term pool investment program, with the expectation that the State Treasurer also report on what education and training can be provided local governments on the benefits and risks associated with investing in the intermediate term pool. The Subcommittee also expressed an interest in being provided a comprehensive list of state agencies that should be considered candidates for making investments in the existing state intermediate term investment pool:

Budget Note:
The State Treasurer is requested to report to the interim Joint Committee on Ways and Means during Legislative Days in November 2015 on local and tribal government investment opportunities in the intermediate term pool or other intermediate term pooled investment options offered by the State Treasurer.

The report is to define how, and when, the program will be implemented; how investments will be made, overseen, and administered; the status of development of administrative rules; and how the program compares to the existing state intermediate term investment pool.

The cost of administering the program is to be detailed, including both State Treasury and third party costs, and the basis on which local and tribal governments will be charged.

The agency is to identify the potential level of participation, both immediate and over the long-term, as well as the level of potential investment. The agency is to report on the number of pending and signed investment agreements. The agency is to identify how it will accommodate future demand for program growth as well as any short-term program capacity or resource constraints issues.

CONSUMER AND BUSINESS SERVICES

Public Utility Commission
House Bill 2599 (2015) relates to termination of electric or natural gas service. It requires utilities that provide electricity or natural gas service to prepare reports on processes the utilities use to not terminate for non-payment utility service to homes during very hot or very cold weather. To ensure legislative review of these reports, the Subcommittee approved the following budget note:

Budget Note:
The Public Utility Commission is directed to collect reports from the governing boards of all independent utilities in the state on each utility's program to ensure health and safety of vulnerable Oregonians during possible utility shutoffs that might take place during extreme weather situations. The Public Utility Commission will present a summary of the reports to the appropriate committees during the 2016 legislative session.

ECONOMIC AND COMMUNITY DEVELOPMENT

Oregon Business Development Department

The Subcommittee increased Oregon Business Development Department expenditures by $257,767,573, including $4,089,357 General Fund, $1,500,000 Lottery Funds, $227,178,216 Other Funds, and $25,000,000 Nonlimited Other Funds.

Lottery Funds increases include $1 million to supplement funding for the Regional Accelerator Innovation Network, and $500,000 for transfer to the Oregon Growth Fund managed by the Oregon Growth Board. The additional funds for the Regional Accelerator Innovation Network bring total Lottery Funds support for the Network to $2 million. Lottery Funds expenditures approved in this bill for the two programs are approved on a one-biennium basis and will be phased out in the development of the agency's 2017-19 biennium current service level budget.

Other Funds budget adjustments were approved for bond-funded programs approved in Senate Bill 5005 and House Bill 5030. The Other Funds expenditure limitation increases approved include:

- $175 million of net Article XI-M general obligation bond Other Funds proceeds for seismic rehabilitation grants for schools. The proceeds are projected to finance approximately 115 school seismic rehabilitation projects during the 2015-17 biennium, and represent a more than eleven-fold increase over the $15 million level of school seismic rehabilitation grant funding provided in the 2013-15 biennium. The Subcommittee also added $4,089,357 General Fund to pay debt service on the bonds. The debt service funds are projected to allow the State Treasurer to issue $50 million of net bond proceeds in the Spring of 2016, and the remaining $125 million of bond proceeds in Spring of 2017. Debt service costs for the school seismic bonds are expected to total $28.7 million General Fund, per biennium, when the costs fully phase in beginning in the 2017-19 biennium. The Subcommittee added $1,870,000 Other Funds for the costs of issuing the Article XI-M bonds. These costs are paid from the gross proceeds of the bond sale. The agency may need to add staff to manage grant award activity associated with the Spring 2017 bond sale.
- $30 million of net Article XI-N general obligation bond Other Funds proceeds for seismic rehabilitation grants for emergency services facilities. The proceeds are projected to finance approximately 50 emergency services facility seismic rehabilitation projects during the 2015-17 biennium, and represent a doubling of the $15 million level of emergency services facility seismic rehabilitation grant funding provided in the 2013-15 biennium. The bonds will be issued in the Spring of 2017. Debt service costs for the emergency services facility seismic bonds are expected to total $4.9 million General Fund, per biennium, when the costs fully phase in beginning in the 2017-19 biennium. The Subcommittee added $440,000 Other Funds for the costs of issuing the Article XI-N bonds. These costs are paid from the gross proceeds of the bond sale.
- $18 million of net Lottery bond Other Funds proceeds for deposit to the Special Public Works Fund, a revolving loan fund. The $18 million total includes $5 million specifically dedicated to finance levee inspection and repair projects as authorized by Senate Bill 306. The remaining $13 million of bond proceeds may be applied to any eligible Special Public Works Fund projects. The funding designated for levees is projected to support approximately 17 levee projects, and the undesignated funding is projected to support an additional 11 projects. Because the bonds will be issued in the Spring of 2017, most project activity will occur after the end of the current biennium. Debt service costs for the Lottery bonds are expected to total $3.8 million Lottery Funds, per biennium, when the costs fully phase in beginning in the 2017-19 biennium. The Subcommittee added $323,147 Other Funds for the costs of issuing the Lottery bonds. These

costs are paid from the gross proceeds of the bond sale. The $18 million of bond proceed expenditures were added to the agency budget as Nonlimited Other Funds, and as such, are not included in the expenditure limitation increases included in the bill.

- $7 million of net Lottery bond Other Funds proceeds for deposit to the Brownfields Redevelopment Fund, a revolving loan fund. The funding is projected to support approximately 27 brownfields redevelopment projects. Because the bonds will be issued in the Spring of 2017, most project activity will occur after the end of the current biennium. Debt service costs for the Lottery bonds are expected to total $1.5 million Lottery Funds, per biennium, when the costs fully phase in beginning in the 2017-19 biennium. The Subcommittee added $129,239 Other Funds for the costs of issuing the Lottery bonds. These costs are paid from the gross proceeds of the bond sale. The $7 million of bond proceed expenditures were added to the agency budget as Nonlimited Other Funds, and as such, are not included in the expenditure limitation increases included in the bill.

- $13 million of net Lottery bond Other Funds proceeds for Regional Solutions capital construction priority projects identified by Regional Solutions Advisory Committees. The funding is projected to support approximately 28 capital construction projects in ten of the state's eleven Regional Solutions regions. The projects are funded with a combination of $995,000 of Lottery bond proceeds remaining from the Spring 2015 bond sale and $12,005,000 of new bond proceeds from Lottery bonds that will be issued in the Spring of 2017. Because most of the funding will become available late in the biennium, most project activity will occur after the end of the current biennium. Debt service costs for the Lottery bonds are expected to total $2.6 million Lottery Funds, per biennium, when the costs fully phase in beginning in the 2017-19 biennium. The Subcommittee added $243,677 Other Funds for the costs of issuing the Lottery bonds. These costs are paid from the gross proceeds of the bond sale. The Subcommittee approved $13 million of support to fund projects on the identified Regional Solutions project list that total more than $14 million in costs. The project list is posted on the Oregon Legislative Information System website with the Capital Construction Subcommittee July 3, 2015 meeting materials. The agency is directed to best apply the available funding toward the project list identified costs, taking into consideration other possible funding sources available for individual projects. If the agency determines that funds would be better utilized to support other projects not on the identified project list, it must obtain legislative approval to do so before the lottery bonds are issued. The agency is also expected to work with the Governor's Office to allow the agency to review proposed Regional Solutions projects to ensure they meet certain criteria, including: supporting job growth and retention, obtaining leverage from other sources, possessing a clear business plan for sustainability without additional state funding, meeting regional priorities recommended by a Regional Solutions Advisory Committee, and, if bond proceeds are requested as the funding source, qualifying as a capital construction project.

- $4.5 million of net Lottery bond Other Funds proceeds for cultural capital construction projects. The funding is specifically dedicated as follows: $2 million for the Oregon Shakespeare Festival, $1.5 million for the Portland Japanese Garden, $600,000 for Oregon Public Broadcasting, and $400,000 for the Aurora Colony Museum. The bonds will be issued the Spring of 2017. Debt service costs for the Lottery bonds are expected to total approximately $956,000 Lottery Funds, per biennium, when the costs fully phase in beginning in the 2017-19 biennium. The Subcommittee added $68,184 Other Funds for the costs of issuing the Lottery bonds. These costs are paid from the gross proceeds of the bond sale. The $4,568,184 of bond proceeds and costs of issuance expenditures were added to the agency's Arts and Cultural Trust Other Funds expenditure limitation.

- $1,562,157 of net Lottery bond Other Funds proceeds for repairs and upgrades to the Port of Brookings Harbor dock. The bonds will be issued in the Spring of 2017. Debt service costs for the Lottery bonds are expected to total approximately $300,000 Lottery Funds, per biennium, when the costs fully phase in beginning in the 2017-19 biennium. The Subcommittee added $41,812 Other Funds for the costs of issuing the Lottery bonds. These costs are paid from the gross proceeds of the bond sale. The $1,603,969 of bond proceeds and costs of issuance expenditures were added to the agency's Infrastructure Finance Authority Other Funds expenditure limitation.

Housing and Community Services Department

Other Funds expenditure limitation is increased by $10 million for additional payments anticipated due to the passage of House Bill 3257, which extended until 2018 the period under which an additional $5 million annually could be collected from residential electricity consumers for low income bill payment assistance. The funds are collected from utilities, transferred to the Housing and Community Services Department, and distributed to eligible Oregonians by local Community Action agencies under contract.

Other Funds expenditure limitation for the Housing and Community Services Department is increased by $585,000, attributable to cost of issuance for $40 million in Article XI-Q bonds issued for affordable housing development. The housing to be developed with the bonds will be targeted to low income individuals and families, pursuant to the provisions of House Bill 2198. That bill directs the Department to distribute the resources available based on criteria including geography, market data, need, and other factors, and directs the Department to develop the housing with the advice of the State Housing Council and to work with stakeholders to achieve objectives that include reducing project costs and reaching underserved communities. It is assumed that the investment will result in an estimated 1,600 units of new affordable housing developed. Expenditure limitation for a period of six years for the project amount ($40 million) is located in House Bill 5006.

Other Funds expenditure limitation in the amount of $2,551,972 is included to enable the Housing and Community Services Department to expend proceeds from Lottery bonds for preservation of affordable housing with expiring federal subsidies. Of this amount, $2.5 million is attributable to project costs, and $51,972 is related to cost of issuance.

Eligible projects for which these funds can be expended are defined as the following:

- Privately owned multi-family rental properties where at least 25% of the units are subsidized by a project-based rental assistance contract through the USDA Rural Development or the US Department of Housing and Urban Development;
- Existing manufactured housing communities to be acquired by a mission-based non-profit organization, resident cooperative, tenants' association, housing authority, or local government; or
- Public housing projects undergoing a preservation transaction which involves a comprehensive recapitalization, and which will secure ongoing rental subsidies.

Other Funds expenditure limitation in the amount of $20,307,817 is included to enable the Housing and Community Services Department to expend proceeds from Lottery bonds for the purpose of financing construction of housing for individuals with mental illness or addiction disorders. Of this amount, $20 million is attributable to project costs, and $307,817 is related to cost of issuance. This limitation applies to the 2015-17 biennium only, as the project is not anticipated to be recurring. To the extent that proceeds are not fully expended for mental health

housing in 2015-17, the 2017-19 agency request budget should include a request that limitation for remaining proceeds be carried forward into the 2017-19 biennium. The Housing and Community Services Department will develop a process similar to but separate from its existing "Notice of Funds Availability" that is currently used to identify partners and financing for affordable housing projects. The Oregon Health Authority will work with the Housing and Community Services Department (HCSD) throughout the process of utilizing the $20 million of bonding proceeds for the development of housing for individuals with mental illness or addictions disorders. The Oregon Health Authority will continue to work with their partners, including the National Alliance on Mental Illness (NAMI) and the Oregon Residential Provider Association (ORPA), as well as other stakeholders, to set up a workgroup that will be responsible for providing recommendations on project priorities to HCSD.

Department of Veterans' Affairs

Additional one-time General Fund in the amount of $500,000 is appropriated to the Oregon Department of Veterans' Affairs for support for County Veterans' Service Officers. This is in addition to the $246,046 General Fund investment above the 2015-17 current service level that was included in the Department's budget bill, Senate Bill 5539. With this increase, the amount of General Fund directed to County Veterans' Service Officers for the 2015-17 biennium will total $4.7 million, $4.2 million of which is intended to be ongoing in future biennia.

EDUCATION

Department of Education

The Subcommittee approved a net increase of $51,990,543 General Fund and $66,009,457 million Lottery Funds for the State School Fund. The increase reflects three separate actions:

- An increase of $105,782,400 General Fund represents the increase due to the "trigger" included in the State School Fund bill (House Bill 5017) which directed to the State School Fund 40 percent of any General Fund increase in the 2015-17 revenue estimates between the March 2015 and the May 2015 forecasts;
- An additional $12,217,600 General Fund is made available for the State School Fund beyond the amount resulting from the "trigger"; and
- A decrease of $66,009,457 General Fund and a corresponding $66,009,457 Lottery Funds increase is recommended to balance the use of available Lottery Funds across the entire state budget.

The Legislature assumes the State Land Board will increase the distribution from the Common School Fund from the current four percent to a five percent distribution which results in an estimated increase of $27,544,741 of revenue available to districts through the school funding formula. If the State Land Board takes this action, there will be an equivalent of just over $7.4 billion in combined General Fund, Lottery Funds, and these additional Common School Funds resources for the 2015-17 biennium.

House Bill 5017, the State School Fund bill, split the amount available to be distributed from the State School Fund between the two school years on an even basis or $3,629,130,346 in each school year. The Subcommittee approved placing all of the additional State School Fund resources made available in this bill to be distributed in 2016-17, the second school year of the biennium. This results in a split between the two school years of 49.2 percent for 2015-16 and 50.8 percent for 2016-17.

The Subcommittee approved a one-time $3,300,000 General Fund increase in the appropriation for the Department of Education's nutrition programs relating to the Farm to School program under ORS 336.431. This increase is over and above the current $1,219,189 General Fund appropriation included in the Department of Education's budget bill (House Bill 5016) for this program. It is anticipated that grants under this program will be changed by language in Senate Bill 501 that is intended to increase participation in the program by school districts. The Department of Education may use up to two percent of the total funding for the Farm to School program under ORS 336.431 for the administration of the program. Of the remaining amount, the Department is instructed to allocate approximately 80% of the remaining funding for the noncompetitive grants and approximately 20% of the remaining funding for competitive grants.

The Subcommittee increased the funding for Relief Nurseries in the Early Learning Division by a one-time $700,000 General Fund appropriation. This brings the total amount of state funding for Relief Nurseries to $8,300,000 General Fund.

The Subcommittee approved a one-time increase of $500,000 General Fund for the new leadership program designed to recruit and train "district turnaround leaders" to assist schools and districts to increase their overall achievement measures. This increase and the amount included in the budget bill for the Oregon Department of Education (House Bill 5016) brings the total amount of funding for this program to $2,000,000 General Fund.

The Subcommittee approved the establishment of an Other Funds expenditure limitation of $126,210,000 for the proceeds of Title XI-P general obligation bonds, which are for grants to assist school districts with their capital costs of facilities. The grant, funded with bond proceeds, provided to each district must be matched by the district to finance capital costs for projects that have received voter approval for locally issued bonds. State bond proceeds may not be used for operating costs of the district. The bonding bill (House Bill 5005) includes the authorization for issuing $125,000,000 of Title XI-P bonds. Costs of issuance are estimated at $1,210,000 Other Funds.

Budget Note:
The Department of Education is instructed to use $500,000 General Fund from the Early Intervention/Early Childhood Special Education (EI/ECSE) budget to support two to four communities in developing pathways from screening to services to make it easier for families to receive services that screening identifies. Use of this funding is aligned with best practices for how EI/ECSE programs should address the needs of children and their families who do not meet the legal requirements for eligibility and connect them to other services and supports. The Early Learning Council shall report on the progress and outcomes of this work to the appropriate legislative committee and include any recommendations for the 2017 legislative session.

Budget Note:
Given the expanded Healthy Families Oregon home visiting funding added to the Early Learning Division's budget, the Early Learning Division and the Oregon Health Authority are instructed to:

- Develop a set of outcome metrics connected to evidence of impact for consideration by the Early Learning Council and the Oregon Health Policy Board that any home based service that receives state dollars must meet in order to continue to receive state funds, effective July 1, 2016;
- Develop a plan and timeline for integrating the state's professional development system for early learning providers with the emerging professional development system for home visitors; and
- Develop a common program agnostic screening tool to identify potential parent/child risk factors and intake form for families who are eligible for home visiting services and require implementation by state funded home visiting programs by July 1, 2016.

The Early Learning Division and the Oregon Health Authority shall report on progress to the appropriate legislative committee.

Budget Note:
The Department of Education is instructed to survey school districts on the financial effects of the: (1) adaptation of new instructional hour minimums, (2) mandated full scheduling of 92 percent of students, and (3) projections for programs and personnel possibly eliminated in order to comply with these mandates at current budget levels. The Department is to report back to the Joint Committee on Ways and Means by February 1, 2016.

Higher Education Coordinating Commission
The Subcommittee approved a one-time $1,500,000 General Fund appropriation to fund academic counselors at community colleges during the second academic year of the biennium. Community Colleges currently have limited academic counseling services in place. With the potential of more students as a result of the tuition waiver grant program established in Senate Bill 81, there is concern that those limited resources will be stretched even further. Prior to the distribution of these funds, the Higher Education Coordinating Commission is to report to the Joint Committee on Ways and Means during the 2016 legislative session or to the Emergency Board what factors or variables will determine the distribution of these funds.

The Subcommittee approved the establishment of a $1,542,827 Other Fund expenditure limitation for a grant to the Linn Benton Community College for the construction and capital expenditures for the Advanced Transportation Technology Center. This Center is established to advance statewide transportation energy policy as well as to provide education and training of students at the Community College. The project is to include an automotive technician training center with an alternative fuel area, a heavy transportation/diesel training center, an innovation center, and an anaerobic digester for renewable gas production. The Other Funds expenditure limitation increase represents the $1,500,000 state share of the project cost and $42,827 for the cost of issuing the bonds. Both of these items are funded through the sale of Lottery bonds.

The Subcommittee approved $2,500,000 General Fund for the College of Forestry at Oregon State University to operate a center for the manufacturing and design of advanced wood products in cooperation with the University of Oregon. The $2.5 million represents a partial biennium of expenses, and as such, state support for the center rolls up to $3,400,000 in the 2017-19 biennium. The Subcommittee also approved $300,000 General Fund on a one-time basis for use by Eastern Oregon University for costs associated with starting a collegiate wrestling program.

The Subcommittee approved a one-time $350,000 General Fund appropriation to the Higher Education Coordinating Commission (HECC) for a grant to the College Inside program. This is a program designed to allow incarcerated students obtain a two-year college degree that is transferable to a four-year university.

The Subcommittee approved a one-time $350,000 General Fund appropriation to Higher Education Coordinating Commission (HECC) for a grant to the College Possible organization. This program provides mentoring, coaching, and other assistance to low income students to encourage them to go to college and help them apply for college and financial aid.

The Subcommittee approved a $62,300 General Fund appropriation for use by Oregon Solutions at Portland State University to pay the expenses of the Task Force on the Willamette Falls Navigation Canal and Locks as they conduct the work directed in SB 131.

The Subcommittee clarified that of the $151,390,838 General Fund increase approved for the Public University Support Fund program area in House Bill 5024, $41,095,238 was for continuation of the tuition buy down funding provided to public universities in House Bill 5101 (2013 Special Session).

The Subcommittee approved a $4,477,055 increase in the Other Funds expenditure limitation for payment of the costs of issuing Article XI-F general obligation bonds, Article XI-G general obligation bonds, and Article XI-Q general obligation bonds on the behalf of community colleges and public universities.

The Subcommittee also approved giving the Higher Education Coordinating Commission (HECC) nonlimited authority to make Other Funds expenditures necessary to disburse general obligation bonds sold during the 2013-15 biennium for the benefit of public universities. Disbursement of future bond sales will be done by HECC, but during the 2013-15 biennium the Department of Administrative Services (DAS) was given initial authority to disburse these proceeds. As the bond project duties related to issuance of Article XI-F and XI-G general obligation bonds transition from DAS to HECC per House Bill 3199, both agencies were given nonlimited authority to disburse proceeds of bonds issued during 2013-15. The total amount of undisbursed proceeds as of June 30, 2015 from 2013-15 bond sales is $196,523,642. The initial estimate of the amount of 2013-15 proceeds HECC will disburse per agreements with public universities in 2015-17 is $50,648,642, with DAS disbursing $145,875,000. These amounts will likely change once the timing of final transition from DAS to HECC is known.

Oregon Health & Science University

The Subcommittee approved the establishment of a $200,035,000 Other Funds expenditure limitation for DAS to disburse Article XI-G bond proceeds to the Oregon Health and Science University (OHSU) to fund Knight Cancer Institute capital construction costs. In addition, the Subcommittee approved an $8,522,485 General Fund appropriation to pay debt service on XI-G bonds issued during the 2015-17 biennium for the Knight Cancer Institute project.

House Bill 3199 (2015) provided that the Department of Administrative Services (DAS) would continue to make debt service payments on behalf of OHSU on legacy debt issuance, including $30,909,888 debt service paid with Tobacco Master Settlement Agreement (TMSA) funds. To accommodate this requirement, the Subcommittee approved providing DAS with $38,689,306 Other Funds expenditure limitation to make debt

service payments. Revenue for making $7,779,418 debt service payments on non-TMSA supported Article XI-F bond debt will be provided by OHSU.

HUMAN SERVICES

Department of Human Services

To support two pilot projects in the Child Welfare program, the Subcommittee approved $800,000 General Fund and increased Federal Funds expenditure limitation by $160,000; funding is approved on a one-time basis with future program support or integration dependent on resources and pilot outcomes. The following budget note, which lays out the goals and expectations for the pilot projects, was also approved:

> **Budget Note:**
> The Department of Human Services is directed to work with community-based organizations to develop and implement two pilot programs, one serving a rural part of the state and the other one serving an urban area, to improve the quality and effectiveness of foster care for children and wards who have been placed in the Department's legal custody for care, placement, and supervision. The programs shall target youth who have experienced multiple foster care placements and who are at significant risk of suffering lifelong emotional, behavior, developmental, and physical consequences due to disrupted and unsuccessful placements in the foster care system. The following services for foster parents shall be included in the programs: trauma-informed, culturally appropriate care training; behavioral supports; ongoing child development and parent training; 24-hour, daily on-call caregiver support; respite care; tutoring; and assistance with locating immediate and extended healthy, biological family members.
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> Each pilot program will have an advisory group that includes at least one individual who has experienced multiple placements in the foster care system and at least one foster parent. The legislative expectation is that at least $800,000 of the total funding for the pilots will be provided directly to community-based organizations to deliver program services. On or before May 1, 2017, the Department shall report to the legislative committees having authority over the subject areas of child welfare and juvenile dependency regarding the status of the pilot programs and, to the extent possible, placement outcomes for children and wards who participated in the pilot program and children and wards not receiving pilot program supports. The Department will also provide an update on the pilot projects as part of its budget presentation during the 2017 legislative session.

To provide and test targeted community college career pathway training opportunities for Temporary Assistance for Needy Families and Employment Related Day Care clients, the Subcommittee approved $500,000 General Fund for a one-time pilot project in Jackson and Josephine counties. Eligible clients will be in enrolled training programs that match up with in-demand, high wage job openings, such as in the healthcare or information technology areas. Clients will receive a scholarship/stipend to help augment Pell grants, with average awards expected to be about $2,000 per client; about 150 clients are estimated to participate in the program over the biennium. The pilot project is a joint effort between the Rogue Workforce Partnership, the Oregon Employment Department, and the Department of Human Services.

The Subcommittee approved $350,000 General Fund to provide transitional (one-time) funding for the Home Care Commission's private pay registry. This program, which enables private payers to buy home care services through the home care registry, was authorized by House Bill 1542 (2014) and is expected to be fee supported once fully up and running in January 2016.

The Subcommittee approved $1,800,000 General Fund to restore a reduction made in the primary budget bill for the Department (House Bill 5026). The funding will provide ongoing support for options counseling provided through the Aging and Disability Resource Connection (ADRC). The program helps people identify their long term care needs and preferences, understand the service options available to them, and make decisions about their care.

The Subcommittee approved $400,000 General Fund, on a one-time basis, to increase support funding for the Oregon Hunger Response Fund (Oregon Food Bank); between funding in both the DHS and the Housing and Community Services Department budgets, a total of $3.2 million General Fund is now appropriated in the 2015-17 biennium to help the fund fight hunger.

The Subcommittee approved $150,000 General Fund to provide ongoing support for the Hunger Task Force, which is charged with advocating for hungry persons, and contributing to the implementation and operation of activities and programs designed to alleviate or eradicate hunger in Oregon. House Bill 2442 (2015) designates the Department of Human Services as the entity in administering the state policy on hunger and also requires the agency to support and staff the task force, based upon the availability of legislatively approved funding for such purposes.

To cover Phase II development and implementation of a streamlined and integrated Statewide Adult Abuse and Report Writing System, the Subcommittee approved $2,277,037 General Fund and $3,355,000 Other Funds expenditure limitation ($5.6 million total funds). Phase I planning funding was approved by the Emergency Board in May 2014, based upon demonstrated need for a stable, integrated adult abuse data and report writing system to deal with Oregon's growth in an aging population, an annual increase of 5-8% in abuse referrals, and an increased need for services across all demographics. The Other Funds portion of the project will be financed with Article XI-Q bonds; the General Fund amount includes $839,543 to cover debt service payments in the second year of the biennium.

The Department will continue its work on the project according to direction from the Joint Ways and Means Subcommittee on Information Technology, which recommended incremental and conditional project approval. Required actions under that guidance include, but are not limited to, working closely with and regularly reporting project status to the Office of the State Chief Information Officer (OSCIO) and Legislative Fiscal Office (LFO), while following the joint OSCIO/LFO Stage Gate Review Process. A detailed list of next steps and requirements was transmitted to the agency. The Department of Administrative Services is expected to unschedule the General Fund and Other Funds expenditure limitation for the project pending finalization of a controlled funding release plan that is tied to planning needs and the completion of certain stage gate requirements. Funding may be rescheduled with the joint approval of the OSCIO, the Chief Financial Office, and LFO.

House Bill 5026 included a rate increase for providers serving people with Intellectual and Developmental Disabilities (IDD). To ensure clarity of legislative direction regarding implementation of the rate increase, the Subcommittee adopted the following budget note:

Budget Note:
It is the intent of the Legislature that $26.7 million total funds in provider rate increases approved in House Bill 5026 (budget bill for the Department of Human Services) result in wage increases for direct care staff serving people with intellectual and developmental disabilities (IDD). The legislative expectation is that compensation (wages and/or benefits) for direct care staff in programs serving people with IDD should be increased by at least 4% during the 2015-17 biennium.

During the 2016 legislative session, an informational hearing will be scheduled for IDD community providers to present the actions they have taken or plan to take to meet budget note requirements. On a parallel track and prior to seeking an allocation from the special purpose appropriation, the Department of Human Services will compile information on any complaints received regarding wage increases and consult with legal counsel and contract staff to determine the best, yet most cost-effective, approach to address potential provider non-compliance. The Department will also report to the Joint Committee on Ways and Means during the 2017 legislative session on activity related to and progress made under this budget note.

For the same program, the Subcommittee approved the budget note set out below on exploring a provider assessment component to help fund IDD programs. Along with the required reporting, and depending on assessment's content or results, the Department may also work with the appropriate interim policy committee on potential statutory changes.

Budget Note:
The Department of Human Services shall work with the intellectual and developmental disabilities (IDD) provider community and appropriate state and federal agencies to assess the feasibility, potential benefits, and potential drawbacks of a provider assessment, or transient lodging tax, on the provider organizations serving adults with IDD, with the goal of maximizing federal matching funds for IDD services and addressing the direct care workforce shortage. The Department shall report the results of its assessment to the Legislature by December 1, 2015.

Oregon Health Authority

Senate Bill 5507 approves $10,000,000 one-time General Fund resources to provide grants to safety net providers, through the Safety Net Capacity Grant Program. This program will provide grants to community health centers, including Federally Qualified Health Centers and Rural Health Centers, as well as School-Based Health Centers, with the goal of providing services for children not eligible for any current state program. The agency will need to develop an application process for these competitive grants; develop a process to award the grants, including amounts to fund education and outreach to the target population; develop a process for clinics to report services rendered; provide reporting to the Legislature and stakeholders; and include a stakeholder engagement process to advise the program development and implementation. The agency expects to fund two full-time positions out of this total funding, in order to implement the program as described.

Because of the continuing transition of the Oregon Health Plan caseload, the Subcommittee recognized the increased risk of caseload forecast changes. A special purpose appropriation of $40 million is made to the Emergency Board for caseload costs or other budget challenges in either the Oregon Health Authority (OHA) or Department of Human Services. The Subcommittee included two budget notes related to the Oregon Health Plan:

Budget Note:
The Oregon Health Authority shall engage in a rural hospital stakeholder process to gather input on potential transformation strategies to ensure that Oregon's small and rural hospitals continue to be sustainable in the future. Potential transformation strategies could include grant or bridge funding, transformation pilot programs, or incentive programs to assure funding stability for hospitals and access to health care services for rural Oregonians. Based on the work with stakeholders, OHA will develop a set of recommendations.

OHA shall report to the Joint Committee on Ways and Means Committee during the 2016 legislative session on any hospital assessment revenues received for the 2013-15 biennium and available for use in 2015-17. The revenue may include assumed hospital assessment revenue in the OHA 2015-17 budget or any additional hospital assessment revenue not included in the OHA budget, that could potentially be used to fund one or more of the strategies recommended, but not to exceed $10 million. The agency should include in that report any information on federal matching resources that may be available for those strategies.

Budget Note:
The Oregon Health Authority is directed to prepare a report in collaboration with the Employment Department stating the number of Oregonians enrolled in the Oregon Health Plan while employed at least 260 hours in any calendar quarter by a company with 25 or more employees. This report should be presented to the interim Joint Committee on Ways and Means, Subcommittee on Human Services no later than January 2016.

The Subcommittee approved a one-time appropriation of $160,000 General Fund for OHA to contract with the Dental Lifeline Network, or other qualified organization, for development and operation of a Donated Dental Services program to benefit needy, disabled, aged, and medically compromised individuals. The program will establish a network of volunteer dentists, including dental specialists, to donate dental services to eligible individuals, establish a system to refer eligible individuals to appropriate volunteers, and develop and implement a public awareness campaign to educate individuals about the availability of the program. The program will report to OHA at least annually the number of people served, procedures during the year, procedures completed, and the financial value of the services completed. The funding is part of a commitment to spending on senior programs that originated in the 2013 special session.

The Subcommittee approved $200,000 General Fund for providing fresh Oregon-grown fruits, vegetables, and cut herbs from farmers' markets and roadside stands to eligible low-income seniors under the Senior Farm Direct Nutrition Program. Another $100,000 General Fund was added for the same purpose for eligible individuals through the Women, Infants and Children Program. Both these program enhancements are one-time.

General Fund was increased by $600,000 for school-based health centers. This funding will provide state grants of $300,000 to three new school-based health centers that recently completed their planning processes. It will also allow the agency to provide $300,000 of funding to existing school-based health centers to bring all centers closer to parity in state funding.

Other Funds expenditure limitation is increased by $137,152 to increase the staffing level for the Pesticide Analytical Response Center by one half-time position (0.50 FTE). Funding for the position will come as a special payment from the Department of Agriculture supported by an increase in pesticide product registration fees.

The Subcommittee directed the Oregon Health Authority to collaborate with the Department of Consumer and Business Services and the University of Oregon, in the following budget note:

> **Budget Note:**
> The Oregon Health Authority, in collaboration with the Department of Consumer and Business Services, shall work with the University of Oregon on the vaccination program for meningitis. The Department of Consumer and Business Services shall ensure timely insurance coverage is covering appropriate costs for those with insurance. The Oregon Health Authority shall, within existing emergency preparedness funds, work with the University of Oregon on funding appropriate costs. The Oregon Health Authority and the University of Oregon shall report to the appropriate legislative committee the final cost of the program including any additional funding needs by December 2015 and any recommendations to ensure effective and efficient response to any future events.

Lottery bond proceeds of $20 million were approved for the development of housing for individuals with mental illness or addiction disorders, through the Housing and Community Services Department (HCSD). OHA will work with HSCD throughout the process used to identify partners and financing for projects. OHA will continue to work with their partners, including the National Alliance on Mental Illness and the Oregon Residential Provider Association, as well as other stakeholders, to set up a workgroup that will be responsible for providing recommendations on project priorities to HCSD.

Lottery bond proceeds of $3 million were approved to fund a portion of the costs to build a new sixteen-bed facility for the Secure Adolescent Inpatient Program, run by Trillium Family Services. These funds will be distributed through the Department of Administrative Services. This project will replace old, obsolete facilities at the Children's Farm Home campus near Corvallis. This program serves adolescents at the highest level of mental health acuity in the state, including children on the Oregon Health Plan, and those referred through the Oregon Youth Authority and the Juvenile Psychiatric Security Review Board.

The following budget note was included related to the Addictions and Mental Health programs:

> **Budget Note:**
> The Oregon Health Authority shall conduct a minimum of five community meetings in a variety of geographic locations across the state. The goal of the community meetings is to capture, understand, and report to the Legislature on the experience of children, adolescents, and adults experiencing mental illness and their ability to access timely and appropriate medical, mental health and human services to support their success in the community. The meetings shall not be restricted to publicly financed services or individuals eligible for public benefits. The focus will be on the entirety of the Oregon mental health system, both public and private. Issues to be considered should include but not be limited to:
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> - Access to child and adolescent services
> - Boarding in hospital emergency rooms
> - Access to housing, addiction, and recovery services
> - Family support services
> - Waiting periods for services

- Workforce capacity
- Affordability for non-covered individuals to access mental health services
- Coordination between behavioral health and physical health services

The Oregon Health Authority shall consult and coordinate with stakeholders to plan and conduct the community meetings. The Oregon Health Authority is expected to report progress and findings to the appropriate legislative committees and the 2016 Legislature.

The Subcommittee included the following direction in regards to fee-for-service (both Medicaid and non-Medicaid) rate increases to addiction treatment providers:

Budget Note:
The Oregon Health Authority will use $3.5 million of the $6 million included in the new investments for A&D services included in the OHA 2015-17 budget to increase rates to addiction treatment providers. OHA will report the final rate increases to the interim Joint Committee on Ways and Means by November 2015.

Long Term Care Ombudsman
The Subcommittee added $100,000 General Fund on a one-time basis to provide interim support for the agency as it continues to ramp up new programs, including advocacy for residents of independent living sections of Continuing Care Retirement Communities as required under Senate Bill 307 (2015). The agency has also been undergoing a leadership transition and is facing challenges in volunteer recruitment; the additional funding will allow the Long Term Care Ombudsman to contract or to make a short-term hire for program development expertise to focus on these needs over the first year of the biennium.

JUDICIAL BRANCH

Oregon Judicial Department
The Subcommittee increased Judicial Department expenditures by $40,955,000, including $700,000 General Fund, and $40,255,000 Other Funds.

The Subcommittee appropriated $100,000 General Fund to increase funding for the Oregon Law Commission. This action raises total General Fund support for the Oregon Law Commission in the 2015-17 biennium budget to $331,319, a 45.3 percent increase over the 2013-15 biennium funding level. The Subcommittee also added a one-time General Fund appropriation of $600,000, for support of the Oregon State Bar Legal Services Program (a.k.a., Legal Aid). The General Fund appropriation will supplement the $11,900,000 of Other Funds support for Legal Aid provided in Senate Bill 5514, and is approximately a 5 percent increase over the base funding level.

The Subcommittee approved Other Funds expenditure limitation increases for county courthouse capital construction projects funded through the Oregon Courthouse Capital Construction and Improvement Fund (OCCCIF). The legislatively adopted budget includes $27,775,000 of Article XI-Q bond proceeds authorized in House Bill 5005 for the courthouse capital construction projections funded through the OCCCIF. The approved

bond proceeds include $17.4 million for the Multnomah County Central Courthouse project, $2.5 million for the Jefferson County Courthouse project, and $7,875,000 for the Tillamook County Courthouse project.

The Legislature previously authorized Article XI-Q bonds for the Multnomah County and Jefferson County projects in the 2013-15 biennium budget. The Subcommittee increased the OCCCIF Other Funds expenditure limitation by $39.8 million to allow expenditures of up to $19.9 million of bond proceeds and of up to $19.9 million of required county matching funds for these two projects. The Subcommittee did not approve Other Funds expenditure limitation for the Tillamook County Courthouse project. The Judicial Department will report to the Joint Committee on Ways and Means or to the Emergency Board on the Tillamook County Courthouse project, and request Other Funds expenditure limitation for the project, prior to distributing any money from the OCCCIF for the Tillamook County Courthouse project.

The Subcommittee also increased the Judicial Department operations Other Funds expenditure limitation for operations by $455,000, for the cost of issuance of Article XI-Q bonds for OCCCIF projects.

NATURAL RESOURCES

Department of Agriculture

The Subcommittee approved a $25,000 General Fund increase to the Predator Control program, bringing the program total to $447,718 General Fund, as well as, a $30,000 General Fund increase to the Wolf Compensation and Grant Assistance program, bringing the program total to $233,000 General Fund.

The Subcommittee also approved a $1,747,018 Other Funds expenditure limitation increase to support enhancements to the Pesticides program. The enhancements include the addition of 6 positions (5.26 FTE) to the program. The positions will be made up of four pesticide investigators (NRS 3), one customer service representative (NRS 3), and one case reviewer (NRS 4). The additional investigative staff is needed to manage existing caseload requirements. Other enhancements include laboratory testing and sampling of pesticides, as well as, modification of the existing investigation and case management database. An additional $108,326 Other Funds was approved to increase the ODA staffing level for the Pesticide Analytical Response Center (PARC) by 0.50 FTE. ODA also increased the existing transfer made to the Oregon Health Authority (OHA) by $137,152 Other Funds to increase the ODA paid OHA staffing level for the Pesticide Analytical Response Center by 0.50 FTE. Revenue to support these various Other Funds increases will come from increases in pesticide product registration fees approved in House Bill 3459.

Department of Environmental Quality

The Subcommittee approved $280,000 General Fund for a Portland Harbor Statewide Coordination policy position. According to the Governor's requested budget, this is a senior-level policy position that will be housed in DEQ, but will report to the Governor's natural resources policy advisor. This Operations and Policy Analyst 4 position will be phased in on January 1, 2016 (0.75 FTE). In addition, $110,092 Other Funds expenditure limitation and one half-time NRS 3 position (0.50 FTE) was approved for implementation of the residential asbestos inspection program established by Senate Bill 705, which passed earlier this session.

Department of Fish and Wildlife

The Subcommittee approved allowing the Oregon Department of Fish and Wildlife to retain $5,000 General Fund savings from Department of Administrative Services' assessment reductions that will be used to pay for the study in Senate Bill 779. This study is to determine whether ORS 497.006 should be amended to allow additional members of the uniformed services to be considered resident persons for the purpose of purchasing licenses, tags, and permits issued by the State Fish and Wildlife Commission.

The Subcommittee approved a one-time General Fund appropriation of $500,000 to improve and protect sage grouse habitat through actions, such as juniper removal, that improve the resilience of sagebrush habitat to wildfire.

The Subcommittee also approved a $25,000 General Fund increase in state support for the Predator Control program, bringing the state support total to $453,365 General Fund.

Department of Forestry

The Subcommittee approved a one-time appropriation of $809,377 General Fund to the Fire Protection Division of the Oregon Department of Forestry (ODF) for sage grouse habitat protection and improvement. This amount is in addition to amounts contained in the agency's budget bill for the same purposes. The appropriation includes $109,377 for a limited-duration, half-time (0.50 FTE) Natural Resource Specialist 1 position and associated services and supplies to provide administrative support and technical training to rangeland protection associations. The appropriation also includes $700,000 for grants to support rangeland wildfire threat reductions. The grant funding is for eligible services, supplies, and equipment expenditures of rangeland fire protection associations and counties in addressing rangeland wildfire management and suppression on unprotected or under-protected lands. The funding is to be implemented consistent with wildfire-related provisions of Oregon's plan for addressing the conservation of the greater sage grouse and rural community vitality. Oregon will provide the funding to the Oregon Watershed Enhancement Board, which will oversee the grant program in conjunction with other ODF grant programs.

Department of Land Conservation and Development

The Subcommittee approved the reduction of the General Fund appropriation made to the Department of Land Conservation and Development for the Southern Oregon Regional Pilot Program (SORPP) in the agency's 2013-15 budget (SB 5530, 2013) in the amount of $194,000 and the re-establishment of that amount as a one-time General Fund appropriation in the 2015-17 biennium. The funding is a portion of the amount established by policy option package 107 in the agency's 2013-15 budget for the purpose of carrying out Executive Order 12-07 that the agency will not expend during the 2013-2015 biennium and that the grant recipient counties have requested to be moved forward to the 2015-17 biennium to allow additional time for the grant-funded work to be completed.

The Subcommittee approved the appropriation of $300,000 General Fund to establish a SageCon Coordinator, a limited duration Natural Resource Specialist 5 position, to lead staff participating in the SageCon Governance and Implementation Teams. The position will work closely with and take direction primarily from the Governor's Office to provide overall leadership and direction for state agencies working with public and private stakeholder interests in the energy, agricultural, and conservation sectors.

Department of State Lands
Senate Bill 5507 increases the Federal Funds expenditure limitation in anticipation of an Environmental Protection Agency (EPA) wetlands grant in an amount up to $133,000. The Department expects notification on the grant in October 2015. The Department of Administrative Services (DAS) is expected to unschedule the limitation until such time as State Lands notifies DAS and the Legislative Fiscal Office that the funds are awarded. There is an additional federal limitation increase for EPA grant carryforward in the amount of $28,488.

Parks and Recreation Department
Several adjustments are made in the Parks and Recreation Department (OPRD) budget to reflect the June 2015 Parks and Natural Resources (Measure 76) Lottery Funds forecast, an increase of $3 million over the March 2015 forecast. The distribution is $300,000 for property acquisition, $500,000 for facilities maintenance, $58,314 for direct services, and $362,326 to satisfy the constitutionally directed 12% to local governments. The remainder of the increase is applied to the ending balance. There is also an increase in Other Funds of $98,740 in direct services to keep funding and ending balances in proportion with approximate percentages of lottery and other funds expenditures.

A $970,000 fund shift from Federal Funds to Lottery Funds reimburses OPRD for using M76 Lottery Funds to secure acquisition of the Beltz Farm in 2014-15. A subsequent federal grant frees up the lottery dollars for carry-forward expenditure limitation in park development.
The State Historic Preservation Office is provided an increase in Federal Funds expenditure limitation of $70,425. The limitation will be used to pass through two federal Maritime Heritage grants that local entities have been awarded.

The 2015-17 OPRD budget included $912,494 non-Measure 76 lottery funds to provide debt service on the Willamette Falls bonding in the 2013-15 budget. The debt service is eliminated as it is not needed; the $5 million bond request has been satisfied with cash instead.

Other Funds expenditure limitation is increased by $11,716,805 for three lottery bond-funded purposes. There is no debt service in the 2015-17 biennium as the bonds will not be sold until the Spring of 2017. Debt service in 2017-19 is $2.2 million non-Measure 76 Lottery Funds.

The Portland Parks and Recreation Department is to receive $1,500,000 lottery bond proceeds passed through the Parks and Recreation Department for the Renew Forest Park project. The cost of issuance for this project is $42,071 Other Funds.

The Main Street Revitalization Grant program is funded with $2,500,000 lottery bond proceeds. OPRD will design, develop, administer, account for, and monitor the new grant program, beginning late in the 2015-17 biennium. Because new positions will be needed to manage the grants, OPRD will need to request funding for them during the 2016 legislative session. The cost of issuance for this bond sale is $51,972 Other Funds.

Lottery bond proceeds in the amount of $7,500,000 will be applied to the Willamette Falls Riverwalk project in Oregon City. The cost of issuance is $122,761 Other Funds.

Water Resources Department
The Subcommittee approved the establishment of Other Funds expenditure limitation for the Water Resources Department for the expenditure of lottery bond proceeds as follows:

- $2,000,000 one-time Other Funds expenditure limitation for the purpose of making grants and paying the cost of direct services for the qualifying costs of planning studies performed to evaluate the feasibility of developing a water conservation, reuse, or storage project, as described in ORS 541.566, and $47,477 one-time Other Funds expenditure limitation for the payment of bond issuance costs from Lottery bond proceeds from the Water Conservation, Reuse, and Storage Investment Fund established under ORS 541.576. The bonds are anticipated to be issued at the end of the 2015-17 biennium and, therefore, no debt service expenditures are anticipated in the 2015-17 biennium. The cost of debt service for the 2017-19 biennium is estimated to be $418,891.
- $750,000 one-time Other Funds expenditure limitation for the expenditure of Lottery bond proceeds for facilitation of the preparation of place-based integrated water resources strategies as described by Section 2(2) of Senate Bill 266 (2015) from the Water Supply Fund established by Chapter 906, Section 10(1), Oregon Laws 2009. The bonds are anticipated to be issued at the end of the 2015-17 biennium and, therefore, no debt service expenditures are anticipated in the 2015-17 biennium. The cost of debt service for the 2017-19 biennium is estimated to be $156,831
- $11,000,000 one-time Other Funds expenditure limitation for the purpose of making grants or entering into contracts to facilitate water supply projects in the Umatilla Basin and for the payment of professional services such as third-party contract administration and quality control contracts related to the projects from the Water Supply Fund established by Chapter 906, Section 10(1), Oregon Laws 2009. The bonds are anticipated to be issued at the end of the 2015-17 biennium and, therefore, no debt service expenditures are anticipated in the 2015-17 biennium. The cost of debt service for the 2017-19 biennium is estimated to be $2.3 million.
- $1,000,000 one-time Other Funds expenditure limitation for the purpose of making one or more grants to individuals or entities to repair, replace, or remediate water wells in the Mosier Creek area from the from the Water Supply Fund established by Chapter 906, Section 10(1), Oregon Laws 2009. The bonds are anticipated to be issued at the end of the 2015-17 biennium and, therefore, no debt service expenditures are anticipated in the 2015-17 biennium. The cost of debt service for the 2017-19 biennium is estimated to be $190,071.
- $280,433 one-time Other Funds expenditure limitation for the payment of bond issuance costs for the three items above from the Water Supply Fund established by Chapter 906, Section 10(1), Oregon Laws 2009. The cost of debt service for the 2017-19 biennium is estimated to be $2.3 million.
- $6,362,979 one-time Other Funds expenditure limitation for the purpose of carrying out sections 1 to 15 of Chapter 784, Oregon Laws 2013 and the payment of bond issuance costs from the Water Supply Development Fund established under ORS 541.656. Of the total, $112,979 is for bond issuance costs.

The Subcommittee approved the establishment of $30,000,000 one-time Other Funds expenditure limitation for the expenditure of general obligation bond proceeds from bonds issued under of Article XI-I (1) for the purposes provided in ORS 541.700 to 541.855 and for the payment of bond issuance costs from the Water Development Loan Fund.

The Subcommittee approved the establishment of Other Funds expenditure limitation in the amount of $520,000 for bond issuance costs and $1,201,865 for debt service payments associated with the issuance of bonds issued under of Article XI-I (1) for the purposes provided in ORS 541.700 to 541.855 from the Water Development Loan Administration and Bond Sinking Fund established under ORS 541.830.

Oregon Watershed Enhancement Board

The Subcommittee approved a $200,000 Federal Funds expenditure limitation increase to the Grants program from Pacific Coastal Salmon Recovery Fund (PCSRF) monies to increase the amount of PCSRF funding transferred to the Oregon Department of Fish and Wildlife (ODFW) to a total of $9,511,859, which is the amount anticipated to be transferred by the Oregon Watershed Enhancement Board to ODFW in Senate Bill 5511, the budget bill for the Oregon Department of Fish and Wildlife. Miscommunication during development of the two agencies' request budgets prevented confirmation of matching amounts.

The Subcommittee also directed the Oregon Watershed Enhancement Board to increase the total amount of Lottery Funds from the Watershed Conservation Grant Fund made for weed grants by $500,000, on a one-time basis. These additional Grant funds are to be used to improve sage grouse habitat through landowner actions to inventory, treat, and reduce plant invasive species threats to sage grouse habitat and rural economic values and restore native plant habitat. OWEB is directed to work with the Department of Agriculture, local weed boards, and other local delivery mechanisms in getting this additional $500,000 on the ground improving sage grouse habitat in the shortest time possible.

PUBLIC SAFETY

Department of Corrections

Article XI-Q bonding in the amount of $14.2 million is included in House Bill 5005 and capital construction expenditure limitation is approved in House Bill 5006. The bonding covers continued work at the Department's backlog of deferred maintenance. Specifically the funding addresses 25 priority 1, statewide projects. The bonds will be issued in 2017, with debt service beginning in the 2017-19 biennium at $3.7 million General Fund. This bill includes Other Funds expenditure limitation for cost of issuance in the amount of $254,568.

Senate Bill 5507 includes a one-time $400,000 General Fund appropriation to the Department of Administrative Services for a community-based organization, the YWCA of Greater Portland, to administer the Family Preservation Program (FPP) at Coffee Creek Correctional Facility. The program description is in the Department of Administrative Services section of this report.

While the YWCA of Greater Portland will implement the program, the DOC also has a role in the program's success. The following budget note is intended to clarify the DOC role in administering the FPP:

Budget Note:

The Department of Corrections is directed to work with the YWCA to serve the best interests of the children of incarcerated parents by facilitating enriched visitation, parenting education, and family support. Cooperation will include:

- DOC staff assisting in the referral of high-risk offenders to the program and conferring with the Department of Human Services about referral of possible high-risk children,
- Providing adequate program space for twice-monthly therapeutic, face-to-face parent and child visiting sessions for a minimum of three hours per session,
- Facilitating parent-teacher conferences and parent-caregiver communication and visitation, and
- Providing appropriate outcome data to the YWCA.

Criminal Justice Commission

The 2015-17 budget for the Criminal Justice Commission is increased by $5,000,000 General Fund to enhance the $35 million General Fund provided for Justice Reinvestment Initiative grants in the agency's budget bill, Senate Bill 5506. This appropriation brings the total available for the program in 2015-17 to $40 million.

Department of Justice

The Department of Justice generates the majority of its Other Funds revenue from charges to state agencies for legal services. The 2013-15 biennium Attorney General rate was $159 per hour and generated an estimated $139.1 million. The 2015-17 Attorney General rate for the legislatively adopted budget is $175 and is estimated to generate $153.3 million. This is a $14.2 million increase (10.2 percent). The change to the Legal Services rate in agency budgets is addressed as part of this measure.

The Subcommittee approved funding for the second "execution phase" (design, construction, testing, and implementation) of the agency's child support information technology replacement project (Child Support Enforcement Automated System, or CSEAS). While the project is still in the latter stages of the initial planning phase, the agency plans to move into the execution phase of the project during the 2015-17 biennium. Application system source code, database design documentation, system documentation, and other necessary artifacts from California, Michigan, and New Jersey will be acquired to facilitate the development of the new system. The project will also begin requirements validation and conduct Joint Application and Joint Technical Design sessions prior to the designing of the new system. The project will then work on the system design and construction of the new system, including data conversion and migration planning, and eventually application testing and implementation.

However, because the agency has not completed all required planning phase activities and documentation, and because it has not received Stage Gate #3 Office of the State Chief Information Officer endorsement of its foundational project management documentation (including a readiness and ability assessment), the execution phase of the project cannot actually begin until the agency first satisfactorily completes all remaining planning phase activities, including the completion of all the Joint Committee on Ways and Means – Information Technology Subcommittee recommendations for the CSEAS project (see below). Until these funding conditions are met, almost all "execution phase" funding will remain unscheduled (see below direction that funds be unscheduled).

The Subcommittee approved $15,209,670 of Other Funds expenditure limitation for project costs, which is to be financed with Article XI-Q bonds approved in House Bill 5005. The Subcommittee also approved $29,997,991 Federal Funds expenditure limitation and the establishment of 22 permanent full-time positions (21.13 FTE). This includes personal services of $3.6 million and services and supplies of $41.8 million. The amount for services and supplies includes $35.3 million total funds of contractor payments for: project management, including organization change management services; implementation; independent quality assurance; and independent verification and validation.

Project revenues also include an estimated $2.9 million in bond proceeds that were authorized and issued during the 2013-15 biennium but remained unexpended. Associated Other Funds expenditure limitation, which was not part of the agency's request, may be requested at a future date.

The Department of Administrative Services is expected to unschedule $10.7 million of Other Funds expenditure limitation and $20.8 million Federal Funds expenditure limitation that may be scheduled based upon the joint approval of the Office of the State Chief Information Officer and

the Legislative Fiscal Office. The agency is to submit a report on its compliance, as part of a request to schedule funds, with the Joint Committee on Ways and Means – Information Technology Subcommittee recommendations for the CSEAS project (see below) as well as submit a rebaselined budget for the project.

The Department of Administrative Services is requested to establish a new Summary Cross Reference in the Oregon Budget Information Tracking System for the Child Support Enforcement Automated System program in order to segregate all project costs from the Child Support Division and other Division's operating costs.

Unless otherwise approved by the Legislature or the Emergency Board, the positions budgeted for the project are established as permanent full-time positions under the following conditions: (a) the positions will be abolished on or before the completion of the project; (b) the positions are to remain in the CSEAS program (i.e., CSEAS summary cross reference) and may not be transferred to any other program or used for any other purpose other than the development to the CSEAS project; and (c) the positions may not be included in any permanent finance plan action.

Other Funds expenditure limitation of $205,330 is included for the cost of issuance of the bonds.

The Subcommittee appropriated $2,407,587 in additional General Fund Debt Service to support repayment of Article XI-Q General Obligation bond proceeds approved in House Bill 5005.

The Subcommittee adopted the Joint Committee on Ways and Means – Information Technology Subcommittee recommendation for the CSEAS project:

- Work with the Office of the State Chief Information Officer (OSCIO) and LFO to finalize a corresponding incremental funding release plan that is based upon completing all remaining foundational documentation deficiencies and stage gate 2 and 3 requirements.
- Regularly report project status to the OSCIO and LFO throughout the project's lifecycle.
- Continue to follow the Joint State CIO/LFO Stage Gate Review Process.
- Utilize a qualified project manager with experience in planning and managing programs and projects of this type, scope, and magnitude.
- Work with the OSCIO to obtain independent quality management services. The contractor shall conduct an initial risk assessment and respond to DOJ feedback, perform quality control reviews on the key CSEAS Project deliverables including the business case, individual cost benefit/alternatives analysis documents, and foundational project management documents as appropriate, and perform ongoing, independent quality management services as directed by the OSCIO.
- Provide both OSCIO and LFO with copies of all QA vendor deliverables.
- Submit the updated detailed business case, all project management documents, initial risk assessment, and quality control reviews to the OSCIO and LFO for stage gate review.
- Work with OSCIO and LFO to conduct a formal readiness/ability assessment at stage gate 3 to validate readiness and ability to proceed to the execution phase of the project.
- Utilize the Office of the State CIO's Enterprise Project and Portfolio Management (PPM) System as it is deployed for all project review, approval, and project status and QA reporting activities throughout the life of the CSEAS Project. Legislative approval to proceed with the

CSEAS Project will be dependent upon OSCIO and LFO concurrence that DOJ is both ready and able to initiate project execution activities.

The Subcommittee established a $2 million General Fund special purpose appropriation to the Emergency Board for the Defense of Criminal Convictions as a contingency for potential caseload increases.

The Subcommittee also appropriated $240,550 General Fund to support the Oregon Crime Victims Law Center. This will bring total funding for the program to $367,800 for the biennium, including $77,250 General Fund of existing General Fund and $50,000 Other Funds from the renewal of a state grant.

Oregon Military Department

Senate Bill 5507 includes $89,563 General Fund and $358,253 Federal Funds expenditure limitation for three permanent full-time federal operations and maintenance (FOMA) positions (3.00 FTE). These are 3 of 8 positions eliminated from the Portland Air National Guard (PANG) base in 2009 budget cuts, which brought the FOMA workforce to 18, of 26 National Guard authorized positions. In 2013 the Legislature restored the 8 positions as Limited Duration (LD). This funding restores 3 of the LD positions as permanent. The National Guard pays 80% of the cost, General Fund covers the rest. The restored positions will maintain liquid jet fuel receiving, storage, and distribution for all 21 F-15's at PANG; maintain pesticide and herbicide certifications to keep grassy areas in compliance with Port of Portland mandated wildlife standards, which are meant to deter birds from the airfield; and maintain HVAC systems for climate control of critical communications equipment, as well as base fire alarm systems.

Also included is $250,000 one-time General Fund for the Oregon Military Museum for creation of exhibits and other capital expenditures directly related to the establishment and maintenance of the museum.

