



# Oregon

Theodore R. Kulongoski, Governor



## Housing and Community Services

North Mall Office Building  
725 Summer Street NE, Suite B  
Salem, OR 97301-1266  
(503) 986-2000

FAX (503) 986-2020  
TTY (503) 986-2100



[www.ohcs.oregon.gov](http://www.ohcs.oregon.gov)

**To: The Oregon Energy Assistance Network**  
**From: Melissa Torgerson**  
**Date: August 3, 2009**  
**Re: 2010 Draft Oregon LIHEAP State Plan (Abbreviated)**

---

Attached you will find a copy of the Proposed 2010 Oregon Abbreviated LIHEAP State Plan. This document is a direct result of collaboration between Oregon Housing and Community Services, local energy assistance partners and citizens across our state.

The Public Comment period for the PY 2010 LIHEAP State Plan begins August 3, 2009 and lasts for three weeks. In addition to a public hearing and four regional meetings, written comment will be accepted through 5:00pm on August 21, 2009 (see pages 9-10 for more details).

The Oregon Department of Housing and Community Services considers this proposed 2010 LIHEAP State Plan "preliminary" in nature, and genuinely appreciates any ideas or suggestions for improvement. Copies of this document can be found at <http://www.oregon.gov/ohcs>, and will be mailed to individuals or organizations upon request.

If you have any questions, or need further information, please don't hesitate to contact me at 503-986-2094 or [melissa.torgerson@state.or.us](mailto:melissa.torgerson@state.or.us).

*Melissa Torgerson*

**LOW INCOME HOME ENERGY ASSISTANCE PROGRAM (LIHEAP)**

**ABBREVIATED MODEL PLAN**

**PUBLIC LAW 97-35, AS AMENDED  
FISCAL YEAR (FY) 2010**

**GRANTEE:** State of Oregon

**EIN:** 1-99-059-2162-A4

**ADDRESS:** Department of Oregon Housing and Community Services  
Division of Community Resources  
725 Summer Street NE, Suite B  
Salem, OR 97309

**NAME OF LIHEAP COORDINATOR:** Melissa Torgerson

**EMAIL:** melissa.torgerson@state.or.us

**TELEPHONE:** (503) 986.2094

**FAX:** (503) 986.0996

**LAST DETAILED MODEL PLAN FILED:** FY 2009

**PLEASE CHECK ONE:** **TRIBE** \_\_\_\_\_ **STATE**  \_\_\_\_\_ **INSULAR AREA** \_\_\_\_\_

Department of Health and Human Services  
Administration for Children and Families  
Office of Community Services  
Washington, DC 20447

**August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01**  
**OMB Approval No. 0970-0075**  
**Expiration Date: 09/30/2011**

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Use of this model plan is optional. However, the information requested is required in order to receive a Low Income Home Energy Assistance Program (LIHEAP) grant in years in which the grantee is not permitted to file an abbreviated plan. Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

**The State of Oregon agrees to:**

(1) use the funds available under this title to--

(A) conduct outreach activities and provide assistance to low income households in meeting their home energy costs, particularly those with the lowest incomes that pay a high proportion of household income for home energy, consistent with paragraph (5);

(B) intervene in energy crisis situations;

(C) provide low-cost residential weatherization and other cost-effective energy-related home repair; and

(D) plan, develop, and administer the State's program under this title including leveraging programs,

and the State agrees not to use such funds for any purposes other than those specified in this title;

(2) make payments under this title only with respect to--

(A) households in which one or more individuals are receiving--

(i) assistance under the State program funded under part A of title IV of the Social Security Act;

(ii) supplemental security income payments under title XVI of the Social Security Act;

(iii) food stamps under the Food Stamp Act of 1977; or

(iv) payments under section 415, 521, 541, or 542 of title 38, United States Code, or under section 306 of the Veterans' and Survivors' Pension Improvement Act of 1978; or

(B) households with incomes which do not exceed the greater of—

(i) an amount equal to 150 percent of the poverty level for such State; or

(ii) an amount equal to 60 percent of the State median income;

except that a State may not exclude a household from eligibility in a fiscal year solely on the basis of household income if such income is less than 110 percent of the poverty level for such State, but the State may give priority to those households with the highest home energy costs or needs in relation to household income.

