

BEFORE THE LAND USE BOARD OF APPEALS
OF THE STATE OF OREGON

1
2
3
4 ROGER R. WARREN,)
5)
6 Petitioner,)
7)
8 vs.)
9) LUBA Nos. 91-141 and 92-005
10 CITY OF AURORA,)
11) FINAL OPINION
12 Respondent,) AND ORDER
13)
14 and)
15)
16 RUDI KASEL and ANNETTE KASEL,)
17)
18 Intervenors-Respondent.)

19
20
21 Appeal from City of Aurora.

22
23 Roger R. Warren, Aurora, filed the petition for review
24 and argued on his own behalf.

25
26 No appearance by respondent.

27
28 James L. Murch, Salem, and Brendan Enright, Aurora,
29 filed the response brief on behalf of respondent and
30 intervenor-respondent. With them on the brief was Sherman,
31 Bryan, Sherman & Murch. James L. Murch argued on behalf of
32 respondent and intervenors-respondent.

33
34 HOLSTUN, Chief Referee; SHERTON, Referee; KELLINGTON,
35 Referee, participated in the decision.

36
37 REMANDED 07/23/92

38
39 You are entitled to judicial review of this Order.
40 Judicial review is governed by the provisions of ORS
41 197.850.

1 Opinion by Holstun.

2 **NATURE OF THE DECISION**

3 Petitioner seeks review of city decisions granting
4 preliminary plat and final plat approval for a 15 lot
5 subdivision on a 6.7 acre parcel.

6 **MOTIONS TO INTERVENE**

7 Rudi Kasel and Annette Kasel, the applicants below,
8 move to intervene on the side of respondent in this
9 consolidated appeal proceeding. There is no objection to
10 the motions, and they are allowed.

11 **FACTS**

12 The subject property is located in the Single Family
13 Residential Zone and is subject to the Historic Overlay
14 Zone. The property is located within the City of Aurora's
15 urban growth boundary and includes a portion of what is
16 identified as Resource No. 2 on the Aurora Colony Historic
17 Resources Inventory.¹ At the time it was included on the
18 Historic Resources Inventory, the subject property included
19 an historically significant but dilapidated barn. The barn
20 has since been removed, and the property is presently
21 vacant.

22 The intervenors previously obtained approval for, and
23 have developed, a residential subdivision on another portion
24 of their property. The proposed subdivision would represent

¹The Aurora Colony Historic Resources Inventory is part of the city's comprehensive plan.

1 the second phase of that subdivision. There are a number of
2 adjacent and nearby properties with significant historic
3 structures. Petitioner is the owner of one such adjacent
4 property and objects that the city's decision granting
5 approval for the challenged subdivision fails to demonstrate
6 compliance with a variety of statewide planning goal and
7 city comprehensive plan and development code requirements.

8 **JURISDICTION/SCOPE OF REVIEW**

9 The written decision granting preliminary subdivision
10 plat approval is composed of the minutes of the August 27,
11 1991 city council meeting. The written decision granting
12 final plat approval is composed of the minutes of the
13 December 10, 1991 city council meeting.

14 Until ORS 197.015(10)(b) was amended by the 1991
15 legislature, LUBA lacked review jurisdiction over decisions
16 concerning subdivisions located within urban growth
17 boundaries, where those decisions were "consistent with land
18 use standards." Thus, prior to the effective date of the
19 1991 legislative amendments, this Board was required to
20 first determine whether a challenged urban subdivision
21 decision complied with land use standards before it could
22 determine whether it had review jurisdiction. Southwood v.
23 City of Philomath, 106 Or App 21, 24, 806 P2d 162 (1991);
24 Schultz v. City of Grants Pass, ___ Or LUBA ___ (LUBA No.
25 91-122, December 13, 1991), slip op 9.

26 The 1991 legislature repealed the above noted exception

1 to LUBA's jurisdiction for urban subdivisions. Oregon Laws
2 1991, ch 817, § 1. In addition, Oregon Laws 1991, chapter
3 817, section 4 specifically gives LUBA review jurisdiction
4 over "limited land use decisions." As defined by Oregon
5 Laws 1991, chapter 817, section 1, limited land use
6 decisions include urban land division decisions, such as the
7 decisions challenged in this appeal. Oregon Laws 1991,
8 chapter 817 became effective September 29, 1991.

9 The notice of intent to appeal filed with this board
10 initiating the LUBA No. 91-141 appeal challenging the city
11 decision granting preliminary subdivision plat approval was
12 filed September 12, 1991. Therefore, our jurisdiction and
13 scope of review concerning the preliminary plat approval is
14 governed by ORS 197.015(10)(b), as it existed prior to the
15 1991 legislative amendments. For the reasons explained
16 below, we conclude the decision challenged in LUBA No. 91-
17 141 granting preliminary plat approval is not consistent
18 with at least one land use standard, and for that reason we
19 have jurisdiction.²

20 Petitioner's notice of intent to appeal in LUBA No. 92-
21 005, challenging the final plat approval decision, was filed
22 after the effective date of the 1991 legislative amendments
23 to our review jurisdiction. Assuming we have jurisdiction
24 over that decision, it is a limited land use decision under

²Any differences in our scope of review of land use decisions before and after the 1991 legislative amendments are not important in this case.