Two projects are approved for Article XI-Q bonding (House Bill 5005) and capital construction expenditure limitation (House Bill 5006). The first project is a new Joint Forces Headquarters facility to be located in Salem. Two-thirds of the total bonds will be sold in 2016 and the remainder in 2017. Debt Service in 2015-17 is approved in this bill at $434,833 General Fund. Second is expansion of the Oregon Youth Challenge Armory in Bend. Those bonds will be issued in 2017, with debt service beginning in the 2017-19 biennium. Cost of issuance is $153,000 for the two projects; expenditure limitation is included in this bill. Debt service for the two projects in 2017-19 is $2.3 million General Fund.

Department of State Police

The Subcommittee increased the Other Funds expenditure limitation in the Fish and Wildlife Enforcement Division by $993,640 for capital equipment replacements costs. Expenditure limitation is increased by $78,830 Other Funds in the Administrative, Agency Support, Criminal Justice Information systems, and Office of the State Fire Marshal Other Funds limitation to pay for costs associated with reclassifying fourteen positions in the Firearms Background Check program to better address complexity and volume of firearm background checks.

Measure 76 Lottery Funds for Fish and Wildlife Enforcement are increased by $278,788 for fish and wildlife enforcement. Fish and Wildlife troopers are reduced by (0.50) FTE due to the phase-in of one position approved for patrolling the Columbia River late in the 2015-17 biennium.

The Subcommittee approved nine position reclassifications in the Forensic Services division and one position reclassification in the Administrative Services division. These position reclassifications will better address workload issues associated with evidence handling and chain of custody in the laboratories, will properly align workload and responsibilities in the Administrative Services division, and do not require additional expenditure limitation to accomplish.

Federal Funds expenditure limitation is increased by $1,163 in the administrative services, agency support, criminal justice information services, and the office of the State Fire Marshal to balance expenditures to federal grant revenues.

Oregon Youth Authority

Article XI-Q bond issuance totaling $49 million for the 10-Year Strategic Facilities Plan is approved in House Bill 5005. House Bill 5006 authorizes Other Funds capital construction expenditure limitation in the same amount. $33 million of the bonds will be sold in 2016 and the rest in 2017. General Fund Debt Service in 2015-17 is $3,115,428, approved in this bill. Other Funds expenditure limitation in the amount of $1,055,565 for cost of issuance expense is also included in this bill. Debt Service in 2017-19 will be $8.6 million.

A $1 placeholder for Federal Funds Debt Service Nonlimited is added; it was not included in the agency's budget report. The dollar acts as a base in the event the state needed to issue taxable bonds. If such bonds were issued, the federal Build America Bonds program could offset part of the additional associated interest costs.

TRANSPORTATION

Department of Aviation

The Subcommittee approved the move of 0.20 FTE from the Operations program to the Search and Rescue program in the Department of Aviation. This shift aligns staff and resources in the Search and Rescue program. Other Funds expenditure limitation is reduced by $22,537 in the Operations Division and is increased in the Search and Rescue Division in the same amount.

Department of Transportation

The Subcommittee approved the increase of $130,000 General Fund for the Oregon Department of Transportation's Seniors and People with Disabilities Transportation Program; the funding is part of a commitment to spending on senior programs that originated in the 2013 special session. This program distributes funds to counties, transit districts, and tribes that provide transportation services for older adults and people with disabilities.

The Subcommittee approved an increase in Other Funds expenditure limitation in ODOT's Transportation Program Development section of $45,000,000 in lottery bond proceeds for ConnectOregon VI. In addition, the limitation is increased by $653,540 for cost of issuance expenses. There is no debt service in the 2015-17 biennium as the bonds will not be sold until the Spring of 2017. Debt service in 2015-17 is $8,317,100 Lottery Funds.

Other Funds expenditure limitation is increased in the Rail Division by $10,000,000 for lottery bond proceeds for the Coos Bay Rail line. In addition, the limitation is increased by $226,194 for cost of issuance expenses. There is no debt service in the 2015-17 biennium as the bonds will not be sold until the Spring of 2017. Debt service in 2017-19 is $1,865,288 Lottery Funds.

The Subcommittee approved an Other Funds expenditure limitation of $475,000 for cost of issuance of $35,000,000 in General Obligation bonds for seven highway safety improvement projects. There is no debt service in the 2015-17 biennium as the bonds will not be sold until the Spring of 2017. Debt service in 2017-19 is $5,135,799 General Fund.

The measure gives approval to the agency to move four positions (4.00 FTE) from the Information Services Branch to the Procurement Branch within ODOT's Central Services Division to align purchasing functions agency-wide.

Adjustments to 2013-15 Budgets

Oregon Health Authority

Other Funds expenditure limitation for the Public Employees' Benefit Board for the 2013-15 biennium was increased by $45 million. This will allow the agency to pay premiums and claims costs for the rest of the biennium.

Department of Land Conservation and Development

The Subcommittee approved the reduction of the General Fund appropriation made to the Department of Land Conservation and Development for the Southern Oregon Regional Pilot Program (SORPP) in the agency's 2013-15 budget (Senate Bill 5530, 2013) in the amount of $194,000 and the re-establishment of that amount as a one-time General Fund appropriation in the 2015-17 budget. The funding is a portion of the amount established by policy option package 107 in the agency's 2013-15 budget for the purpose of carrying out Executive Order 12-07 that the agency will not expend during the 2013-15 biennium and that the grant recipient counties have requested to be moved forward to the 2015-17 biennium to allow additional time for the grant-funded work to be completed.

Commission on Judicial Fitness and Disability

The Subcommittee transferred $5,000 of General Fund from administration to extraordinary expenses in the 2013-15 biennium budget, to fund costs directly associated with the investigation of complaints and the prosecution of cases.

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MEASURE: SB 5701 A
CARRIER: Rep. Buckley

Action: Do Pass The A-Eng Bill.
Action Date: 02/25/16
Vote:
House
Yeas: 11 - Buckley, Gomberg, Huffman, Komp, Nathanson, Rayfield, Read, Smith, Whisnant, Whitsett, Williamson
Exc: 1 - McLane
Senate
Yeas: 7 - Bates, Devlin, Johnson, Monroe, Roblan, Shields, Steiner Hayward
Nays: 4 - Girod, Hansell, Thomsen, Whitsett
Exc: 1 - Winters
Prepared By: Linda Ames and Theresa McHugh, Legislative Fiscal Office
Reviewed By: Daron Hill, Legislative Fiscal Office

Emergency Board; Various Agencies
Biennium: 2015-17

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Emergency Board				
General Fund - General Purpose	$ 30,000,000	$ 32,000,000	$ 2,000,000	6.7%
General Fund - Special Purpose Appropriations				
State Agencies for state employee compensation	$ 120,000,000	$ -	$ (120,000,000)	-100.0%
State Agencies for non-state worker compensation	$ 10,700,000	$ 700,147	$ (9,999,853)	-93.5%
State Agencies for education issues	$ 3,000,000	$ 1,626,121	$ (1,373,879)	-45.8%
Dept. of Education - mixed delivery preschool program	$ 17,540,357	$ -	$ (17,540,357)	-100.0%
HECC - college readiness program implementation	$ 6,865,921	$ -	$ (6,865,921)	-100.0%
Dept. of Forestry - fire protection expenses	$ 6,000,000	$ 3,945,177	$ (2,054,823)	-34.2%
Dept. of Revenue - Property Tax Division	$ 1,836,836	$ -	$ (1,836,836)	-100.0%
Dept. of Corrections - Deer Ridge operations expenses	$ -	$ 3,000,000	$ 3,000,000	100.0%
Dept. of Corrections - expenses related to mentally ill	$ -	$ 2,000,000	$ 2,000,000	100.0%
Malheur Nat'l Wildlife Refuge expense reimbursement	$ -	$ 2,000,000	$ 2,000,000	100.0%
ADMINISTRATION PROGRAM AREA				
Department of Administrative Services				
General Fund	$ 12,468,238	$ 16,073,778	$ 3,605,540	28.9%
Other Funds	$ 898,482,207	$ 911,637,817	$ 13,155,610	1.5%
Advocacy Commissions Office				
General Fund	$ 602,262	$ 626,557	$ 24,295	4.0%
Employment Relations Board				
General Fund	$ 2,393,033	$ 2,460,956	$ 67,923	2.8%
Other Funds	$ 2,014,991	$ 2,066,561	$ 51,570	2.6%
Oregon Government Ethics Commission				
Other Funds	$ 2,720,429	$ 2,789,379	$ 68,950	2.5%
Office of the Governor				
General Fund	$ 12,448,211	$ 12,773,672	$ 325,461	2.6%
Lottery Funds	$ 4,058,418	$ 4,209,051	$ 150,633	3.7%
Other Funds	$ 3,152,058	$ 3,249,297	$ 97,239	3.1%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Oregon Liquor Control Commission				
Other Funds	$ 178,713,603	$ 181,706,250	$ 2,992,647	1.7%
Public Employees Retirement System,				
Other Funds	$ 95,161,904	$ 107,769,491	$ 12,607,587	13.2%
		$ -		
Racing Commission				
Other Funds	$ 6,193,966	$ 6,276,229	$ 82,263	1.3%
Department of Revenue				
General Fund	$ 186,702,371	$ 193,187,720	$ 6,485,349	3.5%
Other Funds	$ 130,931,438	$ 134,486,949	$ 3,555,511	2.7%
Secretary of State				
General Fund	$ 9,422,659	$ 9,949,390	$ 526,731	5.6%
Other Funds	$ 54,607,321	$ 56,279,809	$ 1,672,488	3.1%
Federal Funds	$ 6,242,689	$ 6,277,676	$ 34,987	0.6%
State Library				
General Fund	$ 3,536,497	$ 3,626,974	$ 90,477	2.6%
Other Funds	$ 6,227,861	$ 6,440,443	$ 212,582	3.4%
Federal Funds	$ 5,061,853	$ 5,121,642	$ 59,789	1.2%
State Treasurer				
General Fund	$ 1,658,284	$ 1,687,988	$ 29,704	1.8%
Other Funds	$ 61,114,368	$ 62,170,171	$ 1,055,803	1.7%
CONSUMER AND BUSINESS SERVICES PROGRAM AREA				
State Board of Accountancy				
Other Funds	$ 2,454,268	$ 2,506,638	$ 52,370	2.1%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Chiropractic Examiners Board				
Other Funds	$ 1,889,260	$ 1,931,737	$ 42,477	2.2%
Consumer and Business Services				
Other Funds	$ 243,170,782	$ 246,301,771	$ 3,130,989	1.3%
Federal Funds	$ 16,431,616	$ 17,320,682	$ 889,066	5.4%
Construction Contractors Board				
Other Funds	$ 14,659,027	$ 15,051,664	$ 392,637	2.7%
Board of Dentistry				
Other Funds	$ 2,985,971	$ 3,043,804	$ 57,833	1.9%
Health Related Licensing Boards				
Other Funds	$ 5,707,058	$ 5,876,450	$ 169,392	3.0%
Bureau of Labor and Industries				
General Fund	$ 12,563,620	$ 12,892,771	$ 329,151	2.6%
Other Funds	$ 10,831,529	$ 11,296,258	$ 464,729	4.3%
Federal Funds	$ 1,476,462	$ 1,539,652	$ 63,190	4.3%
Licensed Professional Counselors and Therapists, Board of				
Other Funds	$ 1,505,938	$ 1,540,904	$ 34,966	2.3%
Licensed Social Workers, Board of				
Other Funds	$ 1,471,646	$ 1,500,640	$ 28,994	2.0%
Board of Medical Examiners				
Other Funds	$ 11,269,353	$ 11,605,454	$ 336,101	3.0%
Board of Nursing				
Other Funds	$ 15,265,753	$ 15,573,363	$ 307,610	2.0%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Board of Pharmacy				
Other Funds	$ 6,856,245	$ 7,057,070	$ 200,825	2.9%
Psychologist Examiners Board				
Other Funds	$ 1,284,790	$ 1,323,155	$ 38,365	3.0%
Public Utility Commission				
Other Funds	$ 44,128,339	$ 45,429,873	$ 1,301,534	2.9%
Federal Funds	$ 698,049	$ 726,238	$ 28,189	4.0%
Real Estate Agency				
Other Funds	$ 6,897,314	$ 7,159,101	$ 261,787	3.8%
Tax Practitioners Board				
Other Funds	$ 1,235,571	$ 1,260,908	$ 25,337	2.1%
ECONOMIC AND COMMUNITY DEVELOPMENT PROGRAM AREA				
Oregon Business Development Department				
General Fund	$ 16,845,486	$ 15,565,790	$ (1,279,696)	-7.6%
Lottery Funds	$ 111,789,423	$ 113,289,994	$ 1,500,571	1.3%
Other Funds	$ 293,644,535	$ 295,973,576	$ 2,329,041	0.8%
Other Funds Nonlimited	$ 225,972,465	$ 231,792,465	$ 5,820,000	2.6%
Federal Funds	$ 39,967,883	$ 40,101,139	$ 133,256	0.3%
Employment Department				
General Fund	$ 6,112,818	$ 6,133,655	$ 20,837	0.3%
Other Funds	$ 141,800,701	$ 146,138,599	$ 4,337,898	3.1%
Federal Funds	$ 157,985,169	$ 162,716,380	$ 4,731,211	3.0%
Housing and Community Services Department				
General Fund	$ 15,679,188	$ 28,421,768	$ 12,742,580	81.3%
Other Funds	$ 212,088,734	$ 223,456,192	$ 11,367,458	5.4%
Federal Funds	$ 119,926,854	$ 120,114,238	$ 187,384	0.2%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Department of Veterans' Affairs				
General Fund	$ 12,748,351	$ 13,002,777	$ 254,426	2.0%
Other Funds	$ 83,768,166	$ 84,275,562	$ 507,396	0.6%
Federal Funds	$ 2,805,304	$ 3,305,303	$ 499,999	17.8%
EDUCATION PROGRAM AREA				
Department of Education				
General Fund	$ 544,682,780	$ 577,542,813	$ 32,860,033	6.0%
Other Funds	$ 273,993,743	$ 277,228,514	$ 3,234,771	1.2%
Federal Funds	$ 1,026,393,576	$ 1,038,273,634	$ 11,880,058	1.2%
State School Fund				
General Fund	$ 6,964,849,484	$ 6,925,296,093	$ (39,553,391)	-0.6%
Lottery Funds	$ 408,150,516	$ 447,703,907	$ 39,553,391	9.7%
Higher Education Coordinating Commission				
General Fund	$ 32,035,777	$ 34,981,675	$ 2,945,898	9.2%
Other Funds	$ 30,509,613	$ 31,541,490	$ 1,031,877	3.4%
Federal Funds	$ 111,680,983	$ 111,923,269	$ 242,286	0.2%
State Support for Community Colleges				
General Fund	$ 589,305,847	$ 596,555,847	$ 7,250,000	1.2%
State Support for Public Universities				
General Fund	$ 941,746,515	$ 944,646,515	$ 2,900,000	0.3%
Chief Education Office				
General Fund	$ 6,239,594	$ 12,857,142	$ 6,617,548	106.1%
Teacher Standards and Practices				
Other Funds	$ 6,155,894	$ 6,511,902	$ 356,008	5.8%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
HUMAN SERVICES PROGRAM AREA				
Commission for the Blind				
General Fund	$ 2,892,992	$ 3,691,540	$ 798,548	27.6%
Other Funds	$ 992,094	$ 1,183,539	$ 191,445	19.3%
Federal Funds	$ 12,319,703	$ 15,827,037	$ 3,507,334	28.5%
Oregon Health Authority				
General Fund	$ 2,120,607,875	$ 2,139,964,413	$ 19,356,538	0.9%
Lottery Funds	$ 11,292,544	$ 11,348,753	$ 56,209	0.5%
Other Funds	$ 5,683,377,776	$ 5,782,295,632	$ 98,917,856	1.7%
Federal Funds	$ 11,400,938,911	$ 12,389,291,524	$ 988,352,613	8.7%
Department of Human Services				
General Fund	$ 2,700,922,689	$ 2,765,044,703	$ 64,122,014	2.4%
Other Funds	$ 500,033,526	$ 532,329,349	$ 32,295,823	6.5%
Federal Funds	$ 4,488,244,260	$ 4,802,435,818	$ 314,191,558	7.0%
Long Term Care Ombudsman				
General Fund	$ 6,172,203	$ 6,303,638	$ 131,435	2.1%
Other Funds	$ 719,522	$ 737,480	$ 17,958	2.5%
Psychiatric Security Review Board				
General Fund	$ 2,604,005	$ 2,688,017	$ 84,012	3.2%
JUDICIAL BRANCH				
Judicial Department				
General Fund	$ 424,653,443	$ 433,042,702	$ 8,389,259	2.0%
Other Funds	$ 138,932,144	$ 147,988,947	$ 9,056,803	6.5%
Federal Funds	$ 1,598,284	$ 1,606,769	$ 8,485	0.5%
Commission on Judicial Fitness and Disability				
General Fund	$ 230,040	$ 405,777	$ 175,737	76.4%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Public Defense Services Commission				
General Fund	$ 275,010,417	$ 275,454,479	$ 444,062	0.2%
Other Funds	$ 3,833,764	$ 3,846,904	$ 13,140	0.3%
LEGISLATIVE BRANCH				
Legislative Administration Committee				
General Fund	$ 34,865,791	$ 37,515,179	$ 2,649,388	7.6%
Other Funds	$ 2,225,416	$ 5,435,025	$ 3,209,609	144.2%
Legislative Assembly				
General Fund	$ 39,090,875	$ 38,146,349	$ (944,526)	-2.4%
Other Funds	$ 225,352	$ 223,530	$ (1,822)	-0.8%
Legislative Commission on Indian Services				
General Fund	$ 401,349	$ 410,168	$ 8,819	2.2%
Legislative Counsel				
General Fund	$ 10,841,717	$ 10,646,638	$ (195,079)	-1.8%
Other Funds	$ 1,515,091	$ 1,552,105	$ 37,014	2.4%
Legislative Fiscal Office				
General Fund	$ 4,324,440	$ 4,315,993	$ (8,447)	-0.2%
Other Funds	$ 3,443,858	$ 3,530,895	$ 87,037	2.5%
Legislative Revenue Office				
General Fund	$ 2,414,923	$ 2,496,087	$ 81,164	3.4%
NATURAL RESOURCES PROGRAM AREA				
State Department of Agriculture				
General Fund	$ 23,396,301	$ 24,613,559	$ 1,217,258	5.2%
Lottery Funds	$ 6,289,958	$ 6,491,591	$ 201,633	3.2%
Other Funds	$ 60,578,804	$ 62,478,730	$ 1,899,926	3.1%
Federal Funds	$ 15,563,845	$ 17,630,167	$ 2,066,322	13.3%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Columbia River Gorge Commission				
General Fund	$ 903,983	$ 915,291	$ 11,308	1.3%
Other Funds	$ 5,000	$ -	$ (5,000)	-100.0%
State Department of Energy				
Other Funds	$ 34,288,279	$ 35,076,986	$ 788,707	2.3%
Federal Funds	$ 3,128,423	$ 3,187,299	$ 58,876	1.9%
Department of Environmental Quality				
General Fund	$ 33,948,448	$ 37,732,047	$ 3,783,599	11.1%
Lottery Funds	$ 3,945,160	$ 4,084,177	$ 139,017	3.5%
Other Funds	$ 149,103,999	$ 152,995,169	$ 3,891,170	2.6%
Federal Funds	$ 28,970,775	$ 29,567,515	$ 596,740	2.1%
State Department of Fish and Wildlife				
General Fund	$ 30,081,289	$ 31,046,604	$ 965,315	3.2%
Lottery Funds	$ 4,752,746	$ 4,917,581	$ 164,835	3.5%
Other Funds	$ 174,604,641	$ 178,016,434	$ 3,411,793	2.0%
Federal Funds	$ 138,976,588	$ 142,316,627	$ 3,340,039	2.4%
Department of Forestry				
General Fund	$ 63,414,691	$ 88,388,302	$ 24,973,611	39.4%
Lottery Funds	$ 7,481,960	$ 7,554,096	$ 72,136	1.0%
Other Funds	$ 224,734,577	$ 286,598,792	$ 61,864,215	27.5%
Federal Funds	$ 34,758,694	$ 35,063,741	$ 305,047	0.9%
Department of Geology and Mineral Industries				
General Fund	$ 4,138,836	$ 4,246,695	$ 107,859	2.6%
Other Funds	$ 6,092,210	$ 6,207,283	$ 115,073	1.9%
Federal Funds	$ 5,356,535	$ 5,465,149	$ 108,614	2.0%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Department of Land Conservation and Development				
General Fund	$ 13,152,774	$ 13,483,719	$ 330,945	2.5%
Other Funds	$ 484,999	$ 725,419	$ 240,420	49.6%
Federal Funds	$ 6,254,991	$ 6,392,432	$ 137,441	2.2%
Land Use Board of Appeals				
General Fund	$ 1,772,887	$ 1,817,836	$ 44,949	2.5%
Oregon Marine Board				
Other Funds	$ 26,181,068	$ 26,498,709	$ 317,641	1.2%
Federal Funds	$ 7,464,524	$ 7,467,774	$ 3,250	0.0%
Department of Parks and Recreation				
Lottery Funds	$ 81,406,896	$ 82,917,301	$ 1,510,405	1.9%
Other Funds	$ 108,236,201	$ 110,367,264	$ 2,131,063	2.0%
Federal Funds	$ 12,306,810	$ 12,345,047	$ 38,237	0.3%
Department of State Lands				
General Fund	$ 328,228	$ 346,082	$ 17,854	5.4%
Other Funds	$ 35,792,955	$ 36,617,973	$ 825,018	2.3%
Federal Funds	$ 1,795,917	$ 2,067,484	$ 271,567	15.1%
Water Resources Department				
General Fund	$ 29,622,753	$ 31,160,564	$ 1,537,811	5.2%
Other Funds	$ 73,945,808	$ 74,253,832	$ 308,024	0.4%
Federal Funds	$ 1,302,403	$ 1,312,338	$ 9,935	0.8%
Watershed Enhancement Board				
Lottery Funds	$ 62,250,303	$ 62,482,687	$ 232,384	0.4%
Other Funds	$ 3,545,968	$ 3,553,093	$ 7,125	0.2%
Federal Funds	$ 37,179,454	$ 37,274,113	$ 94,659	0.3%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
PUBLIC SAFETY PROGRAM AREA				
Department of Corrections				
General Fund	$ 1,555,904,536	$ 1,593,133,894	$ 37,229,358	2.4%
Other Funds	$ 53,232,352	$ 55,776,993	$ 2,544,641	4.8%
Oregon Criminal Justice Commission				
General Fund	$ 55,035,612	$ 55,130,454	$ 94,842	0.2%
Other Funds	$ 494,015	$ 864,015	$ 370,000	74.9%
Federal Funds	$ 7,304,929	$ 6,937,604	$ (367,325)	-5.0%
District Attorneys and their Deputies				
General Fund	$ 11,610,450	$ 11,868,624	$ 258,174	2.2%
Department of Justice				
General Fund	$ 76,083,264	$ 78,841,305	$ 2,758,041	3.6%
Other Funds	$ 284,955,845	$ 295,519,057	$ 10,563,212	3.7%
Federal Funds	$ 142,401,423	$ 157,871,008	$ 15,469,585	10.9%
Oregon Military Department				
General Fund	$ 25,019,969	$ 25,350,514	$ 330,545	1.3%
Other Funds	$ 110,312,549	$ 113,312,859	$ 3,000,310	2.7%
Federal Funds	$ 278,357,971	$ 280,784,232	$ 2,426,261	0.9%
Oregon Board of Parole				
General Fund	$ 7,807,978	$ 8,040,916	$ 232,938	3.0%
Oregon State Police				
General Fund	$ 271,442,947	$ 279,647,826	$ 8,204,879	3.0%
Lottery Funds	$ 7,841,010	$ 8,010,065	$ 169,055	2.2%
Other Funds	$ 100,483,764	$ 109,285,417	$ 8,801,653	8.8%
Federal Funds	$ 9,760,242	$ 9,780,941	$ 20,699	0.2%
Department of Public Safety Standards and Training				
Other Funds	$ 37,238,170	$ 42,092,883	$ 4,854,713	13.0%
Federal Funds	$ 4,148,299	$ 6,666,167	$ 2,517,868	60.7%

Budget Summary*

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			$ Change	% Change
Oregon Youth Authority				
General Fund	$ 291,989,720	$ 298,387,030	$ 6,397,310	2.2%
Other Funds	$ 63,325,954	$ 63,399,605	$ 73,651	0.1%
Federal Funds	$ 36,097,766	$ 36,316,493	$ 218,727	0.6%
TRANSPORTATION PROGRAM AREA				
Department of Aviation				
Other Funds	$ 11,979,625	$ 12,370,913	$ 391,288	3.3%
Federal Funds	$ 8,504,014	$ 8,514,798	$ 10,784	0.1%
Department of Transportation				
General Fund	$ 27,827,995	$ 22,585,257	$ (5,242,738)	-18.8%
Other Funds	$ 3,275,943,658	$ 3,313,477,220	$ 37,533,562	1.1%
Federal Funds	$ 110,110,886	$ 110,175,491	$ 64,605	0.1%
2015-17 Budget Summary				
General Fund Total	$ 17,716,499,549	$ 17,780,417,528	$ 63,917,979	0.4%
Lottery Funds Total	$ 709,258,934	$ 753,009,203	$ 43,750,269	6.2%
Other Funds Total	$ 14,023,753,360	$ 14,377,069,073	$ 353,315,713	2.5%
Other Funds Nonlimited Total	$ 225,972,465	$ 231,792,465	$ 5,820,000	2.6%
Federal Funds Total	$ 18,281,516,085	$ 19,633,717,421	$ 1,352,201,336	7.4%

* Excludes Capital Construction

Position Summary

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			Change	% Change
ADMINISTRATION PROGRAM AREA				
Department of Administrative Services				
Authorized Positions	827	841	14	1.7%
Full-time Equivalent (FTE) positions	813.17	826.40	13.23	1.6%
Oregon Liquor Control Commission				
Authorized Positions	261	268	7	2.7%
Full-time Equivalent (FTE) positions	251.16	255.33	4.17	1.7%
Department of Revenue				
Authorized Positions	1,082	1,087	5	0.5%
Full-time Equivalent (FTE) positions	1,012.41	1,020.68	8.27	0.8%
Secretary of State				
Authorized Positions	212	213	1	0.5%
Full-time Equivalent (FTE) positions	210.71	210.96	0.25	0.1%
CONSUMER AND BUSINESS SERVICES PROGRAM AREA				
Consumer and Business Services				
Authorized Positions	962	960	(2)	-0.2%
Full-time Equivalent (FTE) positions	952.57	952.76	0.19	0.0%
Public Utility Commission				
Authorized Positions	128	129	1	0.8%
Full-time Equivalent (FTE) positions	125.97	126.60	0.63	0.5%
ECONOMIC AND COMMUNITY DEVELOPMENT PROGRAM AREA				
Oregon Business Development Department				
Authorized Positions	137	139	2	1.5%
Full-time Equivalent (FTE) positions	134.74	135.74	1.00	0.7%

Position Summary

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			Change	% Change
EDUCATION PROGRAM AREA				
Chief Education Office				
Authorized Positions	17	20	3	17.6%
Full-time Equivalent (FTE) positions	14.64	18.25	3.61	24.7%
Department of Education				
Authorized Positions	552	555	3	0.5%
Full-time Equivalent (FTE) positions	519.01	520.90	1.89	0.4%
HUMAN SERVICES PROGRAM AREA				
Oregon Health Authority				
Authorized Positions	4,428	4,449	21	0.5%
Full-time Equivalent (FTE) positions	4,361.01	4,383.89	22.88	0.5%
Department of Human Services				
Authorized Positions	8,038	8,054	16	0.2%
Full-time Equivalent (FTE) positions	7,897.81	7,905.04	7.23	0.1%
NATURAL RESOURCES PROGRAM AREA				
Department of Environmental Quality				
Authorized Positions	739	752	13	1.8%
Full-time Equivalent (FTE) positions	722.57	730.15	7.58	1.0%
Department of Fish and Wildlife				
Authorized Positions	1,474	1,474	-	0.0%
Full-time Equivalent (FTE) positions	1,198.26	1,199.26	1.00	0.1%
Department of Forestry				
Authorized Positions	1,197	1,201	4	0.3%
Full-time Equivalent (FTE) positions	875.54	878.04	2.50	0.3%

Position Summary

	2015-17 Legislatively Approved Budget	2016 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
			Change	% Change
Department of Land Conservation and Development				
Authorized Positions	57	58	1	1.8%
Full-time Equivalent (FTE) positions	55.90	56.57	0.67	1.2%
Water Resources Department				
Authorized Positions	164	165	1	0.6%
Full-time Equivalent (FTE) positions	162.58	163.25	0.67	0.4%
PUBLIC SAFETY PROGRAM AREA				
Department of Corrections				
Authorized Positions	4,523	4,534	11	0.2%
Full-time Equivalent (FTE) positions	4,479.62	4,487.41	7.79	0.2%
Department of Justice				
Authorized Positions	1,305	1,324	19	1.5%
Full-time Equivalent (FTE) positions	1,291.70	1,298.27	6.57	0.5%
Oregon State Police				
Authorized Positions	1,287	1,299	12	0.9%
Full-time Equivalent (FTE) positions	1,255.24	1,261.87	6.63	0.5%
Department of Public Safety Standards and Training				
Authorized Positions	28	43	15	53.6%
Full-time Equivalent (FTE) positions	26.00	35.74	9.74	37.5%

Summary of Revenue Changes

The General Fund appropriations made in the bill are within resources available as projected in the February 2016 economic and revenue forecast by the Department of administrative Services Office of Economic Analysis.

Summary of Capital Construction Subcommittee Action

Senate Bill 5701 is the omnibus budget reconciliation bill for the 2016 legislative session, implementing the statewide rebalance plan that addresses changes in projected revenues and expenditures since the close of the 2015 session. The Subcommittee approved Senate Bill 5701 with amendments to reflect budget adjustments as described below.

Statewide Adjustments

EMPLOYEE COMPENSATION DISTRIBUTION
The Subcommittee approved allocation of $120 million General Fund to state agencies for employee compensation. The General Fund appropriation is expected to cover about 93% of the statewide estimate of costs for compensation and benefit changes agreed to through collective bargaining or other salary agreements. Total compensation adjustments include $120 million General Fund, $3.2 million Lottery Funds, $111.7 Other Funds, and $55.9 million Federal Funds. Lottery Funds, Other Funds, and Federal Funds expenditure limitations are calculated at fully-funded amounts.

OTHER STATEWIDE ADJUSTMENTS
Other statewide adjustments include adjustments for Pension Obligation Bond (POB) payments and fully funding the General Fund need of employee compensation for small agencies. POB adjustments generated a net savings of $4.3 million Total Funds, including General Fund savings of $487,281. A portion of the savings was used to fully fund the General Fund collective bargaining agreement costs ($243,932) of small agencies.

Section 116 of the budget bill reflects the changes, as described above, for each agency. These adjustments are not addressed in the agency narratives, although they are included in the table at the beginning of the budget report.

Emergency Board

As part of the 2015-17 biennium statewide rebalance plan, Senate Bill 5701 adjusts the Emergency Board's general purpose and special purpose appropriations as described as follows:

- Increases the General Purpose Emergency Fund by $2,000,000 for general governmental purposes, increasing the total amount available to the Emergency Board for the remainder of the 2015-17 interim to $32,000,000.
- Eliminates a special purpose appropriation for state agencies of $120 million, and makes corresponding General Fund appropriations to various state agencies for state employee compensation changes.
- Reduces the special purpose appropriation for state agencies of $10.7 million, with General Fund appropriations of $10.0 million to the Department of Human Services ($9,502,291) and the Oregon Health Authority ($497,562) for compensation changes driven by collective bargaining for workers who are not state employees.
- Reduces the $3,000,000 special purpose appropriation for education by $1,373,879 and uses these funds as part of the $1,900,000 General Fund appropriation to the Higher Education Coordinating Commission to help fund 2015-17 compensation agreements for classified staff at Portland State University, Eastern Oregon University, Southern Oregon University, Western Oregon University, and the Oregon Institute of Technology. Details on how much each university receives is found under the Higher Education Coordinating Commission section of this budget report.
- Eliminates the $17,540,357 General Fund special purpose appropriation to the Emergency Board for the mixed delivery preschool program established in House Bill 3380 (2015), with a corresponding appropriation to the Oregon Department of Education for the same purpose.
- Eliminates a $6,865,921 special purpose appropriation for college readiness and appropriates most of these resources to the Oregon Department of Education, Chief Education Office, and the Higher Education Coordinating Commission for transitional services and supports, between secondary and post-secondary education.
- Reduces the special purpose appropriation of $6 million for fire costs, and appropriates $2,054,823 to the Department of Forestry for that purpose.
- Eliminates the $1.8 million special purpose appropriation for the Department of Revenue and appropriates $1,360,125 to the Property Tax Division of the Department of Revenue, primarily due to cover a revenue shortfall in the County Assessment Function Funding Assistance Account.
- Establishes a $3,000,000 special purpose appropriation to be allocated to the Department of Corrections for operations support. The Department may request funds to finance continued activation of minimum security beds at the Deer Ridge Correctional Institution.
- Establishes a $2,000,000 special purpose appropriation to be allocated to the Department of Corrections. The Department may request funds to finance continued activities and positions associated with improvements to housing and treatment for the seriously mentally ill.
- Establishes a special purpose appropriation for the Emergency Board of $2,000,000 to be allocated to state and local governments that incurred costs not reimbursed by the federal government related to the armed occupation of the Malheur National Wildlife Refuge. The Department of Administrative Services and the Legislative Fiscal Office are directed to work with state and local government units to identify and validate reimbursable costs related to the incident.

Adjustments to 2015-17 Agency Budgets

ADMINISTRATION

Department of Administrative Services

The Subcommittee approved a technical adjustment to move Other Funds expenditure limitation, intended to pay for treasury fees that had been spread to programs in the 2015-17 legislatively adopted budget, back into the Other Funds expenditure limitation established in Senate Bill 5502 for Treasury Fees. These adjustments net to a zero overall change in the total Other Funds budget approved for the Department of Administrative Services (DAS).

The Subcommittee approved a number of budget adjustments related to a multi-part reorganization of DAS and the Oregon State Chief Information Officer (OSCIO) information technology (IT) related functions. A budget note required DAS to report on proposed changes to operations and rates for Enterprise Technology Services (ETS), which includes the state data center. During the 2015 session, the Legislature also passed House Bill 3099, which transferred substantial authority and responsibility surrounding statewide IT operations and policies from the DAS Director to the OSCIO. To implement House Bill 3099, the OSCIO has proposed significant changes in organizational structures. Under this reorganization there will be five sections: ETS; Enterprise Security Office; Office of Strategic IT Governance; Enterprise Shared Services; and the DAS Chief Information Office (CIO), which will be responsible for meeting DAS's IT needs, such as help desk support. While the DAS CIO will remain under the authority of the OSCIO, it will report to the DAS Deputy Chief Operating Officer. In addition, three administrative positions that did budget work in ETS were transferred to DAS Business Services and seven other ETS administrative positions were moved to the CIO.

The budget adjustments required to implement the IT reorganization and new OSCIO responsibilities, as well as to address the ETS budget note, affected a number of DAS program areas. These net adjustments by program area include: ETS decreased Other Funds by $39,863,385 and 70 positions (64.00 FTE); CIO increased Other Funds by $29,841,240 and 37 positions (34.06 FTE); Chief Operating Office increased Other Funds by $12,171,544 and 38 positions (37.58 FTE); DAS Business Services increased Other Funds by $644,351 and 3 positions (3.00 FTE); and Enterprise Goods and Services increased Other Funds by $474,682 and 4 positions (2.32 FTE).

As part of the IT reorganization, a new structure was proposed for IT procurement and vendor management with dual responsibility between Enterprise Goods and Services and OSCIO. This new structure was reviewed by the Joint Committee on Ways and Means as well as the Joint Legislative Committee on Information Management and Technology (JLCIMT). The JLCIMT recommended conditional, temporary approval of the request for the remainder of the biennium. Specifically, the JLCIMT recommended that DAS and OSCIO:

1. Conduct an assessment to identify and evaluate the alternative State IT procurement-related organizational/operating models in use by other states across the nation. The assessment report should provide the raw findings and include, but not be limited to, the roles, responsibilities, accountability, staffing levels, and costs associated with:
   (a) The most predominant organizational/operating models in use across the nation as compared to the shared IT vendor management program proposed within this request, and

(b) A full transfer of state IT procurement duties, functions, and powers from DAS and the DAS Director to the State Chief Information Officer.

2. Submit the assessment report and a status report on IT vendor management program progress to date to the Legislative Fiscal Office in November 2016.
3. Jointly present the assessment report and status report on IT vendor management program progress to the JLCIMT and the Emergency Board during the December 2016 Legislative Days.

The Subcommittee approved six new positions associated with the new IT vendor management arrangement as limited duration to ensure the new arrangement was temporary and that DAS/OSCIO would need to return to the Legislature for funding for the 2017-19 biennium.

Other Funds expenditure limitation established in Senate Bill 55 (2015) was reduced by $196,206 and the three positions established by the bill were reduced by a combined 0.99 FTE due to delays in implementing the legislation. None of the three positions will be hired until after the 2016 legislative session.

The Subcommittee also added two limited duration positions to implement House Bill 4135 to accomplish the coordination requirements and manage the production of electronic records as directed by the bill. An Information Systems Specialist 8 position (0.63 FTE) was added to provide the initial outreach, education, and coordination of the new policies with state agencies. An Operations and Policy Analyst 2 (0.63 FTE) was added to handle the query writing and production of records for DAS and to assist agencies in the querying and production of their records. The positions are added as limited duration to allow DAS to assess appropriate work load and classification. Positions needed to manage ongoing work will be proposed as part of the Governor's Budget for 2017-19. The Subcommittee determined that DAS can pay for the two positions in 2015-17 with existing Other Funds expenditure limitation and revenue.

The Subcommittee also approved one-time General Fund appropriations to DAS for the following purposes:

- $1,000,000 for disbursement to the Holly Theater in Medford for the Holly Theater Restoration Project.
- $650,000 for disbursement to the Salem Area Mass Transit District to provide free bus passes to state employees working in the Capitol Mall area and to operate an Airport Road Express Shuttle between the State Motor Pool and the Capitol Mall.
- $500,000 for disbursement to Clackamas County for repairs at the Willamette Falls Locks and Canal.
- $500,000 for disbursement to the City of Cornelius to help build the multi-use Cornelius Place project which includes a library, low income senior housing, and a YMCA.
- $300,000 for disbursement to Verde for the Cully Park project in Northeast Portland's Cully neighborhood.
- $250,000 for disbursement to Worksystems Inc. to recapitalize a tuition loan program first funded in 2011 for loans to students participating in commercial driver license training. These loans are not part of a state program and funding is provided only to establish the private program. This is the second one-time General Fund appropriation made for this purpose; the same entity received a one-time grant of $400,000 for this purpose in 2011.
- $200,000 for disbursement to Douglas County to partially reimburse public safety costs associated with the October 1, 2015 incident at Umpqua Community College.

- $200,000 for disbursement to Portland Playhouse for renovation and restoration of Portland Playhouse's theater in Portland's King neighborhood.

The Subcommittee added $3,059,680 Other Funds expenditure limitation for one-time costs of issuance and special payments associated with the disbursement of proceeds from the sale of $3,000,000 in lottery bonds for the City of Warrenton to rebuild a dock used by Pacific Seafood at the site of a seafood processing facility that burned down in June 2013. The processing facility was built in 1941 and acquired, along with the dock, by Pacific Seafood in 1983. The lottery bonds are approved in House Bill 5201. There is no debt service allocated in the 2015-17 biennium, as the bonds will not be sold until the spring of 2017. Debt service for 2017-19 is estimated at $675,152 Lottery Funds. The Subcommittee also increased Other Funds expenditure limitation by $55,000 to pay the cost of issuing $2,500,000 Article XI-Q bonds for repairs and improvements at the Oregon State Fair.

Other Funds limitation was increased by $453,681 to allow planning for the Human Resources Information System (HRIS) replacement project to continue through May 2016. DAS is to bring any request for additional funding needed to complete stage gate 3 planning through the end of the current biennium to the May 2016 meeting of the Emergency Board. In addition, DAS shall bring a plan to adjust rates and assessment charges for the second year of the biennium to fund both the HRIS planning project and new positions established as a part of the IT reorganization operationalized in Senate Bill 5701. A $6,500,000 General Fund special purpose appropriation to the Emergency Board for this purpose may be allocated to pay General Fund increases associated with assessment and rate increases.

Oregon Liquor Control Commission

The Subcommittee approved an Other Funds expenditure limitation in the amount of $1,117,762 for the Oregon Liquor Control Commission to implement the provisions of House Bill 4014, Senate Bill 1511, and Senate Bill 1598. Three permanent regulatory specialist positions and four permanent administrative specialist positions are anticipated to be needed due to an increase in the assumed number of licensees as medical marijuana producers are authorized to transfer excess marijuana to recreational retail outlets, and to cover costs associated with the additional number of people working in the marijuana industry that will be required to have work permits and training. Services and supplies expenditures include $350,000 for updates to the agency's "What's Legal" public information platform and associated outreach. Of the total amount, $350,665 Other Funds expenditure limitation is for costs associated with Senate Bill 1598; if that bill is not enacted, this expenditure limitation is to be unscheduled by the Department of Administrative Services Chief Financial Office.

A technical adjustment was approved to convert four limited duration liquor regulatory specialists approved as part of House Bill 5047 to permanent status. This adjustment will have no effect on expenditure limitation in the 2015-17 biennium.

Public Employees Retirement System

The Subcommittee increased expenditure limitation for the Financial and Administrative Services Division by $100,000 Other Funds for a shortfall in the Secretary of State audit charges assessment budget. In addition, increased expenditure limitation in the amount of $6,601,170 Other Funds was approved for the Financial and Administrative Services Division for the Office of the State Chief Information Officer Enterprise Technology Services assessment.

The Subcommittee approved a one-time increase in Other Funds expenditure limitation of $1,255,601 for the Public Employees Retirement System (PERS) Individual Account Program (IAP) information technology project. In addition, the Department of Administrative Services is to schedule all currently unscheduled Other Funds expenditure limitation for the project. The project is to move the administration of the IAP from a third-party administrator to the agency. A re-baselining of the project shows that initial project development costs have increased from $2.9 million to $6.1 million. The agency anticipates requesting an estimated $1.9 million during the 2017-19 biennium to complete project development. The Joint Legislative Committee on Information Management and Technology (JLCIMT) recommendations were also approved.

A one-time increase in Other Funds expenditure limitation of $1,659,976 was approved for information technology enhancements to the jClarety retirement system. JLCIMT recommendations were also approved. The Subcommittee directed the Department of Administrative Services to unschedule the entire $1.7 million until the conditions set forth by JLCIMT are satisfied.

Department of Revenue

The Subcommittee reduced the expenditure limitation for the Core Systems Replacement project by $500,000 Other Funds (recreational marijuana tax proceeds) to account for contract savings for the recreational marijuana module. The original development cost was estimated at $1 million in House Bill 5047 (2015).

Other Funds expenditure limitation for the Property Tax Division was reduced by $500,000 because the limitation is in excess of the operational needs of the program and is without an underlying revenue source.

The Subcommittee approved a $373,841 General Fund reduction and a reduction of 2.60 FTE for the Senior Citizens' and Disabled Citizens' Property Tax Deferral program. This technical adjustment will have no impact on the program, which is statutorily funded with Other Funds (Senior and Disabled Property Tax Deferral account). This is part of an effort to better align the agency's budget with actual program funding.

The General Fund appropriation for the Property Tax Division was increased by $1.4 million in personal services and FTE on existing positions was increased by 7.20. This appropriation is to backfill Other Funds revenue shortfalls in the County Assessment Function Funding Assistance Account, but only for Department of Revenue Valuation Section ($1.1 million), and for a reduction in county contract mapping services ($240,986). A $1.8 million reduction in Other Funds expenditure limitation was previously included in the agency's legislatively adopted budget. The 2017-19 biennial cost is estimated to be $1.9 million General Fund.

The Subcommittee approved an increase of $2,052,807 in Other Funds expenditure limitation (recreational marijuana tax) and the establishment of four permanent full-time Accounting Technician 2 positions (2.92 FTE) and one limited duration Principal Executive Manger B position (0.75 FTE) for the recreational marijuana program. In addition, an Economist 3 position, approved as part of House Bill 5047 (2015), is moved from permanent full-time to limited duration. Personal services costs total $481,063, with $653,792 in services and supplies and $917,952 in capital outlay. Of the $2.1 million expenditure limitation, $633,920 is one-time limitation for program start-up and facility construction costs. The 2017-19 biennial cost is estimated to be $1.4 million Other Funds. This request is for the processing of cash payments related to the recreational marijuana program; however, the Subcommittee's expectation is that this is to be done in an integrated fashion with the agency's current banking, Electronic Funds Transfer, and miscellaneous cash receipting of non-recreational marijuana taxes.

The Subcommittee approved an increase in Other Funds expenditure limitation of $874,747 for the Core Systems Replacement project. It was estimated that there were $6.9 million in bond proceeds for the project carried forward from the 2013-15 biennium; however, that figure was only recently revised to $7,804,187. The Department of Administrative Services is directed to unschedule the entire $874,747 pending the review and approval of the need for the expenditure limitation by the Legislative Fiscal Office.

Secretary of State

The Subcommittee established a $347,900 General Fund appropriation and one limited-duration position (0.25 FTE) to replace the Oregon Elections System for Tracking and Reporting (ORESTAR) Election Night Reporting module. The agency will use the funds to acquire a commercially-available off-the-shelf (COTS) product to replace an existing ORESTAR Election Night Reporting module that was developed in-house. The replacement system will offer expanded capabilities, including tabulation of local election vote counts and graphical and map-based display capabilities. A temporary project manager position was approved. The new system is expected to be fully operational in time for the 2016 General Election. The appropriation is approved on a one-time basis and will be phased out in the agency's 2017-19 biennium budget.

State Treasurer

The Subcommittee reduced Other Funds expenditure limitation for the Debt Management Division by $500,000 for a Rockefeller Foundation grant that the agency no longer receives.

CONSUMER AND BUSINESS SERVICES

Department of Consumer and Business Services

The Subcommittee approved an increase in the Other Funds limitation for the reclassification of positions in three divisions. The individual changes impacted ten positions. The adjustments included increased Other Funds expenditure limitation of $32,660 in the Building Codes Division, $30,878 in the Workers' Compensation Division, and $108,488 in the Insurance Division, for a total of $172,026. The additional expenditure limitation allows the agency to make position adjustments as approved by the Office of the Chief Human Resources Officer at the Department of Administrative Services without compromising the maintenance of the agency's ratio of supervisory to non-supervisory positions, as required under House Bill 4131 (2012).

The Subcommittee approved an increase in the Other Funds expenditure limitation of $379,219 for the establishment of four new positions (2.52 FTE) in the Building Codes Division. These positions include a Plans Examiner 2 position to be housed in Salem and three Inspector positions (Mechanical, Plumbing, and Electrical) to be housed at the Eastern Region Office located in Pendleton. The positions will address ongoing workload increases of the Building Codes Division as the economy continues to recover.

A net decrease in Other Funds expenditure limitation of $321,655 was made as a result of position adjustments in the Marketplace and Shared Services Divisions related to the operation of the Health Insurance Marketplace. Thirteen limited duration Program Analyst 2 positions were eliminated (-7.52 FTE) and six permanent, full-time positions (4.02 FTE) were established (one Outreach and Education Manager and five Program Analyst 2 positions). These changes result in a reduction of seven positions and $558,617 Other Funds expenditure limitation in the

Marketplace Division. This reduction was partially offset by an increase in Other Funds expenditure limitation of $236,962 in the Shared Services Division to cover the costs of converting one part-time, limited duration Operations and Policy Analyst 4 position to a full-time, permanent position (0.50 FTE) and to add an additional Procurement and Contract Specialist 3 position (0.67 FTE).

The Subcommittee approved a $6.4 million reduction in Other Funds expenditure limitation in order to reconcile the budget of the Health Insurance Marketplace with actual and anticipated expenditures of the program, which have been significantly different than what was anticipated in the legislatively adopted budget. The changes include reductions in anticipated expenditures due to pre-payment of contracts prior to the transfer of the insurance marketplace from Cover Oregon to the Department of Consumer and Business Services (DCBS), changes in information technology contracts, lower than anticipated personal services costs, and a reduction in anticipated payments for tax reporting errors. These reductions are partially offset by increases in legal fees and new information technology contracts.

A $1,732,528 Other Funds expenditure limitation increase was approved for additional marketing and outreach activities of the Oregon Health Insurance Marketplace. This additional expenditure limitation will be unscheduled until DCBS completes its review and analysis of the 2016 open enrollment year campaign and its plan for the 2017 open enrollment year campaign. The legislatively adopted budget included a budget note instructing the agency to complete a plan and report on each of the publicity and publication campaigns either upcoming or implemented for the Health Insurance Marketplace Program. DCBS submitted a publicity and publication plan and report to the Interim Joint Committee on Ways and Means in January 2015; however, that plan and report did not contain detailed information for the 2017 open enrollment year campaign since the agency had not yet completed its review of the 2016 plan. The additional funding, once rescheduled, will allow the agency to maintain the same level of expenditures during the 2017 open enrollment year as in 2016.

Discussions also took place regarding pharmacy benefit managers. The Subcommittee approved the following budget note.

Budget Note:

The purpose of this budget note is to clarify the Department of Consumer and Business Services' (DCBS) authority to regulate pharmacy benefit managers (PBMs). DCBS is directed to convene a workgroup to develop recommendations for rulemaking regarding PBM compliance. Based on those recommendations, the agency will draft rules regarding PBM compliance and report to the appropriate legislative policy committees by November 1, 2016. The report should include the draft rules, as well as any statutory changes or clarifications necessary to fully implement the draft rules, including fee recommendations for administration of the program.

Draft rules must include, but are not limited to:

- Notification system that includes a method for informing PBMs of new regulations, and for informing PBMs of complaints, investigations, and possible sanctions
- Investigation procedures
- Fees, fines, and resolution process that includes:
    - Overall schedule of fees and fines
    - Provisions for warnings before fines, based on circumstances

- Possible escalation of fine for multiple occurrences including combining multiple occurrences into a single complaint or enforcement action, or multiple claims related to a single reason or cause
- Setting a maximum annual per PBM fine
- Exceptions based on type of violation or other criteria
- A reasonable time to re-enter compliance
- Other provisions consistent with DCBS' existing enforcement authority and procedures

Bureau of Labor and Industries

Technical adjustments are included to reflect the budget recommended to the Joint Committee on Ways and Means by the Transportation and Economic Development Subcommittee during the 2015 regular session. Multiple amendments to Senate Bill 5517 were considered during the legislative review process, and the amendment that was submitted to and adopted by the Joint Committee on Ways and Means did not properly reflect the budget recommended by the Subcommittee. The adjustments reduce the General Fund appropriation to the agency by $113,604, increase Other Funds expenditure limitation by $206,871, and increase Federal Funds expenditure limitation by $2,696, for a total funds adjustment of $95,963.

Oregon Public Utility Commission

The Subcommittee increased the agency's Other Funds expenditure limitation by $170,226 and authorized one permanent position (0.63 FTE) to increase analytic capacity to address additional agency responsibilities resulting from legislative changes to the Renewable Portfolio Standard made during the 2016 Legislative session.

ECONOMIC AND COMMUNITY DEVELOPMENT

Oregon Business Development Department

The Subcommittee reduced the General Fund appropriation for debt service by $1,328,407, and established a $1,330,500 Other Funds expenditure limitation for general obligation bond debt service. Debt service for general obligation bonds is paid by the General Fund; however, the agency will substitute $1,330,500 of Article XI-M and Article XI-N bond proceeds, and interest earned on those proceeds, to pay debt service, in lieu of General Fund. The proceeds are from bonds originally issued for the Seismic Rehabilitation Grant program in 2010, 2011, and 2012. These proceeds were not used for seismic projects and will instead be used to offset debt service costs in the current biennium.

The Subcommittee increased Lottery Funds support by $1.5 million. This includes an increase for employee compensation changes and $960,514 Lottery Funds, approved on a one-time basis, for new or expanded programs. The Subcommittee increased Lottery Funds support for the Oregon Wave Energy Trust by $200,000, bringing current-biennium support to $450,000 Lottery Funds. Lottery Funds were increased by $400,000 to reapprove funding for replacement of the Port of Port Orford Cannery Building for one more biennium. Funding for this project was initially approved in the 2013-15 biennium. The Subcommittee also approved $100,000 of Lottery Funds to conduct a Willamette Valley Intermodal Hub Feasibility Study, to evaluate the viability of a strategic intermodal hub to optimize container shipment of Oregon agricultural products.

Lottery Funds totaling $260,514 and two positions (1.00 FTE) were approved to address administrative costs associated with the expansion of grant activity in the Seismic Rehabilitation Grant Program. The Department will need to fill the newly-established positions for three years beginning July 1, 2016, and will include a policy option package in its 2017-19 biennium budget request to convert the two approved positions from permanent to limited-duration status. The Department is also instructed to report to the Legislative Fiscal Office, following each sale of Article XI-M or Article XI-N general obligation bonds, on the projects and dollar amounts of project grants financed by the bond sale, as well as on the amount of bond proceeds budgeted for agency administrative costs.

The Subcommittee established a $1 Other Funds expenditure limitation for the American Manufacturing Innovation District, and increased Other Funds by $54,868 for cost of issuance of lottery revenue bonds for this project. The American Manufacturing Innovation District is a collaborative effort between government, industry, and academic institutions to invest in manufacturing infrastructure to promote advanced manufacturing. A total of $2.5 million of lottery revenue bond proceeds are authorized for this project in House Bill 5202, which also authorizes $5 million of Article XI-G bond proceeds for distribution to Portland Community College (PCC) in support of this project. The Subcommittee limited expenditure of bond proceeds to $1, pending a joint presentation with PCC of a business plan for developing the District. Debt service costs for the lottery revenue bonds authorized for this project are projected to total approximately $535,000 Lottery Funds per biennium, beginning in the 2017-19 biennium. Because the bonds will not be issued until spring 2017, there will be no debt service payments due in the current biennium.

The Subcommittee also approved a technical correction to the budget for the State Small Business Credit Initiative (SSBCI) program. This correction increases Other Funds expenditure limitations in the Business, Innovation and Trade Division by $388,773, and reduces the Division's Federal Funds expenditure limitations by the same amount. The SSBCI is funded from a federal grant the agency secured in 2011 that provided Federal Funds for revolving loan programs. It was noted when the grant was received that administrative costs for the program would transition to Other Funds over time, as the grant money was loaned out and the loan repayments were re-categorized as Other Funds. The Subcommittee added this anticipated fund shift, which had not been included in the agency's budget, to the bill.

Federal Funds expenditure limitation was increased for the Business, Innovation and Trade Division by $450,000 for expenditure of funds received under the Year 4 State Trade and Export Promotion grant program. This increase more than offsets the $388,773 Federal Funds expenditure limitation decrease for SSBCI and results in a net increase of $61,227 for the Business, Innovation and Trade Division Federal Funds expenditure limitation.

Finally, the Subcommittee approved a budget adjustment to increase Nonlimited Other Funds expenditures by $5,820,000. This adjustment reflects a greater level of loan repayments than originally anticipated in the budget. Loan repayments are not limited in the agency budget. The adjusted level of Nonlimited Other Funds in the Infrastructure Finance Authority will include approximately $24.2 million of loan repayments.

Employment Department

A technical adjustment is included for the Employment Department to more accurately reflect the amount expected to be utilized by the agency from $85 million in modernization funds appropriated to the agency through the federal Social Security Act. Close of session budget reconciliation adjustments resulted in more dollars being available from the Supplemental Employment Department Administrative Fund for

Department operating expenditures. This adjustment does not change the overall amount of the agency's recommended budget, merely the source from which the Department can make expenditures. As such, the appropriation of modernization funds made to the Department is decreased by $17 million; sufficient Other Funds expenditure limitation exists to enable the Department to make equivalent expenditures from a combination of Supplemental Employment Department Administrative Funds and the Special Administrative Fund.

Housing and Community Services Department

The Subcommittee approved an increase in General Fund of $2,727,660 for counseling services associated with the Oregon Foreclosure Avoidance Program. The 2015-17 legislatively adopted budget included $1.4 million General Fund, which was estimated to be sufficient through February 2016. The Housing and Community Services Department was directed to report back to the Legislature on program utilization, foreclosure rates, and actual monthly expenditures to counseling agencies. The additional General Fund is included for program expenditures for the remainder of the 2015-17 biennium, as follows: $2.36 million for counseling services provided on a fee-for-service basis as indicated via contract with the Housing and Community Services Department; $233,333 for legal aid services for counseling clients with particularly complicated circumstances; and $127,480 for agency program administration, with the understanding that the Department of Administrative Services will unschedule $275,000 of the amount. Funding for the program is not anticipated to be ongoing, although the agency may request funding for consideration during the 2017-19 budget process.