(3) conduct outreach activities designed to assure that eligible households, especially households with elderly individuals or disabled individuals, or both, and households with high home energy burdens, are made aware of the assistance available under this title, and any similar energy-related assistance available under subtitle B of title VI (relating to community services block grant program) or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(4) coordinate its activities under this title with similar and related programs administered by the Federal Government and such State, particularly low-income energy-related programs under subtitle B of title VI (relating to community services block grant program), under the supplemental security income program, under part A of title IV of the Social Security Act, under title XX of the Social Security Act, under the low-income weatherization assistance program under title IV of the Energy Conservation and Production Act, or under any other provision of law which carries out programs which were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act;

(5) provide, in a timely manner, that the highest level of assistance will be furnished to those households which have the lowest incomes and the highest energy costs or needs in relation to income, taking into account family size, except that the State may not differentiate in implementing this section between the households described in clauses 2(A) and 2(B) of this subsection;

(6) to the extent it is necessary to designate local administrative agencies in order to carry out the purposes of this title, to give special consideration, in the designation of such agencies, to any local public or private nonprofit agency which was receiving Federal funds under any low-income energy assistance program or weatherization program under the Economic Opportunity Act of 1964 or any other provision of law on the day before the date of the enactment of this Act, except that—

(A) the State shall, before giving such special consideration, determine that the agency involved meets program and fiscal requirements established by the State; and

(B) if there is no such agency because of any change in the assistance furnished to programs for economically disadvantaged persons, then the State shall give special consideration in the designation of local administrative agencies to any successor agency which is operated in substantially the same manner as the predecessor agency which did receive funds for the fiscal year preceding the fiscal year for which the determination is made;

(7) if the State chooses to pay home energy suppliers directly, establish procedures to --

(A) notify each participating household of the amount of assistance paid on its behalf;

(B) assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment made by the State under this title;

(C) assure that the home energy supplier will provide assurances that any agreement entered into with a home energy supplier under this paragraph will contain provisions to assure that no household receiving assistance under this title will be treated adversely because of such assistance under applicable provisions of State law or public regulatory requirements; and

(D) ensure that the provision of vendor payments remains at the option of the State in consultation with local grantees and may be contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households, including providing for agreements between suppliers and individuals eligible for benefits under this Act that seek to reduce home energy costs, minimize the risks of home energy crisis, and encourage regular payments by individuals receiving financial assistance for home energy costs;

(8) provide assurances that,

(A) the State will not exclude households described in clause (2)(B) of this subsection from receiving home energy assistance benefits under clause (2), and

(B) the State will treat owners and renters equitably under the program assisted under this title;

(9) provide that--

(A) the State may use for planning and administering the use of funds under this title an amount not to exceed 10 percent of the funds payable to such State under this title for a fiscal year; and

(B) the State will pay from non-Federal sources the remaining costs of planning and administering the program assisted under this title and will not use Federal funds for such remaining cost (except for the costs of the activities described in paragraph (16));

(10) provide that such fiscal control and fund accounting procedures will be established as may be necessary to assure the proper disbursement of and accounting for Federal funds paid to the State under this title, including procedures for monitoring the assistance provided under this title, and provide that the State will comply with the provisions of chapter 75 of title 31, United States Code (commonly known as the "Single Audit Act");

(11) permit and cooperate with Federal investigations undertaken in accordance with section 2608;

(12) provide for timely and meaningful public participation in the development of the plan described in subsection (c);

(13) provide an opportunity for a fair administrative hearing to individuals whose claims for assistance under the plan described in subsection (c) are denied or are not acted upon with reasonable promptness; and

(14) cooperate with the Secretary with respect to data collecting and reporting under section 2610.

(15) \* beginning in fiscal year 1992, provide, in addition to such services as may be offered by State Departments of Public Welfare at the local level, outreach and intake functions for crisis situations and heating and cooling assistance that is administered by additional State and local governmental entities or community-based organizations (such as community action agencies, area agencies on aging and not-for-profit neighborhood-based organizations), and in States where such organizations do not administer functions as of September 30, 1991, preference in awarding grants or contracts for intake services shall be provided to those agencies that administer the low-income weatherization or energy crisis intervention programs.

(16) use up to 5 percent of such funds, at its option, to provide services that encourage and enable households to reduce their home energy needs and thereby the need for energy assistance, including needs assessments, counseling, and assistance with energy vendors, and report to the Secretary concerning the impact of such activities on the number of households served, the level of direct benefits provided to those households, and the number of households that remain unserved.