1 the amended statutory provisions.

2 Intervenor argues, however, that final plat approval
3 decision challenged in LUBA No. 92-005 is a nondiscretionary
4 decision and for that reason is neither a land use decision
5 nor a limited land use decision, because ORS
6 197.015(10)(b)(A) exempts local government decisions "made
7 under land use standards which do not require interpretation
8 or the exercise of policy or legal judgment" from our review
9 jurisdiction.

10 A number of decisions issued by the Court of Appeals
11 and this Board have made it clear that the exception to our
12 review jurisdiction provided for nondiscretionary decisions
13 is an exceedingly narrow one. Flowers v. Klamath County, 98
14 Or App 384, 391-392, 780 P2d 227 (1989); Doughton v. Douglas
15 County, 82 Or App 444, 449, 728 P2d 887 (1986), rev den 303
16 Or 74 (1987); Bell v. Klamath County, 77 Or App 131, 134-35,
17 711 P2d 209 (1985); Breivogel v. Washington County, ___ Or
18 LUBA ___ (LUBA No. 91-146, April 13, 1992); Tuality Lands
19 Coalition v. Washington County, ___ Or LUBA ___ (LUBA Nos.
20 91-035/036, November 12, 1991); Hollywood Neigh. Assoc. v.
21 City of Portland, ___ Or LUBA ___ (LUBA No. 91-100, Order on
22 Motion to Dismiss, September 26, 1991); Citizens Concerned
23 v. City of Sherwood, ___ Or LUBA ___ (LUBA Nos. 91-091/093,
24 Order on Motions for Evidentiary Hearing and Depositions,
25 April 2, 1991); Komning v. Grant County, 20 Or LUBA 481
26 (1990); Kirpal Light Satsang v. Douglas County, 18 Or LUBA

1 651 (1990). Respondents neither identify the land use
2 standards governing approval of the final plat approval
3 decision nor explain why those standards are
4 nondiscretionary. For that reason, we reject the argument.

5 **FIRST ASSIGNMENT OF ERROR**

6 Under the first assignment of error, petitioner makes a
7 variety of arguments in which he alleges the challenged
8 decisions violate Statewide Planning Goal 5 (Open Spaces,
9 Scenic and Historic Areas, and Natural Resources).

10 Limited land use decisions, such as the final plat
11 approval decision challenged in LUBA No. 92-005, must comply
12 with applicable plan and land use regulation standards.
13 ORS 197.195(1). However, under ORS 197.828, our review of
14 limited land use decisions does not include review for
15 compliance with the statewide planning goals. Similarly,
16 our review of land use decisions which are subject to
17 acknowledged comprehensive plans and land use regulations,
18 such as the preliminary plat approval decision challenged in
19 LUBA No. 91-141, does not include review for compliance with
20 the statewide planning goals. ORS 197.835(1) through (7);
21 Byrd v. Stringer, 295 Or 311, 666 P2d 1332 (1983).

22 Because our scope of review of the challenged decisions
23 does not include review for compliance with the statewide
24 planning goals, petitioner's Goal 5 arguments provide no
25 basis for reversal or remand. For that reason, the first
26 assignment of error is denied.

1 **REMAINING ASSIGNMENTS OF ERROR**

2 In his remaining assignments of error, petitioner
3 contests the adequacy of the city's findings supporting the
4 challenged decisions and argues the challenged decisions
5 violate a host of comprehensive plan and development code
6 requirements.

7 We seriously question whether any of the plan
8 provisions cited by petitioner under his second assignment
9 of error are approval standards for the challenged
10 decisions.³ See Bennett v. City of Dallas, 17 Or LUBA 450,
11 456, aff'd 96 Or App 645 (1989) (plan provisions may or may
12 not be approval standards, depending on their wording and
13 context). Similarly, the plan Historic District Guidelines
14 cited by petitioner under his fourth assignment of error
15 apparently are not intended to operate as approval standards
16 applicable to individual permit decisions. The plan
17 explains as follows:

18 "Historic District Guidelines cannot do the

³For example, petitioner cites a plan overall objective to "[m]aintain the city's historic character and community identity." Plan 62. We have determined in other cases that such generally worded plan provisions do not apply directly to individual permit decisions. Benjamin v. City of Ashland, 20 Or LUBA 265 (1990); Wissusik v. Yamhill County, 20 Or LUBA 246 (1990); Neuenschwander v. City of Ashland, 20 Or LUBA 144 (1990). One of the other plan provisions cited by petitioner indicates the stated historic preservation objectives are "accomplished through * * * provisions incorporated in the Development Code * * *." Plan 39. We have previously held that plan provisions which the plan states are implemented by provisions in land use regulations do not apply directly to individual permit decisions. Murphy v. City of Ashland, 19 Or LUBA 182, 199-200 (1990).

1 following:

2 * * * * *

3 "3) Serve the same legal purpose as a design
4 review ordinance. A design review ordinance
5 is a law, but guidelines are not laws.