Also included is a one-time General Fund appropriation in the amount of $10 million to the Housing and Community Services Department to be utilized as follows: $8 million is for homelessness assistance and prevention services through the Emergency Housing Assistance (EHA) program and $2 million is to the State Housing Assistance program (SHAP) for operational support for emergency shelters and supportive services to shelter residents. Funding for the EHA program is spent as Other Funds by the Department, and is reflected in an additional $8 million in Other Funds expenditure limitation.

Other Funds expenditure limitation in the amount of $2,554,868 is included to enable the Housing and Community Services Department to expend proceeds from the sale of lottery bonds for preservation of affordable housing with expiring federal subsidies. Of this amount, $2.5 million is attributable to project costs and $54,868 is related to cost of issuance. Eligible projects for which these funds can be expended are defined as the following:

- Privately owned multi-family rental properties where at least 25% of the units are subsidized by a project-based rental assistance contract through the U.S. Department of Agriculture Rural Development or the U.S. Department of Housing and Urban Development;
- Existing manufactured housing communities to be acquired by a mission-based non-profit organization, resident cooperative, tenants' association, housing authority, or local government; or
- Public housing projects undergoing a preservation transaction which involves a comprehensive recapitalization and which will secure ongoing rental subsidies.

Oregon Department of Veterans' Affairs

The Subcommittee approved an increase in Federal Funds expenditure limitation of $499,999. The Oregon Department of Veterans' Affairs (ODVA) received a 2015 grant from the U.S. Department of Veteran's Affairs in the amount of $500,000 for transportation of Oregon veterans in highly rural areas to medical appointments. Awards of $50,000 per county will be used to preserve and maintain transportation programs established with the 2014 federal grant award. The counties receiving funds are Baker, Gilliam, Grant, Harney, Lake, Malheur, Morrow, Sherman,

Wallowa, and Wheeler. ODVA acts as the applicant and grantee on behalf of the counties, and will pass through funds and monitor compliance with grant requirements. The grant is for a period of one year and requires no matching funds or additional positions for administration. A placeholder amount of $1 in Federal Funds expenditure limitation was included in the legislatively adopted budget for the agency.

EDUCATION

State School Fund

The Subcommittee approved a decrease of $39,553,391 General Fund and an increase of $39,553,391 Lottery Funds for the State School Fund. These changes reflect the balance of available General Fund and Lottery Funds for the overall state budget and maintains the amount of $7,376 million total funds for the State School Fund for the 2015-17 biennium.

Department of Education

The Subcommittee approved changes in the Federal Funds expenditure limitations for agency operations for federal grants received by Department of Education as described below:

- An increase of $7,130,223 for a three-year federal grant from the U.S. Department of Education's Office of Innovation and Improvement. The purpose of the grant is to increase the number of high-quality charter schools by providing assistance to potential charter schools for planning, program design, and initial operations. Funds will also be used to share best practices among all charter schools and sponsoring districts. One limited duration position (0.63 FTE) was approved relating to the grant.
- An increase of $1,160,860 for three separate child nutrition grants from the U.S. Department of Agriculture. The three grants were the Professional Standards Training grant ($138,915), the Team Nutrition grant ($203,563), and the Tier 2 Direct Certification Improvement grant ($818,382). A limited duration position (0.63 FTE) was approved for the Tier 2 Direct Certification Improvement grant.

The Subcommittee approved an increase of $515,200 Other Funds expenditure limitation to cover costs of an increase in the number of students participating in the Hospital Program. The agency is required to provide and pay for the costs of educational services for children, through age 21, who are expected to be hospitalized for an extended period of time. This increase will be funded through an increased distribution from the State School Fund. Also approved was a transfer of $51,458 General Fund from the breakfast and summer food programs under Grant-in-Aid to agency Operations for the administration of the Farm-to-School program. A one-time increase in the Other Funds expenditure limitation of $2,030,515 for the Oregon School for the Deaf was approved for deferred maintenance, including replacement of the School's Heating Ventilation Air Conditioning, or HVAC, system. The source of funds for this includes moneys set aside from the sale of the School for the Blind property, income from the rental of school facilities, and the anticipated sale of a vacant parcel of school property. The Department of Administrative Services is instructed to unschedule this increase until the final cost of the project is determined and the sale of the vacant property is completed.

To ensure that debt service payments on education-related Lottery Bonds are funded from the proper sources, the Subcommittee approved changes to the amount of Lottery Fund resources allocated to the Department of Education. House Bill 5016, the 2015 appropriation bill for the agency, allocated the entire $1,434,927 required for debt service payments from the Oregon Education Fund. The actual allocation is $593,395 from the Oregon Education Fund and the remaining $841,532 is from the Administrative Services Economic Development Fund.

The Subcommittee approved an increase of $3,130,000 General Fund for the Oregon Department of Education's agency operations to fully fund the Assessment and Accountability unit. The 2015-17 budget for this unit was inadvertently underfunded by $3,771,938 General Fund and needs these funds to meet its responsibilities and commitments. This budget gap is resolved by transferring $930,000 General Fund from the Grant-in-Aid budget in unallocated resources and an increase of $2,200,000 in new General Fund resources. The remaining $641,938 is to be found by the agency in savings in its existing agency operations budget, including holding positions vacant in the unit. There is also a transfer of $2,000,000 in excess Federal Funds expenditure limitation from the Grant-in-Aid budget to Operations, and an additional increase of $1,971,397 in Federal Funds expenditure limitation to match the amount of federal funding available for this function.

General Fund increases for existing programs were approved as described below:

- Funding for the Oregon Pre-Kindergarten program was increased by $5.3 million, bringing the total General Fund resources for this program to $145.3 million.
- Funding for the Early Intervention and Early Childhood Special Education programs was increased by $5,393,340 General Fund. This increase reflects, in part, the growth in these two programs at a rate greater than estimated at the end of the 2015 session. Total General Fund resources for these programs, including this increase, is $155.8 million.
- The Relief Nurseries program was increased by $300,000 General Fund, bringing the total General Fund available for the 2015-17 biennium to $8.6 million. This additional funding and the $700,000 General Fund appropriated by chapter 837, section 109, Oregon Laws 2015 should be considered permanent for the purposes of developing the 2017-19 budget.

One-time General Fund appropriations were approved by the Subcommittee for new programs and grants as described below:

- $260,000 General Fund for a grant to the Burnt River School District for the Burnt River Integrated Agriculture/Science Research Ranch program. This program provides educational opportunities to students from outside the district, including from the Portland area, and provides a number of educational services outside of the core curriculum common to all high school students, including natural resource studies, agricultural experience, water quality monitoring, animal husbandry, sustainable rangeland science, forest restoration, and organic food production.
- $400,000 General Fund for grants to organizations which provide training and assistance relating to culturally relevant educational practices authorized as eligible services under the Network for Quality Teaching and Learning under House Bill 4033. Grants of equal value are to be provided to two organizations: (1) Center for Culturally Responsive Practices and (2) Teaching with Purpose.
- $95,000 General Fund appropriation for a grant to the World of Speed organization for the High School Automotive Career Technical Education program. The organization partners with Clackamas Community College and area high schools to provide automotive related career technical education (CTE). Other high schools have expressed interest and the $95,000 would be used to match other contributions to the program, assisting with cost of transporting students to the facility for classes, and other program costs.

The Subcommittee eliminated the $17,540,357 General Fund special purpose appropriation to the Emergency Board for the mixed delivery preschool program established in House Bill 3380 (2015) and directly appropriated the same amount to the Oregon Department of Education for

the same purpose. The intent is for this funding to be distributed to four to six Early Learning Hubs that demonstrate that the Hub and the providers in their service area are prepared to implement the mixed delivery preschool model beginning in September 2016. In developing the 2017-19 current service level budget for this program, only the full two-year costs of this appropriation should be factored into the calculation. Any further expansion to add new Early Learning Hubs should be a separate policy decision made by the Legislature during the 2017 session. In addition to the annual report to the Legislature required in House Bill 3380 (2015), the agency is instructed to report to the Emergency Board prior to June 1, 2016 on which Early Learning Hubs were selected, the number of preschool providers estimated to be delivering the program, the estimated number of children that will be served under the program, and an update on the various cost components of the program.

One permanent Research Analyst 3 position (0.63 FTE) was approved to manage and analyze information collected through the Class Roster data from school districts under House Bill 2644 (2013). The agency will identify the funding from existing resources for the 2015-17 biennium.

Higher Education Coordinating Commission

The Subcommittee approved a one-time $1,800,000 General Fund appropriation to the Higher Education Coordinating Commission (HECC) for a grant to Umpqua Community College (UCC) to address the issues resulting from the shooting incident on the UCC campus on October 1, 2015. The funding may be used for: (1) staff, including security staff; (2) upgrading security communications equipment, door locks, and campus lighting; (3) upgrading the campus's network fiber system to accommodate the new communications equipment; and (4) other costs related to the October 1st incident. HECC is to report back to the Legislature as part of its budget presentation to the Joint Committee on Ways and Means in 2017 on how these resources were expended. Also approved was a one-time $4,250,000 General Fund appropriation to HECC for a grant to UCC for the construction or renovation of a replacement for Snyder Hall where the shooting incident took place. The College is currently not using the classroom space in the building and is relying on temporary structures to replace some of the space.

The Subcommittee recognized the Community Colleges' needs regarding campus and student security and safety issues which were illustrated, in part, by the shooting incident at UCC. The Governor has appointed a workgroup to recommend actions and investments for security and safety at Community College and other Post-Secondary institutions. Based on the recommendations of the workgroup and the Community Colleges, the Legislature will address this issue during the 2017 session.

An $804,506 increase in Other Funds expenditure limitation was approved by the Subcommittee for payment of the costs of issuing General Obligation bonds on behalf of community colleges and public universities. This increase represents the estimated amount required if all of the bonds authorized for the 2015-17 budget cycle are issued by the end of the current biennium.

The Subcommittee also approved three one-time General Fund appropriations to HECC to be allocated to Oregon State University. The first appropriation is $800,000 for the Northwest National Marine Renewable Energy Center to serve as match for federal funds for the Pacific Marine Energy Center South Energy Test Site. The federal government has made an initial $5 million available to fund a competitive grant to further develop a wave energy test facility, with the expectation that a 25% local match will be provided. HECC is only to release the funds if Oregon State University is awarded the grant. The second appropriation is $100,000 for endophyte research which is to be matched by private dollars. These funds are to be used only for endophyte research in support of Oregon's fiber and straw export industry. A report to the Legislative Fiscal Office on how the funds were used in support of endophyte research and what was made possible by this additional influx of funds should be made by December 31, 2016. The third is $100,000 for the purpose of establishing an endowed scholarship fund through the Oregon State

University Foundation. The scholarship must be used to support students engaged in research associated with Amyotrophic Lateral Sclerosis (ALS).

The Subcommittee approved a one-time General Fund appropriation of $1,900,000 for the four technical and regional universities, along with Portland State University, to help fund new compensation agreements for classified staff. HECC is directed to distribute the following amounts to the following universities: Portland State University - $400,000; Eastern Oregon University - $251,559; Southern Oregon University - $468,591; Western Oregon University - $485,646; and Oregon Institute of Technology - $294,204.

Budget Note:

The Subcommittee recognizes that the Current Service Level (CSL) is intended to estimate the cost of legislatively approved programs in the upcoming biennium. In 2009, the Joint Committee on Way and Means approved the adoption of a CSL model for the Community College Support Fund (CCSF) to reflect health benefit and retirement costs expected to exceed the Department of Administrative Services standard inflation rate.

To ensure consistency in post-secondary state support CSL calculations, the Department of Administrative Services (DAS) and the Legislative Fiscal Office (LFO) are directed to develop, in consultation with the Higher Education Coordinating Commission and the seven public universities, an estimated cost of applying the Community College Support Fund model to the Public University Support Fund, the Agricultural Experiment Station, the Extension Service, the Forest Research Laboratory, and Public University State Programs. The estimate will include data elements that the public universities will be required to submit to HECC in order to implement the model.

DAS and LFO will provide the estimated cost to implement the Community College Support Fund CSL model for Public University state support to the Emergency Board, through the Legislative Fiscal Office, by July 1, 2016.

Chief Education Office

The Chief Education Officer, in cooperation with other education agencies, has completed the steps necessary to receive Stage Gate 3 approval to move forward on the development of the Statewide Longitudinal Data System (SLDS). This means the project staff have completed the required project management documents with approval from the State's Chief Information Officer. As a result, the Subcommittee approved $5,505,280 General Fund for the project development and staff for this biennium. Based on the project's schedule, this will leave one quarter's worth of development costs for 2017-19. Ongoing costs for the Data System, starting in 2017-19, are estimated to be roughly $3.0 million per biennium, including staff for the operation, data integration, and maintenance, as well as the network-related costs due to the Department of Administrative Services (DAS). Also approved were 3 new permanent positions (1.75 FTE) and an additional 1.86 FTE to continue three existing limited duration positions for the remainder of the biennium. Two of these three limited duration positions, the Project Director and Systems Integration positions, are made permanent. DAS is instructed to unschedule $495,000 of this appropriation, which represents the project contingency funds. The agency can make a request to DAS and the Legislative Fiscal Office to reschedule these contingency funds if need arises before the end of the biennium. The Chief Education Office is instructed to report to the Emergency Board prior to October 1, 2016 on the project's progress and expenditures.

Teacher Standards and Practices Commission

Senate Bill 78 (2015) appropriated $200,000 General Fund to the Teacher Standards and Practices Commission to be transferred to the Teacher Education Program Accreditation Account. This funding was intended to support grants for teacher education programs that incur costs associated with national teacher accreditation. According to current accounting practices, the agency needs to expend the $200,000 as "Other Funds," requiring an Other Funds expenditure limitation increase of $200,000 so these grants may be awarded.

Various Agencies

The Subcommittee approved the transfer of $2.0 million General Fund from the Oregon Department of Education (ODE) to the Higher Education Coordinating Commission (HECC). These funds had been part of a larger investment in Career and Technical Education (CTE) and Science Technology Engineering and Mathematics (STEM) programs appropriated to ODE in House Bill 5016 (2015). One component of this CTE and STEM investment was a program related to post-secondary success to provide start-up funding and support services for the recruitment, retention, and attainment of underserved students in post-secondary programs related to high-demand fields including, but not limited to, health sciences, computer science, engineering, high tech manufacturing, precision agriculture, and advanced food processing. This program is more appropriately administered by HECC.

The Subcommittee approved one-time increases in the General Fund appropriations for the Chief Education Office, HECC, and ODE for student transitional services and supports between secondary and post-secondary education. This distribution reflects, in part, the product of a workgroup organized by HECC to recommend what services should be funded by a $6,865,921 General Fund special purpose appropriation made in Senate Bill 418 (2015). This bill eliminates the special purpose appropriation and uses some of these resources to fund transitional services under House Bill 4076. Additionally, a total of $4,025,000 is appropriated for transitional services and supports between secondary and post-secondary education as outlined below.

	General Fund Appropriation
Chief Education Office	
Summer summit for high school and post-secondary staff including counselors and financial aid staff	$ 300,000
Local collaboration between high school counselors and post-secondary advisors	$ 700,000
Higher Education Coordinating Commission (HECC)	
Community College support for improved Developmental Education models	$ 600,000
Community College support for development and alignment of Career Pathways	$ 600,000
Expansion of eMentoring for Oregon Promise students	$ 120,000
Statewide expansion of FAFSA Plus	$ 105,000
Subscription of Signal Vine connecting with students via two-way texting	$ 100,000
Evaluation and tracking implementation of transitional supports and services in this bill	$ 50,000
Oregon Department of Education	
License for College and Career Readiness counselor training modules	$ 50,000
Expansion of AVID or similar program for high schools	$ 1,400,000
Total	**$ 4,025,000**

HUMAN SERVICES

Oregon Commission for the Blind

The Subcommittee approved one-time increases of $680,109 General Fund, $199,049 Other Funds, and $3,248,343 Federal Funds to purchase vending machine equipment for the agency's Business Enterprise Program. The Department of Administrative Services is expected to unschedule these amounts, which may only be rescheduled based upon the successful request of federal reallotment funds from the U.S. Department of Education.

Oregon Health Authority

Senate Bill 5701 adjusts the Oregon Health Authority (OHA) budget for updated pricing of program caseloads, costs, and revenues to "rebalance" the budget. This information was presented at the January 2016 meeting of the Interim Joint Committee on Ways and Means. The agency's rebalance plan resulted in an overall General Fund shortfall of $37.6 million. This net position included budget problems of $129.7 million General Fund related to increases in caseload and other program costs. Savings of $67.1 million General Fund resulted from a change in the federal match rate, as well as from additional revenues from a number of sources. In addition, the agency is planning to implement management actions to decrease costs by $25 million General Fund. These include an acceleration of the redetermination process next year, a delay in fee-for-service rate adjustments, and enhanced savings from program integrity efforts, including fraud detection.

The rebalance plan increases Federal Funds expenditure limitation by almost $1 billion, mostly related to the increased caseload forecast. There are also a number of technical adjustments included in the rebalance. While these normally net to zero for the agency as a whole, in this case there is a transfer of 14 positions from the Department of Human Services to OHA.

As discussed during the 2015 legislative session, the agency has implemented an agency restructure as a part of this rebalance. The new structure is designed to promote health care transformation, including integration of physical, behavioral, and dental health. This structure better reflects the new work of coordinated care organizations, as well as public health programs aligned with system transformation. Most significantly, the old Medical Assistance Programs (MAP) and Addictions and Mental Health (AMH) are eliminated in the restructure, with MAP and community mental health and addictions programs moving to the new Health Systems Division (HSD). The Oregon State Hospital (OSH) will now be its own budget structure.

As a part of the agency restructure, a thorough review of positions was conducted. Partly historical, dating back a number of years, and partly as a result of the implementation of health care transformation and the Affordable Care Act when many staff were brought on to perform time-sensitive tasks, the agency found itself with many staff but without appropriate position authority. In addition, as health care transformation moved forward, the agency needed fewer positions in some areas but more and/or different kinds of positions in other areas. The true-up included in the rebalance resolves issues of permanent staff not having position authority, as well as limited duration staff that had been used for on-going functions and priorities now becoming permanent positions. Overall, the changes are budget neutral and result in a reduction of two positions and an increase of 9.52 FTE.

The agency continues to face a number of budget risks that were not explicitly included in the rebalance plan. These include changes to caseloads, prescription drug costs, increased Aid and Assist population in the Oregon State Hospital, and costs of pending litigation. The special purpose appropriation of $40 million that was established during the 2015 legislative session for OHA or the Department of Human Services will remain in place to address caseload costs or other budget challenges that the agencies are unable to mitigate.

The Subcommittee approved the agency's rebalance plan, with one notable exception. Costs of $17 million General Fund related to the Medicaid Oregon eligibility (ONE) system were not funded at this time. These are costs to maintain the old eligibility system for litigation purposes after the contract with Oracle expires in March 2016. In addition to rebalance adjustments, the Subcommittee approved $25 million of additional hospital assessment revenue that is remaining from the program ending September 30, 2015, which will be used in the budget in place of General Fund.

Overall, the adjustments made in Senate Bill 5701 result in an increase in the agency's total funds budget of $1.1 billion, a reduction of General Fund of $1.5 million, and an increase of 21 positions (22.88FTE). These numbers do not include budget changes related to employee compensation cost changes, which total $20.8 million General Fund and $37.8 million total funds, and are also included as part of Senate Bill 5701.

A more detailed description by program area follows.

Health Systems Division

The budget adjustments in Senate Bill 5701 reflect a net $8.3 million decrease in General Fund in the Health Systems Division (HSD), with a $75.4 million increase in Other Funds expenditure limitation and a $964.7 million increase in Federal Funds expenditure limitation.

The rebalance plan for HSD approved by the Subcommittee includes increased caseload costs of $84.4 million General Fund. Caseload forecasts are up primarily because redeterminations have been delayed several times over the past year. With the recent implementation of the new ONE eligibility system, the agency anticipates catching up on redeterminations over the next year. While the caseload forecasts have attempted to build in the timing of these redeterminations, forecast risks will remain higher than usual until the data has settled down over an extended period of time and there is good historical information on which to base the forecasts. Other costs include $10.7 million General Fund for an increase in the Medicare Part D clawback required by the Centers for Medicare and Medicaid Services (CMS). Medicare Part B premiums have also increased, resulting in a General Fund need of $7.2 million. Oregon pays these premiums for clients that are eligible for both Medicare and Medicaid. Eight additional Federally Qualified Health Centers (FQHCs) are moving to the alternative payment methodology, resulting in a one-time cost of $3.1 million General Fund.

The rebalance plan includes a General Fund need of $20.9 million General Fund for the new ONE eligibility system. Additional refinement of operational and maintenance costs for the system have resulted in a need for $3.9 million General Fund above what is currently budgeted. The remaining $17 million represents the costs to maintain the old eligibility system for litigation purposes after the contract with Oracle expires in March 2016. The Subcommittee did not approve that $17 million General Fund in the final budget.

The rebalance plan includes a total of $63.4 million General Fund savings in HSD. This includes a $10.2 million savings resulting from an increase in the federal match rate for Oregon, and $11.8 million freed up by an increase in the tobacco tax revenue forecast for the biennium. In addition, $25 million of Other Funds are left over from 2013-15 and can be used to replace General Fund for the current biennium. Settlements and drug rebate revenues are coming in about $15 million above budget and will replace General Fund as well. Finally, caseloads related to forensics patients living in the community went down slightly for a savings of $1.4 million.

The rebalance plan includes management actions to decrease costs by $25 million General Fund, all in HSD. These include an acceleration of the redetermination process next year, a delay in fee-for-service rate adjustments, and enhanced savings from program integrity efforts, including fraud detection. Finally, the rebalance includes an additional $964.7 million in Federal Funds expenditure limitation, primarily because of the increased caseload. An addition of $40.4 million Other Funds expenditure limitation results from the additional revenues discussed above.

In addition to rebalance adjustments, the Subcommittee adjustments include the addition of $35 million of additional hospital assessment revenue that remains from the assessment program that ended September 30, 2015. Of the total, $25 million will be used to replace General Fund in the 2015-17 budget, while the remaining $10 million Other Funds has been approved for one-time investments in rural hospital transformation and sustainability as outlined below. These recommendations were brought forward by a workgroup required by a Senate Bill 5507 (2015) budget note.

For investment in rural health provider workforce capacity, it is expected that at least $1.5 million Other Funds will be used to support the work of Oregon's Graduate Medical Education Consortium.

Investments in small and rural hospital transformation strategies include: establish transitional post-acute care programs (cost of $4 to $7 million over three years), establish virtual clinics in communities with acute primary care shortages (cost of up to $1.1 million), and provide education for rural providers on population health (cost of $100,000). Costs associated with each option available to rural hospitals will depend on the number of hospitals that pursue each option. Rural hospitals have the flexibility to select one or more options depending on local needs. The funding for hospital programs will be distributed through OHA, to the Oregon Association of Hospitals Research and Education Foundation, which will collaborate with OHA to identify related baseline and outcome data on each project and report that data to OHA as well as provide the funding to implement each of the projects available to rural hospitals.

The following budget note was approved by the Subcommittee.

> **Budget Note:**
> The Oregon Health Authority shall identify and track related outcomes on each project that is implemented as a result of the $10 million investment in rural hospital transformation and sustainability, shall provide regular updates to the Legislative Fiscal Office and the Department of Administrative Services Chief Financial Office, and shall report back to the Joint Committee on Ways and Means during the 2017 legislative session on the implementation and status of the projects, outcomes to date, costs to date, as well as recommended policies which will improve population health outcomes in rural Oregon.

The Subcommittee included $2 million General Fund for the Medicaid Primary Care Loan Repayment Program. This program was funded in the 2013-15 biennium, but not in the current biennium. The budget also includes $0.5 million General Fund for negotiated compensation cost changes for non-state employees. As discussed above, most of the special purpose appropriation of $10.7 million, which had been set aside for this purpose, was distributed to OHA and the Department of Human Services.

The Subcommittee included $900,000 of one-time General Fund for planning and start-up costs related to providing medical assistance for additional children in Oregon. The following budget note was approved.

> **Budget Note:**
> The Oregon Health Authority is directed to develop a plan and recommendations for extending medical assistance to children not eligible under ORS 414.231(3) including: eligibility criteria, coverage options, enrollment estimates, issues of equity and inclusion, integration with other programs, outreach, administrative and staffing changes, phasing options, and cost estimates. In developing the recommendations, the agency is expected to engage stakeholders and legislators, and utilize information on experiences in other states. The agency will report back to the appropriate committees during the 2017 legislative session on their plan and recommendations.

Oregon State Hospital

The rebalance plan approved by the Subcommittee for the Oregon State Hospital (OSH) includes $2.7 million General Fund to finish the implementation of the Avatar system, the electronic health record system at the hospital. Much of the Avatar system has been completed and adopted into the normal workflow processes. This includes the Clinician Work state, Lab Management, and Food and Nutrition Services.

However, the Medication Management and the Billing modules have not yet been fully implemented and adopted into the workflow processes. Implementation of the medication management module will allow the use of automated dispensing of medication, as well as electronic medication administration records. A recent Secretary of State audit noted the importance of finishing this work, both from an efficiency and patient safety perspective. The Billing module will assist in more accurate and timely reimbursement requests to Medicare, Medicaid, and third party insurance providers. The agency has contracted with a company to assist with the final adoption and implementation of these parts of the system.

The rebalance plan also includes the transfer of $10 million General Fund from OSH to Statewide Assessments and Enterprise-wide Costs (SAEC). This funding was put in the OSH budget to be used for cost allocation purposes once the agency had done a thorough review of cost allocation issues within OSH and agreed with CMS on a new cost allocation plan. Once cost allocation is actually implemented, the funding will need to be in SAEC.

Although the agency believed it was too early to bring forward as a formal request, there is risk to the Oregon State Hospital budget. The Aid and Assist population at the hospital continues to grow and may ultimately result in the need to open an additional ward. The agency is in the process of implementing several investments that are expected to ease the pressure from this population, and so at this point is not requesting any funding. OSH is also closely monitoring the use of overtime, particularly as it relates to staff use of the federal Family and Medical Leave Act, and may eventually request additional positions to deal with these issues.

Public Health

The Subcommittee approved an Other Funds expenditure limitation of $4.0 million and two permanent positions (1.00 FTE) for a youth marijuana-use prevention pilot project as required in House Bill 4014. The one-time funding for this program will be transferred from the Oregon Liquor Control Commission Account, to be repaid out of marijuana tax revenues. This evidence-based pilot project will serve as a basis for establishing a statewide program during the 2017-19 biennium. The distribution of marijuana taxes during the 2017-19 biennium may be adequate to fund the statewide program, but if not, the agency will need to request additional funding to operate an ongoing program.

The agency anticipates establishing a new fee for medical marijuana processors, and increasing the fee on growers, effective April 1, 2016. These fees are necessary to pay for the increased costs to the program with the changes that resulted during the 2015 session. The increased expenditure limitation was included in the agency's 2015-17 legislatively adopted budget.

The agency anticipates a fiscal impact from House Bill 4014 and Senate Bill 1511, which make changes to both the medical marijuana and recreational marijuana systems. In addition to the pilot project discussed above, House Bill 4014 requires the agency to issue receipts to medical marijuana registry applicants on the same day that they are received. This is expected to require additional staff. Senate Bill 1511 allows producers, processors, and distributors that currently are limited to medical marijuana only to choose to operate in both the medical and recreational markets. In that case, both the licensing revenue and the regulatory functions related to those entities will move to the Oregon Liquor Control Commission (OLCC). In the short run, this will create additional workload for program staff in Public Health, as paperwork is completed to allow the entities to shift. In the long run, OHA estimates up to a $5.6 million loss of revenue during the 2015-17 biennium, as producers, processors, and dispensaries opt to be licensed and registered by OLCC. While the agency would also experience some cost reductions as less regulatory work would be required, it is likely that the revenue reduction would occur sooner than the costs can be reduced. The overall effects cannot be estimated accurately at this time.

The agency expects to include the necessary adjustments to expenditure limitation, as well as adjustments to numbers and classifications of positions needed, in the rebalance they will submit during the fall of 2016. In their rebalance report, the agency will also report on the estimated revenue loss and its program impact. A number of on-going core public health programs are funded with fee revenue generated through the medical marijuana program. If revenues are inadequate to fund these programs, General Fund could be required to continue these programs, or the programs would need to be reduced or discontinued. These programs include state support for local public health departments, the Safe Drinking Water Program, Emergency Medical Services, and others.

One full-time position (0.38 FTE) was approved for the Prescription Drug Monitoring Program for workload associated with House Bill 4124.

Central and Shared Services/Statewide Assessments and Enterprise-Wide Costs

The rebalance plan approved by the Subcommittee for the administrative units of the agency includes $0.6 million General Fund for mass transit costs and treasury fees that were not included in the original budget. In the future, these need to be incorporated in the budget build process.

Debt service is also included within these budget units. The Oregon State Hospital Replacement Project is expected to close out with a surplus of $3.7 million in bond proceeds. This surplus will be used to pay down debt service and free up General Fund. Another $0.4 million Other Funds expenditure limitation has been identified by the Department of Administrative Services as available to pay debt service on these bonds, also freeing up General Fund.

Department of Human Services

The 2015-17 budget for the Department of Human Services (DHS) is built around nine budget structures and five appropriations. The budget structures reflect five direct program areas: Self Sufficiency (SS); Child Welfare (CW); Vocational Rehabilitation (VR); Aging and People with Disabilities (APD); Intellectual and Developmental Disabilities (IDD); and four program support functions: Program Design Services (PDS), Central Services (CS), Shared Services (Shared), and State Assessments and Enterprise-wide Costs (SAEC).

The majority of the DHS budget adjustments approved by the Subcommittee are driven by actions needed to rebalance the agency's budget. At the January 2016 meeting of the Interim Joint Committee on Ways and Means, the agency presented a rebalance report indicating a significant funding need – $71.7 million General Fund – to sustain programs for the remainder of the biennium. This projection incorporates a number of issues affecting the agency's budget, including caseload changes, increases in cost per case, and other program changes or issues arising since the 2015 legislative session.

The biggest drivers of the budget deficit are caseload costs in the APD and IDD programs, some of which are compounded by collective bargaining actions and federal regulations. While these issues were identified as budget risks during the 2015 session and handled either directly in the budget or through special purpose appropriations, some costs were not adequately estimated. In addition to costs, the DHS rebalance calculation does factor in caseload savings in Temporary Assistance for Needy Families (TANF) and from federal match rate changes. The approved rebalance plan addresses part of the budget gap by directly adding $37.4 million General Fund to the budget; however, this leaves about $34.9 million General Fund associated with APD and IDD caseload costs unfunded (as of the current projection; the unfunded amount may change as expenditures are recorded and projections evolve).

The special purpose appropriation of $40 million that was established during the 2015 legislative session for DHS or OHA has been left untouched and continues to be available for the Emergency Board to allocate to help cover caseload costs or other budget challenges that the agencies are unable to mitigate. However, if demand ends up being greater than the amount of funding set aside, other legislative action may be required early in the 2017 session. DHS will continue to closely monitor caseload counts and costs in all programs, while continuing to develop long term solutions to ensure budget sustainability.

Regarding sustainability, the budget report for House Bill 5026 (2015), contained a budget note directing the agency to report, during the 2016 legislative session, on ways to ensure program sustainability specifically for the APD and IDD programs. This direction was in response to concerns about budget growth and increases in both caseload volume and costs. The agency engaged an external consultant to support the development of independent and unbiased options for program sustainability. The final report, produced by the Lewin Group, was received on February 10, 2016, and identifies potential strategies for "bending the cost curve" in these programs. Suggestions primarily revolve around changing eligibility, modifying services, and increasing participant cost-share. Input from stakeholders was included in the report; while they acknowledge that projected program costs are unsustainable, there are varying perspectives on how best to deal with costs.

Legislative members expressed frustration with the report, as it had a limited amount of modeling, was unable to capture all potential budget drivers, and did not result in a list of succinct options for potential action. Both the Lewin Group and DHS indicated this was primarily due to time and data constraints. A group of legislators, primarily from the policy and budget committees overseeing human services issues, is committed to working with the agency and stakeholders to develop policy and program change options discrete enough to be fully vetted and priced for potential budget action in the 2017 legislative session. DHS has also identified some areas where it can start to make some changes, mostly around best practices for assessing client needs and validating that the most appropriate services/service levels are being authorized. To formalize these efforts, the Subcommittee adopted the following budget note:

Budget Note:

1) The Department of Human Services is directed to take steps to provide policy and budget options for decision making that will be required during the 2017 legislative session to ensure future sustainability of the APD and IDD programs. Steps include further refinement, analysis, and pricing of viable options or ideas brought forth by the agency, stakeholders, and other interested parties; the focus should be on ways to control caseload growth and utilization. The agency will reach out to legislators, stakeholders, and partners to assist in this effort. In developing sustainability proposals, the Department shall prioritize options that minimize impacts on consumers and providers. The Department will also formally report, at a minimum, to the Emergency Board during Legislative Days in May and December 2016 on progress made under both parts of this budget note. The agency may also be requested to report to interim legislative policy committees on human services.

2) In addition to the work described above, the Department is also directed to take immediate actions that may help contain costs without changing the current service system structure and that do not require statutory changes. The agency's action plan includes:
   - Review and correct, if needed, the relationship between assessment tools and program eligibility criteria;
   - Take action to more efficiently align service authorization with people's needs, also consider appropriate limits;

- Work to limit use of overtime in service plans; but the agency should take into account workforce shortage areas, the needs of consumers, and changes to current consumer provider relationships;
- Continue discussions with CMS to prevent the conversion of natural support to paid support, with consideration for parental responsibility; and
- Further restrict the live-in program to prohibit live-in service plans when the individual lives in their family's home or the family lives with the individual and is served by that relative (they would still be served in the hourly program).

Overall, the adjustments made in Senate Bill 5701 increase agency's budget by just under $350.0 million total funds; comprised of $36,651,673 General Fund, $27,557,059 Other Funds expenditure limitation, and $285,760,479 Federal Funds expenditure limitation. The associated staffing changes result in a net increase of 16 positions (7.23 FTE). These numbers do not include budget changes related to statewide employee compensation, which total $27.5 million General Fund ($60.6 million total funds), and are also included as a part of Senate Bill 5701.

In addition to caseload cost underfunding and caseload forecast/cost volatility, there are other budget risks. These include costs associated with the approved settlement agreement for the *Lane v. Brown* lawsuit (reduce number of clients in sheltered workshops) and other legal expenses; federal changes to funding streams, program requirements, and possible sequestration; and impacts of economic changes, such as a recession.

A more detailed description of each program area's budget adjustments follows. For context regarding caseload changes, the 2015-17 legislatively adopted budget was based on the spring 2015 caseload forecast; the rebalance adjustments in Senate Bill 5701 factor in caseload and cost changes tied to the fall 2015 forecast, published in January 2016.

Self Sufficiency

The budget adjustments approved by the Subcommittee for the Self Sufficiency (SS) program reflect a decrease of $36.7.million General Fund (and total funds) and 1 position (no FTE change).

The fall 2015 forecast projects the 2015-17 overall Supplemental Nutrition Assistance Program (SNAP) caseload to be 5.3% lower than earlier estimates. Embedded in the net decrease is a decrease in the number of SS households receiving SNAP, while the number of Aging and People with Disabilities households receiving SNAP continues to grow. Caseloads in the TANF cash assistance programs are down 11.6% from the spring numbers, at a biennial average of 24,787 families. Overall caseload savings of $37.0 million General Fund are included in the agency's rebalance calculation and used to offset costs in other programs.

While the 2015-17 budget included significant investments in, and changes to, the Employment Related Day Care (ERDC) program, the agency estimates an additional $709,327 General Fund is needed to fully cover costs of collective bargaining for day care providers. This amount includes $600,000 for AFSCME child care providers that was not part of the agency's original rebalance request. The costs are covered with an allocation from the $10.7 million General Fund special purpose appropriation for collective bargaining costs for workers who are not state employees.

Technical adjustments and transfers account for a decrease of $0.5 million total funds for this program, most of which aligns the budget between SS and support functions. This action is consistent with past budgeting practices which have made these budget changes as part of the first rebalance after the budget was approved; similar adjustments are approved in other programs. Two part-time positions are also combined into one full-time position to better meet program needs.

The Subcommittee approved $130,000 General Fund, on a one-time basis, for distribution to the Oregon Food Bank. Through purchase of a refrigerated truck, the funding will support expansion of the Fresh Alliance initiative. This food recovery program picks up donations of perishable food (nearing end of shelf life) from grocery stores and then makes that food available to hunger-relief agencies.

Child Welfare

For Child Welfare (CW), the Subcommittee approved a decrease of $0.5 million General Fund, an increase of $0.3 million Other Funds expenditure limitation, an increase of $1.8 million Federal Funds expenditure limitation, and a decrease of 1 position (no FTE change).

Forecasts for individual caseloads within CW have fluctuated slightly between the spring and fall forecasts, with associated budget changes primarily due to an increase in cost per case. A net increase of $1.9 million General Fund and $4.4 million total funds is identified as being needed to fund caseloads, most of which is attributed to the Well Being program. The rebalance does include savings from a change in the Federal Medical Assistance Percentage (FMAP), decreasing the need for General Fund. Based on the latest federal estimates, the 2015-17 biennial average FMAP rate will increase from 64.21% to 64.37%, which reduces the state contribution and draws down additional federal dollars. This change will also affect other agency programs.

The agency's rebalance proposal included the establishment of a budget mechanism ($19.5 million Other Funds expenditure limitation) to fully convert the General Fund budget for the Supporting, Preserving and Reunifying Families (SPRF) program into Other Funds. To avoid overstating the overall budget for this program, the approved rebalance plan does not include this adjustment. If, closer to the end of the 2015-17 biennium, DHS estimates it will underspend its General Fund budget for SPRF, the agency can request the legislature to approve paying those excess dollars into the SPRF fund (converted into Other Funds).

Technical adjustments and transfers are approved for this program, which generally align the budget between CW and support functions. A position action is included to combine two part-time positions into one full-time position to better meet program needs.

Vocational Rehabilitation

The budget approved by the Subcommittee for Vocational Rehabilitation (VR) reflects increases of $3.3 million General Fund, $8.5 million Federal Funds expenditure limitation, and 8 positions (9.89 FTE).

The fall 2015 forecast projects the 2015-17 VR caseload to be about 1% lower than the spring estimate. Any potential savings associated with fewer clients is masked by higher than projected costs per case, which have grown by 16.3% from the spring 2015 forecast. Higher costs continue to be driven by an increase in the number of clients with cognitive and psychosocial disabilities who have complex needs that are more challenging to meet.

To maintain the program and cover these costs without activating the Order of Selection (priority wait list), the program estimates needing about $7.5 million General Fund, since base federal dollars are capped. However, the rebalance plan uses $8.5 million in one-time federal reallotment dollars to cover these costs for the 2015-17 biennium; these resources would need to be backfilled with General Fund in the 2017-19 budget to sustain program services. Another $1.0 million of the one-time monies would cover costs associated with implementation of the federal Workforce Innovation and Opportunities Act (WIOA).

Technical adjustments and transfers account for an increase of $3.3 million total funds and 11 positions (9.92 FTE); the dollars and the positions are associated with moving work tied to the Governor's Executive Order 15-01 and the Employment First policy package from Intellectual and Developmental Disabilities to VR. This position increase is partially offset by other actions converting part-time positions to full-time.

Aging and People with Disabilities

For the Aging and People with Disabilities (APD) program, the Subcommittee approved budget increases of $33.5 million General Fund, $17.2 million Other Funds expenditure limitation, and $119.3 million Federal Funds expenditure limitation; no position changes were needed. The increases cover all but about $8.7 million General Fund (plus corresponding Federal Funds expenditure limitation) of the agency's current projected budget shortfall associated with caseload costs. As noted previously, it is expected that most of these costs can be addressed via an allocation from the special purpose appropriation to the Emergency Board.

Caseloads in long-term care facilities are slightly above the level funded in the legislatively adopted budget. In-home and community-based facilities' caseloads are essentially flat, while nursing facilities' caseloads are 3.2% higher. Since nursing care is more expensive, that increase is driving a need for $7.0 million General Fund and $23.0 million total funds. A portion of these costs are offset by net savings in nursing facility rates of $1.8 million General Fund ($6.0 million total funds). Rates are anticipated to be lower in the second year of the biennium based on projected bed reduction targets; rates were pegged to those targets under House Bill 2216 (2013).

A key budget driver related to APD caseloads are costs per case associated with in-home care. Labor agreements and actions required by federal regulations are increasing hourly costs, while higher acuity and need levels are influencing service levels (hours per client). For some program services, cost per case has grown by as much as 15% over the spring forecast estimate. The approved rebalance plan covers $13.8 General Fund for overtime pay for home care workers that is being driven by federal labor regulations. Due to wage increases for these same workers, $3,351,396 General Fund is added and is supported by an allocation from the $10.7 million General Fund special purpose appropriation for compensation changes driven by collective bargaining for workers who are not state employees. In the approved rebalance plan, savings in community-based care are used to offset some of the in-home need.

Collective bargaining (rate increases) for adult foster care is behind an increase of $1,241,568 General Fund ($4.2 million total funds); this increase is also covered by an allocation from the special purpose appropriation for non-state worker collective bargaining. After allocations made in both the DHS and OHA budgets as part of Senate Bill 5701, there is $700,147 remaining in that special purpose appropriation. It is anticipated that DHS and OHA will request this funding once outstanding bargaining issues are resolved; actual costs may vary based on outcomes.

APD's rebalance plan also includes $4.0 million General Fund in savings due to the FMAP change noted previously, and another $5.0 million General Fund savings tied to accessing more federal dollars for newly eligible clients.

To meet federal program requirements, the agency needs $16.6 million Other Funds expenditure limitation for waivered case management services. The approved limitation will help separately track program expenditures and receive the allowed higher match rate.

An expenditure limitation of $7.0 million Federal Funds is included in the plan to reflect expenditures allowed under OHA's Designated State Health Program (DSHP) waiver associated with Oregon Project Independence.

Technical adjustments and transfers account for a net decrease of $2.3 million total funds.

Intellectual and Developmental Disabilities

The rebalance changes approved by the Subcommittee for the Intellectual and Developmental Disabilities (IDD) program are increases of $33.2 million General Fund and $112.3 million Federal Funds expenditure limitation; positions were reduced by 11 (9.92 FTE). The increases cover all but about $26.2 million General Fund (plus corresponding Federal Funds expenditure limitation) of the agency's current projected budget shortfall associated with caseload costs. As noted previously, it is expected that most of these costs can be addressed via an allocation from the special purpose appropriation to the Emergency Board.

Both caseloads and cost per case in IDD programs are expected to be higher than the previous forecast, driving an overall increase of $64.6 million General Fund ($210.3 million total funds). Caseload counts, particularly for children, are well over the spring 2015 forecast. This continues to be directly related to the K Plan, as under that state plan option services must be provided to all eligible applicants. Costs per case also continue to grow as they are driven by assessed client needs and no longer subject to any monetary caps.

The approved rebalance plan includes $3.0 million General Fund to restore a reduction action taken during 2015-17 budget development. This amount was offered up by the agency as a reduction during session, but was attributed to the wrong program; if left in place the cut eliminates staff supporting children's programs. Participation rate changes for brokerage case management are driving an increase of $2.1 million General Fund; the Department has a plan to improve participation rates going forward through provider training.

Labor agreements and federal rule changes also drive costs for IDD. Collective bargaining (rate increases) for adult foster care drives an increase of $2,066,520 General Fund ($7.0 million total funds). This change is covered by an allocation from the special purpose appropriation for non-state worker collective bargaining. Another allocation from the same source of $2,133,480 General Fund ($7.0 million total funds) is also approved to pay bargained wage increases for personal support workers. To address overtime rules also affecting personal support workers, $3.2 million General Fund is added; this is part of a $17 million General Fund need (APD and IDD combined) for this issue identified during the 2015 legislative session.

Some savings are available to help partially offset costs. In some parts of the program, Medicaid participation rates have improved and are projected to reduce General Fund spending by $5.6 million. The change in the FMAP rate is anticipated to save $4.6 million General Fund.

Technical adjustments and transfers reduce the IDD budget by $4.6 million General Fund ($6.2 million total funds) and 11 positions (9.92 FTE), most of which is moving the VR portion of the additional Employment First resources approved for 2015-17 from IDD to VR.

Program Design Services

In Program Design Services (PDS), the Subcommittee approved a decrease of $0.2 million General Fund, an increase of $7.6 million Other Funds expenditure limitation, an increase of $40.9 million Federal Funds expenditure limitation, and an increase of 32 positions (13.70 FTE). These adjustments include some small technical adjustments, but the changes mostly consist of budget increases needed to cover the next phase of the agency's Integrated Eligibility (IE) information technology project.

In House Bill 5026 (2015), DHS received $750,000 General Fund ($7.5 million total funds) for planning to improve eligibility determination systems for non-MAGI (Modified Adjusted Gross Income) Medicaid programs. These programs primarily serve clients in the APD and IDD programs. However, after planning work and a changing information technology landscape due to implementation of OHA's OregonONEligbility (ONE) system, the project approved by the Subcommittee will enhance ONE to support eligibility determinations for the non-MAGI Medicaid programs, plus the Supplemental Nutrition Assistance Program (SNAP), Temporary Assistance for Needy Families (TANF), and Employment Related Day Care (ERDC) programs.

The additional project funding in Senate Bill 5701 is $47.9 million total funds. When coupled with the previously authorized planning money, the overall 2015-17 project budget represents about 43% of the overall estimated project cost of $130 million total funds. Based on current federal match estimates, about 85% of project costs will be covered by federal dollars. In House Bill 5202, the Joint Ways and Means Subcommittee on Capital Construction approved $7.5 million in proceeds from Article XI-Q Bonds to cover the state share of the project for the current biennium. DHS estimates that the project will take 33 months to execute, with completion targeted for the end of 2018. The 2017-19 state share of the project is estimated at about $15 million and includes debt service on the 2015-17 bonds. The staffing component of the project is 35 limited duration positions (15.83 FTE), primarily consisting of project managers and operations/policy analysts. These resources would supplement permanent employees (information technology, fiscal, policy, management) that are located in both DHS and OHA; some of these resources had been previously approved for work on other system improvement initiatives and the ONE project.

Both the Joint Committee on Ways and Means Subcommittee on Human Services and the Joint Legislative Committee on Information Management and Technology (JLCIMT) reviewed the project. The JLCIMT recommended incremental, conditional approval of the request and set out several action items, in its recommendation to the Joint Committee on Ways and Means, which were adopted. The Subcommittee approved the project with the understanding that the funding will be unscheduled until the Legislative Fiscal Office and the Chief Financial Office of the Department of Administrative Services approve rescheduling; agency compliance with the JLCIMT recommendations will be key to making expenditure limitation available. Depending on project progress and timing, the Department may be asked to report during the interim to the Emergency Board and/or JLCIMT.

Central Services

The budget adjustments, associated with technical change and transfers, included in Senate Bill 5701 for Central Services, are net decreases of $0.3 million total funds and one position (1.00 FTE).

Shared Services

The Subcommittee approved a net decrease of $0.8 Other Funds expenditure limitation and 10 positions (5.44 FTE) for Shared Services. Included in this adjustment is the transfer of 11 positions (7.37 FTE) from the Provider Audit Unit within the Office of Payment Accuracy and Recovery

into OHA Central Services. This change is consistent with guidance from the federal Centers for Medicare and Medicaid Services regarding this unit's responsibility for auditing Medicaid providers.

Statewide Assessments and Enterprise-wide Costs

The budget adjustments approved by the Subcommittee include technical adjustments and transfers accounting for an increase of $5.6 million General Fund ($9.1 million total funds), primarily to align the assessment budget with 2015-17 policy package changes in program budget structures. There is also an adjustment to align with positions being moved to OHA from DHS Shared Services.

The approved rebalance plan includes $118,318 General Fund ($277,566 total funds) to cover Treasury fees. Due to an oversight during 2015-17 budget development, this line item, which is driven by the cost of banking services, was not adequately funded in the base budget. Also accounted for is an adjustment to capture and spend lease revenue, which reduces the need for both General and Federal Funds resources. To match up with the APD budget, $2.5 million Other Funds expenditure limitation is added to support the budget mechanism for recording waivered case management expenditures.

The agency's 2015-17 budget includes $839,543 General Fund for debt service associated with the Central Abuse Management (formerly known as the Statewide Adult Abuse Data and Report-Writing System) and assumes a bond sale early in the biennium. Current project timing indicates the sale will not need to occur until spring 2017. Based on that timeframe, the debt service is eliminated because it is not needed; this savings amount is not included as a resource within the DHS rebalance plan.

JUDICIAL BRANCH

Commission on Judicial Fitness and Disability

The Subcommittee increased the General Fund appropriation for extraordinary expenses by $172,000, equivalent to a 74.8% increase over the level of General Fund in the Commission's 2015-17 legislatively adopted budget. The funds were appropriated to pay the Commission's costs incurred from the investigation and prosecution of two charges of judicial misconduct. The action increases the General Fund appropriation for extraordinary expenses to $189,753. The revised funding level covers the costs-to-date identified by the Commission, and provides an additional amount of approximately $20,000 for projected Commission costs associated with the Supreme Court review of the cases. The agency will need to request additional funding later in the biennium, if the costs of completing the two current cases exceed projection, or if the Commission approves any additional cases for formal investigation. If the full appropriation is not needed, any remaining funds will be available to cover extraordinary expenses in the 2017-19 biennium. The General Fund increase was approved on a one-time basis and will be phased out in the development of the agency's 2017-19 budget.

Judicial Department

The Subcommittee approved increases in total Judicial Department expenditures of $17,454,547. The expenditure changes include a General Fund increase of $8,389,259. Budget adjustments include employee compensation adjustments plus the specific items identified below.

The Subcommittee increased the General Fund appropriation for judicial compensation by $630,000 for the 2015-17 biennium cost of providing a $5,000 per year salary increase to all statutory judges, beginning on January 1, 2017. The salary increase is enacted by Senate Bill 1597, the 2016 session program change bill. The fiscal impact of the salary increase will increase to $2,520,000 General Fund beginning with the 2017-19 biennium, when it will be in effect for the full biennium.

A $200,000 General Fund increase was approved for the Oregon State Bar Legal Assistance Program (Legal Aid) for legal assistance in housing-related issues. As is the practice with unrestricted General Fund appropriations for Legal Aid, this supplemental funding is approved on a one-time basis and will be phased out in the development of the 2017-19 budget. The General Fund appropriation increases combined total 2015-17 biennium state support for Legal Aid, from both Other Funds (court filing fees) and General Fund sources, to $12,700,000.

The Subcommittee increased the Other Funds expenditure limitation for the State Court Technology Fund (SCTF) by $5,330,000 for costs of maintaining and supporting state court electronic systems and providing electronic service and filing services. The expenditure limitation increase largely reflects a higher rate of electronic filing of court documents than was anticipated when the Chief Justice's recommended budget was developed. The Department uses SCTF moneys to pay electronic filing charges and does not charge participating parties who file the documents. The SCTF is projected, following this authorization and the mid-biennium transfer of legacy technology fee revenues to the SCTF as required by Senate Bill 1597, to have a 2015-17 biennium ending balance exceeding $860,000. SCTF resources are not, however, projected to cover costs in subsequent biennia without action to either increase SCTF revenues or reduce ongoing operating costs.

A $2,800,000 Other Funds expenditure limitation increase was approved for the planning and design of a new Lane County Courthouse facility through the Oregon Courthouse Capital Construction and Improvement Fund (OCCCIF). This expenditure limitation amount allows for expenditures of up to $1.4 million of Article XI-Q bond proceeds and of up to $1.4 million of required county matching funds for the Lane County Courthouse project. Project bonds are authorized in House Bill 5202. The approved bond authority is in addition to the bond proceeds that were previously authorized for the 2015-17 biennium: $17.4 million for the Multnomah County Central Courthouse project, $2.5 million for the Jefferson County Courthouse project, and $7,875,000 for the Tillamook County Courthouse project. Debt service costs for the Article XI-Q bonds authorized for the Lane County Courthouse project are projected to total approximately $233,000 General Fund per biennium, beginning in the 2017-19 biennium. Because the bonds will not be issued until the spring of 2017, there will not be debt service payments due in the current biennium.

The approval of Article XI-Q bonds for planning and design of the Lane County Courthouse does not create or imply any commitment to provide state funds for the construction of the facility. The Subcommittee also increased the Judicial Department operations Other Funds expenditure limitation by $45,000 for the cost of issuance of Article XI-Q bonds for planning and design of the Lane County Courthouse facility.

The Subcommittee approved the following budget note concerning courthouse funding through the Oregon Courthouse Capital Construction and Improvement Fund:

Budget Note:
The Chief Justice or his designee is requested to present a report to the Emergency Board, no later than December 2016, with a priority ranking and the projected costs of courthouse capital construction projects for which he may, within the next twelve years, request state funding support from the Oregon Courthouse Capital Construction and Improvement Fund. The report shall include recommendations for stabilizing biennial funding request amounts over the ten-year period beginning with the 2019-21 biennium.

Public Defense Services Commission
The Subcommittee increased the General Fund appropriation for the Contract and Business Services Division by $18,834. The appropriation funds payment of a Secretary of State service charge that was omitted from the agency budget in error.

LEGISLATIVE BRANCH

The Subcommittee approved a net-zero General Fund rebalance. The adjustments include reductions to agency budgets to account for higher than anticipated reversions from the 2013-15 biennium, a $180,000 increase for chamber improvements, and an increase of $6.7 million in Legislative Administration for facilities projects. Unused bond proceeds of $2.4 million are used to pay debt service in lieu of General Fund. The facilities projects will be the beginning of a $50 million Capitol project that will make improvements to the mechanical, electrical, and plumbing systems; address security and life safety issues; and increase accessibility for people with disabilities. It is expected to take three years for all of the improvements to be completed. House Bill 5202 includes $30 million in Article XI-Q bonds to continue the project into the 2017-19 biennium. The expenditure limitation for those bond proceeds are included in House Bill 5203, which is the bill for all capital construction limitations. The Subcommittee approved an Other Funds expenditure limitation of $460,000 for the cost of issuance for the bonds. There is no debt service in the current biennium.

The Subcommittee also approved two new Other Funds expenditure limitations related to the Oregon Capitol Foundation. The Foundation is now a separate entity from the Legislative Administration Committee. The limitations include $300,000 for the History Gateway and $25,000 for expenses related to operations for the Foundation.

NATURAL RESOURCES

Department of Agriculture
The Subcommittee established a Federal Funds expenditure limitation of $175,000 in the Administrative and Support Services program area to accommodate the awarding of a federal grant to be used for wolf depredation compensation claims, and for nonlethal preventative techniques.

The Subcommittee also increased Federal Funds expenditure limitation by $1,700,000 and made a one-time $539,338 General Fund appropriation as state match to pay for eradication efforts related to the Asian Gypsy Moth, a non-native invasive species, which was found in northwest Portland. The majority of the eradication project would occur during May and June of this year. On January 14, 2016, the U.S. Department of

Agriculture (USDA) notified the Department that $1.7 million in federal funding would be made available for the eradication project. In the past, USDA has provided only 50% of eradication funding.

The Subcommittee also acknowledged that the Department anticipates increasing the wholesale seed dealer license from $500 to $750 in May 2016, and increasing the veterinary product registration fee from $75 to $100 in June 2016. The Other Funds revenues from these increases will be used, in part, to fund program compensation increases.

Columbia River Gorge Commission

The Subcommittee increased the General Fund appropriation made to the Columbia River Gorge Commission by $11,308 to match the amount provided to the Commission by the State of Washington for the 2015-17 biennium for operational costs. Included in the $11,308 increase is $6,000 for costs related to a once every five year audit; this amount is considered to be one-time and is not to be included in the base budget for 2017-19 budget development. The Subcommittee also eliminated the Commission's $5,000 Other Funds expenditure limitation provided in the adopted Oregon budget since any non-General Fund revenues received by the Commission are expended through the Washington budget.

Department of Environmental Quality

The Subcommittee approved a one-time $100,000 General Fund appropriation for sampling, testing, and monitoring Harmful Algae Blooms. Of the total, $30,000 will be used to purchase testing equipment so that samples do not need to be sent out of state for processing.

The Subcommittee also approved $230,000 General Fund, on a one-time basis, to provide information for the 2017 legislative session on how a market-based carbon reduction system would work in Oregon. The money would be used to hire a full-time limited duration Operations and Policy Analyst 4 position (0.58 FTE). In addition, $50,000 is to cover costs for an economic consultant to assist with research data and analysis. The DEQ work is to include:

(1) Identify the type, scope, and design of the greenhouse gas emissions cap necessary to link with other jurisdictions and meet the state's greenhouse gas emissions reduction goals.