**Certification to the Assurances:**

**As Chief Executive Officer, I agree to comply with the sixteen assurances contained in Title XXVI of the Omnibus Budget Reconciliation Act of 1981, as amended.\* By signing these assurances, I also agree to abide by the standard assurances on lobbying, debarment and suspension and a drug-free workplace.**

**Signature:** \_\_\_\_\_

**Title:**           **Governor, State of Oregon**

**Date:** \_\_\_\_\_

In the above assurances which are quoted from the law, "State" means the 50 States, the District of Columbia, an Indian Tribe or Tribal Organization, or a Territory; "title" of the Act refers to Title XXVI of the Omnibus Budget Reconciliation Act of 1981 (OBRA), as amended, the "Low Income Home Energy Assistance Act"; "section" means Section 2605 of OBRA; and, "subsection" refers to Section 2605(b) of OBRA.

**Summary of Modifications from PY 2009 Oregon LIHEAP Detailed State Plan:**

Page	Subject	Modification
Page 8	Benefit Levels, Matrix Pilot	In an effort to assure that the “highest level of assistance will be furnished to those households which have the lowest incomes and the highest energy costs or needs in relation to income” [2605(b)(5)], the State of Oregon will be piloting an alternative benefit matrix during PY 2010.

The formula is as follows:

**Energy Burden =  $\frac{\text{Average Annual Energy Costs}}{\text{Annual Income}}$**

↓

Energy Burden (%)	→	Maximum Benefit Level*
Less than 11%	=	20% Annual Energy Costs
11% to 40%	=	25% Annual Energy Costs
40% to 80%	=	30% Annual Energy Costs
Greater than 80%	=	35% Annual Energy Costs

\*Minimum = \$200, Maximum = \$550

Average annual energy costs are calculated based on fuel type, number of individuals in the household and climate region. Data for all calculations were gathered from the Energy Information Administration ([www.eia.gov](http://www.eia.gov)). More detailed information can be found in Attachment B (pages 11-15) of this abbreviated plan.

The pilot formula also accounts for subsidized housing--assuming that at least 30% of subsidized household energy costs are covered by utility allowances. The Oregon Department of Housing and Community Services recognizes that subsidized housing and utility allowances vary dramatically between households and regions. **Therefore, local subgrantees are provided discretion to adjust a household benefit accordingly in cases where a large**

**portion of energy costs are subsidized (e.g. heat included in rent) or provide supplemental assistance when subsidized households are paying more than 70% of their energy costs out of pocket.** Sub-grantees must outline procedures to ensure consistent application of any such exceptions within their agency work plans.

The new matrix provides maximum benefit guidelines for standard heating assistance only, and does not affect any other component of the Oregon LIHEAP program (including Crisis assistance and local pilot efforts).

In addition to evaluating the overall effectiveness of the pilot matrix, OHCS will conduct research during PY 2010 to assess the energy burden of subsidized households across Oregon. Findings from all research will help the department develop and improve energy assistance programs to better serve low income Oregonians, as well as ensure compliance with the LIHEAP statute 2605(b)(5).

**Page 9 Heat and Eat Program**

In PY 2009, the State of Oregon introduced the “Heat and Eat” pilot program—providing a \$1 annual benefit to food stamp recipient households who pay their utilities in the form of rent. This one-time payment will increase the amount of food stamps households can receive during the program year.

The primary intent of this program is to generate additional financial resources for vulnerable households, increasing the likelihood that low-income Oregonians will maintain affordable housing, and sustain heat. The Heat and Eat Program also bolsters outreach efforts as outlined in Section 2605b(3) and program coordination in 2605b(4) of the LIHEAP Statute.

The Oregon Department of Housing and Community Services plans to continue the Heat and Eat program in PY 2010.

**Page 10 Other Equipment Repair**

Other Equipment Repair/Replacement will be added to the list of crisis assistance options. This allows local agencies to repair household

appliances or equipment necessary to alleviate heating/energy crises.

**Page 10 Maximum Crisis Benefits**

Due to rising costs, the State of Oregon is raising the maximum benefit level for furnace repair or replacement to \$5000. This cap includes other equipment repair (see above) or any combination of household “repair-based” services necessary to alleviate heating/energy crises.