6 "4) Guarantee that all new construction will be
7 compatible with an historic district.
8 Guidelines ultimately can only guide. The
9 Design Review Ordinance establishes the law
10 for the Aurora Historic District." Plan
11 Appendix B, page 2.

12 We are less certain whether remaining development code
13 provisions cited by petitioner under the third assignment of
14 error are inapplicable to the challenged decisions, although
15 intervenors and respondent appear to take the position in
16 their brief that they are not.

17 A fundamental problem presented in this appeal is the
18 failure of the city to adopt any findings identifying the
19 standards that must be satisfied to grant the requested
20 preliminary plat and final plat approvals. The minutes of
21 the August 27, 1991 city council do include findings
22 attempting to respond to issues raised by petitioner.
23 However, those findings do not identify the standards in the
24 plan and development code that must be satisfied to grant
25 preliminary subdivision plat approval, or what facts led the
26 city to conclude those standards are met. The findings are
27 simply a written response to issues raised by petitioner
28 without any attempt to relate the disputed points to the
29 relevant approval criteria. The minutes of the December 10,

1 1991 meeting at which the city council granted final plat
2 approval also make no attempt to identify the applicable
3 standards.

4 ORS 227.173(2) imposes the following requirement on the
5 city:

6 "Approval or denial of a permit^[4] application or
7 limited land use decision shall be based upon and
8 accompanied by a brief statement that explains the
9 criteria and standards considered relevant to the
10 decision, states the facts relied upon in
11 rendering the decision and explains the
12 justification for the decision based on the
13 criteria, standards and facts set forth."

14 The minutes comprising the challenged decisions do not
15 satisfy the requirements of ORS 227.173(2).⁵ See Hoffman v.
16 Dupont, 49 Or App 699, 705, 621 P2d 63 (1980), rev den 290
17 Or 651 (1981); Hewitt v. Brookings, 7 Or LUBA 130, 132
18 (1983). Because the challenged decisions do not comply with
19 this statutory requirement, and therefore fail to provide an
20 adequate basis for determining whether applicable plan and
21 land use regulation requirements are satisfied, the
22 decisions must be remanded. ORS 197.828(2)(b); 197.835(6).

⁴The term "permit" is defined as including "discretionary approval of a proposed development of land." ORS 227.160(2). The challenged preliminary plat approval decision falls within this definition. As explained earlier in this opinion, the final plat approval decision is a limited land use decision.

⁵ORS chapter 227 sets out city planning and zoning authority. The findings requirement of ORS 227.173(2) is therefore a land use standard. Because we conclude this land use standard is violated, we have jurisdiction over the city's decision granting preliminary plat approval. See discussion supra, regarding jurisdiction and scope of review.

1 As we indicated in our earlier order denying
2 intervenors-respondent's motion to dismiss, there are
3 inconsistencies in the development code that make it
4 difficult even to determine the respective roles of the
5 planning commission and city council in reviewing and
6 granting approval of subdivision applications, much less
7 identify the approval standards that govern such decisions.
8 It is sufficiently unclear to us what standards govern the
9 disputed preliminary plat and final plat approval decisions
10 that we cannot overlook the city's failure to adopt findings
11 identifying the relevant standards. Neither can we be
12 certain that all of petitioner's arguments fail to implicate
13 an applicable approval standard.

14 We emphasize that our decision to remand the city's
15 decisions so that it may adopt findings identifying the
16 relevant approval standards is not based on a purely
17 technical deficiency. LUBA's role as an appellate tribunal
18 is to review the city's explanation for why it believes its
19 decision satisfies relevant approval standards. If this
20 Board were to take the initiative in the first instance to
21 identify potential approval standards in the development
22 code and plan, and interpret ambiguous plan or development
23 code language, it would be assuming the role assigned to the
24 city. In addition, there would be a risk that this Board
25 would not interpret the city's plan and development code
26 language in the same way the city would, thereby potentially

1 usurping the city's interpretive discretion. See Clark v.
2 Jackson County, ___ Or ___, ___ P2d ___ (slip op, July 9,
3 1992); cf. Schatz v. City of Jacksonville, 113 Or App 675,
4 681 (1992) (LUBA remand decision "simply tells the locality
5 that the basis for its decision is not affirmable; it does
6 not necessarily connote that alternative bases cannot exist
7 * * *."). The statutory requirements limiting this Board's
8 role to reviewing the city's findings supporting its
9 decision serves the purpose of preventing this Board from
10 substituting its judgment for that of the city where the
11 applicable law and the facts leave the city discretion. See
12 Sunnyside Neighborhood v. Clackamas Co. Comm., 280 Or 3, 20,
13 569 P2d 1063 (1977).

14 The city's decisions are remanded so that the city may
15 adopt findings identifying the applicable standards
16 governing the challenged decisions. Then the city will be
17 in a position to adopt the findings of fact and legal
18 reasoning necessary to support decisions determining whether
19 those standards are met.⁶

⁶After the city identifies the applicable approval standards, the city may also be in a position to find particular issues petitioner raises are not relevant to compliance with the applicable standards.