(2) Assess how a market-based program would interact with existing programs, such as the Renewable Portfolio Standard, the Clean Power Plan, and the Clean Fuels program, and achieve the state's greenhouse gas emissions reduction goals.

(3) Study and evaluate how existing market-based programs in other jurisdictions control leakage and how those methods might be adapted to align with Oregon's economy and business sectors.

(4) Study and evaluate how existing market-based programs address potential impacts and benefits to disadvantaged populations and rural communities and how those methods might be adopted to Oregon.

The Subcommittee approved a $2,500,000 General Fund appropriation to expand the Department's current Oregon Air Toxics Program. This will allow the immediate focus of increased air toxics monitoring efforts on cadmium, arsenic, and chromium hotspots in Portland, as well as, expand air toxics monitoring across the state. In addition, the increased funding will allow DEQ to develop a risk-based approach to air permitting for industrial sources through rulemaking. Over time this will allow DEQ to modify existing air permits to be risk-based. The air toxics monitoring funded through this appropriation uses moss collection and analysis to map pollution levels in Portland communities and develop maps of hot spots. This funding will allow the Department to purchase and set up two additional full air toxics monitoring stations that can be directed at areas with high levels of air toxics that are identified. A Natural Resources Specialist 3 position, three Natural Resources Specialist 2 positions, a

Chemist 2 position, and a Chemist 3 position are added as permanent positions for the monitoring and analysis work. DEQ will also use the funding to develop, through rulemaking, an Oregon specific air toxics program that ensures industrial hot spots are sufficiently controlled. During this process, discussions with local governments about their potential roles and involvement, either formally or informally, may occur. To work on this part of the program, six permanent positions were added (Principal Executive Manager E, Program Analyst 3, Natural Resources Specialist 4, Natural Resources Specialist 3, Operations and Policy Analyst 1, and Public Affairs Specialist 2). In all, 12 permanent positions (7.00 FTE) were added, with total Personal Services costs of $1,266,789, Services and Supplies costs, including Professional Services, of $883,211 and $350,000 in Capital Outlay for monitoring equipment. This program is estimated to have a 2017-19 roll-up cost of $3,626,239 General Fund.

Department of Fish and Wildlife

The Subcommittee approved a one-time increase of $180,000 Other Funds expenditure limitation to continue two limited-duration Natural Resource Specialist 2 positions authorized for one year in Senate Bill 5544 (2015). These two positions work with landowners to improve and protect sage grouse habitat.

Department of Forestry

The Subcommittee approved an increase of $23,115,122 in the General Fund appropriation made to the Department of Forestry, Fire Protection Division for the payment of emergency firefighting costs associated with the 2015 forest fire season. This amount is dedicated to the following specific uses:

- Unbudgeted emergency fire costs ($19,558,783)
- Fire protection district deductibles ($677,886)
- Training provided to Oregon National Guard troops ($500,000)
- Oregon State Treasury loan interest ($323,630)
- Severity resources ($2,054,823)

The portion of the General Fund appropriation to the Department of Forestry included above for severity resources is offset by a reduction of the same amount in the special purpose appropriation that had been established for this purpose. In addition, Other Funds expenditure limitation is increased by $56,334,408, which includes $55,172,387 for unbudgeted emergency fire costs and $1,162,021 for fire protection district deductibles.

The Subcommittee approved a decrease in the General Fund appropriation of $238,581 and a decrease in the Other Funds expenditure limitation of $726,392 made to the Oregon Department of Forestry for the payment of debt service. These amounts were originally included in the agency's budget for the payment of debt service obligations on bonds that were anticipated to be issued at the end of the 2013-15 biennium, but were not. This is a technical adjustment to remove the excess General Fund and Other Funds expenditure limitation from the agency's budget. An increase of $45,000 Other Funds expenditure limitation was approved for the payment of bond issuance costs related to Article XI-Q General Obligation bonds to replace an equipment warehouse for the East Lane Fire Protection District.

The Subcommittee approved a General Fund appropriation of $704,286 and an increase in the Other Funds limitation of $813,594 for the implementation of a procurement and payment system replacement. The new system is intended to be an end-to-end solution for the agency, replacing its current ad-hoc system of manual and semi-automated information systems and processes. The funding includes the establishment of

four, 15-month, limited-duration positions (Project Manager 3, Information Systems Specialist 6, Accountant 1, and Procurement and Contract Specialist 3). The Subcommittee approved the project with the understanding that the funding will be unscheduled until the Legislative Fiscal Office (LFO) and the Chief Financial Office of the Department of Administrative Services approve rescheduling and that the agency comply with recommendations made by the Joint Legislative Committee on Information Management Technology (JLCIMT).

Recommendations include direction that the agency proceeds through the standard Stage Gate 3 process, including updated business case and other foundational documents. The agency will ensure that the independent quality management services contractor conducts an updated risk assessment and perform quality control reviews on the documents noted above. The agency will report back to JLCIMT or Emergency Board on project status in September 2016.

Department of Land Conservation and Development

The Subcommittee approved an increase in the Other Funds expenditure limitation of $216,000 to allow the agency to expend sub-grant funding received from the Oregon Office of Emergency Management made available through the Federal Emergency Management Agency. These funds will be used to aid in the development of local pre-disaster mitigation plans of local governments, including the cities of Albany, Medford, and Beaverton, Tillamook County, and cities within Tillamook County. A portion of this amount will be used to establish a limited duration Planner 2 position for 16 months (0.67 FTE).

Department of State Lands

In the fall of 2015, sump pumps and the associated drainage pipe failed at the Department of State Lands' headquarters building in Salem. The Department made emergency, stop-gap repairs. The Subcommittee approved a one-time $85,919 increase to the Capital Improvement Other Funds expenditure limitation for the repairs to date. Additional permanent repairs will be needed next summer; the Department will seek another expenditure limitation increase at an Emergency Board meeting.

The Department was awarded a U.S. Environmental Protection Agency grant for Wetland Program Development in the amount of $347,502. During the 2015 legislative session, $133,000 in one-time Federal Funds expenditure limitation was approved and unscheduled for this grant, pending retroactive approval to apply for the grant. This amount was intended to provide sufficient expenditure limitation for work through March, 2016. The Subcommittee approved the additional $214,502 in one-time Federal Funds expenditure limitation to enable the Department to complete the work.

A reduction in Attorney General charges to reflect reduced rates in the legislatively adopted budget was inadvertently made to the Capital Improvement limitation and should have been made to the Common School Fund limitation. Other Funds expenditure limitation is decreased by $176,890 for the Common School Fund and an increase of the same amount is made to Capital Improvements.

Water Resources Department

The Subcommittee approved an increase in General Fund of $705,288 to facilitate a groundwater study for the greater Harney Valley in coordination with the U.S. Geological Survey. The funding will support the establishment of a permanent Natural Resource Specialist position ($130,288), one-time funding of $400,000 for the drilling and construction of ten observation wells for data collection, and one-time funding of $175,000 for the cost-sharing provisions of the groundwater study.

Oregon Watershed Enhancement Board
The Subcommittee increased the Measure 76 Lottery Funds expenditure limitation for operations by $40,123 to pay the costs of reclassifying the Administrative Manager position from an Administrative Specialist 2 to a Principal Executive Manager A and reclassifying the Capacity Coordinator from an Operations Policy Analyst 3 to an Operations Policy Analyst 4. The reclassifications are due to increased duties that resulted from an agency reorganization. These reclassifications were reviewed and supported by Department of Administrative Services Human Resources. In addition, Measure 76 Lottery Funds expenditure limitation was increased by $17,329 to correct an error that omitted capital mall security assessments in the legislatively adopted budget for 2015-17.

PUBLIC SAFETY

Department of Corrections
The October, 2015 male prison population forecast was as much as 200 beds higher than the April 2015 forecast, which was the basis for the 2015-17 budget. In response to the higher forecast, the Subcommittee approved $2,558,694 General Fund and 6 new correctional officer positions (4.46 FTE) to shift the 787 Deer Ridge Correctional Institution minimum security inmates to the medium security facility, which will be operated as minimum housing. Of this amount, $1,821,701 is a one-time expense. As the additional recently-forecasted inmates enter the system, there will be other housing units within the facility available to accommodate them. The additional costs for 200 more beds for the rest of the biennium is about $6.9 million.

A special purpose appropriation to the Emergency Board in the amount of $3 million can fund part of the remaining need. There will be two more forecasts before the end of the biennium, April and October 2016. Whatever unfunded amount remains can be considered as part of the Department's agency-wide budget rebalance that typically occurs during the long legislative session as part of the current biennium final statewide budget reconciliation.

In response to an investigation of housing and treatment conditions for seriously mentally ill inmates by the federal protection and advocacy entity, Disability Rights Oregon, the Department requested $8.2 million General Fund for architectural and staffing changes. The Subcommittee approved $3,139,557 General Fund for construction and staffing that will provide the identified inmates more out-of-cell time and increased mental health therapy and counseling. The direct appropriation includes $1,031,676 for permanent staff, including 4 registered nurses and a project manager (3.33 FTE); reclassification of a corporal to a sergeant; and associated services and supplies. The remaining $2,107,881 General Fund is for one-time construction expenses for a modular building for treatment and office space on the Oregon State Penitentiary grounds and for changes inside the mental health unit of the penitentiary.

In addition, a special purpose appropriation to the Emergency Board in the amount of $2 million will be available for the Department to request as they need additional staff. Any unfunded balance can be considered as part of the Department's agency-wide budget rebalance that typically occurs during the long legislative session as part of the current biennium final statewide budget reconciliation.

The Subcommittee approved redirecting unused Junction City Correctional Institution bond funding and unneeded cost of issuance funds for various Department of Corrections bond sales to Other Funds debt service. The total Other Funds limitation is $2,094,636.

Pilot project General Fund that was intended to be one-time is phased out from the Offender Management and Rehabilitation unit within the Department. The reduction is $1,009,135.

Criminal Justice Commission

The Criminal Justice Commission was awarded $370,000 in one-time grant funding from the U.S. Department of Justice, Office of Justice Programs and Bureau of Justice Assistance for technical assistance financing in support of Oregon's Justice Reinvestment implementation. The funds were made available to Oregon by a pass-through agreement with the Vera Institute of Justice, a private nonprofit research center for justice policy and practice. The funding was included in the agency's 2015-17 Federal Funds expenditure limitation, but because the Vera Institute is not a federal agency, the funding should have been included as Other Funds expenditure limitation. This technical adjustment increases Other Funds and decreases Federal Funds expenditure limitations by $370,000.

Oregon Department of Justice

The Subcommittee approved an increase of $254,493 Other Funds expenditure limitation for the Department of Justice (DOJ) Criminal Justice Division and the authorization of two limited duration positions (1.08 FTE) for the Fusion Center. Funding for the positions would come from two separate federal grants received by the Oregon Military Department Office of Emergency Management (State Homeland Security Program for $121,334 and the Urban Area Security Initiative for $133,159). The grants are one-time in nature and require no state matching funds.

The Subcommittee approved an increase of $129,405 Other Funds expenditure limitation for the DOJ Criminal Justice Division and the authorization of one limited duration position (0.25 FTE) for a traffic safety resource prosecutor with an emphasis on marijuana. The position is funded for six months beginning in April of 2016. The source of the grant is the U.S. Department of Transportation National Highway Traffic Safety Administration received by the Oregon Department of Transportation.

The Subcommittee approved an increase of $184,714 Other Funds expenditure limitation for the DOJ General Counsel Division and the authorization of one permanent full-time position (0.63 FTE) for a marijuana civil legal services attorney. The revenue source to support the attorney is the legal services rate billed to state agencies. The 2017-19 biennial cost of the position is $316,891.

An increase of $12,613,368 Federal Funds expenditure limitation for the DOJ Crime Victims Services Division, as well as the authorization of two limited duration positions (1.42 FTE) for an increase in Victim of Crime Act (VOCA) assistance funding was approved. The grant increase will add one-time funding to existing direct service/non-profit providers, district attorney prosecutor-based victim assistance programs, child assessment centers, and other system investments. These are formula grants that require no state matching funds; however, a federal requirement does require sub-grantees to provide 20% matching funds. According to the Department, for this request, no additional state funds will be required to help sub-grantees meet their matching funds requirement.

The Subcommittee approved $676,971 General Fund for the establishment of an elder abuse program in the Criminal Justice Division. The program will be staffed by one permanent full-time Senior Assistant Attorney General (Elder Abuse Resource Prosecutor) (0.63 FTE) and two

Criminal Investigator positions (1.25 FTE). The positions will assist local law enforcement with elder abuse cases, as well as provide statewide outreach and training. Personal services costs for the positions total $457,573 and services and supplies costs total $219,398. The 2017-19 biennial cost of the program is estimated to be $1.2 million General Fund.

A change in the preliminary schedule for the DOJ Child Support Division's Child Support Enforcement Automated System (CSEAS) information technology project, which was completed after the close of the 2015 session, has increased the total cost of ownership and impacted the project's 2015-17 legislatively adopted budget, resulting in a shortfall of bonding authority, expenditure limitation(s), and cash liquidity.

The original feasibility study for the CSEAS project estimated total development costs at $92.7 million and recurring costs after development of $23.6 million for a total cost of ownership (TCO) of $116.3 million (through fiscal year 2026). The rebaselined TCO is now estimated at $122.7 million, which includes $12 million in ongoing operations and maintenance costs. The Subcommittee approved $3,086,760 of Other Funds expenditure limitation for project costs, which is to be financed with Article XI-Q bonds approved in House Bill 5202, and the establishment of 10 permanent part-time positions (1.31 FTE). Four reclassifications of existing permanent full-time positons was also approved.

Unless otherwise approved by the Legislature or the Emergency Board, the positions budgeted for the project are established as permanent fulltime positions under the following conditions: (a) the positions will be abolished on or before the completion of the project; (b) the positions are to remain in the CSEAS program (i.e., CSEAS summary cross reference) and may not be transferred to any other program or used for any other purpose than the development of the CSEAS project; and (c) the positions may not be included in any permanent finance plan action.

The Subcommittee appropriated $1,161,194 in additional General Fund Debt Service to support repayment of Article XI-Q General Obligation bond proceeds approved in House Bill 5202. Other Funds expenditure limitation of $123,240 is included for the cost of issuance of the bonds. The Subcommittee approved $34,683 General Fund for repayment of interest costs for a short-term Treasury loan. The Department of Administrative Services was directed to unschedule $3,086,760 Other Funds expenditure limitation (Article XI-Q bond project proceeds) and to schedule $3,632,932 Other Funds and $6,864,723 Federal Funds for the second quarter of calendar year 2016.

In absence of a comprehensive, integrated, and rebaselined master schedule, which is necessary to determine the project budget, and final Stage Gate 3 approval, the Subcommittee recommended a conservative, controlled release funding approach for the project. The recommendation provides full project funding through June 30, 2016, at which point the agency will need to request additional expenditure limitation from the Emergency Board. This recommendation will allow the agency to initially proceed to Stage Gate 3; however, the project should not operate for an extended period of time until "Stage Gate 3" review is officially completed and full endorsement granted. The Subcommittee adopted the Joint Legislative Committee on Information Management and Technology recommendations for the project.

The Subcommittee also approved the following budget note:

Budget Note:
The Department of Justice is to report at each meeting of the Emergency Board and the Interim Joint Committee on Information Management and Technology at each interim meeting with detailed project status reports (performance against plan) on the Child Support Enforcement Automated System (CSEAS). As part of the agency's report for the Emergency Board meeting in May of 2016, the agency is

to: (a) justify why an advisory steering committee is an appropriate form of governance; (b) provide a detailed cost-benefit analysis of the decision to use a private vendor vs. Department of Administrative Services State Data Center; (c) provide a justification for the indirect agency administrative charges to the CSEAS project, which may need to be repurposed and used for a general project contingency fund; and (d) report on improvements to quality assurance and agency status reporting.

Oregon Military Department

The Other Funds expenditure limitation for the Community Support program was increased, on a one-time basis, by $2,442,000 for the reimbursement of firefighting expenditures incurred during the 2015 fire season.

The 2015-17 legislatively adopted budget inadvertently made a General Fund reduction to services and supplies that should have been applied to personal services. The reduction is $57,929 within the Administration division. Moving it to the right budget category will enable the agency to correctly build the 2017-19 budget.

The Subcommittee approved $80,000 Other Funds expenditure limitation for the purpose of paying cost of issuance expenses associated with Article XI-Q bond authority approved for rehabilitating facilities at the Umatilla Depot site to prepare it for use as a Regional Training Center. The Umatilla site will replace the facility currently used on the Western Oregon University campus.

Department of Public Safety Standards and Training

The Subcommittee approved an increase in Other Funds expenditure limitation of $959,000 and the addition of three limited-duration positions (1.74 FTE) to support programs and activities in the Department of Public Safety Standards and Training's (DPSST) Center for Policing Excellence, funded by an Edward Byrne Memorial Justice Assistance Grant through the Criminal Justice Commission.

The agency's Federal Funds expenditure limitation was increased by $2,497,563. This net-zero technical correction changes a revenue-only transfer between DPSST and the Department of Justice to a pass-through expenditure, a budgetary change required in order to ensure full reimbursement of costs related to the federal High Intensity Drug Trafficking Area program operated by the state Department of Justice.

The Subcommittee increased the agency's Other Funds expenditure limitation by $2,790,195, and authorized twelve permanent positions (8.00 FTE) to add four basic police and two basic corrections classes to the agency's training calendar to meet demand during the 2015-17 biennium.

Oregon State Police

The Subcommittee increased the agency's General Fund appropriation by $1,320,216 and increased its Other Funds expenditure limitation by $6,911,613 for Federal Emergency Management Agency-reimbursable expenses incurred during numerous mobilizations coordinated by the State Fire Marshal during the 2015 fire season in Oregon.

The Subcommittee increased the agency's General Fund appropriation by $1,000,000 and authorized 12 positions (6.63 FTE) to increase staff capacity and reduce wait times and backlogs in the Firearm Instant Check System program.

TRANSPORTATION

Department of Aviation

The Subcommittee approved an increase of $265,000 in Other Funds expenditure limitation for the Operations Division to purchase grant management software to implement and manage the agency's grant-making program established by House Bill 2075 (2015).

Department of Transportation

The Subcommittee increased the agency's Other Funds expenditure limitation by $6,231,467 to fund road repairs and clean-up expenses at seventeen sites across the state where wildfires damaged and forced the closure of roadways during the 2015 fire season. Other Funds expenditure limitation was increased in the Local Government program by $2,000,000 toward reconstruction of the Juntura Cut-Off Road in Harney County, and by $51,804 for cost of issuing the Lottery Bonds to finance the project.

A net-zero technical adjustment of $1,354,734 was approved to move cost of issuance expenditure limitation for debt associated with highway safety improvements, the Coos Bay Rail Link, and ConnectOregon VI into the correct program budgets. A second net-zero technical adjustment of $138,433 was approved to move legislatively-approved state government service charge reductions into the correct program budgets for the 2015-17 biennium.

The Subcommittee reduced the General Fund appropriation for debt service to the Department of Transportation by $5,194,781. A bond sale anticipated for May, 2015 was postponed until May, 2016, reducing the debt service required in the 2015-17 biennium. General Fund was also reduced by $47,835 to reflect the completion of the Driver and Motor Vehicles' veteran information sharing project with the Department of Veterans' Affairs.

Agency Summary

Introduction

The Office of the Long-Term Care Ombudsman (OLTCO) is comprised of **three** program areas described below. An Agency Director, who also serves as the State Long Term Care Ombudsman, oversees the agency. The agency has a Deputy Director who oversees operations for the agency as a whole and who directly manages Long Term Care Ombudsman program staff. Lastly, there is an Office Manager who serves the agency as a whole. All remaining staff are divided into the three following program areas:

1. **Long-Term Care Ombudsman**: The Long-Term Care Ombudsman (LTCO) Program is mandated by the Federal Older Americans Act and by Oregon statute. The office functions separately and independently from any other State agency. The LTCO program relies heavily on Certified Ombudsman volunteers to fulfill its statutory duty and mission. Seven paid Deputy Ombudsmen supervise these volunteers throughout the state, numbering 150-190 at any given time. Both staff and volunteers identify, address, and resolve complaints and concerns made by or on behalf of long term care facility residents, protecting both their rights and dignity. There are over 44,000 people living in 138 nursing homes, 289 residential care facilities, 221 assisted living facilities and approximately 1575 adult foster homes throughout the state at this time. It is the goal of the program to have a Certified Ombudsman volunteer assigned to every licensed facility. However, this is currently only the case for 47% of the 2223 facilities in the state. Fortunately, dedicated volunteer and paid staff routinely make visits to adult foster homes and facilities without an assigned Certified Ombudsman to meet with residents and to address specific complaints that have been called into the LTCO program.

   Deputy Ombudsmen also coordinate all training efforts for paid staff and volunteers, address the concerns of individuals calling the statewide toll free number, and provide technical assistance to fellow Deputies and volunteers. The LTCO program is further supported by a volunteer recruiter, an administrative staff person, and a data analyst. Complaint data is utilized to make recommendations to the Oregon Legislature and Governor regarding existing and emerging long-term care issues. The Agency strives to maintain productive relationships in

the highly political environment of senior organizations, advocacy groups, professional associations and other state agencies that impact the lives and care of facility residents.

2. **Residential Facilities Ombudsman**: On July 1, 2014, the duties of the Office of the Long Term Care Ombudsman were expanded by SB 626 (2013) to include advocating for persons with developmental disabilities and mental health diagnosis living in licensed DD and MH facilities (herein "Residential Facilities"). There are approximately 7,600 persons living in approximately 1,820 licensed adult foster homes and group homes in Oregon serving these vulnerable populations. The RFO program employs five Deputy Ombudsmen who provide advocacy for residents of residential facilities in a manner similar to that of the LTCO program under the supervision of the RFO Manager. The RFO program will eventually rely heavily upon volunteers to fulfill its duty and mission and is supported in doing so by a part time volunteer recruiter.

3. **Oregon Public Guardian**: SB 1553 (2014) established the new Oregon Public Guardian (OPG) within the OLTCO on July 1, 2014. The Public Guardian reports to the Agency Director and serves as a statewide court-appointed guardian and/or conservator, trustee and payee for incapacitated Oregonians who have no other resources to serve in such a capacity. As ordered by a Court, the Public Guardian is responsible for developing individual care plans for the state's most difficult-to-serve incapacitated clients, when their circumstances are not responsive to less costly or less intrusive interventions. The Public Guardian program subsequently serves as a fiduciary in a variety of areas, including estate issues, making all health, placement and fiscal decisions for clients, and taking legal action if necessary.

   The Public Guardian currently employs two Deputy Guardians with the capacity to serve approximately 20-25 protected persons each. The need for guardianship services far exceeds this and thus multi-disciplinary panels have been formed in a number of counties throughout the state to screen referrals, seek less intrusive alternatives or resources, and only forward those cases truly requiring the services of the OPG. The OPG will also strive to meet the need for services by contracting with other private and public guardianship agencies or individuals to increase their capacity for service. The day-to-day operations of the program require extensive consultation and

representation by the Oregon Department of Justice office. The program is authorized to utilize trained volunteers at the discretion and direction of the Public Guardian.

All three program areas are represented on the Residential Facilities Advisory Committee which meets on a monthly basis and is comprised of 11 citizen volunteers.

Budget Summary Graphics

Here is a tabular comparison of the 2015-2017 LAB and the 2017-2019 ARB:

	2015-2017 LAB	% of Total	2017-2019 ARB	% of Total
Total Expenditures				
General Fund	6,172,203	89.6%	11,185,601	92.6%
Other Fund	719,522	10.4%	896,835	7.4%
Total Expenditures	6,891,725	100%	12,082,436	100%
Program Expenditures (GF & OF)				
LTCO and RFO	4,727,456	68.6%	6,846,298	56.7%
OPG	2,164,269	31.4%	5,236,138	43.3%
Total Program Expenditures	6,891,725	100%	12,082,436	100%
Program Components				
LTCO/RFO Personal Svs	3,543,676	51.4%	5,020,986	41.6%
OPG Personal Svs	839,066	12.2%	2,593,909	21.5%
LTCO/RFO S & S	1,183,780	17.2%	1,825,312	15.1%
OPG S & S	1,325,203	19.2%	2,642,229	21.9%
Total Program Components	6,891,725	100%	12,082,436	100%

Mission Statement and Statutory Authority

The Office of the Long Term Care Ombudsman is an independent state agency with the overarching mission to protect individual rights, promote independence, and ensure quality of life through informed advocacy and education for Oregonians living in long-term care and residential facilities and Oregonians with decisional limitations.

More specifically, the agency provides the following services to the following individuals:

1. Residents of licensed long-term care facilities and residents of licensed mental health and developmental disability homes (herein "Residential Facilities") with complaint investigation, resolution and advocacy for improvements in resident care. The purpose of the Long-Term Care Ombudsman, established under Title VII of the Older Americans Act (OAA), is to investigate and resolve complaints made by, or on behalf of, persons who are residents of licensed long term care and to advocate for their interests. In Oregon, this mandate has been expanded to include individuals living in residential facilities.

   Oregon's citizens living in licensed nursing facilities, residential care facilities, and assisted living facilities and adult foster and group homes deserve quality care. They should enjoy freedom to make choices about their care and freedom from abuse or neglect.

2. Incapacitated Oregonians in need of guardian and conservator services. The statewide court-appointed guardian and/or conservator, trustee and payee serves incapacitated Oregonians who have no resources to obtain these services in the private sector nor any other individuals in their lives that can serve in this capacity. As ordered by a Court, the Public Guardian is responsible for developing individual care plans for the state's most difficult-to-serve incapacitated clients, when their circumstances are not responsive to any less costly or invasive interventions.

The Office of the Long-Term Care Ombudsman reports to the Governor's Office. It derives its statutory authority from ORS 441.402 – 441.419. The statute states in part "The Office of the Long-Term Care Ombudsman is established. The

Long-Term Care Ombudsman shall function separately and independently from any other state agency . . ." The Agency is monitored and supported by the Residential Facilities Advisory Committee, per ORS 441.416, as amended.

The Office employs the State Long-Term Care Ombudsman, who also serves as the Agency Director. The Ombudsman is appointed by the Governor and confirmed by the Senate. An Annual Report is sent by the Agency to the Administration on Aging detailing the specific resident complaints and emerging issues arising from its advocacy for residents of licensed long term care facilities in Oregon.

Agency Strategic Business Plans

The Office of the Long-Term Care Ombudsman has three main strategic objectives:

1. Improving the quality of services provided to residents of long-term care facilities through education and advocacy that is focused on the protection of resident rights, dignity, and quality of life. In order to accomplish this primary objective, the Agency strives to:
    a. Increase the number of trained and fully-qualified Certified Ombudsman volunteers in order to have volunteers assigned to and advocating for residents living in every licensed long term care facility in the State.
    b. Increase the frequency and effectiveness of consumer, community, and stakeholder education regarding the LTCO program and resident rights.
    c. Increase the level of collaboration between the LTCO and other State partners who are responsible for the safety of, and services to, individuals residing in long-term care.

2. Improving the quality of services provided to residents of residential facilities through education and advocacy that is focused on the protection of resident rights, dignity, and quality of life. In order to accomplish this primary objective, the Agency strives to:
    a. Increase the number of trained and fully-qualified Certified Ombudsman volunteers in order to have volunteers assigned to and advocating for residents living in every licensed residential facility in the State.

b. Increase the frequency and effectiveness of consumer, community, and stakeholder education regarding the RFO program and resident rights.
c. Increase the level of collaboration between the RFO and other State partners who are responsible for the safety of, and services to, individuals residing in residential care.

3. Expanding the availability of public guardianship and conservatorship services so that every incapacitated Oregonian who needs the assistance of a guardian and conservator, but lacks the means to obtain these services, nor has anyone able or willing to serve in this capacity, can benefit from the advocacy, support, and protection of skilled guardians and conservators.

Each of these strategic objectives has many components. Here are the principal elements:

1a. Increase the number of trained and fully qualified LTCO Certified Ombudsman volunteers so that every licensed long-term care facility has a volunteer assigned.

Context: The LTCO program is the only State program that provides a consistent, weekly presence in Oregon's long-term care facilities. While licensing, adult protective services and case management fill a critical role in monitoring the services provided to resident's of long term care, the frequency at which they are able or mandated to be physically present and lay eyes on a facility or resident is minimal and often sporadic. The higher level of monitoring and presence provided by the LTCO is only possible in facilities in which a volunteer Certified Ombudsman is assigned.

Goal: Develop and maintain a volunteer recruiting and retention program that provides a stable supply of trained and fully qualified local Certified Ombudsman volunteers to be assigned to every licensed long-term care facility in every county in the State.

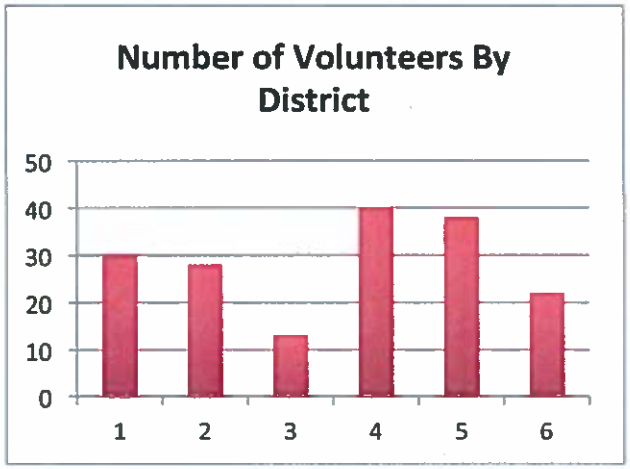
Current Status: The LTCO program currently has volunteers providing coverage to approximately 50% of long-term care facilities in Oregon.

District	Number of Facilities (No AFH)	# of Facilities Covered by a Volunteer	% of Facilities Covered	Number of Beds (No AFH)	# of Beds Covered by a Volunteer	% of Beds Covered
1	109	62	56.88%	7406	4364	58.93%
2	111	63	56.76%	7130	3863	54.18%
3	133	33	24.81%	8024	1832	22.83%
4	103	54	52.43%	7238	3826	52.86%
5	102	87	85.29%	6849	5034	73.50%
6	93	40	43.01%	4514	2115	46.85%
State	**651**	**339**	**52.07%**	**41161**	**21034**	**51.10%**

Factors, considerations and strategies:

1) Recruitment of volunteers is the first step in achieving the goal of 100% facility coverage. The Agency has a full-time Volunteer Recruiter with primary responsibility for recruiting new volunteers. A written strategic recruitment plan has been developed and is consistently under revision as needs of the various state regions shift. Recruitment efforts are targeted in alignment with the volunteer training schedule, which is established for a calendar year and adjusted as necessary. In the early months of 2016, volunteer applications were double those received in the same period of time the year prior and all volunteer trainings have had sufficient enrollment to occur as scheduled.
2) Once recruited and trained, volunteers are assigned to a paid Deputy Ombudsman whose job it is to not only manage the activities of the volunteer, but to actively engage in practices that promote volunteer retention. Without the combined efforts of recruitment and retention, it will not be possible to grow the volunteer ranks to the size necessary to achieve the goal of 100% facility coverage.

3) Sufficient volunteer management and retention cannot occur without paid staff having the time and work load distribution to make this feasible. The Agency now has seven full-time LTCO Deputy Ombudsman assisting and supervising Certified Ombudsman volunteers statewide. The Deputies average supervising 25-30 Certified Ombudsman volunteers each. At the current level of supervision, the Deputies in Oregon average supervising more Certified Ombudsmen volunteers than in all but four US states and territories (according to the annual AOA LTCO reports). The Institute of Medicine's study of Ombudsman services recommends a paid staff to volunteer ratio of 1:20.



4) Deputy workload and the ability to manage and retain volunteers is further impacted by additional demands on their time. Representatives of the Long-Term Care Ombudsman, led by the State Ombudsman, are active on a number of State boards, commissions, task forces, study groups, advocacy groups, and senior advocacy organizations. These representations greatly increase the involvement and system advocacy of the Agency, with the goal of working with and through these groups to advocate for and improve the quality of long-term care services provided to the citizens of Oregon.
5) To "fill the gap" of facilities without assigned volunteers, the Deputies and volunteers in the region make occasional unscheduled visits to these facilities, which are mostly adult foster homes. Deputies also cover or assign "back up cases" in facilities without assigned volunteers in which complaints are received. Both entail a significant investment of time. And it should be noted that, while this process may resolve issues on a case by case basis, it does not rise to the level of service or facility coverage that the LTCO strives to provide. The goal of 100% facility coverage is reflective of an actual volunteer assigned to a facility, making weekly visits, and truly becoming a known and trusted entity within that facility. Only then can we assure that all residents have equal access to advocacy, that individual and systemic issues are identified and brought to the attention of partner agencies such as licensing, and that resident rights are adequately protected.
6) In order to provide additional facility coverage while still maintaining an environment in which both volunteers and staff can achieve success, it is the goal of the LTCO program to increase volunteer numbers to 200 by June 30,

2017. That would result in Deputies averaging 35 volunteers each. At the current coverage rates, this should allow the Agency to have volunteers assigned to about 62% of the total number of licensed facilities in the State.

7) Without more Deputies, it is not feasible to increase the number of Certified Ombudsman volunteers beyond an average of 35 volunteers per Deputy while still expecting the level of support and Deputy service that will result in maximum volunteer performance and retention. In order to achieve 100% coverage, 2.5 additional Deputy positions and a full time Administrative Support Specialist to supervise an additional 105 volunteers would be required.
8) In a further effort to promote volunteer retention and improve outcomes for long-term care residents, the OLTCO has continued it's practice of stationing Deputies in closer geographic proximity to the volunteers they are supervising. This currently includes a Deputy living in the Bend area who oversees Eastern Oregon and a Deputy living in the Eugene area who supervises Lane, Linn, Benton, and Lincoln Counties. In fact, all but one Deputy reside in the district to which they are assigned. In addition to promoting a closer working relationship between the volunteers and their Deputies, and improving the local knowledge and presence needed for each district in Oregon, it has also resulted in a more efficient use of staff time and resources. While this model is working, the current number of Deputies is not sufficient to achieve local presence and time/travel efficiency throughout the state. The 2.5 additional Deputy positions cited above would make this possible.

1b. Increase the frequency and effectiveness of consumer, community, and stakeholder education regarding the LTCO program and resident rights.

Context: The focus of this objective is two pronged.

1. The first is to provide resident/consumer education that promotes the ability of long term care residents and families to advocate on their own behalf. Although the LTCO program directly provides advocacy for all residents in licensed long term care settings, it is equally important to empower individuals to do so for themselves, thus allowing for the reallocation of limited program resources to individuals who may be unable to do so.
2. The second focus of this objective is to increase the overall visibility and knowledge about the LTCO program. Staff and volunteers are consistently surprised by the number of facility staff, residents and

community members who have not heard of the LTCO program or are unclear about its function and mission. This reduces the number of issues brought to the attention of the office for resolution and ultimately leaves residents without the advocacy they deserve.

Goals:

1. Increase number of resident right presentations at facilities and attendance at resident council meetings by 10%.
2. Increase the quantity of resident rights publications and information distributed to families, residents and the general community by 10%.
3. Increase the number of outreach presentations made to community members, groups, and organizations by 10%.
4. Increase the number of articles, press releases or other media products regarding the LTCO program by 10%.

Current Status:

- In 2015, there were 624 resident right presentations and attendance at resident council meeting.
- In 2015 there were approximately 2,500 resident rights publications distributed.
- In 2015 there were 404 community education activities performed by staff and volunteers.
- In 2015 there were 24 media contact with the LTCO.

Considerations: Accomplishing these goals is predicated on having the volunteers and staff time available to do so. While all of these activities have been part of the LTCO program for many years, they often are forced to take a back seat to the immediate need to resolve resident concerns and address time sensitive matters. Ultimately this creates a cycle in which the lack of education and awareness leads to critical rights issues going unaddressed. The provision of a small number of additional deputies combined with administrative support would make these goals achievable.

1c. Increase the level of collaboration between the LTCO and other State partners who are responsible for the safety of, and services to, individuals residing in long-term care.

Context: Recent failures to assure the safety of children in residential settings have highlighted the need for frequent and effective communication between all system partners. Given that the LTCO maintains the highest level of actual presence in the State's long term care facilities, our ability to communicate observations, concerns and issues with protective services, licensing and other partners is critical, as is their response to the information being shared. Because the OLTCO has no enforcement authority, volunteers and their Deputies resolve complaints through negotiation, diplomacy, and assertiveness. However, some matters require the attention and intervention of Adult Protective Services and/or Licensing in DHS. It is ultimately their responsibility to monitor facilities for compliance with regulations and issue, remove, or restrict the licenses of owner/operators and/or issue fines. When these offices do not respond promptly to serious matters referred by LTCO, the well being of the residents and the effectiveness of the Agency are both compromised.

Goal: To assure that information regarding the long term care service delivery system is shared amongst partners and that identified concerns are addressed in a collaborative manner.

Current Status: During the 15-17 biennium, the Agency Director has completed the following tasks and will continue these efforts into the 17-19 biennium.

a. Spearheaded efforts to obtain a signed memorandum of understanding between APD, DHS, and the Office of Adult Abuse Preventions and Investigations (OAAPI). Drafted in 2014, this document is now executed and will provide a more effective and efficient information flow.
b. Met with leaders of APD, OLRO (now SOQ) and OAAPI on a routine basis to discuss specific case concerns as well as more systemic issues such as the timely investigation of serious abuse allegations in Nursing Facility settings and the legalities of resident contracts being utilized by some providers.
c. Met regularly with stakeholder groups to discuss issues that affect resident's of long term care settings such as the implementation of new Home and Community Based Services rules, possible changes to the Advanced Directive or POLST documents, and consumer protection issues.

2a. Continue the development, growth, and implementation of the RFO program so that every licensed residential facility is visited by a RFO staff member or RFO Certified Ombudsman.

Context: This new program began on July 1, 2014 with the passage of SB 626 in the 2013 regular session and much of the 15-17 biennium was devoted to the development and roll out of the program. Objectives cited in the 15-17 budget which have been met include the expansion of the Residential Facilities Advisory Committee, expansion of the OLTCO office space to accommodate the program, the recruitment and organization of staff for the program, design and implementation of the complaint record keeping system, and the inception of handling resident complaints.

Goal: Design and write the volunteer training program and begin recruiting and training volunteers. Recruit and train up to the cohort that can be effectively supervised and assisted by five Deputies. At this time, we anticipate that this will be approximately 125–140 volunteers.

Current Status: The volunteer portion of the RFO program remains under development as efforts have largely been focused on the paid staff component thus far.

Factors, considerations and strategies:

1) There are approximately 1820 residential facilities serving individuals with intellectual/developmental disabilities and mental health diagnosis in Oregon. Geographical distribution of these homes results in a division of the state into six districts for the RFO Deputies to manage. Since there are only five Deputies available to the RFO program, one district (currently Eastern Oregon) will be the shared responsibility of the RFO Deputy team.
2) Visitation of facilities covered by the RFO will be rolled out in geographical phases effective July 1, 2016. By the end of the current biennium, no fewer than half of the facilities in the state will have received initial written, verbal and in person information from the RFO program and individuals residing in these homes will have received an initial visit.
3) As these visitations occur, complaints brought forth by residents will be handled by the Deputy assigned to the district in which the consumer resides. However, this is not a sustainable long term model and efforts to

recruit and train volunteer RFO Certified Ombudsmen to conduct ongoing visits and complaint resolution will be occurring concurrently.

2b. Increase the frequency and effectiveness of consumer, community, and stakeholder education regarding the RFO program and resident rights.

Context: As a new program, it is essential to make all parties aware of its presence and its mission. Creating a uniform and clear understanding from the inception of the RFO program is essential to assuring its success going forward. Doing so in a variety of communication strategies will be critical in reaching all interested stakeholders.

Goal:

1. The RFO program will develop multiple forms of written materials suitable for distribution to provider agencies, partner agencies, consumers, family members and other stakeholders.
2. The RFO program will conduct in person presentations to these same individuals in all established regions throughout the state.

Current Status: Over the past year of operation, the content to be included in both written and verbal communication has largely been established and initial products in the form of posters, contact magnets, and written materials have been produced. The audience has also been identified in most cases, although will consistently be under revision.

Considerations: The introduction of the RFO program to the public will occur on a gradual roll out basis. However, by the conclusion of the 17-19 biennium should be in full function and measurable data regarding frequency and quantity able to be provided.

2c. Increase the level of collaboration between the RFO and other State partners who are responsible for the safety of, and services to, individuals residing in long-term care.

Context: As a new program, it is essential for the RFO program to establish protocols and procedures for how information regarding the program and identified concerns is shared with system partners. Recent failures in children's residential settings have highlighted the need for this type of formally established communication between all parties responsible for assuring the health, safety and right of individuals living in residential facilities. This would include, but not be limited to, a communication system in which information is provided to the RFO program about the outcome of issues brought to the attention of abuse investigators or licensing authority as well as the sharing of data regarding licensed facility settings.

Goal: To assure that information regarding the long term care service delivery system is shared amongst partners and that identified concerns are addressed in a collaborative manner.

Current Status: The OLTCO already has established means of communication with system partners in the long term care arena. These are being established with partners in the I/DD and mental health systems.

3. Expanding the availability of public guardianship and conservatorship services so that every incapacitated Oregonian who needs the assistance of a guardian and conservator, but lacks the means to obtain these services, nor has anyone able or willing to serve in this capacity, can benefit from the advocacy, support, and protection of skilled guardians and conservators.

Context: This new program began on July 1, 2014 having been established by SB 1553 in the February 2014 legislative session. Much of the 15-17 biennium was devoted to the development and roll out of the program. Objectives cited in the 15-17 budget which have been met include the expansion of the Residential Facilities Advisory Committee, recruitment of a Public Guardian, recruitment of Deputy Guardians, design of the standard under which the program accepts cases, and begin taking cases.

Goal: Increase the capacity of the Oregon Public Guardian Program through the efficient use of paid and volunteer positions and contracted resources.

Factors, considerations and strategies:

a. The current capacity of the OPG program with two deputy guardians is a total of 40-50 cases (20-25 each) at a time. Current estimates are that there is need for no less than 1200-1300 public guardianships statewide excluding Multnomah and Jackson County, which are the only counties in Oregon with a public guardian program.
b. Contract and Memorandum of Understanding documents are in development with the DAS Procurement office that will result in additional contracted capacity of approximately 80-100 cases throughout the state.
c. Work completed to date is largely sufficient to advise the appropriate decision makers in the Executive and Legislative branches of the need, the cost, and the effectiveness of expanding the program toward the goal of serving Oregonians who need the services of the Oregon Public Guardian and Conservator. Specific issues such as the need for Department of Justice representation at a much higher rate than was initially projected, as well as the failure to allow for overtime costs associated with an agency that provides services on a 24/7 basis, and the need for the structural capacity of the program to be bolstered have been identified.
d. Growth must occur in a strategic and well-planned manner. The addition of staffing capacity in and of itself will not address the guardianship needs of incapacitated Oregonians. Thorough capacity for screening, legal due process, asset management, and case planning must be well established before sustainable growth can occur. The 17-19 biennium will substantially advance those efforts, but will likely not result in meeting the fiduciary needs of all individuals in need of them.

Agency Efforts, Improvements and Initiatives

In order to implement and achieve the strategic business plans of the agency, the following efforts and initiatives have taken place in the 15-17 biennium and will be carried forward into the 17-19 biennium:

a. Strategic planning: The OLTCO initiated the process of agency wide as well as individual programmatic strategic planning. The initial focus was on a unified vision and mission for the Agency, followed by the development of programmatic visions and missions. Programmatic goals falling under the principals of advocacy for basic rights and quality of care, consumer education and protection, and policy development and systemic advocacy are under development.

b. Fiscal efficiencies: The OLTCO is always looking for ways in which it can conserve resources and has implemented the following strategies within the past six months.

   a. Increased used of the SPOTS card for both time efficiency and fiscal rebate purposes.
   b. Reassessment of the use of motor pool vehicles vs. private and rental vehicles as well as other alternative transportation options to maintain presence in outer reaches of state in a cost efficient and time efficient manner.
   c. Increased use of Go To Meeting and Go To Webinar to connect with volunteers and stakeholders in an effective manner without incurring extensive travel costs.
   d. Implementation of revised telecommuting and mileage reimbursement policies in conjunction with DAS to assure equitable and accurate reimbursement for paid staff.
   e. Utilization of volunteer programs provided by other agencies, such as the Easter Seals SCEP program, to complete tasks and projects not able to completed by paid staff due to work load constraints.
   f. Re-evaluation of purchasing practices related to training supplies, business cards, e-mail services, security alarm services and other operations to assure the lowest cost options are being utilized.
   g. Examination and reduction of staff time in meetings in which their attendance is non-essential or is duplicative of other staff presence.

c. Operational efficiencies:

   a. Increased use of metrics and measurables to assess workload distribution, monitor staff performance, target volunteer recruitment efforts, and target volunteer training and support needs.
   b. Addition of Deputy Director to assess, streamline, and manage technology needs, Agency fiscal status, HR issues, Agency policies and procedures, and overall workflow.

d. LTCO volunteer retention and relationships: While the recruitment of volunteers is an essential part of the LTCO program, the appreciation and retention of our existing volunteers is equally if not more important. While much

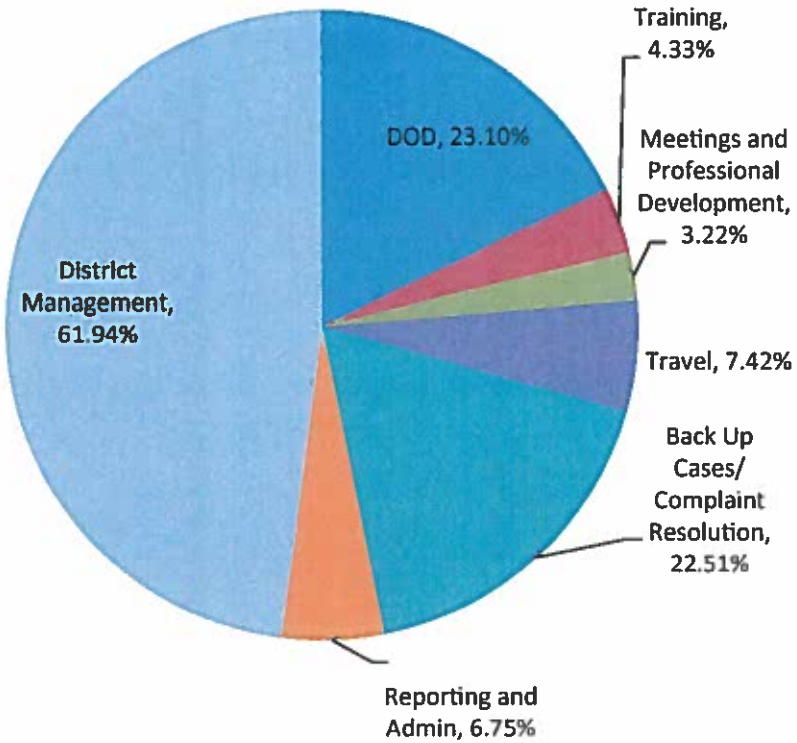
of that responsibility falls on the district Deputies, it is critical that the Director and Deputy Director of the agency play a part in this as well. With that in mind the following efforts have been made over the past nine months and will remain in place going forward.

a. Arranging formal volunteer management training for Deputy staff to provide them with and build upon their existing skills in volunteer management and retention.
b. Director and/or Deputy Director presence at no fewer than two of the fifteen monthly volunteer meetings held throughout the state each month.
c. Resurrection of a quarterly volunteer newsletter.
d. E-mail communication from the Director to all volunteers regarding pertinent agency, program, or stakeholder issues on at least a bi-weekly basis.
e. Communication, meetings and facility visits with individual volunteers by Director and Deputy Director throughout month.
f. Improved and revised training, policy and procedural materials for volunteers.

e. LTCO Memory Care Initiative: In 2016 the OLTCO launched a one-year memory care initiative to identify best practices and challenges in providing care to some of Oregon's most vulnerable individuals and to improve upon LTCO services to residents with dementia. 40+ Ombudsmen received specialized training on dementia and the provision of memory care services and were assigned to over 30% of the memory care facilities throughout the state. Through the data collection that these individuals will undertake in the coming year, as well as the information shared with LTCO by facility administration and staff, the LTCO program hopes to be able to formulate an accurate and fact based picture of the current state of memory care service delivery, where it is succeeding, and where improvements could be made.

f. LTCO Workload Management: The demand for services from the LTCO is ever increasing and requires monthly monitoring and management to assure that Deputies are able to meet the competing demands for their time.

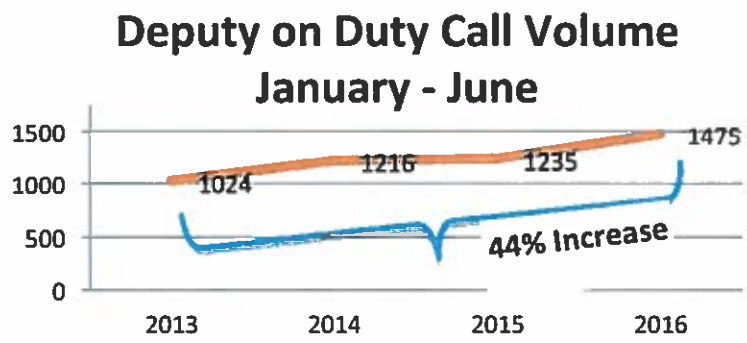
a. The number of facilities throughout the state is increasing, thus are the number of residents who may reach out to the LTCO for assistance and the number of volunteers needed to be assigned to those facilities. When there is not an assigned volunteer, responsiveness to the residents of those facilities often falls to the Deputy.



b. Assuring that volunteers are adequately supported is key to their retention as well as their ability to successfully serve the residents of the facility to which they are assigned. Furthermore, it is critical that the efforts of both volunteers and staff are documented and able to be quantified, utilized for analysis, and communicated to partners. Assisting volunteers with their duties and reporting requirements, as well as completing reports themselves, is another workload responsibility of Deputies.

c. Volunteer training for both new and existing volunteers is the responsibility of the Deputy who is managing those volunteers as well as the LTCO training specialist. Approximately fourteen training sessions are held annually for new volunteers and monthly meetings that include a training component are held on a monthly meeting thereafter.

d. Calls to the toll free complaint line are on an upward year over year trend and the issues being addressed such as billing, resident contractual agreements, and involuntary move out notices are increasingly complex and time consuming. Deputies currently share differing levels of responsibility for the response to these calls by serving as the Deputy on Duty (DOD).



e. Day to day travel as well as overnight travel can be a significant workload impact, especially when serving the more rural portions of the state. The Agency continuously assesses the most efficient means of accomplishing travel, both from a time and fiscal impact perspective, as well as the job satisfaction perspective of the Deputy.

Criteria for 2017 – 2019 Budget Development

The Agency Requested Budget will:

1) Increase the current LTCO deputy and management staffing to allow for:
   a) Growth in the number of Certified Ombudsman volunteers sufficient to provide full coverage to all long term care settings,
   b) Initial and ongoing training and support of all volunteers in a manner that allows them to fulfill their volunteer duties, including reporting, with confidence and competency and improve retention rates.
   c) Coverage of the statewide toll free complaint line sufficient to assure a response to all callers within 24 hours.

d) The stationing of staff in such a way as to minimize travel, maximize time spent with facility residents, maximize local knowledge and presence, increase complaint response and resolution times, and support volunteer recruitment and retention efforts.
e) A higher level of community outreach and education regarding the LTCO program, resident rights, consumer rights as a resident, and the volunteer experience.

2) Continue the full staffing and operations of the new Residential Facilities programs and promote the recruiting, training, and enrollment of new volunteers.

3) Increase the current OPG staffing and funding to allow for:
   a) Adequate and ongoing legal support from the Attorney General's office for the purposes of representation during guardianship and conservatorship proceedings; the filing of petitions, motions and annual reports; and case specific legal advice.
   b) The hiring of additional staff sufficient to support an additional 300 protected persons via guardianship and/or conservatorship. This incorporates the need for basic bookkeeping services, office support, services coordination, and the issues of overtime and on-call time associated with an agency that provides services on a 24/7 basis.

Performance Measures

The most recently submitted Annual Performance Progress Report is included beginning on the next page.

Major Information Technology Projects or Initiatives

Except as set forth above, the Agency does not anticipate any major information technology projects or initiatives in the 2017 – 2019 biennium.