**Page 10 Maximum Benefit Exceptions**

As with standard benefits, coordinators are encouraged to contact the state LIHEAP coordinator if they feel extreme circumstances warrant an exception to the maximum benefit guidelines.

**Page 12 Assurance 16 Programs**

OHCS would like to explicitly add energy assistance in the form of incentive, supplemental and co-payments to the list of allowable uses for local Assurance 16 Program funding.

**Page 20 Income Guidelines**

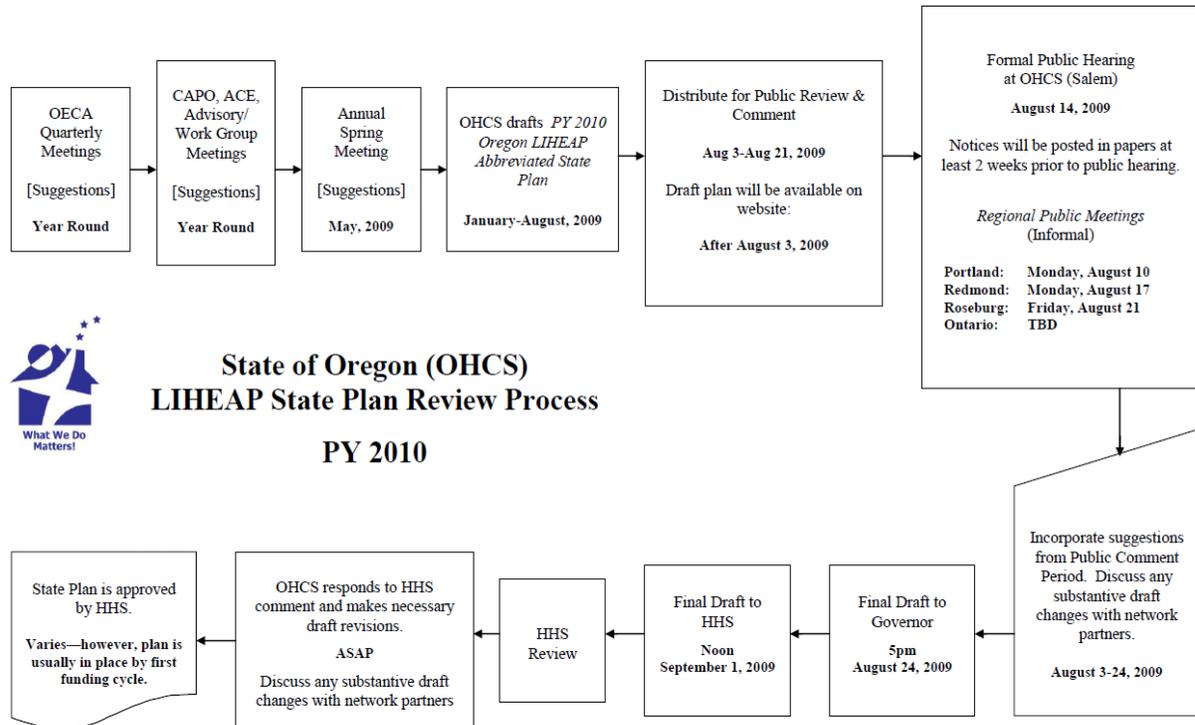
The State of Oregon will be using 60% State Median Income standards to determine eligibility for the LIHEAP Program as follows:

**60% of State Median Income by Household Size  
For Use in Federal Fiscal Year 2010  
Estimated State Median by Household Size – Source HHS**

Household Unit Size	Annual Income	Monthly Income
1	\$21,093	\$1,757.75
2	\$27,583	\$2,298.58
3	\$34,073	\$2,839.42
4	\$40,563	\$3,380.25
5	\$47,053	\$3,921.08
6	\$53,543	\$4,461.92
7	\$54,760	\$4,563.32
8	\$55,977	\$4,664.73
9	\$57,194	\$4,766.14
10	\$58,411	\$4,867.55
11	\$59,629	\$4,968.95
12	\$60,844	\$5,070.36
<b>Each Additional Member</b>	<b>\$1217</b>	<b>\$101.42</b>

## Overview of Public Comment Process:

Collaboration on the Oregon LIHEAP State Plan happens informally in the form of regular meetings with network partners, advisors and stakeholders throughout the program year. The formal PY 2010 State Plan Review Process is outlined below:



## Public Comment Period

The public comment period for the 2010 Draft LIHEAP State Plan is August 3, 2009 through August 21, 2009. Notices were sent to partners, as well as posted in major newspapers around the state. Copies of the draft plan will be available online at [www.oregon.gov/ohcs](http://www.oregon.gov/ohcs) after August 3, 2009, and can be mailed to individuals or organizations upon request.