Summary of 2017-19 Biennium Budget

Long Term Care Ombudsman
Long Term Care Ombudsman
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-000-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2015-17 Leg Adopted Budget	25	24.50	6,891,725	6,172,203	-	719,522	-	-	-
2015-17 Emergency Boards	-	-	149,393	131,435	-	17,958	-	-	-
2015-17 Leg Approved Budget	**25**	**24.50**	**7,041,118**	**6,303,638**	**-**	**737,480**	**-**	**-**	**-**
2017-19 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	525,534	487,299	-	38,235	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
Subtotal 2017-19 Base Budget	**25**	**24.50**	**7,566,652**	**6,790,937**	**-**	**775,715**	**-**	**-**	**-**
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	11,663	11,801	-	(138)	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	25,357	24,099	-	1,258	-	-	-
Subtotal	**-**	**-**	**37,020**	**35,900**	**-**	**1,120**	**-**	**-**	**-**
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase - In	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(100,000)	(100,000)	-	-	-	-	-
Subtotal	**-**	**-**	**(100,000)**	**(100,000)**	**-**	**-**	**-**	**-**	**-**
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	163,112	160,152	-	2,960	-	-	-
State Gov"t & Services Charges Increase/(Decrease)			73,143	73,143	-	-	-	-	-

Long Term Care Ombudsman
Long Term Care Ombudsman
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-000-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal	-	-	236,255	233,295	-	2,960	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
Subtotal: 2017-19 Current Service Level	25	24.50	7,739,927	6,960,132	-	779,795	-	-	-

Summary of 2017-19 Biennium Budget

Long Term Care Ombudsman
Long Term Care Ombudsman
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-000-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2017-19 Current Service Level	25	24.50	7,739,927	6,960,132	-	779,795	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
Modified 2017-19 Current Service Level	25	24.50	7,739,927	6,960,132	-	779,795	-	-	-
080 - E-Boards									
080 - May 2016 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
101 - RFO Quality Care Fund Exception	-	-	117,040	-	-	117,040	-	-	-
102 - LFCO In State Travel	-	-	150,000	150,000	-	-	-	-	-
103 - IT Services	-	-	145,740	145,740	-	-	-	-	-
104 - LTCO Program Expansion	5	4.50	876,144	876,144	-	-	-	-	-
105 - OPG Progam Expansion	11	11.00	3,053,585	3,053,585	-	-	-	-	-
Subtotal Policy Packages	16	15.50	4,342,509	4,225,469	-	117,040	-	-	-
Total 2017-19 Agency Request Budget	41	40.00	12,082,436	11,185,601	-	896,835	-	-	-
Percentage Change From 2015-17 Leg Approved Budget	64.00%	63.27%	71.60%	77.45%	-	21.61%	-	-	-
Percentage Change From 2017-19 Current Service Level	64.00%	63.27%	56.11%	60.71%	-	15.01%	-	-	-

Summary of 2017-19 Biennium Budget

Long Term Care Ombudsman
Long Term Care Ombudsman
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-010-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2015-17 Leg Adopted Budget	20	19.50	4,727,456	4,007,934	-	719,522	-	-	-
2015-17 Emergency Boards	-	-	127,865	109,907	-	17,958	-	-	-
2015-17 Leg Approved Budget	**20**	**19.50**	**4,855,321**	**4,117,841**	**-**	**737,480**	**-**	**-**	**-**
2017-19 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	1	1.00	552,414	514,179	-	38,235	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
Subtotal 2017-19 Base Budget	**21**	**20.50**	**5,407,735**	**4,632,020**	**-**	**775,715**	**-**	**-**	**-**
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	6,111	6,249	-	(138)	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	25,793	24,535	-	1,258	-	-	-
Subtotal	**-**	**-**	**31,904**	**30,784**	**-**	**1,120**	**-**	**-**	**-**
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase - In	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(100,000)	(100,000)	-	-	-	-	-
Subtotal	**-**	**-**	**(100,000)**	**(100,000)**	**-**	**-**	**-**	**-**	**-**
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	103,817	100,857	-	2,960	-	-	-
State Gov"t & Services Charges Increase/(Decrease)			54,382	54,382	-	-	-	-	-

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal	-	-	158,199	155,239	-	2,960	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	89,574	89,574	-	-	-	-	-
Subtotal: 2017-19 Current Service Level	21	20.50	5,587,412	4,807,617	-	779,795	-	-	-

Summary of 2017-19 Biennium Budget

Long Term Care Ombudsman
Long Term Care Ombudsman
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-010-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2017-19 Current Service Level	**21**	**20.50**	**5,587,412**	**4,807,617**	**-**	**779,795**	**-**	**-**	**-**
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
Modified 2017-19 Current Service Level	**21**	**20.50**	**5,587,412**	**4,807,617**	**-**	**779,795**	**-**	**-**	**-**
080 - E-Boards									
080 - May 2016 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Policy Packages									
101 - RFO Quality Care Fund Exception	-	-	117,040	-	-	117,040	-	-	-
102 - LFCO In State Travel	-	-	150,000	150,000	-	-	-	-	-
103 - IT Services	-	-	115,702	115,702	-	-	-	-	-
104 - LTCO Program Expansion	5	4.50	876,144	876,144	-	-	-	-	-
105 - OPG Progam Expansion	-	-	-	-	-	-	-	-	-
Subtotal Policy Packages	**5**	**4.50**	**1,258,886**	**1,141,846**	**-**	**117,040**	**-**	**-**	**-**
Total 2017-19 Agency Request Budget	**26**	**25.00**	**6,846,298**	**5,949,463**	**-**	**896,835**	**-**	**-**	**-**
Percentage Change From 2015-17 Leg Approved Budget	30.00%	28.21%	41.01%	44.48%	-	21.61%	-	-	-
Percentage Change From 2017-19 Current Service Level	23.81%	21.95%	22.53%	23.75%	-	15.01%	-	-	-

Summary of 2017-19 Biennium Budget

Long Term Care Ombudsman
Public Guardian and Conservator Program
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-020-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2015-17 Leg Adopted Budget	5	5.00	2,164,269	2,164,269	-	-	-	-	-
2015-17 Emergency Boards	-	-	21,528	21,528	-	-	-	-	-
2015-17 Leg Approved Budget	**5**	**5.00**	**2,185,797**	**2,185,797**	**-**	**-**	**-**	**-**	**-**
2017-19 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(1)	(1.00)	(26,880)	(26,880)	-	-	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
Subtotal 2017-19 Base Budget	**4**	**4.00**	**2,158,917**	**2,158,917**	**-**	**-**	**-**	**-**	**-**
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	5,552	5,552	-	-	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	(436)	(436)	-	-	-	-	-
Subtotal	**-**	**-**	**5,116**	**5,116**	**-**	**-**	**-**	**-**	**-**
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase - In	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
Subtotal	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	59,295	59,295	-	-	-	-	-
State Gov"t & Services Charges Increase/(Decrease)			18,761	18,761	-	-	-	-	-

Long Term Care Ombudsman
Public Guardian and Conservator Program
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-020-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal	-	-	78,056	78,056	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	(89,574)	(89,574)	-	-	-	-	-
Subtotal: 2017-19 Current Service Level	4	4.00	2,152,515	2,152,515	-	-	-	-	-

Summary of 2017-19 Biennium Budget

Long Term Care Ombudsman
Public Guardian and Conservator Program
2017-19 Biennium

Agency Request Budget
Cross Reference Number: 11400-020-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2017-19 Current Service Level	**4**	**4.00**	**2,152,515**	**2,152,515**	**-**	**-**	**-**	**-**	**-**
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
Modified 2017-19 Current Service Level	**4**	**4.00**	**2,152,515**	**2,152,515**	**-**	**-**	**-**	**-**	**-**
080 - E-Boards									
080 - May 2016 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Policy Packages									
101 - RFO Quality Care Fund Exception	-	-	-	-	-	-	-	-	-
102 - LFCO In State Travel	-	-	-	-	-	-	-	-	-
103 - IT Services	-	-	30,038	30,038	-	-	-	-	-
104 - LTCO Program Expansion	-	-	-	-	-	-	-	-	-
105 - OPG Progam Expansion	11	11.00	3,053,585	3,053,585	-	-	-	-	-
Subtotal Policy Packages	**11**	**11.00**	**3,083,623**	**3,083,623**	**-**	**-**	**-**	**-**	**-**
Total 2017-19 Agency Request Budget	**15**	**15.00**	**5,236,138**	**5,236,138**	**-**	**-**	**-**	**-**	**-**
Percentage Change From 2015-17 Leg Approved Budget	200.00%	200.00%	139.55%	139.55%	-	-	-	-	-
Percentage Change From 2017-19 Current Service Level	275.00%	275.00%	143.26%	143.26%	-	-	-	-	-

Program Prioritization for 2017-19

Agency Name: The Office of the Long-Term Care Ombudsman

2017-19 Biennium — Agency Number: 11400

Program Long Term Care and Residential Facilities Ombudsmen Programs

Program/Division Priorities for 2017-19 Biennium

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
Priority (ranked with highest priority first)		Agency Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program-Activity Code	GF	LF	OF	NL-OF	FF	NL-FF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Req. Code (C, D, FM, FO, S)	Legal Citation	Explain What is Mandatory (for C, FM, and FO Only)	Comments on Proposed Changes to CSL included in Agency Request
Agcy	Prgm/ Div																				
OLTCO	71501/71505	OLTCO	LTCO/RFO	1-Advocate for residents of long-term care and residential facilities via LTCO/RFO staff	1-10	3	4,108,782						$ 4,108,782	24	23.50	Y	Partial	FM, S	OAA, SB 626	LTCO Services Required by US Older Americans Act	
OLTCO	71501/71505	OLTCO	LTCO/RFO	2-Advocate for residents of long-term care and residential facilities via LTCO/RFO volunteers	1-10	3	907,701		896,835				$ 1,804,536	2	1.50	Y	N	FM, S	OAA, SB 626	LTCO Services Required by US Older Americans Act	
OLTCO	71501/71505	OLTCO	LTCO/RFO	3-Operations and Administration not critical to priorities 1 & 2			932,980						$ 932,980	NA	NA	Y	Y	FM, S	OAA, SB 626	LTCO Services Required by US Older Americans Act	
													$ -								
													$ -								
													$ -								
													$ -								
													$ -								
							5,949,463	-	896,835	-	-	-	$ 6,846,298	26	25.00						

Criteria: The provision of advocacy to individual's in need of such services is the highest priority of the LTCO and RFO programs. While the most efficient means of doing so is via volunteers, such volunteers require the training, ongoing support and supervision of paid staff. Therefore, while volunteer recruitment, training, support and retention is the foundation of meeting LTCO and RFO missions and goals, this cannot be acheived without paid staff. Thus the priority rankings.

7. Primary Purpose Program/Activity Exists

1 Civil Justice
2 Community Development
3 Consumer Protection
4 Administrative Function
5 Criminal Justice
6 Economic Development
7 Education & Skill Development
8 Emergency Services
9 Environmental Protection
10 Public Health
11 Recreation, Heritage, or Cultural
12 Social Support

19. Legal Requirement Code

C Constitutional
D Debt Service
FM Federal - Mandatory
FO Federal - Optional (once you choose to participate, certain requirements exist)
S Statutory

Program Prioritization for 2017-19

Agency Name: The Office of the Long-Term Care Ombudsman

2017-19 Biennium **Agency Number: 11400**

Program: The Oregon Public Guardian (OPG)

Program/Division Priorities for 2017-19 Biennium

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
Priority (ranked with highest priority first)		Agency Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program-Activity Code	GF	LF	OF	NL-OF	FF	NL-FF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Req. Code (C, D, FM, FO, S)	Legal Citation	Explain What is Mandatory (for C, FM, and FO Only)	Comments on Proposed Changes to CSL included in Agency Request
Agcy	Prgm/ Div																				
11400	OPG	OLTCO	OPG	1-Provision of Guardianship and Conservatorship Services to Individuals in Need via OPG staff	TBD	3	3,611,008						$ 3,611,008	15	15.00	Y	N	S	ORS 125.675 - 125.687	NA	
11400	OPG	OLTCO	OPG	2-Provision of Guardianship and Conservatorship Services to Individuals in Need via Contractual Agreements	TBD	3	1,109,366						$ 1,109,366	NA	NA	Y	Y	S	ORS 125.675 - 125.687	NA	
11400	OPG	OLTCO	OPG	3-Operations and Administration not critical to priorities 1 & 2		3	515,764						$ 515,764	NA	NA	Y	N	S	ORS 125.675 - 125.687	NA	
													$ -								
													$ -								
													$ -								
													$ -								
													$ -								
							5,236,138	-	#	-	#	-	$ 5,236,138	15	15.00						

Criteria Used for Prioritization: Delivery of direct public guardianship and conservatorship services to incapacitated, at-risk adults is the highest priority. Whenever possible, services are provided directly by OPG staff, as it is the state that has been appointed and is serving as guardian and/or conservator. Delivery of direct public guardianship and conservatorship services through contractors, when it would otherwise be impractical for OPG staff to provide services, is the second highest priority. Operational activities and associated expenses that are not critical to the provision of direct to the provision of direct services, such as office supplies, telecommunications and publications are third highest priority.

7. Primary Purpose Program/Activity Exists

1 Civil Justice
2 Community Development
3 Consumer Protection
4 Administrative Function
5 Criminal Justice
6 Economic Development
7 Education & Skill Development
8 Emergency Services
9 Environmental Protection
10 Public Health
11 Recreation, Heritage, or Cultural
12 Social Support

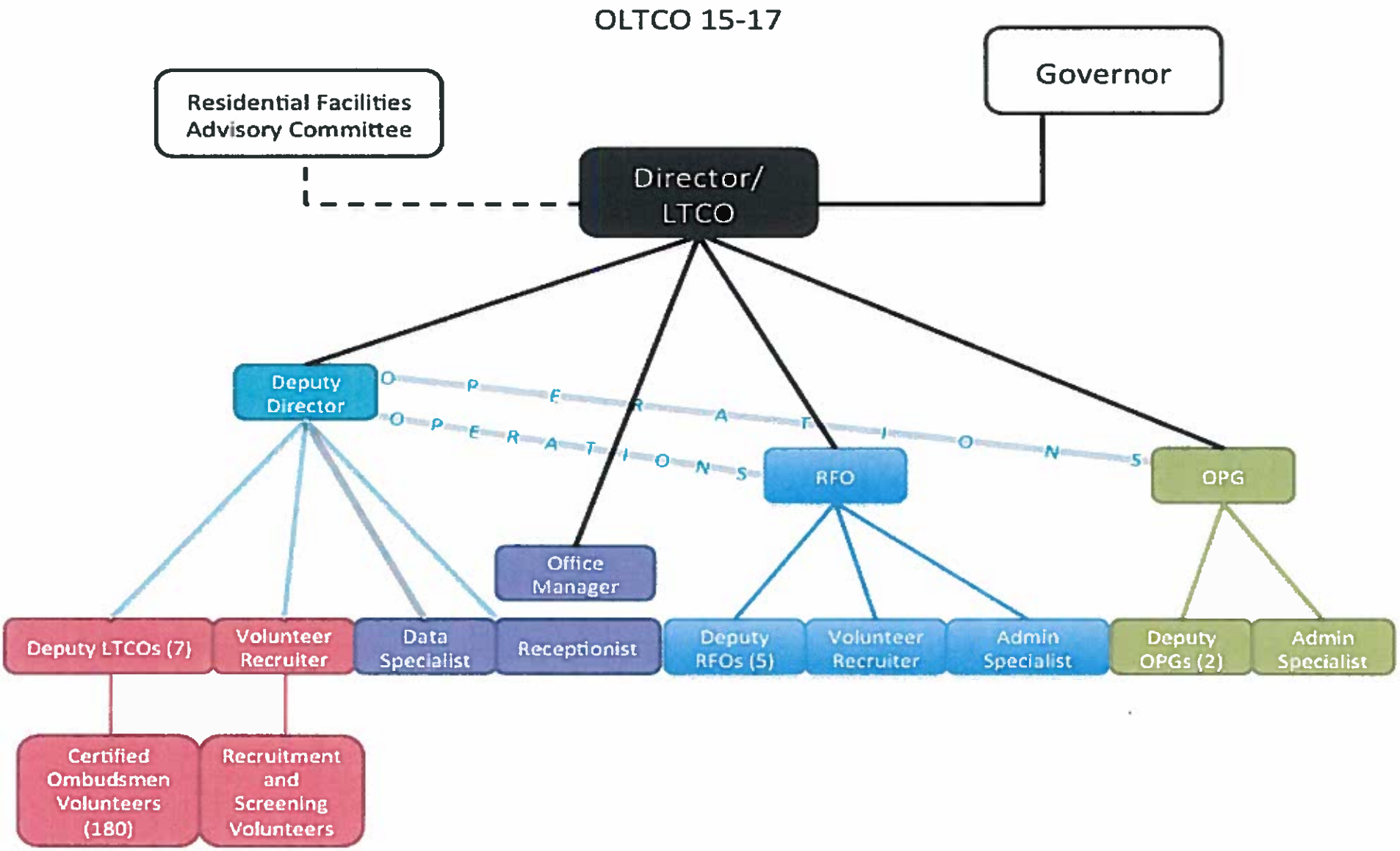
19. Legal Requirement Code

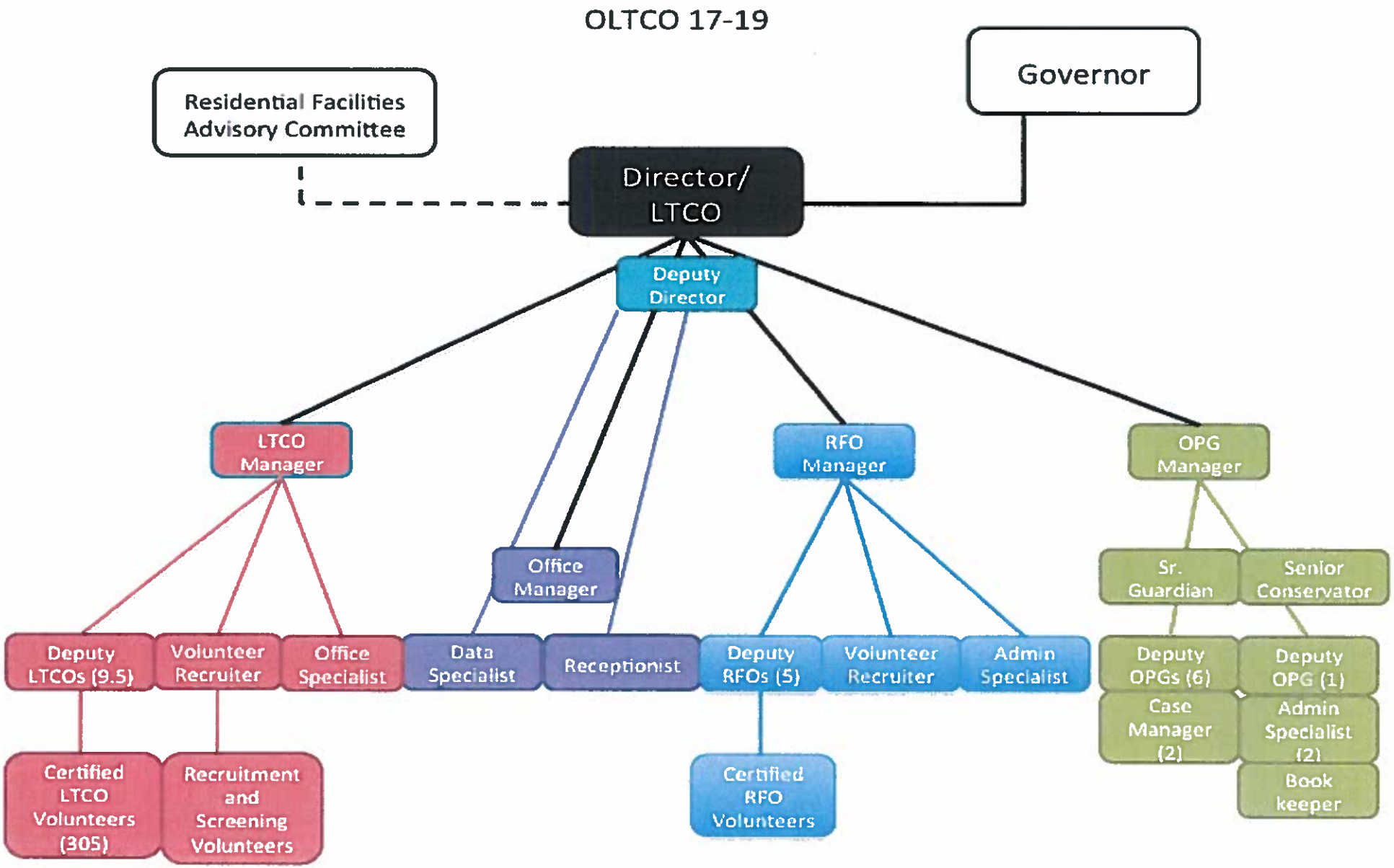
C Constitutional
D Debt Service
FM Federal - Mandatory
FO Federal - Optional (once you choose to participate, certain requirements e
S Statutory

10% Reduction Options (ORS 291.216)

Activity or Program	Describe Reduction	Amount and Fund Type	Rank and Justification
(Which program or activity will not be undertaken)	(Describe the effects of this reduction. Include positions and FTE in 2017-19 and 2019-21)	(GF, LF, OF, FF. Identify revenue source for OF, FF)	(Rank the activities or programs not undertaken in order of lowest cost for benefit obtained)
1. Disability Rights Oregon contract eliminated	The OLTCO currently contracts with DRO to visit with individuals who are proposed protected persons and also have Intellectual/Developmental Disabilities or Mental Health concerns to determine if they understand the nature of the guardianship petition and/or wish to object. Some assistance with the objection process is provided. This is a critical due process function occurring prior to the elimination of an individual's rights.	$157,000	
2. Shifting of funds from Quality Care related functions to vital program operations.	The Residential Facilities Program is a new, developing and relatively small program within the OLTCO. They have only $242,000 in general fund services and supplies and cannot sustain any reduction in FTE and still fulfill the mission the legislature charged them with in 2014. A reduction in the areas of instate travel, staff training, office expenses and other services and supplies is only possible by shifting Other Funds received by RFO from the Quality Care Fund generated by penalties and fines issued by Licensing to providers serving individuals with Intellectual and Developmental Disabilities. Such a shift would eliminate the RFO's ability to engage in projects targeted toward increasing protection of individual rights, increasing consumer and stakeholder knowledge regarding resident rights and increasing overall quality of care.	$105,000	
3. Reduction in OPG professional services	The removal of funds from the professional services portion of the OPG budget directly translates into a	$125,000	

	reduction of capacity to provide guardianship services to individuals in need of a fiduciary. These funds are intended for contracts in various parts of the state where the OPG does not have immediate capacity and presence such as Deschutes and Jackson Counties. A reduction of this amount would equate to approximately 30 individuals going without a guardian.		
4. Elimination of funds in LTCO professional services	The removal of funds from the professional services portions of the LTCO budget will eliminate all capacity for contracts with outside vendors necessary to provide services such as staff training, facilitation, strategic planning, graphic design, web site revision, and others targeted for action within the next biennium. In addition, the capacity to utilize outside resources and expertise for Certified Ombudsman volunteer training, such as at the annual statewide training event, will be compromised.	$100,000	
5. Reduction of one Long Term Care Deputy Ombudsman from full time to part time FTE status.	There are currently seven Deputy LTCO. The reduction of one position to .5 FTE would require the redistribution of the volunteer training function and the responsibility for the toll free complaint line to the remaining 6.5 FTE. In addition, supervision for approximately 15 volunteers would be eliminated. 15 volunteers represents a presence and advocacy ability in approximately 37 long term care facilities serving approximately 1500 individuals.	$144,000	


OLTCO 15-17
Governor
Residential Facilities Advisory Committee
Director/ LTCO
Deputy Director
OPERATIONS
OPERATIONS
RFO
OPG
Office Manager
Deputy LTCOs (7)
Volunteer Recruiter
Data Specialist
Receptionist
Deputy RFOs (5)
Volunteer Recruiter
Admin Specialist
Deputy OPGs (2)
Admin Specialist
Certified Ombudsmen Volunteers (180)
Recruitment and Screening Volunteers


OLTCO 17-19
Governor
Residential Facilities Advisory Committee
Director/ LTCO
Deputy Director
LTCO Manager
RFO Manager
OPG Manager
Office Manager
Sr. Guardian
Senior Conservator
Deputy LTCOs (9.5)
Volunteer Recruiter
Office Specialist
Data Specialist
Receptionist
Deputy RFOs (5)
Volunteer Recruiter
Admin Specialist
Deputy OPGs (6)
Deputy OPG (1)
Case Manager (2)
Admin Specialist (2)
Book keeper
Certified LTCO Volunteers (305)
Recruitment and Screening Volunteers
Certified RFO Volunteers

Summary Cross Reference Number	Cross Reference Description	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Leg Approved Budget	2017-19 Agency Request Budget	2017-19 Governor's Budget	2017-19 Leg Adopted Budget
010-00-00-00000	Long Term Care Ombudsman						
	General Fund	2,266,802	4,007,934	4,117,841	5,949,463	-	-
	Other Funds	670,166	719,522	737,480	896,835	-	-
	All Funds	2,936,968	4,727,456	4,855,321	6,846,298	-	-
020-00-00-00000	Public Guardian and Conservator Program						
	General Fund	244,086	2,164,269	2,185,797	5,236,138	-	-
TOTAL AGENCY							
	General Fund	2,510,888	6,172,203	6,303,638	11,185,601	-	-
	Other Funds	670,166	719,522	737,480	896,835	-	-
	All Funds	3,181,054	6,891,725	7,041,118	12,082,436	-	-

Program Units

Program Unit Organization Charts

The Office of the Long-Term Care Ombudsman is comprised of **three** program areas described below. An Agency Director, who also serves as the State Long Term Care Ombudsman, oversees the agency. The agency has a Deputy Director who oversees operations for the agency as a whole and who directly manages Long Term Care Ombudsman program staff. Lastly, there is an Office Manager who serves the agency as a whole. This structure is shown on the organization chart in the Agency Summary Narrative above.

Positions and FTEs: The **Long-Term Care Ombudsman** has 13 positions and 13 FTEs. The **Residential Facilities Program** has 8 positions and 7.5 FTEs. The **Oregon Public Guardian** has 4 positions and 4 FTEs.

Changes in Positions: The OLTCO has been approved for 25 positions and 24.5 FTE's throughout the 15-17 biennium. The OLTCO is requesting 15.5 new positions for the 17-19 biennium resulting in 39 FTEs.

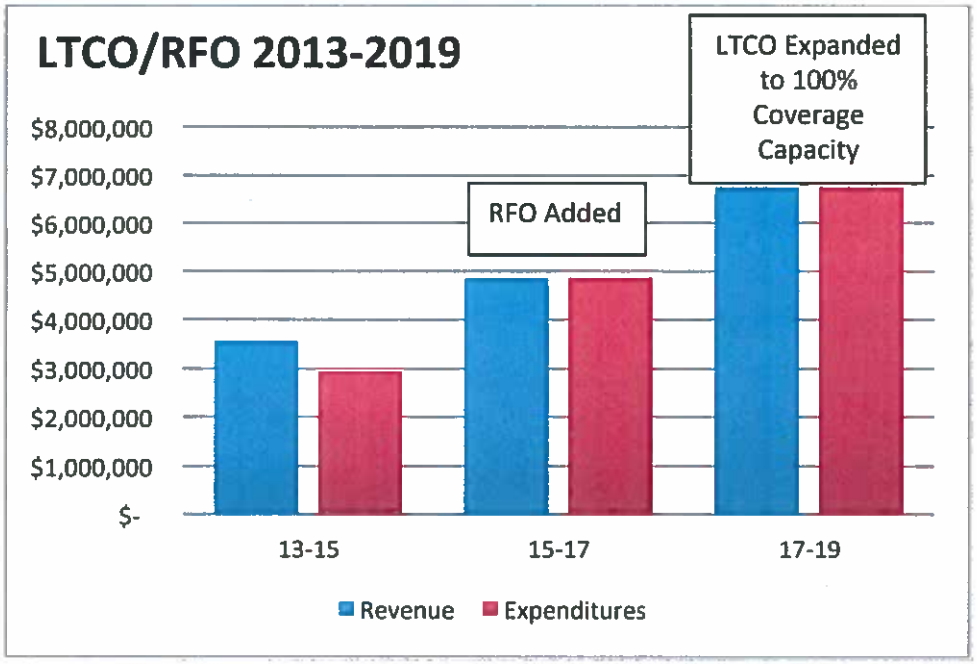
1. LTCO: The program has operated with 13FTE throughout the 15-17 biennium. An increase of 2.5 deputies, 1 Office Specialist and 1 PEM D is being requested for the 17-19 biennium, resulting in 18 positions and 17.5 FTE.
2. RFO: The program began on July 1, 2014 and had 6 of 8 positions filled as of June 30, 2016. By the end of the 15-17 biennium all 8 positions will be filled. No changes are requested for the 17-19 biennium. Total 8 positions and 7.5 FTE.
3. OPG: SB 1553 (2014) established the Oregon Public Guardian and Conservator in the Office of the Long-term Care Ombudsman. As of the 15-17 biennium it was fully staffed with 4 FTE. An additional 11 FTE are being requested for the 17-19 biennium, resulting in 15 positions and 15 FTE.

Program Unit Executive Summaries

Long-Term Care & Residential Facilities Ombudsman Program Unit Executive Summary

Primary Outcome Area: Safety
Program Contact: Fred Steele, Long-Term Care Ombudsman

Total Funds Budget = $6,846,298



Program Overview

The Long-Term Care Ombudsman is mandated by the Federal Older Americans Act and by Oregon statute. The agency functions separately and independently from any other State agency. LTCO staff and volunteers identify, address, and resolve complaints and concerns made by or on behalf of long term care facility residents, protecting both their rights and dignity. There are over 44,000 people living in 137 nursing homes, 288 residential care facilities, 220 assisted living facilities and approximately 1585 adult foster homes throughout the state at this time.

The LTCO program relies heavily on Certified Ombudsman volunteers to fulfill its statutory duty and mission. Seven paid Deputy Ombudsmen supervise these volunteers throughout the state, numbering 150-190 at any given time. Both staff and volunteers identify, address, and resolve complaints and concerns made by or on behalf of long term care facility residents, protecting both their rights and dignity. In the last reporting cycle for the LTCO program, volunteers provided 27,179 volunteer hours, the equivalent of 13 full time FTE, saving the state over $1.4 million dollars in wages and an additional $375,000 in services and supplies.

On July 1, 2014, SB 626 (2013) expanded the mission of LTCO to include persons with mental illnesses and developmental disabilities living in licensed group and adult foster homes ("Residential Facilities"). There are about 7,600 persons living in about 1,820 licensed Residential Facilities serving individuals with mental illness and developmental disabilities.

The Residential Facilities Ombudsman program employs five deputy ombudsmen who provide advocacy for residents of residential facilities in a manner similar to that of the LTCO program. The RFO program will also rely heavily upon volunteers to fulfill its duty and mission and is supported in doing so by a part time volunteer recruiter and an administrative support specialist.

Program Funding Request

The LTCO and RFO are requesting $5,949,463 in General Funds to be used along with $896,835 in Other Funds for expanded operations of the LTCO program and the ongoing operation of the RFO program. If approved, these two programs will have 26 positions in 2017-2019 (25 Full Time Equivalents). This funding level will support the continued growth of the Long-Term Care Ombudsman program, the capacity for a volunteer corps serving all long-term care facilities in Oregon, and full operation of the Residential Facilities program.

Program Description

The purpose of the Long-Term Care Ombudsman, established under Title VII of the Older Americans Act (OAA), is to investigate and resolve complaints made by, or on behalf of, persons who are residents of licensed long term care and to advocate for their interests. Although established by the OAA, less than 13% of the funding for the Long-Term Care Ombudsman function is provided by the Older Americans Act. The majority of funding comes from General Funds.

LTCO's mission is protect individual rights and promote independence and quality of life through informed advocacy, education, and policy development. Oregon's citizens living in licensed nursing facilities, residential care facilities, and assisted living facilities and adult foster and group homes deserve quality care. They should enjoy freedom to make choices about their care and freedom from abuse or neglect.

The mission of the LTCO and RFO program is made possible by a small number of paid staff overseeing a much larger number of volunteer Certified Ombudsmen. The LTCO program employed 7 deputies in 2015-17, with a maximum capacity to oversee 200 volunteers.

A major goal of the LTCO program is to have a Certified Ombudsman volunteer assigned to and regularly visiting the residents who live in every licensed facility in the State. The typical volunteer is assigned to 4 – 5 facilities, and some have as many as 20 facilities. ***Volunteers visit their assigned facilities on a weekly basis, making them the most consistent and frequent State presence in a long-term care facility and uniquely able to identify potential issues***

involving resident safety, service quality, protection of rights and environmental factors well before any other State agency would be aware of them.

The main constraint to achieving the goal to advocate for more and ultimately all of the residents living in long-term care and residential facilities is the ability of the paid deputies to properly support and supervise the activities of the Certified Ombudsman volunteers. This is a financial constraint that is directly related to the ability to pay for a sufficient number of employed LTCO deputies.

As the new Residential Facilities program is developed, its volunteers and staff will serve residents with mental illnesses and developmental disabilities living in licensed group homes and adult foster homes through complaint investigation, resolution, and advocacy for improvements in resident care. They share the goal of visitation to every individual residing in a licensed residential facility and will face the same challenges and constraints experienced by the LTCO program.

Program Justification and Link to 10-Year Outcomes

The Older Americans Act requires that every state have a Long-Term Care Ombudsman program. Oregon's program is unique as an independent Agency - - only two other states are organized this way. SB 626 (2013) authorizes the new Residential Facilities program. The long-term objectives of the Oregon LTCO and RFO programs are to:

1. Have volunteers assigned to and regularly visiting the residents of every licensed long-term care and residential facility in Oregon,
2. Identify, respond to, and resolve resident complaints quickly and effectively,
3. Increase the knowledge and awareness regarding resident's rights amongst consumers, providers, stakeholders, and the general community.

4. Encourage, facilitate, and promote collaboration between the LTCO, RFO and other state partners responsible for the safety of, and services to, individuals residing long-term and residential care.

The link between these objectives and the 10-year outcomes can be seen in these primary measures of performance and progress towards strategic objectives. These measures are most directly related to the 10-year objectives:

1. **Certified Ombudsman Volunteers:** The number of active volunteers in each quarterly period. The LTCO and RFO programs are driven by volunteers visiting facilities. It is estimated that the LTCO program will require about 300-330 volunteers to cover every licensed long-term care facility and about 150 RFO volunteers to cover every licensed residential facility in the State.
2. **Facility Visits:** A straight-forward count of visits and visits per month. It is the objective to increase facility visits (and the volunteers necessary to make the visits) so the performance measure "**% of Facilities Visited**" is no less than 80% in every category.
3. **Complaints Resolved and Referred**: Measures of the effectiveness in resolving complaints. The goal is to increase the percentage of complaints that are fully or partially resolved and to consistently keep that percentage above 80%.
4. **Timeliness of Response:** The average amount of time between intake and first action.
5. **Days to Resolve:** The average days required to resolve and close a complaint. The goal is to keep this measure below 30 days.
6. **Participation in System-wide Advocacy Meetings and Outreach and Education Events:** A straight-forward count of system advocacy, outreach and education events in which the LTCO and RFO programs have participated.

These statistics (for the LTCO Program only) are displayed for 2012 through the Federal Fiscal Year ended September 30, 2015 in the "Program Performance" section that follows below. As a new program, the RFO program does not yet have historical measures.

Comparative Measures

Every State LTCO reports annually to the US Administration on Aging (the "AoA") on the activities of its programs. They contain information that can be used (with some caution because the programs are very different) to compare the performance of LTCO programs across states, including Oregon. (No equivalent comparison system exists for RFO services.)

For example during the 2014 reporting year (the most recent for which national comparative data is available), the average number of volunteers per state and the District of Columbia is 163. During this same reporting year, Oregon had 167, ranking as 14th highest in the nation. This same data indicates that Oregon expects and receives a very high level of performance from its LTCO paid staff:

- Oregon worked on an average of 318 case complaints per paid staff during 2014, ranking 5th in the nation, with the average being 155.
- Oregon had an average of 259 facilities per paid staff during 2014, ranking 2nd in the nation, with the average being 57.
- Oregon averaged 42 facilities visited per paid staff during 2014, ranking 5th in the nation, with the average being 22.
- Oregon averaged 19 volunteers per paid staff during 2014, ranking 7th in the nation, with the average being 7.
- Oregon had an average of 4939 long-term care beds per staff during 2014, ranking 5th in the nation, with the average being 2,424.

Program Performance

This table contains data that summarizes the operations of the Long-Term Care Ombudsman from 2012 through September 30, 2015:

Long-Term Care Ombudsman Performance Statistics

	2012	2013	2014	2015
Certified Ombudsman Volunteers	175	195	180	163
Cases Opened	2392	2225	2657	2730
Cases Closed	2283	1985	2441	2606
Average Days to close	36	36	29.6	29
Complaints Opened	3613	3356	3483	3851
Complaints Resolved	3468	3352	3308	3632
Facility Visits	13634	12685	12624	13137

Enabling Legislation/Program Authorization

The authorizing laws are: Federal: US PL 109-365, Older Americans Act, Title VII, as amended.

State: (a) ORS 441.402 – 441.419, which authorize and establish the program, including selection and appointment of the State Ombudsman. (b) ORS 441.137, which establishes the Residential Facilities Advisory Committee to monitor the program.

Funding Streams

The LTCO program unit receives about 13% ($601,000 out of $4,575,100) of its funding through the provisions of Title VII of the Older Americans Act. These funds are part of the Medicaid matching funds received by the Department of Human Services and passed through, with a 5% match, to LTCO as Other Funds. The balance of the funding is provided from General Funds. LTCO has no dedicated funding streams.

Prior to the 2011 – 2013 biennium, LTCO also received funds from Title III of the Older Americans Act. Together with the monies from Title VII, these funds were sufficient to provide about 75% of the total expenditures of the Agency. On July 1, 2011, Oregon terminated Medicaid Title III funding to LTCO programs, which required the Legislature to significantly increase the amount of General Funds required to continue the program.

The RFO program unit receives the majority of its funding through General Funds dollars. The RFO program also receives the proceeds of civil penalties and fines levied against licensed programs serving individuals with Intellectual and Developmental Disabilities. During the 15-17 biennium this was budgeted to account for $80,000 in revenue but as of August, 2016, revenue had exceeded $130,000.

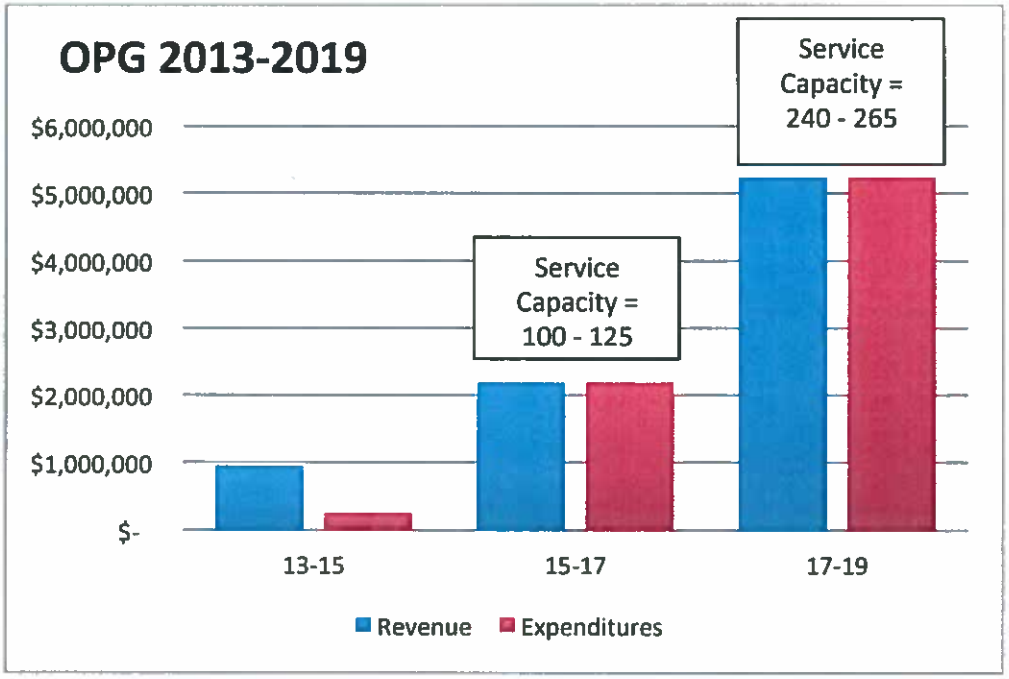
Significant Proposed Program Changes from 2015-2017

This funding proposal for the LTCO program unit alters the program from the CSL because it adds funding for 2.5 new LTCO Deputy Ombudsman and 1 Office Specialist to support the number of volunteers necessary to provide coverage to all long-term care facilities in Oregon. It also adds a manager for the LTCO Deputies, a position currently lacking in the agency structure and made critically necessary by the proposed increase in the number of deputies from 7 – 10.

Oregon Public Guardian Program Unit Executive Summary

Primary Outcome Area: Safety
Program Contact: Fred Steele, Long-Term Care Ombudsman

Total Funds Budget = $5,236,138



Program Overview

SB 1553 (short session of 2014) authorized the establishment of the Oregon Public Guardian and Conservator program unit in the Office of the Long-Term Care Ombudsman. Funding for the program began on July 1, 2014 with a staged hiring plan designed to complete the staffing of the program unit by the end of the 2013-2015 biennium. The Public Guardian and Conservator serves as the State of Oregon Public Guardian. The Public Guardian serves as court-appointed guardian and/or conservator, trustee and payee for incapacitated Oregonians who have no other resource to serve in such a capacity.

Program Funding Request

The funding request is $5,236,138 in General Funds, as above. This is an increase over the 15-17 LAB which granted $2,164,269 to the Oregon Public Guardian. The current level of funding is sufficient to maintain a caseload of about 40 protected persons with up to 60-75 additional individuals being served via contracts with local entities. The program funding request, if granted, would significantly expand the availability of services by at least 140 individuals and is outlined in the policy option package narrative later in this section.

Program Description

The Oregon Public Guardian serves as a court appointed, surrogate decision maker for adults incapable of making some or most decisions about their persons and affairs, and who have no one else to serve as their guardian or conservator and there are no less restrictive or costly interventions. Those in need of the Oregon Public Guardian's services include person with age related neurocognitive issues, persons with serious and persistent mental health issues, and persons with intellectual/developmental disabilities.

As ordered by a court, the Public Guardian is responsible for developing individual care plans for these most difficult-to-serve incapacitated clients. No court may order the Public Guardian and Conservator to accept a case without the consent of the Guardian. The Public Guardian program subsequently serves as a fiduciary in a variety of areas, including

estate issues, making all health, placement and fiscal decisions for clients, and taking legal action if necessary. The program is also authorized to utilize trained volunteers at the discretion and direction of the Public Guardian.

The Public Guardian supervises a small staff who make decisions about which clients to serve due to limited funding, resolves contested cases and other legal objections/pleadings in coordination with Counsel, and contracts with appropriate resources in parts of Oregon unable to be serviced by the Salem office. All Oregon Public Guardian professional staff are certified and follow nationally recognized guardianship standards.

Program Justification and Link to 10-Year Outcome

This is a new program for both Oregon and the OLTCO - - only Multnomah and Jackson Counties had existing public guardian program before the establishment of the Oregon Public Guardian and Conservator. The objective of SB 1553 (2014) was to establish the office with modest staffing (four positions) and to use the first years to learn about the need for public guardian and conservator services in the State. The desired long-term outcome is to expand the availability of public guardianship and conservatorship services so that every incapacitated Oregonian who needs the assistance of a guardian and conservator, but lacks the means to obtain these services, nor has anyone able or willing to serve in this capacity, can benefit from the advocacy, support, and protection of skilled guardians and conservators. The program is justified by ORS 125.675 – 125.687 and the immediate link to the 10-year outcome is to use the new office to explore and understand the scope of the need for the services to be provided statewide by the Oregon Public Guardian and Conservator.

Program Performance

This program unit has limited operating history. In 2015-2017 the program began services to individuals and we estimate that by the end of the biennium the OPG will maintain a caseload of about 40 protected persons with up to60-75 additional individuals being served via contracts with local entities.

Program Authorization:

ORS 125.675 – 125.687

Funding Streams

Funds are to be provided from General Funds. The program unit has no dedicated funding stream.

Significant Proposed Program Changes from 2015-2017

This is a new program that began on July 1, 2014. The funding proposal increases the CSL. The increase is to accommodate full staffing and operations for two years, compared to partial staffing and limited operating fund expenditures in the second year of the 2013-2015 biennium.

Additional Program Unit Narratives: See Above.

Essential and Policy Packages

Essential Packages: The basic essential packages include adjustments for inflation.

Policy Packages: This table sets forth the policy packages:

Agency 114 Long Term Care Ombudsman
2017-2019 Budget Development Detail
Proposed POP List

Priority	Package #	Title	Fund	Revenue	Expenditures	Positions	FTE	
1	101	RFO Quality Care Fund Exception	OF	$200,000.00	$117,040.00	NA	NA	Allows for OF Ending Balance
2	102	LTCO In-State Travel	GF	$150,000.00	$150,000.00	NA	NA	
3	103	IT Services	GF	$145,740.00	$145,740.00	NA	NA	
4	104	LTCO Program Expansion	GF	$876,144.00	$876,144.00	5	4.5	
5	105	OPG Program Expansion	GF	$3,053,585.00	$3,053,585.00	11	11	
		Total POP Request		$4,425,469.00	$4,342,509.00			

In summary, there are five policy packages. They are:

1. RFO Quality Care Fund Exception
2. Long Term Care Ombudsman Instate Travel Costs – Allows for the continuation of current service levels and practices which are currently resulting in a budget shortfall significant enough that it cannot be covered by other LTCO budget categories.
3. IT Support – Fills a gap in 15-17 budget development for the OPG and RFO program which did not account for the need to contract with an outside provider for these services. Current funding levels in the LTCO program are insufficient to cover the IT needs of all three program areas.
4. Long Term Care Expansion – Would allow for the capacity to have a presence in all long-term care facilities throughout the state. Current funding levels provide for a presence in roughly 60% of long-term care facilities.
5. Oregon Public Guardian Expansion – Would allow for the staffing capacity to serve an additional 140 protected persons. Current funding levels allow for staffing sufficient to provide direct guardianship and/or conservatorship services to roughly 40-50 incapacitated individuals with contract capacity to serve 60-75 more.

Policy Package: Residential Facilities Ombudsman Quality Care Fund Exception

Purpose: To increase the Other Funds limitation reflecting funds received by the Residential Facilities Ombudsman Program as the result of civil penalties and fines levied against facilities serving individuals with mental illness or developmental disabilities. This limit is currently set at $80,000 but actual fines and penalties have resulted in much higher revenue numbers. The Other Funds limitation should be increased to $200,000.

How Achieved: Legislative approval.

Staffing Impact: None

Quantifying Results: Increased capacity for projects, initiatives, and expenditures directly related to the quality of care in residential facilities programs and the provision of advocacy to the resident's of such facilities.

Revenue Source: This policy package does not require any additional revenue but, rather, makes available to the RFO funds that are already legislatively allocated for their use.

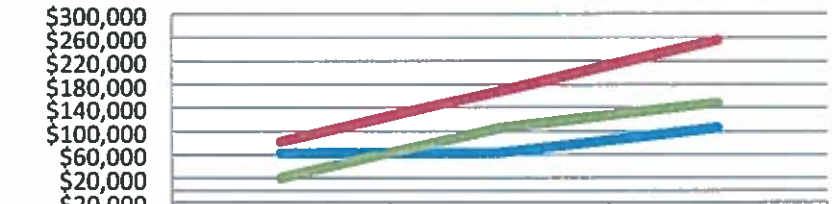
Policy Package: LTCO Instate Travel

Purpose: It is the purpose of this policy to be able to reimburse Long-Term Care Certified Ombudsman Volunteers for the travel (mileage and limited per diem) costs they incur when performing their volunteer duties. The benefits of doing so are as follows:

- Compliance with DAS directives: DAS has indicated that volunteers must be reimbursed for mileage and per diem meals in the same manner and at the same level as paid State staff. (Alternatively, volunteers are permitted to not be reimbursed, but rather to use their mileage as a tax deduction.) Prior to 2013, a biennial budget of $60,000 - $80,000 was sufficient as there were two factors at play:

    - Mileage for many volunteers was reimbursed by the AAA office/RSVP in the county in which they worked. This was true in Lane County, Marion/Yamhill/Polk/Clatsop/Tillamook counties, and Jackson/Josephine counties, amongst others. As those agencies experienced budget shortfalls, these funds were reallocated and those volunteers were no longer eligible for reimbursement at their local level. In an effort to retain those volunteers, the LTCO office assumed the payment of reimbursement for those individuals previously being reimbursed by AAA's. To date, only Multnomah County continues the practice of providing mileage reimbursement to LTCO volunteers.

    - For LTCO volunteers working in an area in which there was not AAA reimbursement, mileage reimbursement from the LTCO program was only offered to volunteers on a case by case, hardship basis. In an effort to provide equity to all volunteers and increase volunteer recruitment and retention numbers, this practice changed in 2013 and mileage reimbursement requests have steadily increased since that time.



	11-13	13-15	15-17
Budget	$61,322	$63,253	$106,351
Actual	$81,873	$168,461	$255,242
Shortfall	$20,551	$105,208	$148,891

- Increased recruiting and retention of volunteers: There is increasing competition for volunteers as the national trend of volunteerism decreases and the needs of the LTCO and other programs increase. Many programs are able to offer stipends to their volunteers, which the LTCO does not. However, the LTCO is able to provide:
    - Reimbursement for mileage traveled in the course of volunteer duties, which is often a significant incentive for volunteers to enter and remain in the program.
    - Reimbursement for travel costs related to attendance at the five day required training to become a Certified Ombudsman. The LTCO has continually distributed and redistributed training locations and dates to maximize staff time and minimize travel expenses. However, it is simply not possible to efficiently provide training for all volunteer applicants in the city in which they live. Many have to travel over 100 miles and obtain lodging to complete the training.

The current volunteers of the LTCO complete the work of over 13 FTE, saving the state staffing and supply costs of over $1.4 million dollars on a biennial basis. Reimbursing them for their mileage in a small token of appreciation and contributes greatly to retention of volunteers.

- Increased capacity for coverage of long-term care facilities and response to consumer complaints: Particularly in Eastern Oregon or other less populated areas of the state, it is often necessary for volunteers to drive significant distances to visit their facilities. The inability to claim reimbursement for miles traveled is a disincentive to weekly or frequent visits, which are essential to achieving the LTCO mission and assuring the safety and rights of residents. In addition, when complaints are received from residents in facilities without an assigned Certified Ombudsman Volunteer the LTCO program often asks another volunteer to visit the facility as a "back up" case. Without being reimbursed for this additional level of travel, some volunteers are reluctant to take back up cases, making complaint response and resolution difficult for the LTCO program.

Currently the Instate Travel budget does not include sufficient funds to cover all mileage reimbursement requests, resulting in biennial shortfalls of $105,000 in 13-15 and now reaching over $150,000. Sufficient funds no longer exist in other line items to cover this deficit.

How Achieved: Volunteers currently submit mileage reimbursement requests on a monthly basis using the same process as State employees. Volunteers are only reimbursed if there is collaborating documentation of the activity for which they are requesting mileage reimbursement. This promotes accurate and timely activity and case reporting as a by-product of reimbursing for mileage. Payment is processed and provided via DAS. This policy package does not propose any change in practice at this time, but simply requests sufficient funds ($150,000) to sustain current practice.

Staffing Impact: None

Quantifying Results:

- The approval of this policy package will allow for the ongoing reimbursement of volunteer's mileage in accordance with DAS policy.
- Volunteer recruitment and retention should remain at rates consistent with the 13-15 and 15-17 biennium during which the current practice was in place.
- The budget deficit currently created by the existing practice should be greatly reduced if not eliminated. Note that even with the addition of volunteers, which is expected, costs should not increase by a corresponding amount. This is because the addition of volunteers allows for permanent and back up assignments to occur closer to home, thus reducing the mileage incurred by existing volunteers.

Revenue Source: $150,000 General Fund

Policy Package: IT Services

Purpose: It is the purpose of this policy to obtain sufficient and efficient IT support for the Office of the Long Term Care Ombudsman and all of the programs it contains along with the budgetary allocation to achieve that. In the past, IT support needs for the Agency have been limited to less than ten staff people who worked primarily within the Salem office within one program area (LTCO), all using the same software and processes. Over the past 2 – 5 years:

- Staff has more than doubled as the result of the addition of the RFO and OPG programs.
- Staff are now working in three different program areas, all with different software, data base and technology needs, and are increasing mobile and remote.
- The original OPG and RFO budgets did not include funds allocated for IT support.

Sufficient and efficient IT support would be defined as the following:

- ***24/7 availability and responsiveness.*** The OLTCO now contains the Office of the Public Guardian, which has around the clock responsibility for the individuals for whom they are appointed as guardian. An overnight and weekend on call staff person, working remotely, needs immediate real time access to client information in a secure manner to make critical health and care decisions. In addition, Deputy Guardians need to travel extensively through the state visiting wards and again need to be able to do so while having real time secure access to client information.
- ***The ability and expertise to work with numerous state agencies and vendors professionally and efficiently.*** This includes the ability to thoroughly and knowledgeably respond to requests for information from the State Chief Information Officer, Enterprise Technology Systems, and the Department of Administrative Systems, navigate changes in state systems and supports, and perform yearly IT safety and security audits. As information security and technology within the state systems becomes increasingly complex and critical, and the data housed within the OLTCO more sensitive, the agency must have access to the expertise necessary to conduct business

within this environment without unnecessary complications or delays. The OLTCO currently does not have any internal expertise available to fulfill this need.

- ***The ability to assess and make recommendations to agency management regarding all OLTCO programs,*** hardware, software, network devices, back up equipment and other IT to assure efficiency, security, proper upgrades and maximum functionality. Current systems have been cobbled together over periods of expansion. Each individual's computer is configured differently, often with different versions of software and functionality. OLTCO currently does not have the internal expertise to determine if there are more efficient products available to streamline work product, more secure and means of backing up and storing data especially given the number of remote workers, or other improvements that could be made to the existing system.
- ***The ability to support all staff needs during the working week*** so that staff do not experience unnecessary down time or loss of efficiency, retain the ability to work remotely within their assigned geographical districts, and have secure means of conducting client related business. The OLTCO needs a contractor who can provide support within a specified time frame and who has a back up system in place if the key point person is not available within that period of time.
- ***The ability to unify all IT functions within one place within the organization.*** Currently, due to inadequate IT resources it is necessary for other agency staff to assume IT duties that fall outside their scope of expertise and standard job duties. This includes responsibility for providing technical support to the Certified Ombudsman volunteers on the electronic reporting system, maintaining website content, administering e-mail functions, maintaining audio/visual equipment and facilitating usage, and updating various databases. These duties currently reside with three different staff members, while the majority of all other IT support functions lie with an outside party. Volunteers and staff are frequently confused as to whom to contact about a given issue and significant time is wasted as a result. In addition, because the staff handling the miscellaneous IT functions are not truly specialized or proficient in these arenas, the level of support they are able to provide is sub-par and inefficient.

How Achieved: The OLTCO believes that the above outcomes can best be achieved through a contract with an external IT Support Company obtained via a competitive RFP process with well-defined expectations, deliverables and performance measures.

Staffing Impact: None. Although the OLTCO has considered the hiring of an in house staff person at an ISS 6 level, the need for 24 hour support by the Oregon Public Guardian makes this solution less feasible than an external organization with 24 hour support capability. Furthermore, the OLTCO has considered the use of DAS for IT support but has been advised that this is not possible due to the OLTCO's Macintosh environment.

Quantifying Results:

- Less down time due to wait time for IT support.
- Cost savings due to increased efficiencies on the part of contractor.
- Increased in house efficiency in working with other State agencies in response to requests for information.

Revenue Source: $187,740 in General Fund. The LTCO currently has $42,000 allocated for the purpose and would need an additional $55,625. The RFO program has no funds currently allocated for this purpose and would need $60,077. The OPG program has no funds currently allocated for this purpose and would need $30,038.

Policy Package: LTCO Program Expansion

Purpose: It is the purpose of this policy to expand the LTCO program by 2.5 Deputy Ombudsmen, one Office Specialist 1 and one PEM D. The benefits of doing so are as follows:

- 2.5 additional Deputy Ombudsmen will:
  - ***Provides for the supervision, support and management of 105 additional Certified Ombudsman Volunteers.***
    - 105 additional Certified Ombudsman Volunteers (in combination with existing capacity) would provide for assigned coverage to all of Oregon's long-term care settings.
      - Assigned coverage ensures a weekly visit in every Nursing Facility, Assisted Living Facility, and Residential Care Facility and a quarterly visit in every Adult Foster Home.
        - This level of visitation is critical for the early identification of potential issues involving resident safety, service quality, protection of rights and environmental factors well before any other State agency would have the staffing capacity to be aware of them.
  - ***Provides for the additional out-stationing of paid staff throughout Oregon.***
    - Out-stationing allows for:
      - Greater fiscal and time efficiencies resulting from reduced travel times, reduced mileage reimbursement, and need for overnight lodging and associated per diem.
      - Greater presence at the local level, in facilities, and with community members, which increases positive program outcomes and local volunteerism.
      - Greater Deputy support for volunteers, more in person meetings, opportunity for shadowing and mentoring which increases volunteer retention and outcomes.
  - ***Provides for a work load distribution sufficient to assure:***
    - Response to calls to the statewide toll free number within 24 hours.
    - Closure of non-referred complaint cases within 30 days.
    - Monitoring of volunteer activity and case reporting to assure timely and accurate data, analysis and sharing of information.

- Thorough training, onboarding, and ongoing education of all volunteers.

- An Office Specialist for the LTCO program will:
  - ***Provide for the support of Deputy Ombudsman in completing tasks <u>currently performed by them</u> but which do not require the more skilled, and more costly, time of a PA2.***
    - Arrange for all logistics related to monthly volunteer team meetings including room reservation, invitations, agendas, arranging speakers, follow up distribution of materials discussed, and archiving on volunteer website (16 meetings per month, 192 per year).
    - Arrange for all logistics related to volunteer trainings including the preparation of extensive written training materials, invitations, RSVP's, travel arrangements for trainers (14 per year).
    - Assisting volunteers with the submission of monthly activity reports and case complaint reports via the electronic reporting system. This includes troubleshooting and technical assistance with the use of the reporting portal.
  - ***Provide for the timely processing of documents related to the provision of long-term care services in Oregon.***
    - The LTCO program currently receives written reports from Adult Protective Services, Licensing Survey, Corrective Action, Licensing Complaint Unit, and other state agencies and units monitoring long term care services in Oregon.
    - In order to provide timely and critical information to volunteers assigned to these facilities, and in order to provide accurate and informed consumer education to callers, these documents must be electronically distributed, processed, and uploaded into the database the LTCO program maintains.
    - The volume and time associated with this critical task is significant, and currently not able to be maintained with existing staffing. However, without its completion, there is no state agency with this information, as well as the observations and written reports of LTCO volunteers and staff, all in one place.
    - Allow for current office receptionist to more effectively serve as office-wide receptionist and transfer current LTCO supports to this OS1, thus benefiting the agency as a whole.

- A manager for the LTCO program will:
  - ***Provide for the adequate supervision and support of the ten Deputy Ombudsman and one volunteer recruiter.***
    - Currently the LTCO Deputies are supervised by the Deputy Director while the other two program areas within the Agency (RFO and OPG) have dedicated managers despite having fewer staff. Since the Deputy Director is also responsible for all agency wide operations, it is difficult to dedicate the level of management and supervision necessary for these staff members who complete very complex, high risk, and sensitive work on a daily basis.
  - ***Provide for the Deputy Director to focus on critical agency operations and efficiencies*** that have not had the necessary level of attention for many years, such as:
    - Critical IT functions,
    - Development of implementation of DAS compliant policies and procedures,
    - Human Resource functions,
    - Budgetary development and monitoring,
    - Risk and safety considerations,
    - The launching and management of agency initiatives, and
    - Ongoing strategic planning.

How Achieved: The hiring of the PEM D position would be prioritized so as to allow that individual to participate in the subsequent hiring of the three Deputy positions and Office Specialist. The hiring of three Deputy Ombudsmen positions would ideally occur all at the same time to allow for maximum efficiency in both the hiring and onboarding process. Onboarding would occur both at the Salem location and at other out-stationed locations before the Deputies would function independently within their own district assignments. This would be expected to occur after a 4 – 6 month period of training and onboarding at which point Deputies should be able to complete the functions of training, Deputy on Duty, and volunteer management. Volunteer supervision would then be reallocated amongst the ten fully functional Deputies.

Staffing Impact: This policy package would add three Deputy Ombudsmen, classified as Program Analyst 2's under the current classification system. It would also add a PEM D manager and an Office Specialist 1, classifications that also already exist within the agency.

Quantifying Results:

- Increased volunteer numbers.
- Increased number of facility visits.
- Increased number of facilities with permanently assigned volunteer coverage.
- Increased number of complaints resolved.
- Reduction in the number of hours to respond to phone and in person complaints.
- Reduction in the number of days to close complaint cases.
- Reduction in staff miles traveled to manage district.
- Reduction in staff overnight lodging and per diem to manage district.
- Increased number of community outreach and education events completed by staff and volunteers.
- Increased volunteer retention measured by average amount of time served and number of volunteer resignations.
- Increased number of activity and case complaint reports filed in a timely manner by both staff and volunteers.

Revenue Source: General Fund

Policy Package: Oregon Public Guardian Expansion

Purpose: To obtain the number and type of staff and resources to increase and refine public guardian and conservator services in the seven counties in which the Oregon Public Guardianship (OPG) Program is establishing a presence; and, to develop and deliver services in up to five additional counties. This Policy Option Package (POP) is part of a plan to make public guardian services available throughout Oregon through successive biennial increases in staff and resources.

Terms:
"Protected person" or "client" refers to an adult subject to guardianship or conservatorship. "Guardianship" refers to management of a protected person's person and personal affairs – place of residence; health and other care and treatment; etc. "Conservatorship" refers to management of a protected person's financial affairs. Guardians and conservators are also known as "fiduciaries"; and, "guardianship" can refer to both guardianship *and* conservatorship. Oregon law refers to fiduciaries serving three or more persons as "professional fiduciaries" and requires professionals to be certified by the national Center for Guardianship Certification.

Background: In 2012, the Interim Task Force on Public Guardian and Conservator reported to the Legislature on the need for public guardianship services in Oregon. It estimated between 1,575 and 3,150 highly vulnerable and dependent adults need but lack the services of a public guardian or conservator. Individuals in need of public guardianship are incapable of making some or all decisions about their persons and affairs, have no willing and responsible family member or friend to serve as a guardian and/or conservator for them, and cannot afford a private, professional fiduciary. In most circumstances, individuals in need of guardianship are incapacitated as result of an age-related neurocognitive condition, such as dementia; a serious and persistent mental illness; or, a severe intellectual/developmental disability. Many persons in need of public guardianship are experiencing abuse, neglect or self-neglect, or are at imminent risk of harm.

Under Oregon law, counties have been authorized to provide public guardianship services since 1971. But only Multnomah and Jackson Counties have public guardianship programs and their capacity is limited. Over the past 20 years, there have been several unsuccessful efforts to increase services. In contrast, most states have a state or county public guardianship system. Many western states have provided public guardian and conservator services for decades. In addition, some states have relatively large numbers of private, professional fiduciaries. Oregon does not.

In 2014, legislation (Senate Bill 1553) was passed that provided for appointment of a state public guardian and conservator within the Office of the Long-Term Care Ombudsman. The intent was to initiate a program that would work with county and state organizations and stakeholders to increase services and could grow into a larger program, perhaps a state office, capable of providing services throughout Oregon and addressing a significant amount of the unmet need for public guardianship.

With the positions and resources provided by SB 1553, the Oregon Public Guardian and Conservator was appointed in 2015 and the OPG Program was initiated. Two Deputy Public Guardian and Conservators and an Administrative Assistant were hired later in the year and services were begun. Through existing staff and pending contractual arrangements for "special deputy public guardians", the OPG Program is seeking to establish itself in seven counties -- Clackamas, Deschutes, Lane, Jackson, Marion, Multnomah and Umatilla.

How Achieved: With the additional 11.0 FTE positions requested by this POP, the program would solidify its presence and increase services in the these counties, while initiating services in up to five additional counties, providing guardianships and conservatorships to an estimated additional 140 protected persons. Tentatively, the OPG Program will seek additional staff positions and resources in 2019 and 2021, with the intent of making public guardian services available statewide by end of 2022.

In counties in which the program is present, it would continue to develop and utilize "high risk teams" comprised of representatives of local human service programs to screen and refer individuals for guardianship services. The purpose

of these multidisciplinary teams is multifold. They seek to address the needs of at-risk adults in the least restrictive and intrusive manner possible through staffing difficult cases and problem solving. When an at-risk adult is incapacitated and there no other viable options, the teams refer eligible individuals to the OPG Program.

The program will continue to be represented by Department of Justice Assistant Attorneys General in guardianship proceedings and matters. Expenditures in this area have been difficult to forecast because this is a new program and a new area of representation. In addition, individuals facing the prospect of guardianship are entitled to substantial due process protections given the potential loss of their most fundamental rights as adult citizens; and, the unpredictable nature of guardianship proceedings.

The OPG Program remains committed to providing high quality, accountable and person-centered guardianship services in the least restrictive and intrusive manner possible. OPG has adopted the National Guardianship Association's Standards of Practice and Program Standards, and it seeks to affirm, uphold and advance the dignity and rights of protected persons it serves. They deserve no less.

Staffing Impact: The present 4.0 FTE staff of the OPG Program would be increased by the 11.0 FTE positions described below. The positions will be phased in over the biennium. The additional positions would provide the numbers and type of staff and resources necessary to initiate and provide services to an estimated additional 140 incapacitated, at-risk adults; refine OPG's presence in seven counties; facilitate expansion in up five additional counties. The proposed positions are:

Deputy Public Guardian and Conservator (5.0 FTEs) – serve as court-appointed, certified professional fiduciaries for a caseload of 20 – 25 at-risk, incapacitated adults. Primary responsibilities include:

- Investigating the need for guardianship and conservatorship (G/C). Evaluating the decisional capacity of at-risk adults and their ability to care themselves. Identifying and facilitating utilization of less restrictive alternatives

whenever possible. Providing recommendations for G/C. Preparing documentation for G/C petitions. Testifying at G/C court hearings.

- Preparing and implementing person-centered guardianship plans. Determining/facilitating place of residence. Participating in care, treatment and service planning and meetings. Making informed decisions concerning health, mental health and habilitative care, and end of life care. Advocating for preferences, interests and rights, while ensuring and providing for health, welfare and safety.
- Developing and implementing person-centered conservatorship plans. Identifying, locating and securing assets, real and personal property and income. Paying bills, settling debts, liquidating assets/property, and prudently managing income and property to provide and care for protected persons. Obtaining and managing needed public and private benefits. Purchase funeral plans and making burial arrangements.
- Developing and maintaining partnerships with allied medical, long-term care, mental health, developmental disability agencies, programs and professionals. Serving as G/C expert and technical resource in a given region/county, including one or more high risk team.
- On-call after hours to address emergencies (on rotating basis).

Case Manager (2.0 FTEs) – assist deputy public guardians and conservators (deputies) in carrying out their duties. Primary responsibilities include:

- Gathering, researching and verifying information needed to complete G/C investigations, develop and implement G/C plans, and make care/treatment/service decisions. Complete medical, benefit, insurance applications. Problem solve challenges to obtaining services.
- Assisting protected persons (most of whom have physical limitations, disabilities or behavioral issues) in scheduling, traveling to and attending care, treatment and service meetings; evaluating residential/placement options; relocating and moving; and shopping, making purchases and completing errands. Communicating information to/from deputies, physicians, and care providers about treatment and service preferences. Coordinating tracking of medical issues and chronic care monitoring.
- Conducting onsite visits to check-on medical, psychological and social welfare of protected persons. Coordinate/accompany protected persons on supervised visits with family members and significant others,

including known perpetrators of abuse, neglect or exploitation, in order to assure safety of protected persons. Coordinate with vendors/providers to ensure services are provided and business completed.

Administrative Specialist 1 (1.0 FTE) – provide administrative support to senior deputies, deputies and other administrative staff. Primary responsibilities include:

- Producing legal, accounting and business documents in accordance with District Court, Department of Justice and OPG standards. Requires high degree of accuracy, completeness and timeliness.
- Receiving, documenting and processing mail, checks and bills (fulfill designated accounts receivable/payable and reconciliation responsibilities). Making inquiries about bills, utilities, property and income to gather needed information/clarify matters, and complete public benefit and other applications. Making purchases and running errands on behalf of deputies and protected persons.
- Entering, updating, proofing and correcting protected person information in OPG's automated case management and accounting system. Maintaining and updating electronic and paper files on protected persons and OPG business.
- Staffing OPG reception area and phones on rotating and back-up basis.

Senior Deputy Public Guardian (1.0 FTE) – responsible for development and delivery of guardianship services. Primary responsibilities include:

- Hiring, training and supervising deputies, case managers and administrative staff in providing guardianship services.
- Provide oversight, review and support to OPG's contracted deputy guardians.
- Developing, implementing, maintaining and refining guardianship policies and procedures and operating systems, including OPG's automated case management and accounting system.
- Reviewing and approving guardianship assessments, petitions, plans and annual and final reports prior to submission to courts.

- Conducting guardianship investigation and capacity assessments in contract and complex cases. Carrying a limited guardianship caseload (may be phased out as OPG grows and responsibilities increase).
- Monitoring, reviewing and staffing cases. Investigating/resolving concerns and grievances about guardianship services.
- Facilitating development of and supporting regional/county high-risk teams.
- Serving as OPG's expert on "clinical" issues, including decisional incapacity and two or more substantive areas: aging, mental illness, intellectual/developmental disability and traumatic brain injury.
- On-call after hours (on rotating basis).

Senior Deputy Public Conservator (1.0 FTE) – responsible for development and delivery of conservatorship services. Primary responsibilities include:

- Hiring, training and supervising deputies, case managers and administrative staff in providing conservatorship services.
- Provide oversight, review and support to OPG's contracted deputy conservators.
- Overseeing conservatorship investigations, planning and reporting. Review and approve conservatorship assessments, petitions, inventories, plans and annual and final financial reports prior to submission to courts.
- Developing, overseeing operation of and refining OPG conservatorship operating systems, processes, policies and procedures, including:
    - Client trust account system;
    - Automated client accounting system (and any interim manual systems).
    - Policies and procedures for identifying, securing, inventorying and safekeeping client income, assets and property.
    - Policies and procedures for client debt settlement; facilitating Medicaid spend downs; and liquidating of client property and assets (in order to provide for clients and their care).
    - OPG fee assessment and collection system.
    - Policies and procedures for bonding of program and staff.

- Conducting conservatorship investigations in complex and contract cases. Carrying a limited conservatorship caseload (may be phased out as OPG grows and other responsibilities increase).
- Monitoring, reviewing and staffing cases. Investigating/resolving concerns and grievances about conservatorship services.
- Serving as OPG's expert on conservatorship substantive issues, including public and private health insurance, public benefits, special trusts, powers of attorney, etc.
- On-call after hours on rotating basis.

Accountant 2 (1.0 FTE) – responsible for providing and supporting provision of accounting and related services necessary to carry out conservatorships and client money management services. Primary responsibilities include:

- Developing, utilizing and maintaining OPG's client trust account and client accounting systems in order to bank, manage and account for client income and expenditures. Coordinate as needed with the Oregon Treasury, Department of Administrative Services Accounts Payable/Receivable and private banking institutions.
- Supporting and coordinating other administrative staff and deputies in utilizing above systems to carry out client accounting functions and manage conservatorships, guardianships with financial responsibilities, and benefit representative payeeships.
- Generating needed reports and information for:
    - Planning and managing individual conservatorships and client financial affairs, and reporting to courts on the same.
    - Preparing and filing client taxes.
    - Monitoring, evaluating, planning and refining client services.
- Recommending, developing and implementing needed controls to ensure compliance with Generally Accepted Accounting Principles, U.S. and Oregon law, court requirements, and NGA Standards of Practice, including required money handling and documenting procedures, separation of duties, reconciliations, etc.
- Perform ongoing and periodic review of accounting systems to ensure they are functioning and being used correctly.

Quantifying Results: The OPG Program will develop key performance measures and establish goals over the 2017-19 biennium, as it acquires the service delivery experience necessary to do so on an informed basis. In the interim, the program is documenting and tracking the following outcomes:

- Inquiries and referral for OPG guardianship and conservatorship services.
- Referrals made by OPG to less restrictive and other fiduciary options.
- Guardianship and conservatorship assessments performed.
- Guardianship and conservatorship petitions filed.
- Protected persons in service with OPG.

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	30,784	-	-	-	-	-	30,784
Total Revenues	**$30,784**	-	-	-	-	-	**$30,784**
Personal Services							
Overtime Payments	-	-	-	-	-	-	-
All Other Differential	151	-	29	-	-	-	180
Public Employees' Retire Cont	29	-	12	-	-	-	41
Pension Obligation Bond	20,226	-	1,578	-	-	-	21,804
Social Security Taxes	12	-	4	-	-	-	16
Unemployment Assessments	1,936	-	-	-	-	-	1,936
Mass Transit Tax	2,181	-	(365)	-	-	-	1,816
Vacancy Savings	6,249	-	(138)	-	-	-	6,111
Total Personal Services	**$30,784**	-	**$1,120**	-	-	-	**$31,904**
Total Expenditures							
Total Expenditures	30,784	-	1,120	-	-	-	31,904
Total Expenditures	**$30,784**	-	**$1,120**	-	-	-	**$31,904**
Ending Balance							
Ending Balance	-	-	(1,120)	-	-	-	(1,120)
Total Ending Balance	-	-	**($1,120)**	-	-	-	**($1,120)**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	(100,000)	-	-	-	-	-	(100,000)
Total Revenues	**($100,000)**	-	-	-	-	-	**($100,000)**
Services & Supplies							
Professional Services	(100,000)	-	-	-	-	-	(100,000)
Total Services & Supplies	**($100,000)**	-	-	-	-	-	**($100,000)**
Total Expenditures							
Total Expenditures	(100,000)	-	-	-	-	-	(100,000)
Total Expenditures	**($100,000)**	-	-	-	-	-	**($100,000)**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 031 - Standard Inflation

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	94,539	-	-	-	-	-	94,539
Total Revenues	**$94,539**	-	-	-	-	-	**$94,539**
Services & Supplies							
Instate Travel	5,455	-	925	-	-	-	6,380
Out of State Travel	333	-	-	-	-	-	333
Employee Training	2,410	-	925	-	-	-	3,335
Office Expenses	2,546	-	-	-	-	-	2,546
Telecommunications	2,769	-	-	-	-	-	2,769
State Gov. Service Charges	54,382	-	-	-	-	-	54,382
Data Processing	1,185	-	-	-	-	-	1,185
Publicity and Publications	837	-	555	-	-	-	1,392
Professional Services	40	-	-	-	-	-	40
IT Professional Services	1,743	-	-	-	-	-	1,743
Attorney General	2,761	-	-	-	-	-	2,761
Employee Recruitment and Develop	91	-	-	-	-	-	91
Dues and Subscriptions	121	-	-	-	-	-	121
Facilities Rental and Taxes	14,044	-	-	-	-	-	14,044
Agency Program Related S and S	379	-	-	-	-	-	379
Other Services and Supplies	4,419	-	555	-	-	-	4,974
Expendable Prop 250 - 5000	429	-	-	-	-	-	429
IT Expendable Property	595	-	-	-	-	-	595
Total Services & Supplies	**$94,539**	-	**$2,960**	-	-	-	**$97,499**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 031 - Standard Inflation

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Total Expenditures							
Total Expenditures	94,539	-	2,960	-	-	-	97,499
Total Expenditures	**$94,539**	**-**	**$2,960**	**-**	**-**	**-**	**$97,499**
Ending Balance							
Ending Balance	-	-	(2,960)	-	-	-	(2,960)
Total Ending Balance	**-**	**-**	**($2,960)**	**-**	**-**	**-**	**($2,960)**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	60,700	-	-	-	-	-	60,700
Total Revenues	**$60,700**	-	-	-	-	-	**$60,700**
Services & Supplies							
Other Services and Supplies	60,700	-	-	-	-	-	60,700
Total Services & Supplies	**$60,700**	-	-	-	-	-	**$60,700**
Total Expenditures							
Total Expenditures	60,700	-	-	-	-	-	60,700
Total Expenditures	**$60,700**	-	-	-	-	-	**$60,700**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 060 - Technical Adjustments

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	89,574	-	-	-	-	-	89,574
Total Revenues	**$89,574**	-	-	-	-	-	**$89,574**
Personal Services							
Other OPE	(1,176)	-	-	-	-	-	(1,176)
Total Personal Services	**($1,176)**	-	-	-	-	-	**($1,176)**
Services & Supplies							
Instate Travel	712	-	-	-	-	-	712
Employee Training	3,692	-	-	-	-	-	3,692
Office Expenses	2,109	-	-	-	-	-	2,109
Telecommunications	1,582	-	-	-	-	-	1,582
Data Processing	(3,468)	-	-	-	-	-	(3,468)
Publicity and Publications	527	-	-	-	-	-	527
Professional Services	77,329	-	-	-	-	-	77,329
Employee Recruitment and Develop	-	-	-	-	-	-	-
Dues and Subscriptions	527	-	-	-	-	-	527
Facilities Rental and Taxes	(3,463)	-	-	-	-	-	(3,463)
Agency Program Related S and S	1,176	-	-	-	-	-	1,176
Other Services and Supplies	7,495	-	-	-	-	-	7,495
Expendable Prop 250 - 5000	2,532	-	-	-	-	-	2,532
Total Services & Supplies	**$90,750**	-	-	-	-	-	**$90,750**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 060 - Technical Adjustments

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Total Expenditures							
Total Expenditures	89,574	-	-	-	-	-	89,574
Total Expenditures	**$89,574**	-	-	-	-	-	**$89,574**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 101 - RFO Quality Care Fund Exception

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Instate Travel	-	-	4,075	-	-	-	4,075
Out of State Travel	-	-	25,000	-	-	-	25,000
Publicity and Publications	-	-	19,445	-	-	-	19,445
Professional Services	-	-	34,229	-	-	-	34,229
Dues and Subscriptions	-	-	10,000	-	-	-	10,000
Other Services and Supplies	-	-	24,291	-	-	-	24,291
Total Services & Supplies	-	-	**$117,040**	-	-	-	**$117,040**
Total Expenditures							
Total Expenditures	-	-	117,040	-	-	-	117,040
Total Expenditures	-	-	**$117,040**	-	-	-	**$117,040**
Ending Balance							
Ending Balance	-	-	(117,040)	-	-	-	(117,040)
Total Ending Balance	-	-	**($117,040)**	-	-	-	**($117,040)**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 102 - LFCO In State Travel

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	150,000	-	-	-	-	-	150,000
Total Revenues	**$150,000**	-	-	-	-	-	**$150,000**
Services & Supplies							
Instate Travel	150,000	-	-	-	-	-	150,000
Total Services & Supplies	**$150,000**	-	-	-	-	-	**$150,000**
Total Expenditures							
Total Expenditures	150,000	-	-	-	-	-	150,000
Total Expenditures	**$150,000**	-	-	-	-	-	**$150,000**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 103 - IT Services

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	115,702	-	-	-	-	-	115,702
Total Revenues	**$115,702**	-	-	-	-	-	**$115,702**
Services & Supplies							
IT Professional Services	115,702	-	-	-	-	-	115,702
Total Services & Supplies	**$115,702**	-	-	-	-	-	**$115,702**
Total Expenditures							
Total Expenditures	115,702	-	-	-	-	-	115,702
Total Expenditures	**$115,702**	-	-	-	-	-	**$115,702**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 104 - LTCO Program Expansion

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	876,144	-	-	-	-	-	876,144
Total Revenues	**$876,144**	-	-	-	-	-	**$876,144**
Personal Services							
Class/Unclass Sal. and Per Diem	471,132	-	-	-	-	-	471,132
Empl. Rel. Bd. Assessments	285	-	-	-	-	-	285
Public Employees' Retire Cont	89,938	-	-	-	-	-	89,938
Social Security Taxes	36,041	-	-	-	-	-	36,041
Worker's Comp. Assess. (WCD)	345	-	-	-	-	-	345
Mass Transit Tax	1,882	-	-	-	-	-	1,882
Flexible Benefits	166,680	-	-	-	-	-	166,680
Total Personal Services	**$766,303**	-	-	-	-	-	**$766,303**
Services & Supplies							
Instate Travel	39,400	-	-	-	-	-	39,400
Employee Training	16,151	-	-	-	-	-	16,151
Office Expenses	9,332	-	-	-	-	-	9,332
Telecommunications	7,087	-	-	-	-	-	7,087
Data Processing	2,849	-	-	-	-	-	2,849
Publicity and Publications	2,108	-	-	-	-	-	2,108
Employee Recruitment and Develop	1,899	-	-	-	-	-	1,899
Dues and Subscriptions	2,108	-	-	-	-	-	2,108
Other Services and Supplies	18,563	-	-	-	-	-	18,563

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 104 - LTCO Program Expansion

Cross Reference Name: Long Term Care Ombudsman
Cross Reference Number: 11400-010-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Expendable Prop 250 - 5000	10,344	-	-	-	-	-	10,344
Total Services & Supplies	**$109,841**	-	-	-	-	-	**$109,841**
Total Expenditures							
Total Expenditures	876,144	-	-	-	-	-	876,144
Total Expenditures	**$876,144**	-	-	-	-	-	**$876,144**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-
Total Positions							
Total Positions							5
Total Positions	-	-	-	-	-	-	**5**
Total FTE							
Total FTE							4.50
Total FTE	-	-	-	-	-	-	**4.50**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	5,116	-	-	-	-	-	5,116
Total Revenues	**$5,116**	-	-	-	-	-	**$5,116**
Personal Services							
Temporary Appointments	182	-	-	-	-	-	182
Overtime Payments	-	-	-	-	-	-	-
All Other Differential	-	-	-	-	-	-	-
Public Employees' Retire Cont	-	-	-	-	-	-	-
Pension Obligation Bond	(539)	-	-	-	-	-	(539)
Social Security Taxes	14	-	-	-	-	-	14
Mass Transit Tax	(93)	-	-	-	-	-	(93)
Vacancy Savings	5,552	-	-	-	-	-	5,552
Total Personal Services	**$5,116**	-	-	-	-	-	**$5,116**
Total Expenditures							
Total Expenditures	5,116	-	-	-	-	-	5,116
Total Expenditures	**$5,116**	-	-	-	-	-	**$5,116**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Professional Services	-	-	-	-	-	-	-
Total Services & Supplies	-	-	-	-	-	-	-
Total Expenditures							
Total Expenditures	-	-	-	-	-	-	-
Total Expenditures	-	-	-	-	-	-	-
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 031 - Standard Inflation

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	78,056	-	-	-	-	-	78,056
Total Revenues	**$78,056**	-	-	-	-	-	**$78,056**
Services & Supplies							
Instate Travel	2,252	-	-	-	-	-	2,252
Out of State Travel	185	-	-	-	-	-	185
Employee Training	545	-	-	-	-	-	545
Office Expenses	507	-	-	-	-	-	507
Telecommunications	673	-	-	-	-	-	673
State Gov. Service Charges	18,761	-	-	-	-	-	18,761
Data Processing	78	-	-	-	-	-	78
Publicity and Publications	88	-	-	-	-	-	88
Professional Services	39,457	-	-	-	-	-	39,457
Attorney General	7,461	-	-	-	-	-	7,461
Dues and Subscriptions	78	-	-	-	-	-	78
Facilities Rental and Taxes	2,391	-	-	-	-	-	2,391
Agency Program Related S and S	719	-	-	-	-	-	719
Other Services and Supplies	1,573	-	-	-	-	-	1,573
Expendable Prop 250 - 5000	458	-	-	-	-	-	458
IT Expendable Property	2,830	-	-	-	-	-	2,830
Total Services & Supplies	**$78,056**	-	-	-	-	-	**$78,056**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 031 - Standard Inflation

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Total Expenditures							
Total Expenditures	78,056	-	-	-	-	-	78,056
Total Expenditures	**$78,056**	-	-	-	-	-	**$78,056**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 060 - Technical Adjustments

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	(89,574)	-	-	-	-	-	(89,574)
Total Revenues	**($89,574)**	-	-	-	-	-	**($89,574)**
Services & Supplies							
Instate Travel	(712)	-	-	-	-	-	(712)
Employee Training	(3,692)	-	-	-	-	-	(3,692)
Office Expenses	(2,109)	-	-	-	-	-	(2,109)
Telecommunications	(1,582)	-	-	-	-	-	(1,582)
Data Processing	3,468	-	-	-	-	-	3,468
Publicity and Publications	(527)	-	-	-	-	-	(527)
Professional Services	(77,329)	-	-	-	-	-	(77,329)
Employee Recruitment and Develop	-	-	-	-	-	-	-
Dues and Subscriptions	(527)	-	-	-	-	-	(527)
Facilities Rental and Taxes	3,463	-	-	-	-	-	3,463
Other Services and Supplies	(7,495)	-	-	-	-	-	(7,495)
Expendable Prop 250 - 5000	(2,532)	-	-	-	-	-	(2,532)
Total Services & Supplies	**($89,574)**	-	-	-	-	-	**($89,574)**
Total Expenditures							
Total Expenditures	(89,574)	-	-	-	-	-	(89,574)
Total Expenditures	**($89,574)**	-	-	-	-	-	**($89,574)**

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 060 - Technical Adjustments

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 103 - IT Services

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	30,038	-	-	-	-	-	30,038
Fines and Forfeitures	-	-	-	-	-	-	-
Total Revenues	**$30,038**	-	-	-	-	-	**$30,038**
Services & Supplies							
IT Professional Services	30,038	-	-	-	-	-	30,038
Total Services & Supplies	**$30,038**	-	-	-	-	-	**$30,038**
Total Expenditures							
Total Expenditures	30,038	-	-	-	-	-	30,038
Total Expenditures	**$30,038**	-	-	-	-	-	**$30,038**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 105 - OPG Progam Expansion

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
General Fund Appropriation	3,053,585	-	-	-	-	-	3,053,585
Total Revenues	**$3,053,585**	-	-	-	-	-	**$3,053,585**
Personal Services							
Class/Unclass Sal. and Per Diem	1,097,520	-	-	-	-	-	1,097,520
Empl. Rel. Bd. Assessments	627	-	-	-	-	-	627
Public Employees' Retire Cont	199,435	-	-	-	-	-	199,435
Social Security Taxes	83,961	-	-	-	-	-	83,961
Worker's Comp. Assess. (WCD)	759	-	-	-	-	-	759
Mass Transit Tax	6,081	-	-	-	-	-	6,081
Flexible Benefits	366,696	-	-	-	-	-	366,696
Total Personal Services	**$1,755,079**	-	-	-	-	-	**$1,755,079**
Services & Supplies							
Instate Travel	125,000	-	-	-	-	-	125,000
Employee Training	15,315	-	-	-	-	-	15,315
Office Expenses	11,706	-	-	-	-	-	11,706
Telecommunications	50,028	-	-	-	-	-	50,028
Data Processing	3,324	-	-	-	-	-	3,324
Publicity and Publications	2,214	-	-	-	-	-	2,214
Professional Services	184,875	-	-	-	-	-	184,875
IT Professional Services	92,310	-	-	-	-	-	92,310
Attorney General	622,110	-	-	-	-	-	622,110
Employee Recruitment and Develop	1,899	-	-	-	-	-	1,899

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Long Term Care Ombudsman
Pkg: 105 - OPG Progam Expansion

Cross Reference Name: Public Guardian and Conservator Program
Cross Reference Number: 11400-020-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Dues and Subscriptions	2,214	-	-	-	-	-	2,214
Facilities Rental and Taxes	100,947	-	-	-	-	-	100,947
Agency Program Related S and S	23,909	-	-	-	-	-	23,909
Intra-agency Charges	29,259	-	-	-	-	-	29,259
Other Services and Supplies	21,993	-	-	-	-	-	21,993
Expendable Prop 250 - 5000	11,403	-	-	-	-	-	11,403
Total Services & Supplies	**$1,298,506**	-	-	-	-	-	**$1,298,506**
Total Expenditures							
Total Expenditures	3,053,585	-	-	-	-	-	3,053,585
Total Expenditures	**$3,053,585**	-	-	-	-	-	**$3,053,585**
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-
Total Positions							
Total Positions							11
Total Positions	-	-	-	-	-	-	**11**
Total FTE							
Total FTE							11.00
Total FTE	-	-	-	-	-	-	**11.00**

Revenues - Revenue Forecast Narrative

Here is a detailed review of the revenue forecast for the Office Long-Term Care Ombudsman including all three program areas:

OLTCO Revenue Forecast		
	2015-17	2017-19
	LAB	ARB
General Funds	$6,172,203	$11,185,601
Other Funds	$ 728,334	$ 896,835
Total Funds	$6,900,537	$12,082,436

Other Funds are Medicaid funds provided through the provisions of the US Older Americans Act, as amended, as well as Quality of Care Funds. These funds are received by DHS and passed through to LTCO to partially fund the Long-Term Care Ombudsman and RFO programs. All other operations are funded with General Funds. The Agency does not receive any Lottery or Federal Funds, has no fee, license, or assessment income, and does not have any other revenue sources.

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Long Term Care Ombudsman
2017-19 Biennium

Agency Number: 11400
Cross Reference Number: 11400-010-00-00-00000

Source	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Leg Approved Budget	2017-19 Agency Request Budget	2017-19 Governor's Budget	2017-19 Leg Adopted Budget
Other Funds						
Fines and Forfeitures	-	90,000	90,000	169,000	-	-
Tsfr From Human Svcs, Dept of	715,965	638,334	638,334	633,028	-	-
Total Other Funds	**$715,965**	**$728,334**	**$728,334**	**$802,028**	**-**	**-**

Capital Budgeting

The Office of the Long-Term Care Ombudsman budget for 2017 – 2019 does not include any capital budget items and no major capital items are expected in the six-year forecast (pursuant to ORS 276.229 and 291.216(11)). The Agency rents its offices in a commercial office space in northeast Salem and the rent is full service - - facilities maintenance costs are included in the rent.

Special Reports

The Office of the Long-Term Care Ombudsman has no Information Technology related Projects or Initiatives planned for the 17-19 biennium.

The Office of the Long-Term Care Ombudsman has no Secretary of State or Joint Legislative Audits and therefore, no Audit Response Reports.

The Agency's Affirmative Action /Diversity Inclusion Plan is available at the agency office and is currently under both review and revision. It is shared with staff and, when revised, will be distributed and discussed again.

During the 15-17 biennium the agency has hired twelve staff members via staff turn over and program expansion. This has provided the opportunity to continue the practice of recruiting qualified and diverse applicants including women, people of color, people with disabilities and people from other protected classes. Of the twelve individuals hired, ten were women, bringing the female workforce within the OLTCO at 74 percent. The current work force includes people of color and other protected classes as well. Age diversity has grown as well, increasing from representation in four decades to now spanning five decades.

LONG-TERM CARE OMBUDSMAN, Office of

Annual Performance Progress Report (APPR) for Fiscal Year (2014-2015)

Original Submission Date: 2015

Finalize Date: 12/29/2015

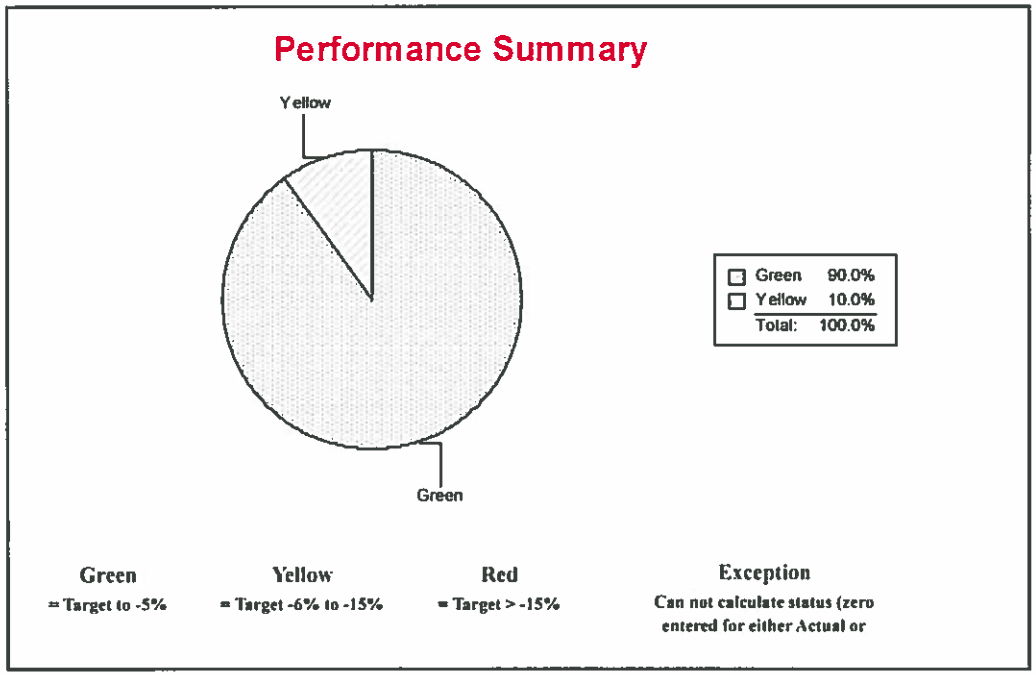
2014-2015 KPM #	2014-2015 Approved Key Performance Measures (KPMs)
1	Percentage of non-referred complaints where action is needed that are partially or fully resolved.
2	Average initial response time to non-referred cases.
3	Average time to close non-referred cases.
4	Percentage of nursing facilities visited at least once annually.
5	Percentage of assisted living and residential care facilities visited at least once annually.
6	Percentage of adult foster care homes visited at least once annually.
7	Number of requests for assistance from consumers, the public, facility staff and agencies.
8	Participation in system-wide advocacy meetings at the local, regional, state and national levels.
9	Total number of certified ombudsmen volunteer hours annually.
10	Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.

New Delete	Proposed Key Performance Measures (KPM's) for Biennium 2015-2017
	Title: **Rationale:**

LONG-TERM CARE OMBUDSMAN, Office of	I. EXECUTIVE SUMMARY

Agency Mission: To Enhance The Quality Of Life, Improve The Level Of Care, Protect The Rights Of The Individual And Promote The Dignity Of Each Oregon Citizen Living In A Nursing Facility, Residential Care Facility, Assisted Living Facility Or Adult Foster Care Home.

Contact:	Fred Steele	**Contact Phone:**	503-378-6533
Alternate:	Rebecca Fetters	**Alternate Phone:**	503-378-6533



1. SCOPE OF REPORT

The Agency's performance measures reflect essential services including: identifying, investigating and resolving complaints made by, or on behalf of, Oregon's licensed long-term care facility residents and monitoring the implementation of federal, state and other applicable local laws, rules and policies that impact the residents we serve. The agency uses the data it collects in system advocacy for quality improvement and to assure residents' rights in licensed long-term care facilities. The scope of this report does not include the two recently added programs to the Office of the Long-Term Care Ombudsman: the Oregon Public Guardian and Conservator program and the Residential Facilities Ombudsman program. During the reporting year reflected by this report, these new programs

have been in development. KPMs for each of these programs will be developed for future reporting years.

2. THE OREGON CONTEXT

The Agency serves both a consumer protection and a quality assurance function for the vulnerable population of 44,800 potential residents living in licensed Skilled Nursing Facilities (SNF), Assisted Living Faciliteis (ALF), Residential Care Facilities (RCF), and Adult Foster Homes (AFH). In addition to the thousands of complaints it investigates and resolves, the Agency refers complaints to Adult Protective Services (APS), Licensing, and other agencies for further investigation, action and resolution. All agency data is used by legislative and statewide work groups to advocate for and enhance the quality of care in Oregon licensed long-term care facilities and settings.

3. PERFORMANCE SUMMARY

The Agency documented a total of 6,043 requests for assistance from licensed long-term care facility consumers, the public, facility staff, state and federal agencies, other health care professionals and the media. This number is once again greater than the 5,000 target, and larger than the previous year's total. Facility visitation, essential as the primary means by which facility residents access the services of the Agency, is measured by annual visits. Volunteers and staff visited 96% of RCF's and ALF's (target 90%), 78% of AFH's (target 50%) and 99% of the SNF's (target 100%). The improved AFH number is due to the efforts of our volunteers. The Agency partially or fully resolved 93% of complaints where action was needed. The overall customer satisfaction rating was 84%, just slightly below the 85% target. The average initial response to an identified concern took 1.86 days with the target being 2 days. In 2015, it took the Agency an average of 29 days to close non-referred cases.

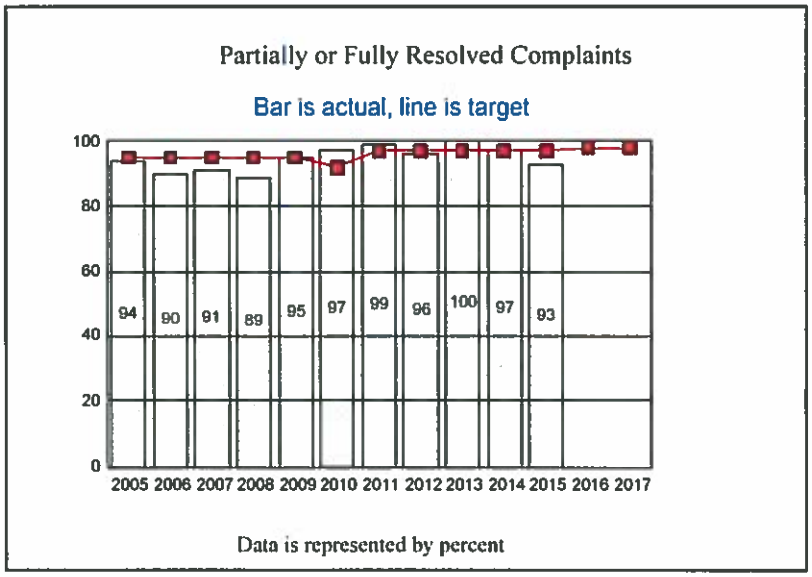
4. CHALLENGES

To fully accomplish our mission the Agency needs more than 275 volunteer ombudsmen assigned to serve the 44,800 potential residents of licensed long-term care facilities. The current number of volunteers falls approximately 100 short of that. Recruitment and retention remain primary focus's of the agency as the efforts of our volunteers are the foundation upon which our services rely.To truly and effectively coach and mentor the needed volunteers and assure that they are providing advocacy and documentation at prescribed standards, the Agency would need at least 3 additional full-time Deputy Ombudsmen.

5. RESOURCES AND EFFICIENCY

Agency expenditures for FFY 2015 were $1,146,387 General Funds and $216,651 Other Funds. The volunteer workforce consists of: Certified Ombudsmen, Recruitment and Screening Committee members, Legislative Advocacy Volunteers and Administrative and Executive Volunteers. Certified Ombudsmen Volunteers made 13,137 documented visits, to all levels of licensed long-term care facilities during the year. They reported 27,160 hours of documented activity. The value of this time donated to the State of Oregon is valued at over $600,000 ($626,581.) This estimate is based on $23.07 per hour, a rate established by the Independent Sector, and does not include the overhead or benefit costs generally associated with paid staff.

KPM #1	Percentage of non-referred complaints where action is needed that are partially or fully resolved.	2005
Goal	Goal #1: Identify, investigate and resolve complaints made by or on behalf of residents of long-term care facilities.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	From closed, non referred, case reports submitted by volunteers and staff.	
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	



1. OUR STRATEGY

This measure contributes to the Agency's mission to improve the level of care and enhance the quality of life for Oregon's long-term care residents. To meet the goal of resolving or partially resolving all non-referred complaints, Ombudsmen Volunteers are expected to thoroughly exhaust all avenues available to them

to do so and to identify barriers to doing so. Resolution may require multiple attempts at resolution with multiple parties, always at the consent of, and in consultation with, the resident.

2. ABOUT THE TARGETS

The Agency strives to bring about positive changes for licensed long-term care residents, which would be reflected by an outcome of full or partial resolution. This measure reflects only those complaints that the Certified Ombudsmen Volunteers and paid staff worked to resolve. This measure excludes complaints which are referred to another Agency for action such as licensing or Adult Protective Services.

3. HOW WE ARE DOING

In 2015, 93% of the non-referred complaints that required action were partially or fully resolved.

4. HOW WE COMPARE

The most recent national data published by the Administration for Community Living (ACL) was for Federal Fiscal Year 2013 and thus a real time comparison is not available. Oregon's percentage of resolved complaints is 93% which is slightly down from prior year results.

5. FACTORS AFFECTING RESULTS

The ability to fully or partially resolve a case to the satisfaction of the resident can be impacted by many factors: the availability of volunteers able to be consistently assigned to a facility, the consistency of administration or staff in a facility with which the volunteer or deputies may work to resolve issues, and the availability of other partners such as licensing with which the volunteer or deputies may work to resolve issues.

6. WHAT NEEDS TO BE DONE

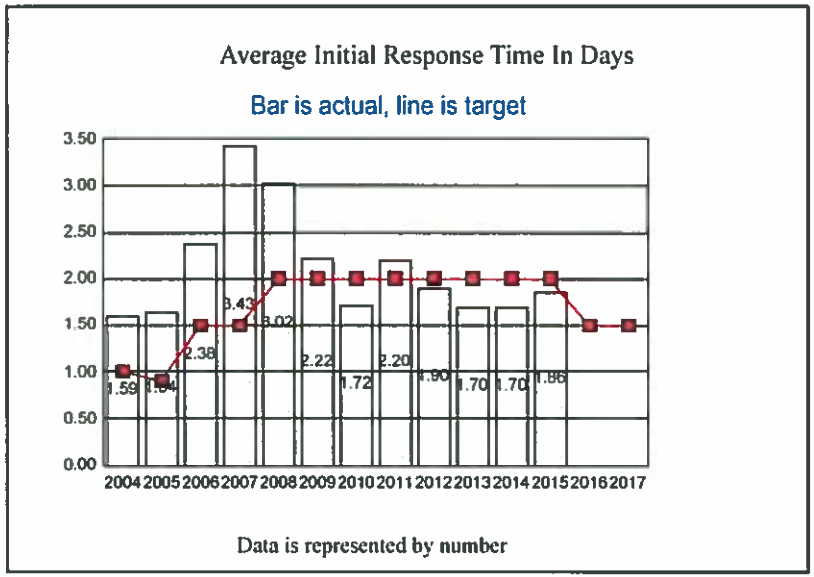
The biggest challenge to the Agency continues to be the need to increase the number of citizen volunteers assigned to licensed long-term care facilities across the state. The frequent and consistent presence of volunteers in a facility leads to higher levels of trust amongst all involved parties and stronger working relationships, eventually leading to a greater likelihood for improved outcomes. In addition, stronger partnerships with other agencies who are positioned to impact positive change on the behalf of residents could drive improved outcomes. Such agencies would include Adult Protective Services, licensing agencies, professional oversight agencies, Medicaid Fraud, and others. Lastly, greater analysis of the data within the LTCO office to determine which cases are most

frequently experiencing less than desireable outcomes may increase performance on this measure. If there is an identifiable trend in case type, targeted efforts can then be taken to develop policy and additional training curriculum for staff and volunteers.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL). In addition, the introduction of electronic case and activity reporting in mid 2010 has significantly improved both the timeliness and accuracy of the Agency data.

KPM #2	Average initial response time to non-referred cases.	2003
Goal	Goal #1: Identify, investigate and resolve complaints made by or on behalf of residents of long-term care facilities.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	From case reports submitted by volunteers and staff.	
Owner	Office of the Long Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	



1. OUR STRATEGY

A timely initial response is critical in accurately identifying, investigating and resolving concerns/complaints made to the Agency by or on behalf of residents in licensed long-term care facilities. In order to meet the goal of response within two days, the expectation of a timely response is communicated throughout the

year to all paid and volunteer staff via training, continuing education, monthly meetings, and other informal communications as needed.In addition the ongoing and increased ability to effectively respond to complaints via 1-800 number and e-mail has made response to some issues almost instantaneous.

2. ABOUT THE TARGETS

Many of the individuals who contact our Agency for assistance have been unsuccessful at solving the problem on their own and feel a sense of urgency in getting an issue resolved. Recognizing the importance to the residents, our Agency strives to respond and resolve problems quickly, which is reflected in a lower response time.

3. HOW WE ARE DOING

The 1.86 days average response time is well within the target of 2.00 days.

4. HOW WE COMPARE

This data is not available on a national level, but is consistent with prior years performance.

5. FACTORS AFFECTING RESULTS

These results are impacted by the number of Certified Ombudsman Volunteers available, as they can respond to requests for local assistance far more quickly than paid staff. It is also impacted by the number of paid staff available to respond to the incoming calls on the 1-800 complaint line. It is the current practice to assure that a Deputy on Duty is assigned to this function at all times, however this does impact the ability of paid deputies to work with volunteers on cases or to address cases directly in person.

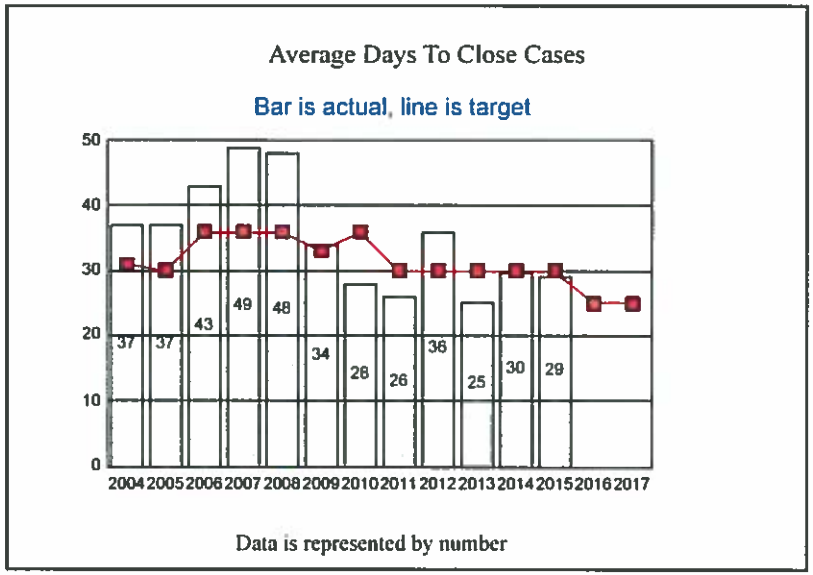
6. WHAT NEEDS TO BE DONE

The highest priority of the Agency continues to be recruiting, training and supporting volunteers across the state with a particular focus at this time on rural or underserved areas. The more volunteers, the greater our reach into all levels of care, maximizing the General Fund dollars granted to us. The Agency's ability to accomplish this is restricted by the number of paid staff available to recruit, train, mentor and work with volunteers.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL). In addition, the introduction of electronic case and activity reporting in mid 2010 has significantly improved both the timeliness and accuracy of the Agency data.

KPM #3	Average time to close non-referred cases.	2003
Goal	Goal #1: Identify, investigate and resolve complaints made by or on behalf of residents of licensed long-term care facilities.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	From case reports submitted by volunteers and staff.	
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	



1. OUR STRATEGY

The timely completion or closure of cases contributes to the Agency goal to identify, investigate and resolve complaints made by or on behalf of residents of long-term care facilities. Paid staff work with volunteers monthly to identify cases that remain open and the reason that the cases have not yet been resolved or

have not yet been documented in a written report.

2. ABOUT THE TARGETS

The less time it takes to complete a case, the faster the service to the resident. Many of the individuals who contact this Agency for assistance have been unsuccessful at solving the problem on their own and feel a sense of urgency to get an issue resolved. Recognizing the importance to the residents, the Agency strives to respond and resolve problems as quickly as possible. This, however, has to be balanced with a thorough and complete exhaustion of avenues to resolution. If a complaint is not yet resolved, it should not be closed simply for the purposes of shortening timeframes.

3. HOW WE ARE DOING

The Agency case closure time was 29 days.

4. HOW WE COMPARE

This data is not available on a national basis, but is consistent with performance over the past six years.

5. FACTORS AFFECTING RESULTS

As with previous measures, this one is impacted by the Agency's ability to recruit and train new Certified Ombudsman Volunteers as well as mentor them once they are on board. The better trained and more comfortable a volunteer is, and the more access they have to their assigned paid Deputy, the more efficient and timely they can be in resolving complaints as well as completing the necessary paperwork to close the case. With the relatively new paperless reporting system, the time to close cases decreased. However, it takes adequate training and mentoring for this system to be used effectively and accurately. Lastly, case complexity can impact timeliness of completion. In practice, the cases involving multiple agency interactions are generally handled by experienced Deputy State Long-Term Care Ombudsmen.

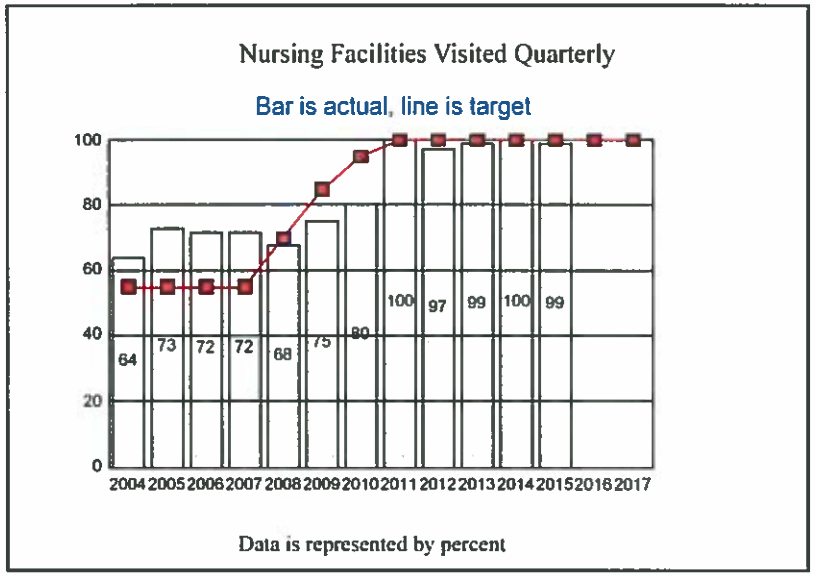
6. WHAT NEEDS TO BE DONE

The Agency continues to emphasize reducing case closing times through in person and online training. In addition, paid deputies review open cases with their volunteers on a monthly basis and attempt to identify those that can be closed or the next steps that need to be taken to facilitate closure.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL). In addition, the introduction of electronic case and activity reporting in mid 2010 has significantly improved both the timeliness and accuracy of the Agency data.

KPM #4	Percentage of nursing facilities visited at least once annually.	2003
Goal	Goal #2: Establish a routine presence in long-term care facilities using a cadre of trained program volunteers.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	Monthly activity reports submitted by volunteers and staff.	
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	



1. OUR STRATEGY

Regular facility visitation by Certified Ombudsman Volunteers and Deputy State Long-Term Care Ombudsmen is the primary method for delivering the Agency's services to Oregon's long-term care residents. Whenever possible, a nursing facility is assigned a specific volunteer that has established visitation

expectations.In addition a list of all facilities that have not been visited is printed quarterly. This report is distributed to paid deputies so that they may visit the facility or coordinate and prioritize the limited volunteer resources to do so.

2. ABOUT THE TARGETS

The higher the percentage of facilities receiving annual visits, the greater the number of residents having access to ombudsman services. For purposes of Federal oversight and reporting, the Agency also tracks the number of facilities receiving a visit at least quarterly.

3. HOW WE ARE DOING

Program representatives visited 99% of Oregon's Skilled Nursing Facilities (SNF's) in FFY 2015.

4. HOW WE COMPARE

This information is not available on an annual basis nationwide. While LTCO has been able to visit 100% of all NF in two prior reporting cycles, 99% is actually a higher level of performance than most prior years.

5. FACTORS AFFECTING RESULTS

Facility visitation frequency and numbers are only limited by the number of program volunteers and staff. Currently these are not adequate to visit 100% of facilities.

6. WHAT NEEDS TO BE DONE

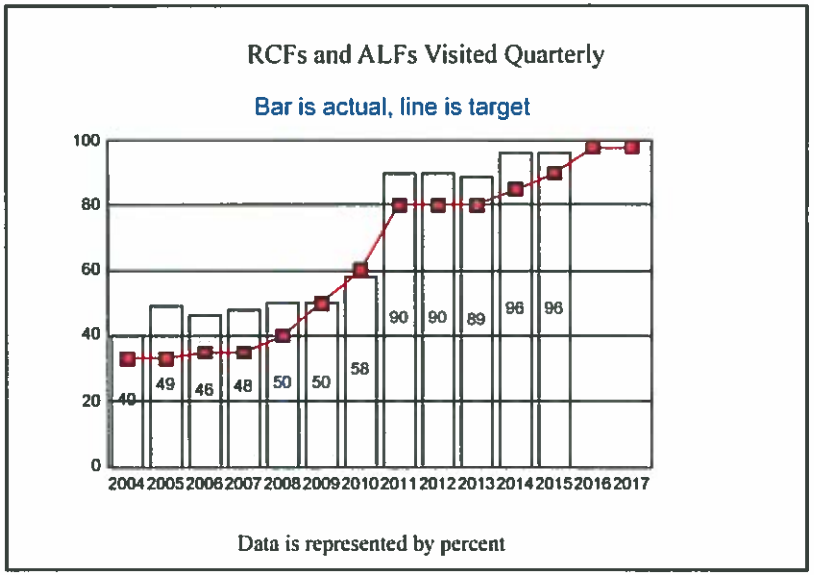
Since there is a direct link between measure performance and staff and volunteer availability, every effort must be made to increase volunteer numbers and availability. A written, quantifiable, targeted recruitment plan is being developed and implemented in the coming year to drive this effort.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed

for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL). In addition, the introduction of electronic case and activity reporting in mid 2010 has significantly improved both the timeliness and accuracy of the Agency data.

KPM #5	Percentage of assisted living and residential care facilities visited at least once annually.	2003
Goal	Goal #2: Establish a routine presence in long-term care facilities using a cadre of trained program volunteers.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	Monthly activity reports submitted by volunteers and staff.	
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	



1. OUR STRATEGY

Regular facility visitation by Certified Ombudsman Volunteers and Deputy State Long-Term Care Ombudsmen is the primary method of delivering the Agency's services to Oregon's long-term care residents. Paid deputies coordinate the efforts of volunteer staff to visit as many facilities as possible. Whenever

feasible a specific volunteer is assigned to each individual facility and is reponsible for routine and frequent visits. A list of facilities that have not been visited is printed and provided quarterly to staff so that limited volunteer hours and resource may be prioritized and coordinated for maximum benefit.

2. ABOUT THE TARGETS

The higher the percentage of facilities receiving visits, the greater the number of residents having access to ombudsman services. For purposes of Federal oversight and reporting, the Agency tracks the number of facilities receiving a visit at least quarterly.

3. HOW WE ARE DOING

Program representatives visited 96% of Oregon's licensed Assisted Living (ALFs) and Residential Care (RCFs) facilities at least once during FFY 2015. This result surpassed the goal of 90% annually.

4. HOW WE COMPARE

This information is not available on a national level. However, this year's performance is tied with last year for the highest since measures have been recorded.

5. FACTORS AFFECTING RESULTS

Visits are limited only by the number of Certified Ombudsman Volunteers and staff. The agency strives to visit as many settings as possible given the limited volunteer and paid resources available.

6. WHAT NEEDS TO BE DONE

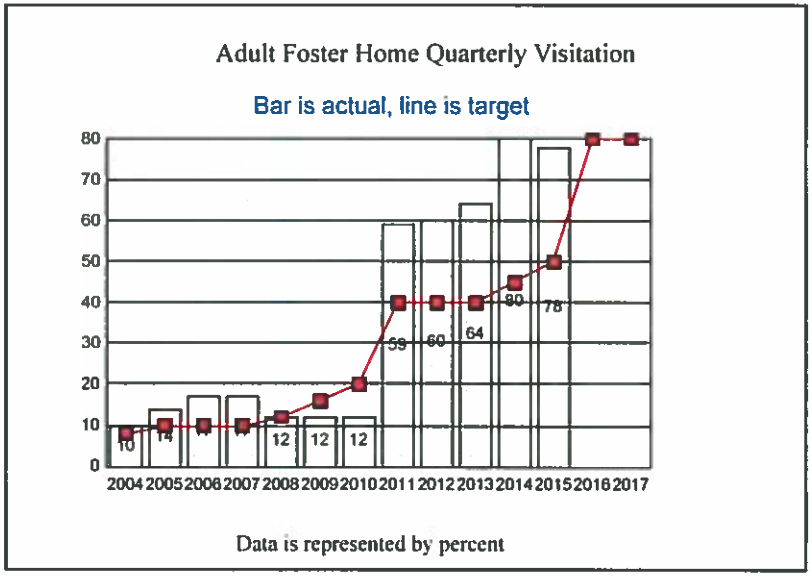
To achieve Agency goals, we will continue to recruit, train and supervise as many volunteers as possible. Recruitment efforts will be targeted to geographical areas most in need and where training for interested volunteers is readily available. A written, quantifiable, targeted recruitment plan is being developed and implemented in the coming year to drive this effort.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen

Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL). In addition, the introduction of electronic case and activity reporting in mid 2010 has significantly improved both the timeliness and accuracy of the Agency data.

KPM #6	Percentage of adult foster care homes visited at least once annually.	2003
Goal	Goal #2: Establish a routine presence in long-term care facilities using a cadre of trained program volunteers.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	Monthly activity reports submitted by volunteers and staff.	
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	



1. OUR STRATEGY

Regular facility visitation by volunteers and Deputy State Long-Term Care Ombudsmen is the primary method of delivering the Agency's services to Oregon's long-term care residents. Due to the sheer numbers of adult foster homes as compared to the number of available staff and volunteers, LTCO strives to visit

each home at least once. A list is produced quarterly advising staff as to which homes require visits. Limited volunteer resources are then prioritized and coordinated for maximum efficiency.

2. ABOUT THE TARGETS

The higher the percentage of facilities receiving visits at least annually, the greater the number of residents having access to Certified Ombudsman Volunteer ombudsman services. The targets are set based on the number of volunteers and staff available.

3. HOW WE ARE DOING

Program representatives visited 78% of Oregon's adult foster homes at least once this period. This again dramatically surpassed the target of 50% for this measure.

4. HOW WE COMPARE

National data collected by the Federal Administration for Community Living combines all community-based care facilities together, including adult foster care homes. Therefore no current applicable data is available. However, this year's performance is exceeded by only one prior year (2014).

5. FACTORS AFFECTING RESULTS

Visitation is solely limited by the number of Deputy State Long-Term Care Ombudsmen, Certified Ombudsman Volunteers available to visit the large number of adult foster homes (over 1,700 statewide).

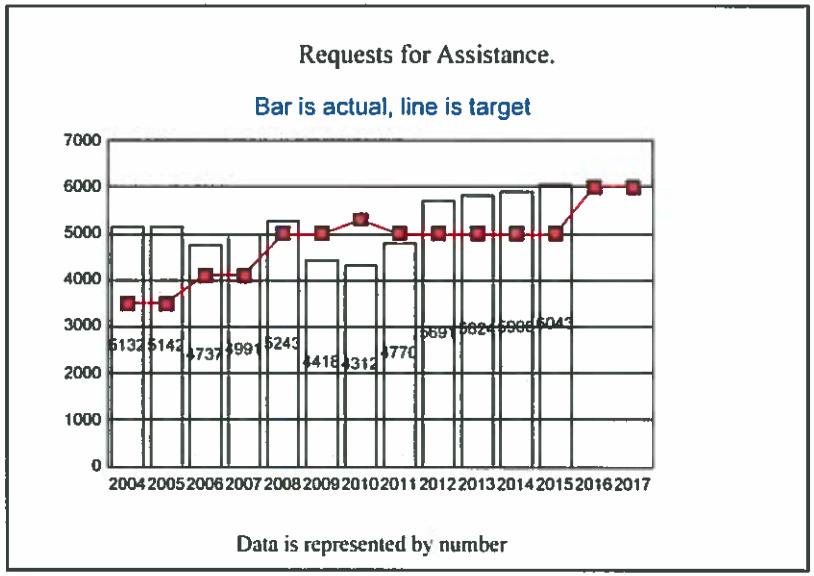
6. WHAT NEEDS TO BE DONE

By adjusting the frequency of foster home visits, we expanded our coverage to AFH facilities significantly across the state. We plan to continue this approach as well as to make every attempt to increase numbers of volunteers. A written, quantifiable, targeted recruitment plan is being developed and implemented in the coming year to drive this effort.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL). In addition, the introdution of electronic case and activity reporting in mid 2010 has significanlty improved both the timeliness and accuracy of the Agency data.

KPM #7	Number of requests for assistance from consumers, the public, facility staff and agencies.	2003
Goal	Goal #3: Ensure that consumers, the public, facility staff and agencies are aware of the Ombudsman program and its services.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	From case and activity reports submitted by volunteers and staff.	
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	



1. OUR STRATEGY

Increasing awareness of the Agency and our services to vulnerable Oregonians helps assure that residents, families and the public know to call our Agency when they have questions or concerns regarding residents in long-term care facilities. Whenever possibler, a volunteer Ombudsman is assigned to facility. They

visit regularly and assure that residents are aware of the program and that the volunteer is avialable to advocate upon their behalf. All facilities with an assigned volunteer have a poster with the volunteer's name and direct phone contact information. Facilities without an assigned volunteer have a poster with our general toll-free 800 number. The Agency utilizes newspaper articles, participates in health fairs and other community events, distributes brochures and takes other steps to increase public awareness of the program, including participating in various online volunteer recruitment sites. The Agency's website is another key method of reaching the public. In addition, articles are placed in local newspapers about individual volunteers when they are certified and recognizing service years. In addition, the agency director participates in a wide variey of long-term committees and legislatively appointed task forces which reinforces the agency's mission and makes it more visible.

2. ABOUT THE TARGETS

An increase in contacts indicates that individuals, their families and other agencies and programs are aware of the program and are accessing our services.

3. HOW WE ARE DOING

In FFY 2015, there were 6,043 documented requests for assistance.

4. HOW WE COMPARE

This information is not available nationally, but within Oregon 2015 marks the highest volume of documented requests for assistance over the past 11 years.

5. FACTORS AFFECTING RESULTS

Residents may be limted in their ability to request assistance by the lack of a volunteer assigned to their building or by lack of awareness of the program, despite the posted information. To that end, an increase in volunteers that can dedicate their time to a specific building would likely increase awareness and requests for assistance. Residents may also be reluctant to request assistance due to fear of relaliation or retribution. Again, a dedicated volunteer can take the time to explain the possibility of remaining anonymous and the rules and regulation prohibiting retaliation.

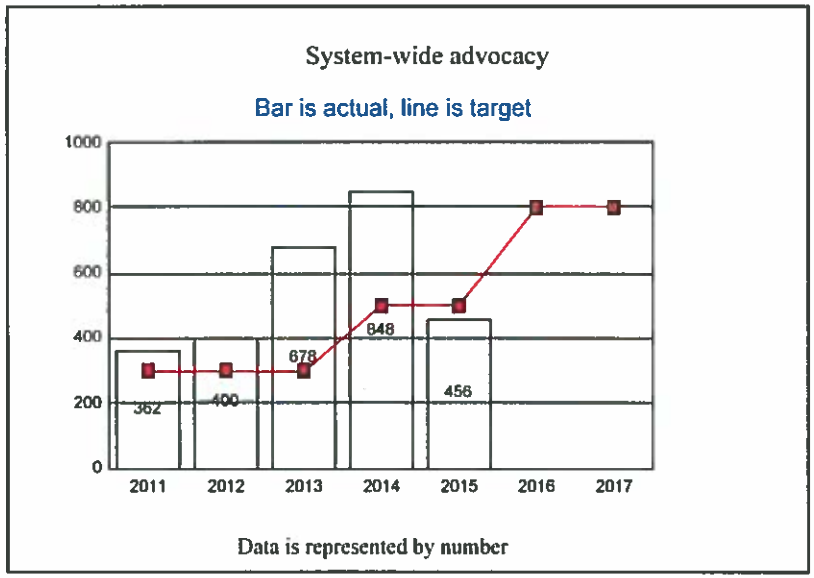
6. WHAT NEEDS TO BE DONE

The Agency will continue its efforts to increase public awareness and transparency of the program with the goal of increasing volunteers statewide, as well as raising awareness of the 800 number for consumers and residents to call. Many complaints now also arrive at the Agency via email.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL). In addition, the introduction of electronic case and activity reporting in mid 2010 has significantly improved both the timeliness and accuracy of the Agency data.

KPM #8	Participation in system-wide advocacy meetings at the local, regional, state and national levels.
Goal	Ensure participation and representation by LTCO in Advocacy meetings at local and national levels.
Oregon Context	State enabling legislation: ORS 441.100-153.
Data Source	From activity reports submitted by volunteers and staff.
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.



1. OUR STRATEGY

Historically, the State Long-Term Care Ombudsman was responsible for all system advocacy for the agency. By including staff and a wide variety of volunteers in this measure, our Federal and State mandates for system advocacy will continue to have a broader reach across Oregon and result in positive

system changes.

2. ABOUT THE TARGETS

By seeking out and participating in specific advocacy venues, staff and volunteers continue to expand the Agency's input into systemic long-term care issues.

3. HOW WE ARE DOING

The agency documented participation in 456 events regarding the program and mission. By emphasizing participation at all levels and and across all state regions, we hope to impact systemic statewide long-term care and quality issues. This year's number falls slightly short of the goal of 500. This is primarily a reflection of the Agency directorship as well as paid Deputy positions being vacant or filled temporarily for a large portion of the reporting year.

4. HOW WE COMPARE

This data is not available.

5. FACTORS AFFECTING RESULTS

Documentation related to this measure is generally not automated and volunteers and staff need to have increased awareness of the importance of manuallly documenting their system advocacy efforts.

6. WHAT NEEDS TO BE DONE

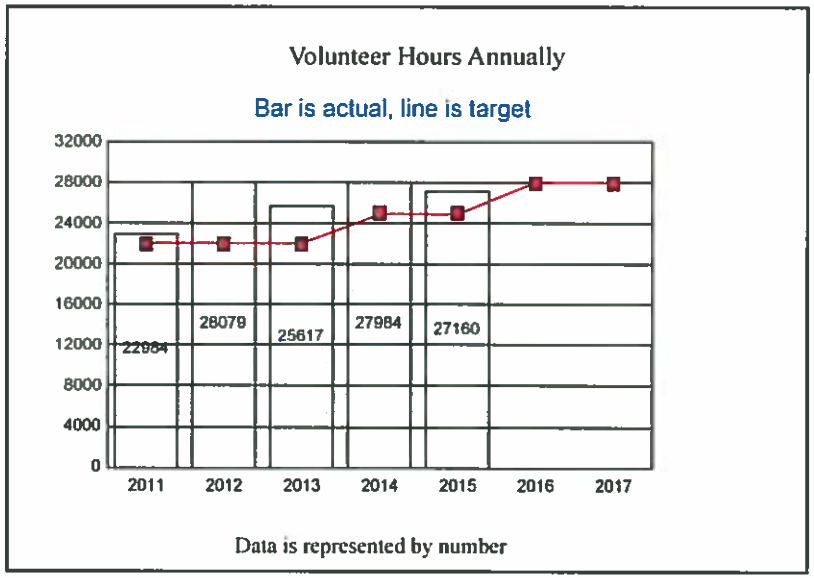
Management anticipates that increased participation by volunteers and staff at the local, regional and state levels will have a positive impact on quality of care in Oregon's licensed long term-care facilities. It is important for all staff and volunteers to identify areas of opportunity for system advocacy, secure participation in those opportunities, and then honor and document that committment.

7. ABOUT THE DATA

This data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff; including our Long-Term Care Advisory Committee members. The reports of the volunteers are reviewed by their supervising Deputy

before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL).

KPM #9	Total number of certified ombudsmen volunteer hours annually.
Goal	Ensure documentation of completed volunteer hours.
Oregon Context	State enabling legislation: ORS 441.100-153.
Data Source	From activity reports submitted by volunteers and staff.
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.



1. OUR STRATEGY

The best return on Oregon's investment in our Agency is the volunteer to staff ratio. One paid FTE Deputy Ombudsman can supervise 20 to 40 trained volunteers, increasing our coverage of facilities across the state exponentially. Therefore, the Agency will focus on maintaining our recruiting, training and

retention strategies to maximize total volunteer hours contributed.

2. ABOUT THE TARGETS

Paid agency staff cannot begin to cover all of the licensed beds in Oregon. By utilizing volunteers in all parts of the state, more residents' concerns can be addressed. The average ratio of volunteers is currently 28.5 per paid Deputy State Long-Term Care Ombudsman. Since volunteers vary in the number of hours they are able to contribute annually, the focus on total hours, rather than the actual number of volunteers, allows the Agency to better track growth and consistency over time.

3. HOW WE ARE DOING

Volunteers completed 27,160 hours of service in FFY 2015. We once again exceeded the target of 25,000 hours donated by volunteers.

4. HOW WE COMPARE

Compared to National Long-Term Care Ombudsman data, Oregon's program continues to rank among the highest number of volunteers per paid staff in the country.

5. FACTORS AFFECTING RESULTS

Recruitment, retention, and supervision of volunteers are all factors impacting this measure. The number of supervised volunteers is directly linked to the number of paid deputy ombudsmen. Currently staff is close to supervision capacity in many regions of the state. Furthermore, without paid deputy ombudsmen coaching and encouragment, volunteers will likely become discouraged and leave our program. We are attentive to volunteer retention strategies, particularly because of the demographics of our volunteers.

6. WHAT NEEDS TO BE DONE

Agency will continue to recruit, train and supervise as many volunteers as possible. We will also continue vigilance around volunteer retention.

7. ABOUT THE DATA

The data is from Federal Fiscal Year 2015 (October 2014-September 2015), collected from case and activity reports submitted by Certified Ombudsmen Volunteers and staff. The reports of the volunteers are reviewed by their supervising Deputy before submission for data entry, where all reports are reviewed for technical accuracy before being entered. The data files are checked periodically for accuracy, and at the end of the year all data is further validated in the development of this report, and a similar report, for the Federal Administration for Community Living (ACL).

KPM #10	Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	2006
Goal	To achieve excellent customer satisfaction.	
Oregon Context	Federal legislation: Section 307 (a) (12) and Section 712 of the Older Americans Act, as amended. State enabling legislation: ORS 441.100-153.	
Data Source	Survey of customers who contacted the Agency for assistance.	
Owner	Office of the Long-Term Care Ombudsman, Fred Steele, Director, 503-378-6533.	

1. OUR STRATEGY

LTCO strives to provide excellent customer service to facility residents. Satisfaction is generally closely related to successful resolution, a prior measure in this report, but is also linked to the approach and professionalism of the volunteer or deputy with which the individual interacts, the timeliness of their response, the thoroughness of their communication with the concerned individual, and the level of follow up that occurs. We attempt to stress these factors to staff and

volunteers in trainings, monthly meetings, and case by case technical assistance and coaching.

2. ABOUT THE TARGETS

Higher percentages could reflect better perception of service by customers. However, our biggest challenge is actually getting feedback from extremely vulnerable seniors who often do not have the capacity or access for responding to questions and surveys.

3. HOW WE ARE DOING

84% of customers rated the Agency's overall customer service as good or excellent. The highest rated categories were timeliness, helpfulness and knowledge. These are followed by accuracy and availability.

4. HOW WE COMPARE

The rating for the Agency's overall customer service was 84%. Comparable data from other agencies is not available.

5. FACTORS AFFECTING RESULTS

The sample for the survey was selected from the customers who directly contacted the Salem office for assistance. This subset is more likely to be available for a telephone survey, and because it is not dependent on reports coming in from the volunteers from the field, the Agency has good control of the sample. However, this method is not entirely satisfactory, because for the most part, an elderly resident can be difficult to survey either in person or through other methods. Long-term care facility residents typically initiate services directly with the local Certified Ombudsman Volunteer assigned to their facility and have the benefit of regular and direct contact. Furthermore, it is the resident who the Agency is working to satisfy, not necessarily other callers, whose wishes can sometimes be different or contradictory, from those of the resident. For a number of respondents, the lower satisfaction with the availability of information was tied to difficulties they had in initially identifying the Agency as a source of help, and looking to our agency to solve or resolve questions beyond our statutory scope.The Agency relies on assistance from several overburdened state agencies and community organizations such as APS, Licensing and Legal Aid, for resolution of many issues, including resident complaints. Residents can become frustrated with our Agency due to the lengthy resolution timelines for complaints referred to other outside groups.

6. WHAT NEEDS TO BE DONE

The Agency continues to explore new avenues for making it easier to actually obtain customer satisfaction feedback via new tools and methods. The Agency also needs to further explore the responses that are less than satisfactory to identify areas in which efforts should be targeted to increase satisfaction.

7. ABOUT THE DATA

Our surveys are done throughout the year, closer to the closing of the case. Our QA data is statistically insignificant, due to the rate of return on surveys and the frail nature of those we assist under our mandate.

LONG-TERM CARE OMBUDSMAN, Office of	III. USING PERFORMANCE DATA
Agency Mission: To Enhance The Quality Of Life, Improve The Level Of Care, Protect The Rights Of The Individual And Promote The Dignity Of Each Oregon Citizen Living In A Nursing Facility, Residential Care Facility, Assisted Living Facility Or Adult Foster Care Home.	
Contact: Fred Steele	**Contact Phone:** 503-378-6533
Alternate: Rebecca Fetters	**Alternate Phone:** 503-378-6533

The following questions indicate how performance measures and data are used for management and accountability purposes.

1. INCLUSIVITY	*** Staff :** Agency staff primarily supervise Certified Ombudsman Volunteers. Their collective insights and input are used to continue the Agency mission and recruit/retain more volunteers across the state in accordance with our Oregon Statute and the Federal Older Americans Act. *** Elected Officials:** The appointed Residential Facilities Advisory Committee, formerly known as the Long-Term Care Advisory Committee which monitors the program for the Governor and the Legislature, produces an annual report regarding the state of long term care in Oregon and items related to long term care policy; the Agency communicates performance results as part of the budgetary process, and, upon request. The Agency also shares a progress report with Agency highlights with Legislators, community partners, and concerned citizens. *** Stakeholders:** The monthly meetings of the Residential Facilities Advisory Committee, formerly known as the Long-Term Care Advisory Committee served as the source of public and stakeholder involvement and input. The Committee follows Public Meetings Laws and posts both agendas and minutes on the Agency website. *** Citizens:** Because the meetings of the Residential Facilities Advisory Committee (RFAC) are public meetings, citizens are invited to attend and Public Meeting protocols are followed. Monthly meeting notices and minutes are posted on our website, per Public Meetings protocols and emailed to our communication list.
2 MANAGING FOR RESULTS	Data on key performance measures are reviewed quarterly. This information is used to positively impact Oregon policies regarding residents of licensed long-term care facilities. Since the implementation of performance measures, the staff review cases regularly, focusing on data tied to the measures. These reviews assure a timely and appropriate response to requests for assistance.
3 STAFF TRAINING	Performance measures are reviewed twice yearly and as needed with staff and are shared with the Residential Facilities Advisory Committee on a quarterly basis.

4 COMMUNICATING RESULTS	*** Staff :** The performance measures are reviewed with staff on a quarterly basis. In addition, progress on recruiting and training new volunteers is reviewed at each weekly staff meeting. *** Elected Officials:** The Residential Facilities Advisory Committee which monitors the program for the Governor and the Legislature, produces an annual report to the Legislature and Governor about agency performance, recommendations and aging related issues; the Agency communicates performance results about the budget process and upon request. The Agency will now share a brief progress report with Agency highlights with Legislators. *** Stakeholders:** The Agency communicates performance results through written reports and presentations, including reports to the Residential Facilities Advisory Committee. Program and agency information is routinely distributed to volunteers, agency staff, legislators and stakeholders in order to reinforce Agency progress and transparency. The Agency also distributes a quarterly report titled "Ombudsman Outcomes" to the legislature and public which highlights volunteer efforts to assure positive results for residents in licensed long-term care facilities. *** Citizens:** The performance measures and the annual report are posted on the Agency's website, along with other relevant agency information.

Long Term Care Ombudsman

Summary Cross Reference Listing and Packages | **Agency Number: 11400**

2017-19 Biennium | **BAM Analyst: Brickman, Tamara**

Budget Coordinator: Moreland, Katherine - (503)373-0741

Cross Reference Number	*Cross Reference Description*	*Package Number*	Priority	*Package Description*	*Package Group*
010-00-00-00000	Long Term Care Ombudsman	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
010-00-00-00000	Long Term Care Ombudsman	021	0	Phase - In	Essential Packages
010-00-00-00000	Long Term Care Ombudsman	022	0	Phase-out Pgm & One-time Costs	Essential Packages
010-00-00-00000	Long Term Care Ombudsman	031	0	Standard Inflation	Essential Packages
010-00-00-00000	Long Term Care Ombudsman	032	0	Above Standard Inflation	Essential Packages
010-00-00-00000	Long Term Care Ombudsman	060	0	Technical Adjustments	Essential Packages
010-00-00-00000	Long Term Care Ombudsman	080	0	May 2016 E-Board	Policy Packages
010-00-00-00000	Long Term Care Ombudsman	101	0	RFO Quality Care Fund Exception	Policy Packages
010-00-00-00000	Long Term Care Ombudsman	102	0	LFCO In State Travel	Policy Packages
010-00-00-00000	Long Term Care Ombudsman	103	0	IT Services	Policy Packages
010-00-00-00000	Long Term Care Ombudsman	104	0	LTCO Program Expansion	Policy Packages
020-00-00-00000	Public Guardian and Conservator Program	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
020-00-00-00000	Public Guardian and Conservator Program	021	0	Phase - In	Essential Packages
020-00-00-00000	Public Guardian and Conservator Program	022	0	Phase-out Pgm & One-time Costs	Essential Packages
020-00-00-00000	Public Guardian and Conservator Program	031	0	Standard Inflation	Essential Packages
020-00-00-00000	Public Guardian and Conservator Program	032	0	Above Standard Inflation	Essential Packages
020-00-00-00000	Public Guardian and Conservator Program	060	0	Technical Adjustments	Essential Packages
020-00-00-00000	Public Guardian and Conservator Program	080	0	May 2016 E-Board	Policy Packages
020-00-00-00000	Public Guardian and Conservator Program	103	0	IT Services	Policy Packages
020-00-00-00000	Public Guardian and Conservator Program	105	0	OPG Progam Expansion	Policy Packages

Long Term Care Ombudsman

Policy Package List by Priority
2017-19 Biennium

Agency Number: 11400
BAM Analyst: Brickman, Tamara
Budget Coordinator: Moreland, Katherine - (503)373-0741

Priority	*Policy Pkg Number*	*Policy Pkg Description*	*Summary Cross Reference Number*	*Cross Reference Description*
0	080	May 2016 E-Board	010-00-00-00000	Long Term Care Ombudsman
			020-00-00-00000	Public Guardian and Conservator Program
	101	RFO Quality Care Fund Exception	010-00-00-00000	Long Term Care Ombudsman
	102	LFCO In State Travel	010-00-00-00000	Long Term Care Ombudsman
	103	IT Services	010-00-00-00000	Long Term Care Ombudsman
			020-00-00-00000	Public Guardian and Conservator Program
	104	LTCO Program Expansion	010-00-00-00000	Long Term Care Ombudsman
	105	OPG Progam Expansion	020-00-00-00000	Public Guardian and Conservator Program

Agency Worksheet - Revenues & Expenditures
2017-19 Biennium
Long Term Care Ombudsman

Version: V - 01 - Agency Request Budget
Cross Reference Number: 11400-000-00-00-00000

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
BEGINNING BALANCE						
0025 Beginning Balance						
3400 Other Funds Ltd	80,517	25,000	-	25,000	226,642	226,642
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	3,784,880	6,172,203	131,435	6,303,638	6,790,937	6,960,132
FINES, RENTS AND ROYALTIES						
0505 Fines and Forfeitures						
3400 Other Funds Ltd	-	90,000	-	90,000	169,000	169,000
TRANSFERS IN						
1100 Tsfr From Human Svcs, Dept of						
3400 Other Funds Ltd	715,965	638,334	-	638,334	633,028	633,028
REVENUES						
8000 General Fund	3,784,880	6,172,203	131,435	6,303,638	6,790,937	6,960,132
3400 Other Funds Ltd	715,965	728,334	-	728,334	802,028	802,028
TOTAL REVENUES	**$4,500,845**	**$6,900,537**	**$131,435**	**$7,031,972**	**$7,592,965**	**$7,762,160**
AVAILABLE REVENUES						
8000 General Fund	3,784,880	6,172,203	131,435	6,303,638	6,790,937	6,960,132
3400 Other Funds Ltd	796,482	753,334	-	753,334	1,028,670	1,028,670

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
TOTAL AVAILABLE REVENUES	$4,581,362	$6,925,537	$131,435	$7,056,972	$7,819,607	$7,988,802
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
8000 General Fund	868,903	2,413,350	83,438	2,496,788	2,785,863	2,785,863
3400 Other Funds Ltd	479,734	342,798	14,807	357,605	374,721	374,721
All Funds	1,348,637	2,756,148	98,245	2,854,393	3,160,584	3,160,584
3160 Temporary Appointments						
8000 General Fund	-	4,915	-	4,915	4,915	5,097
3170 Overtime Payments						
8000 General Fund	19,774	-	-	-	-	-
3400 Other Funds Ltd	1,174	-	-	-	-	-
All Funds	20,948	-	-	-	-	-
3190 All Other Differential						
8000 General Fund	16,812	4,088	-	4,088	4,088	4,239
3400 Other Funds Ltd	1,227	790	-	790	790	819
All Funds	18,039	4,878	-	4,878	4,878	5,058
TOTAL SALARIES & WAGES						
8000 General Fund	905,489	2,422,353	83,438	2,505,791	2,794,866	2,795,199

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
3400 Other Funds Ltd	482,135	343,588	14,807	358,395	375,511	375,540
TOTAL SALARIES & WAGES	**$1,387,624**	**$2,765,941**	**$98,245**	**$2,864,186**	**$3,170,377**	**$3,170,739**
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
8000 General Fund	423	979	-	979	1,228	1,228
3400 Other Funds Ltd	53	121	-	121	140	140
All Funds	476	1,100	-	1,100	1,368	1,368
3220 Public Employees' Retire Cont						
8000 General Fund	192,186	380,682	13,175	393,857	531,356	531,385
3400 Other Funds Ltd	23,882	54,032	2,338	56,370	71,418	71,430
All Funds	216,068	434,714	15,513	450,227	602,774	602,815
3221 Pension Obligation Bond						
8000 General Fund	86,349	138,424	3,820	142,244	142,244	161,931
3400 Other Funds Ltd	11,031	23,607	(3,390)	20,217	20,217	21,795
All Funds	97,380	162,031	430	162,461	162,461	183,726
3230 Social Security Taxes						
8000 General Fund	102,429	185,301	6,383	191,684	213,804	213,830
3400 Other Funds Ltd	12,658	26,288	1,133	27,421	28,729	28,733
All Funds	115,087	211,589	7,516	219,105	242,533	242,563
3240 Unemployment Assessments						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	-	52,315	-	52,315	52,315	54,251
3250 Worker's Comp. Assess. (WCD)						
8000 General Fund	705	1,538	-	1,538	1,538	1,538
3400 Other Funds Ltd	84	187	-	187	187	187
All Funds	789	1,725	-	1,725	1,725	1,725
3260 Mass Transit Tax						
8000 General Fund	8,233	14,183	500	14,683	14,683	16,771
3400 Other Funds Ltd	984	2,529	89	2,618	2,618	2,253
All Funds	9,217	16,712	589	17,301	17,301	19,024
3270 Flexible Benefits						
8000 General Fund	285,799	679,248	24,119	703,367	741,723	741,723
3400 Other Funds Ltd	40,798	83,952	2,981	86,933	91,677	91,677
All Funds	326,597	763,200	27,100	790,300	833,400	833,400
3280 Other OPE						
8000 General Fund	-	1,176	-	1,176	1,176	-
TOTAL OTHER PAYROLL EXPENSES						
8000 General Fund	676,124	1,453,846	47,997	1,501,843	1,700,067	1,722,657
3400 Other Funds Ltd	89,490	190,716	3,151	193,867	214,986	216,215
TOTAL OTHER PAYROLL EXPENSES	**$765,614**	**$1,644,562**	**$51,148**	**$1,695,710**	**$1,915,053**	**$1,938,872**
P.S. BUDGET ADJUSTMENTS						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
3455 Vacancy Savings						
8000 General Fund	-	(23,874)	-	(23,874)	(23,874)	(12,073)
3400 Other Funds Ltd	-	(3,887)	-	(3,887)	(3,887)	(4,025)
All Funds	-	(27,761)	-	(27,761)	(27,761)	(16,098)
TOTAL PERSONAL SERVICES						
8000 General Fund	1,581,613	3,852,325	131,435	3,983,760	4,471,059	4,505,783
3400 Other Funds Ltd	571,625	530,417	17,958	548,375	586,610	587,730
TOTAL PERSONAL SERVICES	**$2,153,238**	**$4,382,742**	**$149,393**	**$4,532,135**	**$5,057,669**	**$5,093,513**
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	170,784	198,302	-	198,302	208,302	216,009
3400 Other Funds Ltd	44,052	25,000	-	25,000	25,000	25,925
All Funds	214,836	223,302	-	223,302	233,302	241,934
4125 Out of State Travel						
8000 General Fund	3,921	-	-	-	14,000	14,518
4150 Employee Training						
8000 General Fund	8,487	63,150	-	63,150	79,878	82,833
3400 Other Funds Ltd	2,628	25,000	-	25,000	25,000	25,925
All Funds	11,115	88,150	-	88,150	104,878	108,758
4175 Office Expenses						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	37,681	93,505	-	93,505	82,505	85,558
3400 Other Funds Ltd	9,188	15,000	-	15,000	-	-
All Funds	46,869	108,505	-	108,505	82,505	85,558
4200 Telecommunications						
8000 General Fund	63,944	123,045	-	123,045	93,045	96,487
3400 Other Funds Ltd	8,702	-	-	-	-	-
All Funds	72,646	123,045	-	123,045	93,045	96,487
4225 State Gov. Service Charges						
8000 General Fund	97,961	41,174	-	41,174	45,169	118,312
3400 Other Funds Ltd	7,422	5,771	-	5,771	5,771	5,771
All Funds	105,383	46,945	-	46,945	50,940	124,083
4250 Data Processing						
8000 General Fund	153,862	32,028	-	32,028	34,148	35,411
4275 Publicity and Publications						
8000 General Fund	37,144	12,634	-	12,634	25,004	25,929
3400 Other Funds Ltd	6,596	-	-	-	15,000	15,555
All Funds	43,740	12,634	-	12,634	40,004	41,484
4300 Professional Services						
8000 General Fund	94,278	1,063,329	-	1,063,329	1,063,329	1,002,826
4315 IT Professional Services						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	-	42,523	-	42,523	42,523	44,266
4325 Attorney General						
8000 General Fund	26,063	77,792	-	77,792	77,792	88,014
4375 Employee Recruitment and Develop						
8000 General Fund	1,769	2,447	-	2,447	2,447	2,538
4400 Dues and Subscriptions						
8000 General Fund	1,257	2,275	-	2,275	5,385	5,584
3400 Other Funds Ltd	191	-	-	-	-	-
All Funds	1,448	2,275	-	2,275	5,385	5,584
4425 Facilities Rental and Taxes						
8000 General Fund	93,223	238,179	-	238,179	238,179	254,614
3400 Other Funds Ltd	14,590	-	-	-	-	-
All Funds	107,813	238,179	-	238,179	238,179	254,614
4575 Agency Program Related S and S						
8000 General Fund	7,119	46,013	-	46,013	29,685	31,959
3400 Other Funds Ltd	1,788	103,334	-	103,334	103,334	103,334
All Funds	8,907	149,347	-	149,347	133,019	135,293
4650 Other Services and Supplies						
8000 General Fund	2,992	171,943	-	171,943	161,948	228,640
3400 Other Funds Ltd	172	15,000	-	15,000	15,000	15,555

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
All Funds	3,164	186,943	-	186,943	176,948	244,195
4700 Expendable Prop 250 - 5000						
8000 General Fund	41,273	23,973	-	23,973	23,973	24,860
4715 IT Expendable Property						
8000 General Fund	87,517	87,566	-	87,566	92,566	95,991
3400 Other Funds Ltd	3,212	-	-	-	-	-
All Funds	90,729	87,566	-	87,566	92,566	95,991
TOTAL SERVICES & SUPPLIES						
8000 General Fund	929,275	2,319,878	-	2,319,878	2,319,878	2,454,349
3400 Other Funds Ltd	98,541	189,105	-	189,105	189,105	192,065
TOTAL SERVICES & SUPPLIES	**$1,027,816**	**$2,508,983**	-	**$2,508,983**	**$2,508,983**	**$2,646,414**
EXPENDITURES						
8000 General Fund	2,510,888	6,172,203	131,435	6,303,638	6,790,937	6,960,132
3400 Other Funds Ltd	670,166	719,522	17,958	737,480	775,715	779,795
TOTAL EXPENDITURES	**$3,181,054**	**$6,891,725**	**$149,393**	**$7,041,118**	**$7,566,652**	**$7,739,927**
REVERSIONS						
9900 Reversions						
8000 General Fund	(1,273,992)	-	-	-	-	-
ENDING BALANCE						
8000 General Fund	-	-	-	-	-	-

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
3400 Other Funds Ltd	126,316	33,812	(17,958)	15,854	252,955	248,875
TOTAL ENDING BALANCE	**$126,316**	**$33,812**	**($17,958)**	**$15,854**	**$252,955**	**$248,875**
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	24	25	-	25	25	25
AUTHORIZED FTE POSITIONS						
8250 Class/Unclass FTE Positions	16.81	24.50	-	24.50	24.50	24.50

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
BEGINNING BALANCE						
0025 Beginning Balance						
3400 Other Funds Ltd	80,517	25,000	-	25,000	226,642	226,642
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	2,835,697	4,007,934	109,907	4,117,841	4,632,020	4,807,617
FINES, RENTS AND ROYALTIES						
0505 Fines and Forfeitures						
3400 Other Funds Ltd	-	90,000	-	90,000	169,000	169,000
TRANSFERS IN						
1100 Tsfr From Human Svcs, Dept of						
3400 Other Funds Ltd	715,965	638,334	-	638,334	633,028	633,028
REVENUES						
8000 General Fund	2,835,697	4,007,934	109,907	4,117,841	4,632,020	4,807,617
3400 Other Funds Ltd	715,965	728,334	-	728,334	802,028	802,028
TOTAL REVENUES	**$3,551,662**	**$4,736,268**	**$109,907**	**$4,846,175**	**$5,434,048**	**$5,609,645**
AVAILABLE REVENUES						
8000 General Fund	2,835,697	4,007,934	109,907	4,117,841	4,632,020	4,807,617
3400 Other Funds Ltd	796,482	753,334	-	753,334	1,028,670	1,028,670

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
TOTAL AVAILABLE REVENUES	$3,632,179	$4,761,268	$109,907	$4,871,175	$5,660,690	$5,836,287
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
8000 General Fund	809,293	1,885,806	69,714	1,955,520	2,260,311	2,260,311
3400 Other Funds Ltd	479,734	342,798	14,807	357,605	374,721	374,721
All Funds	1,289,027	2,228,604	84,521	2,313,125	2,635,032	2,635,032
3170 Overtime Payments						
8000 General Fund	18,836	-	-	-	-	-
3400 Other Funds Ltd	1,174	-	-	-	-	-
All Funds	20,010	-	-	-	-	-
3190 All Other Differential						
8000 General Fund	13,434	4,088	-	4,088	4,088	4,239
3400 Other Funds Ltd	1,227	790	-	790	790	819
All Funds	14,661	4,878	-	4,878	4,878	5,058
TOTAL SALARIES & WAGES						
8000 General Fund	841,563	1,889,894	69,714	1,959,608	2,264,399	2,264,550
3400 Other Funds Ltd	482,135	343,588	14,807	358,395	375,511	375,540
TOTAL SALARIES & WAGES	$1,323,698	$2,233,482	$84,521	$2,318,003	$2,639,910	$2,640,090

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
8000 General Fund	410	759	-	759	1,000	1,000
3400 Other Funds Ltd	53	121	-	121	140	140
All Funds	463	880	-	880	1,140	1,140
3220 Public Employees' Retire Cont						
8000 General Fund	182,324	297,611	11,008	308,619	431,304	431,333
3400 Other Funds Ltd	23,882	54,032	2,338	56,370	71,418	71,430
All Funds	206,206	351,643	13,346	364,989	502,722	502,763
3221 Pension Obligation Bond						
8000 General Fund	82,151	106,468	4,735	111,203	111,203	131,429
3400 Other Funds Ltd	11,031	23,607	(3,390)	20,217	20,217	21,795
All Funds	93,182	130,075	1,345	131,420	131,420	153,224
3230 Social Security Taxes						
8000 General Fund	97,612	144,569	5,333	149,902	173,223	173,235
3400 Other Funds Ltd	12,658	26,288	1,133	27,421	28,729	28,733
All Funds	110,270	170,857	6,466	177,323	201,952	201,968
3240 Unemployment Assessments						
8000 General Fund	-	52,315	-	52,315	52,315	54,251
3250 Worker's Comp. Assess. (WCD)						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	679	1,193	-	1,193	1,262	1,262
3400 Other Funds Ltd	84	187	-	187	187	187
All Funds	763	1,380	-	1,380	1,449	1,449
3260 Mass Transit Tax						
8000 General Fund	7,895	10,988	418	11,406	11,406	13,587
3400 Other Funds Ltd	984	2,529	89	2,618	2,618	2,253
All Funds	8,879	13,517	507	14,024	14,024	15,840
3270 Flexible Benefits						
8000 General Fund	275,281	526,608	18,699	545,307	608,379	608,379
3400 Other Funds Ltd	40,798	83,952	2,981	86,933	91,677	91,677
All Funds	316,079	610,560	21,680	632,240	700,056	700,056
3280 Other OPE						
8000 General Fund	-	1,176	-	1,176	1,176	-
TOTAL OTHER PAYROLL EXPENSES						
8000 General Fund	646,352	1,141,687	40,193	1,181,880	1,391,268	1,414,476
3400 Other Funds Ltd	89,490	190,716	3,151	193,867	214,986	216,215
TOTAL OTHER PAYROLL EXPENSES	**$735,842**	**$1,332,403**	**$43,344**	**$1,375,747**	**$1,606,254**	**$1,630,691**
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
8000 General Fund	-	(18,322)	-	(18,322)	(18,322)	(12,073)

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
3400 Other Funds Ltd	-	(3,887)	-	(3,887)	(3,887)	(4,025)
All Funds	-	(22,209)	-	(22,209)	(22,209)	(16,098)
TOTAL PERSONAL SERVICES						
8000 General Fund	1,487,915	3,013,259	109,907	3,123,166	3,637,345	3,666,953
3400 Other Funds Ltd	571,625	530,417	17,958	548,375	586,610	587,730
TOTAL PERSONAL SERVICES	**$2,059,540**	**$3,543,676**	**$127,865**	**$3,671,541**	**$4,223,955**	**$4,254,683**
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	169,631	132,440	-	132,440	147,440	153,607
3400 Other Funds Ltd	44,052	25,000	-	25,000	25,000	25,925
All Funds	213,683	157,440	-	157,440	172,440	179,532
4125 Out of State Travel						
8000 General Fund	3,207	-	-	-	9,000	9,333
4150 Employee Training						
8000 General Fund	8,202	63,150	-	63,150	65,150	71,252
3400 Other Funds Ltd	2,628	25,000	-	25,000	25,000	25,925
All Funds	10,830	88,150	-	88,150	90,150	97,177
4175 Office Expenses						
8000 General Fund	37,407	74,806	-	74,806	68,806	73,461
3400 Other Funds Ltd	9,188	15,000	-	15,000	-	-

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
All Funds	46,595	89,806	-	89,806	68,806	73,461
4200 Telecommunications						
8000 General Fund	57,651	104,853	-	104,853	74,853	79,204
3400 Other Funds Ltd	8,702	-	-	-	-	-
All Funds	66,353	104,853	-	104,853	74,853	79,204
4225 State Gov. Service Charges						
8000 General Fund	95,683	39,677	-	39,677	43,672	98,054
3400 Other Funds Ltd	7,422	5,771	-	5,771	5,771	5,771
All Funds	103,105	45,448	-	45,448	49,443	103,825
4250 Data Processing						
8000 General Fund	144,259	32,028	-	32,028	32,028	29,745
4275 Publicity and Publications						
8000 General Fund	35,613	12,634	-	12,634	22,634	23,998
3400 Other Funds Ltd	6,596	-	-	-	15,000	15,555
All Funds	42,209	12,634	-	12,634	37,634	39,553
4300 Professional Services						
8000 General Fund	16,799	100,966	-	100,966	100,966	78,335
4315 IT Professional Services						
8000 General Fund	-	42,523	-	42,523	42,523	44,266
4325 Attorney General						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	17,829	21,008	-	21,008	21,008	23,769
4375 Employee Recruitment and Develop						
8000 General Fund	1,769	2,447	-	2,447	2,447	2,538
4400 Dues and Subscriptions						
8000 General Fund	1,126	2,275	-	2,275	3,275	3,923
3400 Other Funds Ltd	191	-	-	-	-	-
All Funds	1,317	2,275	-	2,275	3,275	3,923
4425 Facilities Rental and Taxes						
8000 General Fund	91,956	203,533	-	203,533	203,533	214,114
3400 Other Funds Ltd	14,590	-	-	-	-	-
All Funds	106,546	203,533	-	203,533	203,533	214,114
4575 Agency Program Related S and S						
8000 General Fund	6,279	10,246	-	10,246	10,246	11,801
3400 Other Funds Ltd	1,788	103,334	-	103,334	103,334	103,334
All Funds	8,067	113,580	-	113,580	113,580	115,135
4650 Other Services and Supplies						
8000 General Fund	2,992	129,438	-	129,438	119,443	192,057
3400 Other Funds Ltd	172	15,000	-	15,000	15,000	15,555
All Funds	3,164	144,438	-	144,438	134,443	207,612
4700 Expendable Prop 250 - 5000						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	21,491	11,583	-	11,583	11,583	14,544
4715 IT Expendable Property						
8000 General Fund	66,993	11,068	-	11,068	16,068	16,663
3400 Other Funds Ltd	3,212	-	-	-	-	-
All Funds	70,205	11,068	-	11,068	16,068	16,663
TOTAL SERVICES & SUPPLIES						
8000 General Fund	778,887	994,675	-	994,675	994,675	1,140,664
3400 Other Funds Ltd	98,541	189,105	-	189,105	189,105	192,065
TOTAL SERVICES & SUPPLIES	**$877,428**	**$1,183,780**	-	**$1,183,780**	**$1,183,780**	**$1,332,729**
EXPENDITURES						
8000 General Fund	2,266,802	4,007,934	109,907	4,117,841	4,632,020	4,807,617
3400 Other Funds Ltd	670,166	719,522	17,958	737,480	775,715	779,795
TOTAL EXPENDITURES	**$2,936,968**	**$4,727,456**	**$127,865**	**$4,855,321**	**$5,407,735**	**$5,587,412**
REVERSIONS						
9900 Reversions						
8000 General Fund	(568,895)	-	-	-	-	-
ENDING BALANCE						
8000 General Fund	-	-	-	-	-	-
3400 Other Funds Ltd	126,316	33,812	(17,958)	15,854	252,955	248,875
TOTAL ENDING BALANCE	**$126,316**	**$33,812**	**($17,958)**	**$15,854**	**$252,955**	**$248,875**

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	12	20	-	20	21	21
AUTHORIZED FTE POSITIONS						
8250 Class/Unclass FTE Positions	11.75	19.50	-	19.50	20.50	20.50

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	949,183	2,164,269	21,528	2,185,797	2,158,917	2,152,515
REVENUES						
8000 General Fund	949,183	2,164,269	21,528	2,185,797	2,158,917	2,152,515
AVAILABLE REVENUES						
8000 General Fund	949,183	2,164,269	21,528	2,185,797	2,158,917	2,152,515
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
8000 General Fund	59,610	527,544	13,724	541,268	525,552	525,552
3160 Temporary Appointments						
8000 General Fund	-	4,915	-	4,915	4,915	5,097
3170 Overtime Payments						
8000 General Fund	938	-	-	-	-	-
3190 All Other Differential						
8000 General Fund	3,378	-	-	-	-	-
TOTAL SALARIES & WAGES						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	63,926	532,459	13,724	546,183	530,467	530,649
TOTAL SALARIES & WAGES	**$63,926**	**$532,459**	**$13,724**	**$546,183**	**$530,467**	**$530,649**
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
8000 General Fund	13	220	-	220	228	228
3220 Public Employees' Retire Cont						
8000 General Fund	9,862	83,071	2,167	85,238	100,052	100,052
3221 Pension Obligation Bond						
8000 General Fund	4,198	31,956	(915)	31,041	31,041	30,502
3230 Social Security Taxes						
8000 General Fund	4,817	40,732	1,050	41,782	40,581	40,595
3250 Worker's Comp. Assess. (WCD)						
8000 General Fund	26	345	-	345	276	276
3260 Mass Transit Tax						
8000 General Fund	338	3,195	82	3,277	3,277	3,184
3270 Flexible Benefits						
8000 General Fund	10,518	152,640	5,420	158,060	133,344	133,344
TOTAL OTHER PAYROLL EXPENSES						
8000 General Fund	29,772	312,159	7,804	319,963	308,799	308,181
TOTAL OTHER PAYROLL EXPENSES	**$29,772**	**$312,159**	**$7,804**	**$319,963**	**$308,799**	**$308,181**

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
8000 General Fund	-	(5,552)	-	(5,552)	(5,552)	-
TOTAL PERSONAL SERVICES						
8000 General Fund	93,698	839,066	21,528	860,594	833,714	838,830
TOTAL PERSONAL SERVICES	**$93,698**	**$839,066**	**$21,528**	**$860,594**	**$833,714**	**$838,830**
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	1,153	65,862	-	65,862	60,862	62,402
4125 Out of State Travel						
8000 General Fund	714	-	-	-	5,000	5,185
4150 Employee Training						
8000 General Fund	285	-	-	-	14,728	11,581
4175 Office Expenses						
8000 General Fund	274	18,699	-	18,699	13,699	12,097
4200 Telecommunications						
8000 General Fund	6,293	18,192	-	18,192	18,192	17,283
4225 State Gov. Service Charges						
8000 General Fund	2,278	1,497	-	1,497	1,497	20,258
4250 Data Processing						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	9,603	-	-	-	2,120	5,666
4275 Publicity and Publications						
8000 General Fund	1,531	-	-	-	2,370	1,931
4300 Professional Services						
8000 General Fund	77,479	962,363	-	962,363	962,363	924,491
4325 Attorney General						
8000 General Fund	8,234	56,784	-	56,784	56,784	64,245
4400 Dues and Subscriptions						
8000 General Fund	131	-	-	-	2,110	1,661
4425 Facilities Rental and Taxes						
8000 General Fund	1,267	34,646	-	34,646	34,646	40,500
4575 Agency Program Related S and S						
8000 General Fund	840	35,767	-	35,767	19,439	20,158
4650 Other Services and Supplies						
8000 General Fund	-	42,505	-	42,505	42,505	36,583
4700 Expendable Prop 250 - 5000						
8000 General Fund	19,782	12,390	-	12,390	12,390	10,316
4715 IT Expendable Property						
8000 General Fund	20,524	76,498	-	76,498	76,498	79,328
TOTAL SERVICES & SUPPLIES						

DESCRIPTION	2013-15 Actuals	2015-17 Leg Adopted Budget	2015-17 Emergency Boards	2015-17 Leg Approved Budget	2017-19 Base Budget	2017-19 Current Service Level
8000 General Fund	150,388	1,325,203	-	1,325,203	1,325,203	1,313,685
TOTAL SERVICES & SUPPLIES	**$150,388**	**$1,325,203**	**-**	**$1,325,203**	**$1,325,203**	**$1,313,685**
EXPENDITURES						
8000 General Fund	244,086	2,164,269	21,528	2,185,797	2,158,917	2,152,515
REVERSIONS						
9900 Reversions						
8000 General Fund	(705,097)	-	-	-	-	-
ENDING BALANCE						
8000 General Fund	-	-	-	-	-	-
TOTAL ENDING BALANCE	-	-	-	-	-	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	12	5	-	5	4	4
AUTHORIZED FTE POSITIONS						
8250 Class/Unclass FTE Positions	5.06	5.00	-	5.00	4.00	4.00

Detail Revenues & Expenditures - Requested Budget
2017-19 Biennium
Long Term Care Ombudsman

Version: V - 01 - Agency Request Budget
Cross Reference Number: 11400-000-00-00-00000

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
BEGINNING BALANCE					
0025 Beginning Balance					
3400 Other Funds Ltd	226,642	-	226,642	-	226,642
REVENUE CATEGORIES					
GENERAL FUND APPROPRIATION					
0050 General Fund Appropriation					
8000 General Fund	6,790,937	169,195	6,960,132	4,225,469	11,185,601
FINES, RENTS AND ROYALTIES					
0505 Fines and Forfeitures					
3400 Other Funds Ltd	169,000	-	169,000	-	169,000
TRANSFERS IN					
1100 Tsfr From Human Svcs, Dept of					
3400 Other Funds Ltd	633,028	-	633,028	-	633,028
TOTAL REVENUES					
8000 General Fund	6,790,937	169,195	6,960,132	4,225,469	11,185,601
3400 Other Funds Ltd	802,028	-	802,028	-	802,028
TOTAL REVENUES	$7,592,965	$169,195	$7,762,160	$4,225,469	$11,987,629
AVAILABLE REVENUES					
8000 General Fund	6,790,937	169,195	6,960,132	4,225,469	11,185,601
3400 Other Funds Ltd	1,028,670	-	1,028,670	-	1,028,670
TOTAL AVAILABLE REVENUES	$7,819,607	$169,195	$7,988,802	$4,225,469	$12,214,271
EXPENDITURES					
PERSONAL SERVICES					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
SALARIES & WAGES					
3110 Class/Unclass Sal. and Per Diem					
8000 General Fund	2,785,863	-	2,785,863	1,568,652	4,354,515
3400 Other Funds Ltd	374,721	-	374,721	-	374,721
All Funds	3,160,584	-	3,160,584	1,568,652	4,729,236
3160 Temporary Appointments					
8000 General Fund	4,915	182	5,097	-	5,097
3190 All Other Differential					
8000 General Fund	4,088	151	4,239	-	4,239
3400 Other Funds Ltd	790	29	819	-	819
All Funds	4,878	180	5,058	-	5,058
TOTAL SALARIES & WAGES					
8000 General Fund	2,794,866	333	2,795,199	1,568,652	4,363,851
3400 Other Funds Ltd	375,511	29	375,540	-	375,540
TOTAL SALARIES & WAGES	**$3,170,377**	**$362**	**$3,170,739**	**$1,568,652**	**$4,739,391**
OTHER PAYROLL EXPENSES					
3210 Empl. Rel. Bd. Assessments					
8000 General Fund	1,228	-	1,228	912	2,140
3400 Other Funds Ltd	140	-	140	-	140
All Funds	1,368	-	1,368	912	2,280
3220 Public Employees' Retire Cont					
8000 General Fund	531,356	29	531,385	289,373	820,758
3400 Other Funds Ltd	71,418	12	71,430	-	71,430

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
All Funds	602,774	41	602,815	289,373	892,188
3221 Pension Obligation Bond					
8000 General Fund	142,244	19,687	161,931	-	161,931
3400 Other Funds Ltd	20,217	1,578	21,795	-	21,795
All Funds	162,461	21,265	183,726	-	183,726
3230 Social Security Taxes					
8000 General Fund	213,804	26	213,830	120,002	333,832
3400 Other Funds Ltd	28,729	4	28,733	-	28,733
All Funds	242,533	30	242,563	120,002	362,565
3240 Unemployment Assessments					
8000 General Fund	52,315	1,936	54,251	-	54,251
3250 Worker's Comp. Assess. (WCD)					
8000 General Fund	1,538	-	1,538	1,104	2,642
3400 Other Funds Ltd	187	-	187	-	187
All Funds	1,725	-	1,725	1,104	2,829
3260 Mass Transit Tax					
8000 General Fund	14,683	2,088	16,771	7,963	24,734
3400 Other Funds Ltd	2,618	(365)	2,253	-	2,253
All Funds	17,301	1,723	19,024	7,963	26,987
3270 Flexible Benefits					
8000 General Fund	741,723	-	741,723	533,376	1,275,099
3400 Other Funds Ltd	91,677	-	91,677	-	91,677
All Funds	833,400	-	833,400	533,376	1,366,776

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
3280 Other OPE					
8000 General Fund	1,176	(1,176)	-	-	-
TOTAL OTHER PAYROLL EXPENSES					
8000 General Fund	1,700,067	22,590	1,722,657	952,730	2,675,387
3400 Other Funds Ltd	214,986	1,229	216,215	-	216,215
TOTAL OTHER PAYROLL EXPENSES	**$1,915,053**	**$23,819**	**$1,938,872**	**$952,730**	**$2,891,602**
P.S. BUDGET ADJUSTMENTS					
3455 Vacancy Savings					
8000 General Fund	(23,874)	11,801	(12,073)	-	(12,073)
3400 Other Funds Ltd	(3,887)	(138)	(4,025)	-	(4,025)
All Funds	(27,761)	11,663	(16,098)	-	(16,098)
TOTAL PERSONAL SERVICES					
8000 General Fund	4,471,059	34,724	4,505,783	2,521,382	7,027,165
3400 Other Funds Ltd	586,610	1,120	587,730	-	587,730
TOTAL PERSONAL SERVICES	**$5,057,669**	**$35,844**	**$5,093,513**	**$2,521,382**	**$7,614,895**
SERVICES & SUPPLIES					
4100 Instate Travel					
8000 General Fund	208,302	7,707	216,009	314,400	530,409
3400 Other Funds Ltd	25,000	925	25,925	4,075	30,000
All Funds	233,302	8,632	241,934	318,475	560,409
4125 Out of State Travel					
8000 General Fund	14,000	518	14,518	-	14,518
3400 Other Funds Ltd	-	-	-	25,000	25,000

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
All Funds	14,000	518	14,518	25,000	39,518
4150 Employee Training					
8000 General Fund	79,878	2,955	82,833	31,466	114,299
3400 Other Funds Ltd	25,000	925	25,925	-	25,925
All Funds	104,878	3,880	108,758	31,466	140,224
4175 Office Expenses					
8000 General Fund	82,505	3,053	85,558	21,038	106,596
4200 Telecommunications					
8000 General Fund	93,045	3,442	96,487	57,115	153,602
4225 State Gov. Service Charges					
8000 General Fund	45,169	73,143	118,312	-	118,312
3400 Other Funds Ltd	5,771	-	5,771	-	5,771
All Funds	50,940	73,143	124,083	-	124,083
4250 Data Processing					
8000 General Fund	34,148	1,263	35,411	6,173	41,584
4275 Publicity and Publications					
8000 General Fund	25,004	925	25,929	4,322	30,251
3400 Other Funds Ltd	15,000	555	15,555	19,445	35,000
All Funds	40,004	1,480	41,484	23,767	65,251
4300 Professional Services					
8000 General Fund	1,063,329	(60,503)	1,002,826	184,875	1,187,701
3400 Other Funds Ltd	-	-	-	34,229	34,229
All Funds	1,063,329	(60,503)	1,002,826	219,104	1,221,930

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
4315 IT Professional Services					
8000 General Fund	42,523	1,743	44,266	238,050	282,316
4325 Attorney General					
8000 General Fund	77,792	10,222	88,014	622,110	710,124
4375 Employee Recruitment and Develop					
8000 General Fund	2,447	91	2,538	3,798	6,336
4400 Dues and Subscriptions					
8000 General Fund	5,385	199	5,584	4,322	9,906
3400 Other Funds Ltd	-	-	-	10,000	10,000
All Funds	5,385	199	5,584	14,322	19,906
4425 Facilities Rental and Taxes					
8000 General Fund	238,179	16,435	254,614	100,947	355,561
4575 Agency Program Related S and S					
8000 General Fund	29,685	2,274	31,959	23,909	55,868
3400 Other Funds Ltd	103,334	-	103,334	-	103,334
All Funds	133,019	2,274	135,293	23,909	159,202
4600 Intra-agency Charges					
8000 General Fund	-	-	-	29,259	29,259
4650 Other Services and Supplies					
8000 General Fund	161,948	66,692	228,640	40,556	269,196
3400 Other Funds Ltd	15,000	555	15,555	24,291	39,846
All Funds	176,948	67,247	244,195	64,847	309,042
4700 Expendable Prop 250 - 5000					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
8000 General Fund	23,973	887	24,860	21,747	46,607
4715 IT Expendable Property					
8000 General Fund	92,566	3,425	95,991	-	95,991
TOTAL SERVICES & SUPPLIES					
8000 General Fund	2,319,878	134,471	2,454,349	1,704,087	4,158,436
3400 Other Funds Ltd	189,105	2,960	192,065	117,040	309,105
TOTAL SERVICES & SUPPLIES	**$2,508,983**	**$137,431**	**$2,646,414**	**$1,821,127**	**$4,467,541**
TOTAL EXPENDITURES					
8000 General Fund	6,790,937	169,195	6,960,132	4,225,469	11,185,601
3400 Other Funds Ltd	775,715	4,080	779,795	117,040	896,835
TOTAL EXPENDITURES	**$7,566,652**	**$173,275**	**$7,739,927**	**$4,342,509**	**$12,082,436**
ENDING BALANCE					
3400 Other Funds Ltd	252,955	(4,080)	248,875	(117,040)	131,835
AUTHORIZED POSITIONS					
8150 Class/Unclass Positions	25	-	25	16	41
AUTHORIZED FTE					
8250 Class/Unclass FTE Positions	24.50	-	24.50	15.50	40.00

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
BEGINNING BALANCE					
0025 Beginning Balance					
3400 Other Funds Ltd	226,642	-	226,642	-	226,642
REVENUE CATEGORIES					
GENERAL FUND APPROPRIATION					
0050 General Fund Appropriation					
8000 General Fund	4,632,020	175,597	4,807,617	1,141,846	5,949,463
FINES, RENTS AND ROYALTIES					
0505 Fines and Forfeitures					
3400 Other Funds Ltd	169,000	-	169,000	-	169,000
TRANSFERS IN					
1100 Tsfr From Human Svcs, Dept of					
3400 Other Funds Ltd	633,028	-	633,028	-	633,028
TOTAL REVENUES					
8000 General Fund	4,632,020	175,597	4,807,617	1,141,846	5,949,463
3400 Other Funds Ltd	802,028	-	802,028	-	802,028
TOTAL REVENUES	$5,434,048	$175,597	$5,609,645	$1,141,846	$6,751,491
AVAILABLE REVENUES					
8000 General Fund	4,632,020	175,597	4,807,617	1,141,846	5,949,463
3400 Other Funds Ltd	1,028,670	-	1,028,670	-	1,028,670
TOTAL AVAILABLE REVENUES	$5,660,690	$175,597	$5,836,287	$1,141,846	$6,978,133
EXPENDITURES					
PERSONAL SERVICES					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
SALARIES & WAGES					
3110 Class/Unclass Sal. and Per Diem					
8000 General Fund	2,260,311	-	2,260,311	471,132	2,731,443
3400 Other Funds Ltd	374,721	-	374,721	-	374,721
All Funds	2,635,032	-	2,635,032	471,132	3,106,164
3190 All Other Differential					
8000 General Fund	4,088	151	4,239	-	4,239
3400 Other Funds Ltd	790	29	819	-	819
All Funds	4,878	180	5,058	-	5,058
TOTAL SALARIES & WAGES					
8000 General Fund	2,264,399	151	2,264,550	471,132	2,735,682
3400 Other Funds Ltd	375,511	29	375,540	-	375,540
TOTAL SALARIES & WAGES	**$2,639,910**	**$180**	**$2,640,090**	**$471,132**	**$3,111,222**
OTHER PAYROLL EXPENSES					
3210 Empl. Rel. Bd. Assessments					
8000 General Fund	1,000	-	1,000	285	1,285
3400 Other Funds Ltd	140	-	140	-	140
All Funds	1,140	-	1,140	285	1,425
3220 Public Employees' Retire Cont					
8000 General Fund	431,304	29	431,333	89,938	521,271
3400 Other Funds Ltd	71,418	12	71,430	-	71,430
All Funds	502,722	41	502,763	89,938	592,701
3221 Pension Obligation Bond					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
8000 General Fund	111,203	20,226	131,429	-	131,429
3400 Other Funds Ltd	20,217	1,578	21,795	-	21,795
All Funds	131,420	21,804	153,224	-	153,224
3230 Social Security Taxes					
8000 General Fund	173,223	12	173,235	36,041	209,276
3400 Other Funds Ltd	28,729	4	28,733	-	28,733
All Funds	201,952	16	201,968	36,041	238,009
3240 Unemployment Assessments					
8000 General Fund	52,315	1,936	54,251	-	54,251
3250 Worker's Comp. Assess. (WCD)					
8000 General Fund	1,262	-	1,262	345	1,607
3400 Other Funds Ltd	187	-	187	-	187
All Funds	1,449	-	1,449	345	1,794
3260 Mass Transit Tax					
8000 General Fund	11,406	2,181	13,587	1,882	15,469
3400 Other Funds Ltd	2,618	(365)	2,253	-	2,253
All Funds	14,024	1,816	15,840	1,882	17,722
3270 Flexible Benefits					
8000 General Fund	608,379	-	608,379	166,680	775,059
3400 Other Funds Ltd	91,677	-	91,677	-	91,677
All Funds	700,056	-	700,056	166,680	866,736
3280 Other OPE					
8000 General Fund	1,176	(1,176)	-	-	-

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
TOTAL OTHER PAYROLL EXPENSES					
8000 General Fund	1,391,268	23,208	1,414,476	295,171	1,709,647
3400 Other Funds Ltd	214,986	1,229	216,215	-	216,215
TOTAL OTHER PAYROLL EXPENSES	**$1,606,254**	**$24,437**	**$1,630,691**	**$295,171**	**$1,925,862**
P.S. BUDGET ADJUSTMENTS					
3455 Vacancy Savings					
8000 General Fund	(18,322)	6,249	(12,073)	-	(12,073)
3400 Other Funds Ltd	(3,887)	(138)	(4,025)	-	(4,025)
All Funds	(22,209)	6,111	(16,098)	-	(16,098)
TOTAL PERSONAL SERVICES					
8000 General Fund	3,637,345	29,608	3,666,953	766,303	4,433,256
3400 Other Funds Ltd	586,610	1,120	587,730	-	587,730
TOTAL PERSONAL SERVICES	**$4,223,955**	**$30,728**	**$4,254,683**	**$766,303**	**$5,020,986**
SERVICES & SUPPLIES					
4100 Instate Travel					
8000 General Fund	147,440	6,167	153,607	189,400	343,007
3400 Other Funds Ltd	25,000	925	25,925	4,075	30,000
All Funds	172,440	7,092	179,532	193,475	373,007
4125 Out of State Travel					
8000 General Fund	9,000	333	9,333	-	9,333
3400 Other Funds Ltd	-	-	-	25,000	25,000
All Funds	9,000	333	9,333	25,000	34,333
4150 Employee Training					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
8000 General Fund	65,150	6,102	71,252	16,151	87,403
3400 Other Funds Ltd	25,000	925	25,925	-	25,925
All Funds	90,150	7,027	97,177	16,151	113,328
4175 Office Expenses					
8000 General Fund	68,806	4,655	73,461	9,332	82,793
4200 Telecommunications					
8000 General Fund	74,853	4,351	79,204	7,087	86,291
4225 State Gov. Service Charges					
8000 General Fund	43,672	54,382	98,054	-	98,054
3400 Other Funds Ltd	5,771	-	5,771	-	5,771
All Funds	49,443	54,382	103,825	-	103,825
4250 Data Processing					
8000 General Fund	32,028	(2,283)	29,745	2,849	32,594
4275 Publicity and Publications					
8000 General Fund	22,634	1,364	23,998	2,108	26,106
3400 Other Funds Ltd	15,000	555	15,555	19,445	35,000
All Funds	37,634	1,919	39,553	21,553	61,106
4300 Professional Services					
8000 General Fund	100,966	(22,631)	78,335	-	78,335
3400 Other Funds Ltd	-	-	-	34,229	34,229
All Funds	100,966	(22,631)	78,335	34,229	112,564
4315 IT Professional Services					
8000 General Fund	42,523	1,743	44,266	115,702	159,968

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
4325 Attorney General					
8000 General Fund	21,008	2,761	23,769	-	23,769
4375 Employee Recruitment and Develop					
8000 General Fund	2,447	91	2,538	1,899	4,437
4400 Dues and Subscriptions					
8000 General Fund	3,275	648	3,923	2,108	6,031
3400 Other Funds Ltd	-	-	-	10,000	10,000
All Funds	3,275	648	3,923	12,108	16,031
4425 Facilities Rental and Taxes					
8000 General Fund	203,533	10,581	214,114	-	214,114
4575 Agency Program Related S and S					
8000 General Fund	10,246	1,555	11,801	-	11,801
3400 Other Funds Ltd	103,334	-	103,334	-	103,334
All Funds	113,580	1,555	115,135	-	115,135
4650 Other Services and Supplies					
8000 General Fund	119,443	72,614	192,057	18,563	210,620
3400 Other Funds Ltd	15,000	555	15,555	24,291	39,846
All Funds	134,443	73,169	207,612	42,854	250,466
4700 Expendable Prop 250 - 5000					
8000 General Fund	11,583	2,961	14,544	10,344	24,888
4715 IT Expendable Property					
8000 General Fund	16,068	595	16,663	-	16,663
TOTAL SERVICES & SUPPLIES					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
8000 General Fund	994,675	145,989	1,140,664	375,543	1,516,207
3400 Other Funds Ltd	189,105	2,960	192,065	117,040	309,105
TOTAL SERVICES & SUPPLIES	**$1,183,780**	**$148,949**	**$1,332,729**	**$492,583**	**$1,825,312**
TOTAL EXPENDITURES					
8000 General Fund	4,632,020	175,597	4,807,617	1,141,846	5,949,463
3400 Other Funds Ltd	775,715	4,080	779,795	117,040	896,835
TOTAL EXPENDITURES	**$5,407,735**	**$179,677**	**$5,587,412**	**$1,258,886**	**$6,846,298**
ENDING BALANCE					
3400 Other Funds Ltd	252,955	(4,080)	248,875	(117,040)	131,835
AUTHORIZED POSITIONS					
8150 Class/Unclass Positions	21	-	21	5	26
AUTHORIZED FTE					
8250 Class/Unclass FTE Positions	20.50	-	20.50	4.50	25.00

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
REVENUE CATEGORIES					
GENERAL FUND APPROPRIATION					
0050 General Fund Appropriation					
8000 General Fund	2,158,917	(6,402)	2,152,515	3,083,623	5,236,138
AVAILABLE REVENUES					
8000 General Fund	2,158,917	(6,402)	2,152,515	3,083,623	5,236,138
EXPENDITURES					
PERSONAL SERVICES					
SALARIES & WAGES					
3110 Class/Unclass Sal. and Per Diem					
8000 General Fund	525,552	-	525,552	1,097,520	1,623,072
3160 Temporary Appointments					
8000 General Fund	4,915	182	5,097	-	5,097
TOTAL SALARIES & WAGES					
8000 General Fund	530,467	182	530,649	1,097,520	1,628,169
OTHER PAYROLL EXPENSES					
3210 Empl. Rel. Bd. Assessments					
8000 General Fund	228	-	228	627	855
3220 Public Employees' Retire Cont					
8000 General Fund	100,052	-	100,052	199,435	299,487
3221 Pension Obligation Bond					
8000 General Fund	31,041	(539)	30,502	-	30,502
3230 Social Security Taxes					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
8000 General Fund	40,581	14	40,595	83,961	124,556
3250 Worker's Comp. Assess. (WCD)					
8000 General Fund	276	-	276	759	1,035
3260 Mass Transit Tax					
8000 General Fund	3,277	(93)	3,184	6,081	9,265
3270 Flexible Benefits					
8000 General Fund	133,344	-	133,344	366,696	500,040
TOTAL OTHER PAYROLL EXPENSES					
8000 General Fund	308,799	(618)	308,181	657,559	965,740
P.S. BUDGET ADJUSTMENTS					
3455 Vacancy Savings					
8000 General Fund	(5,552)	5,552	-	-	-
TOTAL PERSONAL SERVICES					
8000 General Fund	833,714	5,116	838,830	1,755,079	2,593,909
SERVICES & SUPPLIES					
4100 Instate Travel					
8000 General Fund	60,862	1,540	62,402	125,000	187,402
4125 Out of State Travel					
8000 General Fund	5,000	185	5,185	-	5,185
4150 Employee Training					
8000 General Fund	14,728	(3,147)	11,581	15,315	26,896
4175 Office Expenses					
8000 General Fund	13,699	(1,602)	12,097	11,706	23,803

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
4200 Telecommunications					
8000 General Fund	18,192	(909)	17,283	50,028	67,311
4225 State Gov. Service Charges					
8000 General Fund	1,497	18,761	20,258	-	20,258
4250 Data Processing					
8000 General Fund	2,120	3,546	5,666	3,324	8,990
4275 Publicity and Publications					
8000 General Fund	2,370	(439)	1,931	2,214	4,145
4300 Professional Services					
8000 General Fund	962,363	(37,872)	924,491	184,875	1,109,366
4315 IT Professional Services					
8000 General Fund	-	-	-	122,348	122,348
4325 Attorney General					
8000 General Fund	56,784	7,461	64,245	622,110	686,355
4375 Employee Recruitment and Develop					
8000 General Fund	-	-	-	1,899	1,899
4400 Dues and Subscriptions					
8000 General Fund	2,110	(449)	1,661	2,214	3,875
4425 Facilities Rental and Taxes					
8000 General Fund	34,646	5,854	40,500	100,947	141,447
4575 Agency Program Related S and S					
8000 General Fund	19,439	719	20,158	23,909	44,067
4600 Intra-agency Charges					

Description	2017-19 Base Budget	Essential Packages	2017-19 Current Service Level	Policy Packages	2017-19 Agency Request Budget
8000 General Fund	-	-	-	29,259	29,259
4650 Other Services and Supplies					
8000 General Fund	42,505	(5,922)	36,583	21,993	58,576
4700 Expendable Prop 250 - 5000					
8000 General Fund	12,390	(2,074)	10,316	11,403	21,719
4715 IT Expendable Property					
8000 General Fund	76,498	2,830	79,328	-	79,328
TOTAL SERVICES & SUPPLIES					
8000 General Fund	1,325,203	(11,518)	1,313,685	1,328,544	2,642,229
TOTAL EXPENDITURES					
8000 General Fund	2,158,917	(6,402)	2,152,515	3,083,623	5,236,138
AUTHORIZED POSITIONS					
8150 Class/Unclass Positions	4	-	4	11	15
AUTHORIZED FTE					
8250 Class/Unclass FTE Positions	4.00	-	4.00	11.00	15.00

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	169,195	35,900	(100,000)	172,595	60,700	-
AVAILABLE REVENUES						
8000 General Fund	169,195	35,900	(100,000)	172,595	60,700	-
TOTAL AVAILABLE REVENUES	**$169,195**	**$35,900**	**($100,000)**	**$172,595**	**$60,700**	**-**
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3160 Temporary Appointments						
8000 General Fund	182	182	-	-	-	-
3190 All Other Differential						
8000 General Fund	151	151	-	-	-	-
3400 Other Funds Ltd	29	29	-	-	-	-
All Funds	180	180	-	-	-	-
SALARIES & WAGES						
8000 General Fund	333	333	-	-	-	-
3400 Other Funds Ltd	29	29	-	-	-	-
TOTAL SALARIES & WAGES	**$362**	**$362**	**-**	**-**	**-**	**-**
OTHER PAYROLL EXPENSES						
3220 Public Employees Retire Cont						

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
8000 General Fund	29	29	-	-	-	-
3400 Other Funds Ltd	12	12	-	-	-	-
All Funds	41	41	-	-	-	-
3221 Pension Obligation Bond						
8000 General Fund	19,687	19,687	-	-	-	-
3400 Other Funds Ltd	1,578	1,578	-	-	-	-
All Funds	21,265	21,265	-	-	-	-
3230 Social Security Taxes						
8000 General Fund	26	26	-	-	-	-
3400 Other Funds Ltd	4	4	-	-	-	-
All Funds	30	30	-	-	-	-
3240 Unemployment Assessments						
8000 General Fund	1,936	1,936	-	-	-	-
3260 Mass Transit Tax						
8000 General Fund	2,088	2,088	-	-	-	-
3400 Other Funds Ltd	(365)	(365)	-	-	-	-
All Funds	1,723	1,723	-	-	-	-
3280 Other OPE						
8000 General Fund	(1,176)	-	-	-	-	(1,176)
OTHER PAYROLL EXPENSES						
8000 General Fund	22,590	23,766	-	-	-	(1,176)
3400 Other Funds Ltd	1,229	1,229	-	-	-	-
TOTAL OTHER PAYROLL EXPENSES	**$23,819**	**$24,995**	-	-	-	**($1,176)**

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
8000 General Fund	11,801	11,801	-	-	-	-
3400 Other Funds Ltd	(138)	(138)	-	-	-	-
All Funds	11,663	11,663	-	-	-	-
PERSONAL SERVICES						
8000 General Fund	34,724	35,900	-	-	-	(1,176)
3400 Other Funds Ltd	1,120	1,120	-	-	-	-
TOTAL PERSONAL SERVICES	**$35,844**	**$37,020**	**-**	**-**	**-**	**($1,176)**
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	7,707	-	-	7,707	-	-
3400 Other Funds Ltd	925	-	-	925	-	-
All Funds	8,632	-	-	8,632	-	-
4125 Out of State Travel						
8000 General Fund	518	-	-	518	-	-
4150 Employee Training						
8000 General Fund	2,955	-	-	2,955	-	-
3400 Other Funds Ltd	925	-	-	925	-	-
All Funds	3,880	-	-	3,880	-	-
4175 Office Expenses						
8000 General Fund	3,053	-	-	3,053	-	-
4200 Telecommunications						

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
8000 General Fund	3,442	-	-	3,442	-	-
4225 State Gov. Service Charges						
8000 General Fund	73,143	-	-	73,143	-	-
4250 Data Processing						
8000 General Fund	1,263	-	-	1,263	-	-
4275 Publicity and Publications						
8000 General Fund	925	-	-	925	-	-
3400 Other Funds Ltd	555	-	-	555	-	-
All Funds	1,480	-	-	1,480	-	-
4300 Professional Services						
8000 General Fund	(60,503)	-	(100,000)	39,497	-	-
4315 IT Professional Services						
8000 General Fund	1,743	-	-	1,743	-	-
4325 Attorney General						
8000 General Fund	10,222	-	-	10,222	-	-
4375 Employee Recruitment and Develop						
8000 General Fund	91	-	-	91	-	-
4400 Dues and Subscriptions						
8000 General Fund	199	-	-	199	-	-
4425 Facilities Rental and Taxes						
8000 General Fund	16,435	-	-	16,435	-	-
4575 Agency Program Related S and S						
8000 General Fund	2,274	-	-	1,098	-	1,176

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
4650 Other Services and Supplies						
8000 General Fund	66,692	-	-	5,992	60,700	-
3400 Other Funds Ltd	555	-	-	555	-	-
All Funds	67,247	-	-	6,547	60,700	-
4700 Expendable Prop 250 - 5000						
8000 General Fund	887	-	-	887	-	-
4715 IT Expendable Property						
8000 General Fund	3,425	-	-	3,425	-	-
SERVICES & SUPPLIES						
8000 General Fund	134,471	-	(100,000)	172,595	60,700	1,176
3400 Other Funds Ltd	2,960	-	-	2,960	-	-
TOTAL SERVICES & SUPPLIES	**$137,431**	**-**	**($100,000)**	**$175,555**	**$60,700**	**$1,176**
EXPENDITURES						
8000 General Fund	169,195	35,900	(100,000)	172,595	60,700	-
3400 Other Funds Ltd	4,080	1,120	-	2,960	-	-
TOTAL EXPENDITURES	**$173,275**	**$37,020**	**($100,000)**	**$175,555**	**$60,700**	**-**
ENDING BALANCE						
8000 General Fund	-	-	-	-	-	-
3400 Other Funds Ltd	(4,080)	(1,120)	-	(2,960)	-	-
TOTAL ENDING BALANCE	**($4,080)**	**($1,120)**	**-**	**($2,960)**	**-**	**-**

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	175,597	30,784	(100,000)	94,539	60,700	89,574
AVAILABLE REVENUES						
8000 General Fund	175,597	30,784	(100,000)	94,539	60,700	89,574
TOTAL AVAILABLE REVENUES	**$175,597**	**$30,784**	**($100,000)**	**$94,539**	**$60,700**	**$89,574**
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3190 All Other Differential						
8000 General Fund	151	151	-	-	-	-
3400 Other Funds Ltd	29	29	-	-	-	-
All Funds	180	180	-	-	-	-
OTHER PAYROLL EXPENSES						
3220 Public Employees Retire Cont						
8000 General Fund	29	29	-	-	-	-
3400 Other Funds Ltd	12	12	-	-	-	-
All Funds	41	41	-	-	-	-
3221 Pension Obligation Bond						
8000 General Fund	20,226	20,226	-	-	-	-
3400 Other Funds Ltd	1,578	1,578	-	-	-	-
All Funds	21,804	21,804	-	-	-	-

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
3230 Social Security Taxes						
8000 General Fund	12	12	-	-	-	-
3400 Other Funds Ltd	4	4	-	-	-	-
All Funds	16	16	-	-	-	-
3240 Unemployment Assessments						
8000 General Fund	1,936	1,936	-	-	-	-
3260 Mass Transit Tax						
8000 General Fund	2,181	2,181	-	-	-	-
3400 Other Funds Ltd	(365)	(365)	-	-	-	-
All Funds	1,816	1,816	-	-	-	-
3280 Other OPE						
8000 General Fund	(1,176)	-	-	-	-	(1,176)
OTHER PAYROLL EXPENSES						
8000 General Fund	23,208	24,384	-	-	-	(1,176)
3400 Other Funds Ltd	1,229	1,229	-	-	-	-
TOTAL OTHER PAYROLL EXPENSES	**$24,437**	**$25,613**	-	-	-	**($1,176)**
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
8000 General Fund	6,249	6,249	-	-	-	-
3400 Other Funds Ltd	(138)	(138)	-	-	-	-
All Funds	6,111	6,111	-	-	-	-
PERSONAL SERVICES						
8000 General Fund	29,608	30,784	-	-	-	(1,176)

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
3400 Other Funds Ltd	1,120	1,120	-	-	-	-
TOTAL PERSONAL SERVICES	**$30,728**	**$31,904**	-	-	-	**($1,176)**
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	6,167	-	-	5,455	-	712
3400 Other Funds Ltd	925	-	-	925	-	-
All Funds	7,092	-	-	6,380	-	712
4125 Out of State Travel						
8000 General Fund	333	-	-	333	-	-
4150 Employee Training						
8000 General Fund	6,102	-	-	2,410	-	3,692
3400 Other Funds Ltd	925	-	-	925	-	-
All Funds	7,027	-	-	3,335	-	3,692
4175 Office Expenses						
8000 General Fund	4,655	-	-	2,546	-	2,109
4200 Telecommunications						
8000 General Fund	4,351	-	-	2,769	-	1,582
4225 State Gov. Service Charges						
8000 General Fund	54,382	-	-	54,382	-	-
4250 Data Processing						
8000 General Fund	(2,283)	-	-	1,185	-	(3,468)
4275 Publicity and Publications						
8000 General Fund	1,364	-	-	837	-	527

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
3400 Other Funds Ltd	555	-	-	555	-	-
All Funds	1,919	-	-	1,392	-	527
4300 Professional Services						
8000 General Fund	(22,631)	-	(100,000)	40	-	77,329
4315 IT Professional Services						
8000 General Fund	1,743	-	-	1,743	-	-
4325 Attorney General						
8000 General Fund	2,761	-	-	2,761	-	-
4375 Employee Recruitment and Develop						
8000 General Fund	91	-	-	91	-	-
4400 Dues and Subscriptions						
8000 General Fund	648	-	-	121	-	527
4425 Facilities Rental and Taxes						
8000 General Fund	10,581	-	-	14,044	-	(3,463)
4575 Agency Program Related S and S						
8000 General Fund	1,555	-	-	379	-	1,176
4650 Other Services and Supplies						
8000 General Fund	72,614	-	-	4,419	60,700	7,495
3400 Other Funds Ltd	555	-	-	555	-	-
All Funds	73,169	-	-	4,974	60,700	7,495
4700 Expendable Prop 250 - 5000						
8000 General Fund	2,961	-	-	429	-	2,532
4715 IT Expendable Property						

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 022 Phase-out Pgm & One-time Costs Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 032 Above Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00
8000 General Fund	595	-	-	595	-	-
SERVICES & SUPPLIES						
8000 General Fund	145,989	-	(100,000)	94,539	60,700	90,750
3400 Other Funds Ltd	2,960	-	-	2,960	-	-
TOTAL SERVICES & SUPPLIES	**$148,949**	**-**	**($100,000)**	**$97,499**	**$60,700**	**$90,750**
EXPENDITURES						
8000 General Fund	175,597	30,784	(100,000)	94,539	60,700	89,574
3400 Other Funds Ltd	4,080	1,120	-	2,960	-	-
TOTAL EXPENDITURES	**$179,677**	**$31,904**	**($100,000)**	**$97,499**	**$60,700**	**$89,574**
ENDING BALANCE						
8000 General Fund	-	-	-	-	-	-
3400 Other Funds Ltd	(4,080)	(1,120)	-	(2,960)	-	-
TOTAL ENDING BALANCE	**($4,080)**	**($1,120)**	**-**	**($2,960)**	**-**	**-**

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00		
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	(6,402)	5,116	78,056	(89,574)		
AVAILABLE REVENUES						
8000 General Fund	(6,402)	5,116	78,056	(89,574)		
TOTAL AVAILABLE REVENUES	**($6,402)**	**$5,116**	**$78,056**	**($89,574)**		
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3160 Temporary Appointments						
8000 General Fund	182	182	-	-		
OTHER PAYROLL EXPENSES						
3221 Pension Obligation Bond						
8000 General Fund	(539)	(539)	-	-		
3230 Social Security Taxes						
8000 General Fund	14	14	-	-		
3260 Mass Transit Tax						
8000 General Fund	(93)	(93)	-	-		
OTHER PAYROLL EXPENSES						
8000 General Fund	(618)	(618)	-	-		
TOTAL OTHER PAYROLL EXPENSES	**($618)**	**($618)**	**-**	**-**		

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00		
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
8000 General Fund	5,552	5,552	-	-		
PERSONAL SERVICES						
8000 General Fund	5,116	5,116	-	-		
TOTAL PERSONAL SERVICES	**$5,116**	**$5,116**	-	-		
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	1,540	-	2,252	(712)		
4125 Out of State Travel						
8000 General Fund	185	-	185	-		
4150 Employee Training						
8000 General Fund	(3,147)	-	545	(3,692)		
4175 Office Expenses						
8000 General Fund	(1,602)	-	507	(2,109)		
4200 Telecommunications						
8000 General Fund	(909)	-	673	(1,582)		
4225 State Gov. Service Charges						
8000 General Fund	18,761	-	18,761	-		
4250 Data Processing						
8000 General Fund	3,546	-	78	3,468		
4275 Publicity and Publications						
8000 General Fund	(439)	-	88	(527)		

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00		
4300 Professional Services						
8000 General Fund	(37,872)	-	39,457	(77,329)		
4325 Attorney General						
8000 General Fund	7,461	-	7,461	-		
4400 Dues and Subscriptions						
8000 General Fund	(449)	-	78	(527)		
4425 Facilities Rental and Taxes						
8000 General Fund	5,854	-	2,391	3,463		
4575 Agency Program Related S and S						
8000 General Fund	719	-	719	-		
4650 Other Services and Supplies						
8000 General Fund	(5,922)	-	1,573	(7,495)		
4700 Expendable Prop 250 - 5000						
8000 General Fund	(2,074)	-	458	(2,532)		
4715 IT Expendable Property						
8000 General Fund	2,830	-	2,830	-		
SERVICES & SUPPLIES						
8000 General Fund	(11,518)	-	78,056	(89,574)		
TOTAL SERVICES & SUPPLIES	**($11,518)**	**-**	**$78,056**	**($89,574)**		
EXPENDITURES						
8000 General Fund	(6,402)	5,116	78,056	(89,574)		
TOTAL EXPENDITURES	**($6,402)**	**$5,116**	**$78,056**	**($89,574)**		

Description	Total Essential Packages	Pkg: 010 Non-PICS Psnl Svc / Vacancy Factor Priority: 00	Pkg: 031 Standard Inflation Priority: 00	Pkg: 060 Technical Adjustments Priority: 00		
ENDING BALANCE						
8000 General Fund	-	-	-	-		
TOTAL ENDING BALANCE	-	-	-	-		

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	4,225,469	-	150,000	145,740	876,144	3,053,585
AVAILABLE REVENUES						
8000 General Fund	4,225,469	-	150,000	145,740	876,144	3,053,585
TOTAL AVAILABLE REVENUES	**$4,225,469**	-	**$150,000**	**$145,740**	**$876,144**	**$3,053,585**
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
8000 General Fund	1,568,652	-	-	-	471,132	1,097,520
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
8000 General Fund	912	-	-	-	285	627
3220 Public Employees Retire Cont						
8000 General Fund	289,373	-	-	-	89,938	199,435
3230 Social Security Taxes						
8000 General Fund	120,002	-	-	-	36,041	83,961
3250 Workers Comp. Assess. (WCD)						
8000 General Fund	1,104	-	-	-	345	759
3260 Mass Transit Tax						
8000 General Fund	7,963	-	-	-	1,882	6,081

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00
3270 Flexible Benefits						
8000 General Fund	533,376	-	-	-	166,680	366,696
OTHER PAYROLL EXPENSES						
8000 General Fund	952,730	-	-	-	295,171	657,559
TOTAL OTHER PAYROLL EXPENSES	**$952,730**	-	-	-	**$295,171**	**$657,559**
PERSONAL SERVICES						
8000 General Fund	2,521,382	-	-	-	766,303	1,755,079
TOTAL PERSONAL SERVICES	**$2,521,382**	-	-	-	**$766,303**	**$1,755,079**
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	314,400	-	150,000	-	39,400	125,000
3400 Other Funds Ltd	4,075	4,075	-	-	-	-
All Funds	318,475	4,075	150,000	-	39,400	125,000
4125 Out of State Travel						
3400 Other Funds Ltd	25,000	25,000	-	-	-	-
4150 Employee Training						
8000 General Fund	31,466	-	-	-	16,151	15,315
4175 Office Expenses						
8000 General Fund	21,038	-	-	-	9,332	11,706
4200 Telecommunications						
8000 General Fund	57,115	-	-	-	7,087	50,028
4250 Data Processing						

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00
8000 General Fund	6,173	-	-	-	2,849	3,324
4275 Publicity and Publications						
8000 General Fund	4,322	-	-	-	2,108	2,214
3400 Other Funds Ltd	19,445	19,445	-	-	-	-
All Funds	23,767	19,445	-	-	2,108	2,214
4300 Professional Services						
8000 General Fund	184,875	-	-	-	-	184,875
3400 Other Funds Ltd	34,229	34,229	-	-	-	-
All Funds	219,104	34,229	-	-	-	184,875
4315 IT Professional Services						
8000 General Fund	238,050	-	-	145,740	-	92,310
4325 Attorney General						
8000 General Fund	622,110	-	-	-	-	622,110
4375 Employee Recruitment and Develop						
8000 General Fund	3,798	-	-	-	1,899	1,899
4400 Dues and Subscriptions						
8000 General Fund	4,322	-	-	-	2,108	2,214
3400 Other Funds Ltd	10,000	10,000	-	-	-	-
All Funds	14,322	10,000	-	-	2,108	2,214
4425 Facilities Rental and Taxes						
8000 General Fund	100,947	-	-	-	-	100,947
4575 Agency Program Related S and S						
8000 General Fund	23,909	-	-	-	-	23,909

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00
4600 Intra-agency Charges						
8000 General Fund	29,259	-	-	-	-	29,259
4650 Other Services and Supplies						
8000 General Fund	40,556	-	-	-	18,563	21,993
3400 Other Funds Ltd	24,291	24,291	-	-	-	-
All Funds	64,847	24,291	-	-	18,563	21,993
4700 Expendable Prop 250 - 5000						
8000 General Fund	21,747	-	-	-	10,344	11,403
SERVICES & SUPPLIES						
8000 General Fund	1,704,087	-	150,000	145,740	109,841	1,298,506
3400 Other Funds Ltd	117,040	117,040	-	-	-	-
TOTAL SERVICES & SUPPLIES	**$1,821,127**	**$117,040**	**$150,000**	**$145,740**	**$109,841**	**$1,298,506**
EXPENDITURES						
8000 General Fund	4,225,469	-	150,000	145,740	876,144	3,053,585
3400 Other Funds Ltd	117,040	117,040	-	-	-	-
TOTAL EXPENDITURES	**$4,342,509**	**$117,040**	**$150,000**	**$145,740**	**$876,144**	**$3,053,585**
ENDING BALANCE						
8000 General Fund	-	-	-	-	-	-
3400 Other Funds Ltd	(117,040)	(117,040)	-	-	-	-
TOTAL ENDING BALANCE	**($117,040)**	**($117,040)**	**-**	**-**	**-**	**-**
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	16	-	-	-	5	11

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	15.50	-	-	-	4.50	11.00

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	1,141,846	-	150,000	115,702	876,144	
AVAILABLE REVENUES						
8000 General Fund	1,141,846	-	150,000	115,702	876,144	
TOTAL AVAILABLE REVENUES	$1,141,846	-	$150,000	$115,702	$876,144	
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
8000 General Fund	471,132	-	-	-	471,132	
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
8000 General Fund	285	-	-	-	285	
3220 Public Employees Retire Cont						
8000 General Fund	89,938	-	-	-	89,938	
3230 Social Security Taxes						
8000 General Fund	36,041	-	-	-	36,041	
3250 Workers Comp. Assess. (WCD)						
8000 General Fund	345	-	-	-	345	
3260 Mass Transit Tax						
8000 General Fund	1,882	-	-	-	1,882	

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	
3270 Flexible Benefits						
8000 General Fund	166,680	-	-	-	166,680	
OTHER PAYROLL EXPENSES						
8000 General Fund	295,171	-	-	-	295,171	
TOTAL OTHER PAYROLL EXPENSES	**$295,171**	-	-	-	**$295,171**	
PERSONAL SERVICES						
8000 General Fund	766,303	-	-	-	766,303	
TOTAL PERSONAL SERVICES	**$766,303**	-	-	-	**$766,303**	
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	189,400	-	150,000	-	39,400	
3400 Other Funds Ltd	4,075	4,075	-	-	-	
All Funds	193,475	4,075	150,000	-	39,400	
4125 Out of State Travel						
3400 Other Funds Ltd	25,000	25,000	-	-	-	
4150 Employee Training						
8000 General Fund	16,151	-	-	-	16,151	
4175 Office Expenses						
8000 General Fund	9,332	-	-	-	9,332	
4200 Telecommunications						
8000 General Fund	7,087	-	-	-	7,087	
4250 Data Processing						

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	
8000 General Fund	2,849	-	-	-	2,849	
4275 Publicity and Publications						
8000 General Fund	2,108	-	-	-	2,108	
3400 Other Funds Ltd	19,445	19,445	-	-	-	
All Funds	21,553	19,445	-	-	2,108	
4300 Professional Services						
3400 Other Funds Ltd	34,229	34,229	-	-	-	
4315 IT Professional Services						
8000 General Fund	115,702	-	-	115,702	-	
4375 Employee Recruitment and Develop						
8000 General Fund	1,899	-	-	-	1,899	
4400 Dues and Subscriptions						
8000 General Fund	2,108	-	-	-	2,108	
3400 Other Funds Ltd	10,000	10,000	-	-	-	
All Funds	12,108	10,000	-	-	2,108	
4650 Other Services and Supplies						
8000 General Fund	18,563	-	-	-	18,563	
3400 Other Funds Ltd	24,291	24,291	-	-	-	
All Funds	42,854	24,291	-	-	18,563	
4700 Expendable Prop 250 - 5000						
8000 General Fund	10,344	-	-	-	10,344	
SERVICES & SUPPLIES						
8000 General Fund	375,543	-	150,000	115,702	109,841	

Description	Total Policy Packages	Pkg: 101 RFO Quality Care Fund Exception Priority: 00	Pkg: 102 LFCO In State Travel Priority: 00	Pkg: 103 IT Services Priority: 00	Pkg: 104 LTCO Program Expansion Priority: 00	
3400 Other Funds Ltd	117,040	117,040	-	-	-	
TOTAL SERVICES & SUPPLIES	**$492,583**	**$117,040**	**$150,000**	**$115,702**	**$109,841**	
EXPENDITURES						
8000 General Fund	1,141,846	-	150,000	115,702	876,144	
3400 Other Funds Ltd	117,040	117,040	-	-	-	
TOTAL EXPENDITURES	**$1,258,886**	**$117,040**	**$150,000**	**$115,702**	**$876,144**	
ENDING BALANCE						
8000 General Fund	-	-	-	-	-	
3400 Other Funds Ltd	(117,040)	(117,040)	-	-	-	
TOTAL ENDING BALANCE	**($117,040)**	**($117,040)**	**-**	**-**	**-**	
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	5	-	-	-	5	
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	4.50	-	-	-	4.50	

Description	Total Policy Packages	Pkg: 103 IT Services Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00			
REVENUE CATEGORIES						
GENERAL FUND APPROPRIATION						
0050 General Fund Appropriation						
8000 General Fund	3,083,623	30,038	3,053,585			
AVAILABLE REVENUES						
8000 General Fund	3,083,623	30,038	3,053,585			
TOTAL AVAILABLE REVENUES	$3,083,623	$30,038	$3,053,585			
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
8000 General Fund	1,097,520	-	1,097,520			
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
8000 General Fund	627	-	627			
3220 Public Employees Retire Cont						
8000 General Fund	199,435	-	199,435			
3230 Social Security Taxes						
8000 General Fund	83,961	-	83,961			
3250 Workers Comp. Assess. (WCD)						
8000 General Fund	759	-	759			
3260 Mass Transit Tax						
8000 General Fund	6,081	-	6,081			

Description	Total Policy Packages	Pkg: 103 IT Services Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00			
3270 Flexible Benefits						
8000 General Fund	366,696	-	366,696			
OTHER PAYROLL EXPENSES						
8000 General Fund	657,559	-	657,559			
TOTAL OTHER PAYROLL EXPENSES	**$657,559**	-	**$657,559**			
PERSONAL SERVICES						
8000 General Fund	1,755,079	-	1,755,079			
TOTAL PERSONAL SERVICES	**$1,755,079**	-	**$1,755,079**			
SERVICES & SUPPLIES						
4100 Instate Travel						
8000 General Fund	125,000	-	125,000			
4150 Employee Training						
8000 General Fund	15,315	-	15,315			
4175 Office Expenses						
8000 General Fund	11,706	-	11,706			
4200 Telecommunications						
8000 General Fund	50,028	-	50,028			
4250 Data Processing						
8000 General Fund	3,324	-	3,324			
4275 Publicity and Publications						
8000 General Fund	2,214	-	2,214			
4300 Professional Services						

Description	Total Policy Packages	Pkg: 103 IT Services Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00			
8000 General Fund	184,875	-	184,875			
4315 IT Professional Services						
8000 General Fund	122,348	30,038	92,310			
4325 Attorney General						
8000 General Fund	622,110	-	622,110			
4375 Employee Recruitment and Develop						
8000 General Fund	1,899	-	1,899			
4400 Dues and Subscriptions						
8000 General Fund	2,214	-	2,214			
4425 Facilities Rental and Taxes						
8000 General Fund	100,947	-	100,947			
4575 Agency Program Related S and S						
8000 General Fund	23,909	-	23,909			
4600 Intra-agency Charges						
8000 General Fund	29,259	-	29,259			
4650 Other Services and Supplies						
8000 General Fund	21,993	-	21,993			
4700 Expendable Prop 250 - 5000						
8000 General Fund	11,403	-	11,403			
SERVICES & SUPPLIES						
8000 General Fund	1,328,544	30,038	1,298,506			
TOTAL SERVICES & SUPPLIES	**$1,328,544**	**$30,038**	**$1,298,506**			
EXPENDITURES						

Description	Total Policy Packages	Pkg: 103 IT Services Priority: 00	Pkg: 105 OPG Progam Expansion Priority: 00			
8000 General Fund	3,083,623	30,038	3,053,585			
TOTAL EXPENDITURES	**$3,083,623**	**$30,038**	**$3,053,585**			
ENDING BALANCE						
8000 General Fund	-	-	-			
TOTAL ENDING BALANCE	-	-	-			
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	11	-	11			
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	11.00	-	11.00			

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	S T P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE	T R K
1140001	000004620	010-01-00-00000	000	0	PF	MEAHZ	7010 HA	35X	08	1	1.00	9,514.00	24.00	171,252	57,084		2017/07/01 9999/01/01	
1140002	000004630	010-01-00-00000	000	0	PF	MMN	X0108 AA	19	08	1	1.00	4,320.00	24.00	77,760	25,920		2017/07/01 9999/01/01	
1140009	000991070	010-01-00-00000	000	0	PF	AI	C1115 AA	19	08	1	1.00	3,973.00	24.00	71,504	23,848		2017/07/01 9999/01/01	
1140010	000991080	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01	
1140011	000991090	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01	
1140012	001046430	010-01-00-00000	000	0	PF	AI	C0860 AA	23	09	1	1.00	5,034.00	24.00	90,612	30,204		2017/07/01 9999/01/01	
1140021	001221120	010-02-00-00000	000	0	PF	MMN	X0863 AA	31	08	1	1.00	7,714.00	24.00	185,136			2017/07/01 9999/01/01	
1140022	001221130	010-02-00-00000	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140023	001221140	010-02-00-00000	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140024	001221150	010-02-00-00000	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140025	001221160	010-02-00-00000	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140026	001221170	010-02-00-00000	000	0	PP	AI	C0860 AA	23	02	1	.50	3,626.00	12.00	43,512			2017/07/01 9999/01/01	
1140027	001221180	010-02-00-00000	000	0	PF	AI	C0108 AA	19	02	1	1.00	3,020.00	24.00	72,480			2017/07/01 9999/01/01	
1140028	001221370	010-01-00-00000	000	0	PF	AI	C0861 AA	27	07	1	1.00	5,544.00	24.00	133,056			2017/07/01 9999/01/01	
1140029	001223590	010-01-00-00000	000	0	PF	MMS	X7006 AA	31X	08	1	1.00	7,352.00	24.00	176,448			2017/07/01 9999/01/01	
1140034	001226510	010-02-00-00000	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	S T P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE	T R K
1149993	000004650	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01	B
1149994	000004660	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01	B
1149995	000004670	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01	B
1149996	000004680	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01	B
1149997	000004690	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01	B
1149998	000004700	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01	B
1149999	000004710	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01	B
1150001	001226280	010-02-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01	B
1150002	001226290	010-02-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01	B
1410003	000004720	010-01-00-00000	000	0	PF	AI	C0861 AA	27	08	1	1.00	5,809.00	24.00	104,562	34,854		2017/07/01 9999/01/01	
1410005	000004740	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01	
1410006	000004750	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01	
1410007	000004760	010-01-00-00000	000	0	PF	AI	C0103 AA	12C	09	1	1.00	3,085.00	24.00	55,530	18,510		2017/07/01 9999/01/01	
1410008	000004770	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01	
			000							21	20.50		492.00	2,260,311	374,721			

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	S T P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE	T R K
1140035	001292080	010-01-00-00000	104	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140036	001292090	010-01-00-00000	104	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140037	001292100	010-01-00-00000	104	0	PP	AI	C0861 AA	27	02	1	.50	4,373.00	12.00	52,476			2017/07/01 9999/01/01	
1140038	001292110	010-01-00-00000	104	0	PF	MMS	X7006 IA	31X	02	1	1.00	6,352.00	24.00	152,448			2017/07/01 9999/01/01	
1140039	001292120	010-01-00-00000	104	0	PF	AI	C0103 AA	12C	02	1	1.00	2,346.00	24.00	56,304			2017/07/01 9999/01/01	
			104							5	4.50		108.00	471,132				
										26	25.00		600.00	2,731,443	374,721			

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	S T P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE	T R K
1140030	001223600	020-01-00-00000	000	0	PF	MMN	X0863 AA	31	08	1	1.00	7,714.00	24.00	185,136			2017/07/01 9999/01/01	
1140031	001223610	020-01-00-00000	000	0	PF	AI	C0108 AA	19	04	1	1.00	3,298.00	24.00	79,152			2017/07/01 9999/01/01	
1140032	001223620	020-01-00-00000	000	0	PF	AI	C0861 AA	27	07	1	1.00	5,544.00	24.00	133,056			2017/07/01 9999/01/01	
1140033	001223630	020-01-00-00000	000	0	PF	AI	C0861 AA	27	06	1	1.00	5,282.00	24.00	126,768			2017/07/01 9999/01/01	
1150003	001226300	020-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01	B
1150004	001226310	020-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01	B
			000							4	4.00		96.00	525,552				

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	S T P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE	T R K
1140040	001292760	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140041	001292770	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140042	001292780	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140043	001292790	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140044	001292800	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01	
1140045	001292810	020-01-00-00000	105	0	PF	MMS	X0862 AA	29	02	1	1.00	5,231.00	24.00	125,544			2017/07/01 9999/01/01	
1140046	001292820	020-01-00-00000	105	0	PF	MMS	X0862 AA	29	02	1	1.00	5,231.00	24.00	125,544			2017/07/01 9999/01/01	
1140047	001292830	020-01-00-00000	105	0	PF	AG	C1216 AA	23	02	1	1.00	3,626.00	24.00	87,024			2017/07/01 9999/01/01	
1140048	001292840	020-01-00-00000	105	0	PF	AI	C0107 AA	17	02	1	1.00	2,777.00	24.00	66,648			2017/07/01 9999/01/01	
1140049	001292850	020-01-00-00000	105	0	PF	OA	C6630 AA	21	02	1	1.00	3,500.00	24.00	84,000			2017/07/01 9999/01/01	
1140050	001292860	020-01-00-00000	105	0	PF	OA	C6630 AA	21	02	1	1.00	3,500.00	24.00	84,000			2017/07/01 9999/01/01	
			105							11	11.00		264.00	1,097,520				
										15	15.00		360.00	1,623,072				
										41	40.00		960.00	4,354,515	374,721			

POSITION NUMBER	AUTH NO	PKG	F Y	POS TYP	CLASS	COMP	RNG	STP	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTABLISH DATE	EXPIRE DATE	TRK
1140001	000004620	000	0	PF	MEAHZ7010	HA	35X	08	1	1.00	9,514.00	24.00	171,252	57,084		2017/07/01	9999/01/01	
1140002	000004630	000	0	PF	MMN X0108	AA	19	08	1	1.00	4,320.00	24.00	77,760	25,920		2017/07/01	9999/01/01	
1140009	000991070	000	0	PF	AI	C1115 AA	19	08	1	1.00	3,973.00	24.00	71,504	23,848		2017/07/01	9999/01/01	
1140010	000991080	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01	9999/01/01	
1140011	000991090	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01	9999/01/01	
1140012	001046430	000	0	PF	AI	C0860 AA	23	09	1	1.00	5,034.00	24.00	90,612	30,204		2017/07/01	9999/01/01	
1140028	001221370	000	0	PF	AI	C0861 AA	27	07	1	1.00	5,544.00	24.00	133,056			2017/07/01	9999/01/01	
1140029	001223590	000	0	PF	MMS	X7006 AA	31X	08	1	1.00	7,352.00	24.00	176,448			2017/07/01	9999/01/01	
1149993	000004650	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01	9999/01/01	B
1149994	000004660	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01	9999/01/01	B
1149995	000004670	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01	9999/01/01	B
1149996	000004680	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01	9999/01/01	B
1149997	000004690	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01	9999/01/01	B
1149998	000004700	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01	9999/01/01	B
1149999	000004710	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01	9999/01/01	B
1410003	000004720	000	0	PF	AI	C0861 AA	27	08	1	1.00	5,809.00	24.00	104,562	34,854		2017/07/01	9999/01/01	
1410005	000004740	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01	9999/01/01	
1410006	000004750	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01	9999/01/01	
1410007	000004760	000	0	PF	AI	C0103 AA	12C	09	1	1.00	3,085.00	24.00	55,530	18,510		2017/07/01	9999/01/01	
1410008	000004770	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01	9999/01/01	
		000							13	13.00		312.00	1,432,983	374,721				

POSITION NUMBER	AUTH NO	PKG	F Y	POS TYP	CLASS	COMP	RNG	STP	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTABLISH DATE	EXPIRE DATE	TRK
1140035	001292080	104	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140036	001292090	104	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140037	001292100	104	0	PP	AI	C0861 AA	27	02	1	.50	4,373.00	12.00	52,476			2017/07/01	9999/01/01	
1140038	001292110	104	0	PF	MMS	X7006 IA	31X	02	1	1.00	6,352.00	24.00	152,448			2017/07/01	9999/01/01	
1140039	001292120	104	0	PF	AI	C0103 AA	12C	02	1	1.00	2,346.00	24.00	56,304			2017/07/01	9999/01/01	
		104							5	4.50		108.00	471,132					
									18	17.50		420.00	1,904,115	374,721				

POSITION NUMBER	AUTH NO	PKG	F Y	POS TYP	CLASS	COMP	RNG	ST P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTABLISH DATE	EXPIRE DATE	TRK
1140021	001221120	000	0	PF	MMN	X0863 AA	31	08	1	1.00	7,714.00	24.00	185,136			2017/07/01	9999/01/01	
1140022	001221130	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140023	001221140	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140024	001221150	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140025	001221160	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140026	001221170	000	0	PP	AI	C0860 AA	23	02	1	.50	3,626.00	12.00	43,512			2017/07/01	9999/01/01	
1140027	001221180	000	0	PF	AI	C0108 AA	19	02	1	1.00	3,020.00	24.00	72,480			2017/07/01	9999/01/01	
1140034	001226510	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1150001	001226280	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01	9999/01/01	B
1150002	001226290	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01	9999/01/01	B
		000							8	7.50		180.00	827,328					
									8	7.50		180.00	827,328					

POSITION NUMBER	AUTH NO	PKG	F Y	POS TYP	CLASS	COMP	RNG	STP	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTABLISH DATE	EXPIRE DATE	TRK
1140030	001223600	000	0	PF	MMN	X0863 AA	31	08	1	1.00	7,714.00	24.00	185,136			2017/07/01	9999/01/01	
1140031	001223610	000	0	PF	AI	C0108 AA	19	04	1	1.00	3,298.00	24.00	79,152			2017/07/01	9999/01/01	
1140032	001223620	000	0	PF	AI	C0861 AA	27	07	1	1.00	5,544.00	24.00	133,056			2017/07/01	9999/01/01	
1140033	001223630	000	0	PF	AI	C0861 AA	27	06	1	1.00	5,282.00	24.00	126,768			2017/07/01	9999/01/01	
1150003	001226300	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01	9999/01/01	B
1150004	001226310	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01	9999/01/01	B
		000							4	4.00		96.00	525,552					

POSITION NUMBER	AUTH NO	PKG	F Y	POS TYP	CLASS	COMP	RNG	ST P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTABLISH DATE	EXPIRE DATE	TRK
1140040	001292760	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140041	001292770	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140042	001292780	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140043	001292790	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140044	001292800	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01	9999/01/01	
1140045	001292810	105	0	PF	MMS	X0862 AA	29	02	1	1.00	5,231.00	24.00	125,544			2017/07/01	9999/01/01	
1140046	001292820	105	0	PF	MMS	X0862 AA	29	02	1	1.00	5,231.00	24.00	125,544			2017/07/01	9999/01/01	
1140047	001292830	105	0	PF	AG	C1216 AA	23	02	1	1.00	3,626.00	24.00	87,024			2017/07/01	9999/01/01	
1140048	001292840	105	0	PF	AI	C0107 AA	17	02	1	1.00	2,777.00	24.00	66,648			2017/07/01	9999/01/01	
1140049	001292850	105	0	PF	OA	C6630 AA	21	02	1	1.00	3,500.00	24.00	84,000			2017/07/01	9999/01/01	
1140050	001292860	105	0	PF	OA	C6630 AA	21	02	1	1.00	3,500.00	24.00	84,000			2017/07/01	9999/01/01	
		105							11	11.00		264.00	1,097,520					
									15	15.00		360.00	1,623,072					
									41	40.00		960.00	4,354,515	374,721				

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS COMP	RNG	ST P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE
1140001	000004620	010-01-00-00000	000	0	PF	MEAHZ7010 HA	35X	08	1	1.00	9,514.00	24.00	171,252	57,084		2017/07/01 9999/01/01
1140002	000004630	010-01-00-00000	000	0	PF	MMN X0108 AA	19	08	1	1.00	4,320.00	24.00	77,760	25,920		2017/07/01 9999/01/01
1140009	000991070	010-01-00-00000	000	0	PF	AI C1115 AA	19	08	1	1.00	3,973.00	24.00	71,504	23,848		2017/07/01 9999/01/01
1140010	000991080	010-01-00-00000	000	0	PF	AI C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01
1140011	000991090	010-01-00-00000	000	0	PF	AI C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01
1140012	001046430	010-01-00-00000	000	0	PF	AI C0860 AA	23	09	1	1.00	5,034.00	24.00	90,612	30,204		2017/07/01 9999/01/01
1140021	001221120	010-02-00-00000	000	0	PF	MMN X0863 AA	31	08	1	1.00	7,714.00	24.00	185,136			2017/07/01 9999/01/01
1140022	001221130	010-02-00-00000	000	0	PF	AI C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140023	001221140	010-02-00-00000	000	0	PF	AI C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140024	001221150	010-02-00-00000	000	0	PF	AI C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140025	001221160	010-02-00-00000	000	0	PF	AI C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140026	001221170	010-02-00-00000	000	0	PP	AI C0860 AA	23	02	1	.50	3,626.00	12.00	43,512			2017/07/01 9999/01/01
1140027	001221180	010-02-00-00000	000	0	PF	AI C0108 AA	19	02	1	1.00	3,020.00	24.00	72,480			2017/07/01 9999/01/01
1140028	001221370	010-01-00-00000	000	0	PF	AI C0861 AA	27	07	1	1.00	5,544.00	24.00	133,056			2017/07/01 9999/01/01
1140029	001223590	010-01-00-00000	000	0	PF	MMS X7006 AA	31X	08	1	1.00	7,352.00	24.00	176,448			2017/07/01 9999/01/01
1140030	001223600	020-01-00-00000	000	0	PF	MMN X0863 AA	31	08	1	1.00	7,714.00	24.00	185,136			2017/07/01 9999/01/01

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	ST P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE
1140031	001223610	020-01-00-00000	000	0	PF	AI	C0108 AA	19	04	1	1.00	3,298.00	24.00	79,152			2017/07/01 9999/01/01
1140032	001223620	020-01-00-00000	000	0	PF	AI	C0861 AA	27	07	1	1.00	5,544.00	24.00	133,056			2017/07/01 9999/01/01
1140033	001223630	020-01-00-00000	000	0	PF	AI	C0861 AA	27	06	1	1.00	5,282.00	24.00	126,768			2017/07/01 9999/01/01
1140034	001226510	010-02-00-00000	000	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140035	001292080	010-01-00-00000	104	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140036	001292090	010-01-00-00000	104	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140037	001292100	010-01-00-00000	104	0	PP	AI	C0861 AA	27	02	1	.50	4,373.00	12.00	52,476			2017/07/01 9999/01/01
1140038	001292110	010-01-00-00000	104	0	PF	MMS	X7006 IA	31X	02	1	1.00	6,352.00	24.00	152,448			2017/07/01 9999/01/01
1140039	001292120	010-01-00-00000	104	0	PF	AI	C0103 AA	12C	02	1	1.00	2,346.00	24.00	56,304			2017/07/01 9999/01/01
1140040	001292760	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140041	001292770	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140042	001292780	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140043	001292790	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140044	001292800	020-01-00-00000	105	0	PF	AI	C0861 AA	27	02	1	1.00	4,373.00	24.00	104,952			2017/07/01 9999/01/01
1140045	001292810	020-01-00-00000	105	0	PF	MMS	X0862 AA	29	02	1	1.00	5,231.00	24.00	125,544			2017/07/01 9999/01/01
1140046	001292820	020-01-00-00000	105	0	PF	MMS	X0862 AA	29	02	1	1.00	5,231.00	24.00	125,544			2017/07/01 9999/01/01

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	ST P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE
1140047	001292830	020-01-00-00000	105	0	PF	AG	C1216 AA	23	02	1	1.00	3,626.00	24.00	87,024			2017/07/01 9999/01/01
1140048	001292840	020-01-00-00000	105	0	PF	AI	C0107 AA	17	02	1	1.00	2,777.00	24.00	66,648			2017/07/01 9999/01/01
1140049	001292850	020-01-00-00000	105	0	PF	OA	C6630 AA	21	02	1	1.00	3,500.00	24.00	84,000			2017/07/01 9999/01/01
1140050	001292860	020-01-00-00000	105	0	PF	OA	C6630 AA	21	02	1	1.00	3,500.00	24.00	84,000			2017/07/01 9999/01/01
1149993	000004650	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01
1149994	000004660	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01
1149995	000004670	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01
1149996	000004680	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01
1149997	000004690	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01
1149998	000004700	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01
1149999	000004710	010-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	517	203		2017/07/01 9999/01/01
1150001	001226280	010-02-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01
1150002	001226290	010-02-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01
1150003	001226300	020-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01
1150004	001226310	020-01-00-00000	000	0	PP	B	Y7500 AE	00	00		.00	0.00	.00	720			2017/07/01 9999/01/01
1410003	000004720	010-01-00-00000	000	0	PF	AI	C0861 AA	27	08	1	1.00	5,809.00	24.00	104,562	34,854		2017/07/01 9999/01/01

POSITION NUMBER	AUTH NO	DETAIL XREF	PKG	F Y	POS TYP	CLASS	COMP	RNG	ST P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	ESTAB DATE/ EXPIR DATE
1410005	000004740	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01
1410006	000004750	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01
1410007	000004760	010-01-00-00000	000	0	PF	AI	C0103 AA	12C	09	1	1.00	3,085.00	24.00	55,530	18,510		2017/07/01 9999/01/01
1410008	000004770	010-01-00-00000	000	0	PF	AI	C0861 AA	27	09	1	1.00	6,096.00	24.00	109,728	36,576		2017/07/01 9999/01/01
										41	40.00		960.00	4,354,515	374,721		