## Public Hearing

There will be a Public Hearing on the proposed 2010 Low Income Home Energy Assistance Program (LIHEAP) Abbreviated State Plan for Oregon:

Date: Friday, August 14, 2009  
 Time: 9:00am-12:00pm  
 Place: North Mall Office Building  
 Conference Room 124A  
 725 Summer Street NE, Suite B  
 Salem, Oregon 97301

The purpose of this hearing is to obtain public comment on the proposed 2010 LIHEAP Abbreviated State Plan for Oregon. Persons interested in commenting on the draft plan are invited to attend the public hearing. If no one has shown to make public comments by 10:00am on Friday, August 14, 2009, we will close the public hearing.

Statements will be recorded and transcribed, then submitted to HHS as part of the PY 2010 Oregon LIHEAP Abbreviated State Plan.

### **Written Comment**

Written comments will be accepted as long as they are received by 5:00pm, Friday August 21, 2009. All written correspondence will be placed into record, and submitted to HHS as part of the PY 2010 Oregon LIHEAP Abbreviated State Plan.

### **Regional Meetings**

In addition to the above noted public hearing, there will be four informal regional meetings tentatively held throughout the State of Oregon as follows:

**Portland: August 10, 2009**  
**1:00pm-3:00pm**

Multnomah Building  
First Floor Board Room  
501 SE Hawthorne  
Portland, OR 97214

**Redmond: August 17, 2009**  
**1:30pm-3:30pm**

NeighborImpact  
2303 SW First Street  
Building C  
Redmond, OR 97756

**Roseburg: August 21, 2009**  
**10:30-Noon**

United Community Action Network  
280 Kenneth Ford Drive  
Roseburg, OR 97470

**Ontario: Date and Location to be Determined**

The purpose of these meetings is to gather public suggestions regarding the PY 2010 LIHEAP Proposed State Plan in a more regionally accessible manner. Comments from local meetings will be summarized and submitted to HHS as part of the PY 2010 Oregon LIHEAP Abbreviated State Plan.

**HDD Region #1**

Benton, Clackamas, Clatsop, Columbia, Coos, Curry, Douglas, Hood River, Jackson, Josephine, Lane, Lincoln, Linn, Marion, Morrow, Multnomah, Polk, Tillamook, Umatilla, Wasco, Washington, Yamhill

	# in HH	Non-Subsidized			Subsidized		
		Income Range		Max Benefit	Income Range		Max Benefit
<b>Electricity</b>	1	≥	\$10,432	\$209	≥	\$7,302	\$200
		\$2,608 to	\$10,431	\$261	\$1,826 to	\$7,301	\$200
		\$1,304 to	\$2,607	\$313	\$913 to	\$1,825	\$219
		≤	\$1,303	\$365	≤	\$912	\$256
	2	≥	\$14,224	\$284	≥	\$9,957	\$200
		\$3,556 to	\$14,223	\$356	\$2,489 to	\$9,956	\$249
		\$1,778 to	\$3,555	\$427	\$1,245 to	\$2,488	\$299
	≤	\$1,777	\$498	≤	\$1,244	\$348	
3	≥	\$15,360	\$307	≥	\$10,752	\$215	
	\$3,840 to	\$15,359	\$384	\$2,688 to	\$10,751	\$269	
	\$1,920 to	\$3,839	\$461	\$1,344 to	\$2,687	\$323	
	≤	\$1,919	\$538	≤	\$1,343	\$376	
4	≥	\$15,995	\$320	≥	\$11,197	\$224	
	\$3,999 to	\$15,994	\$400	\$2,799 to	\$11,196	\$280	
	\$1,999 to	\$3,998	\$480	\$1,400 to	\$2,798	\$336	
	≤	\$1,998	\$550	≤	\$1,399	\$392	
5	≥	\$17,279	\$346	≥	\$12,095	\$242	
	\$4,320 to	\$17,278	\$432	\$3,024 to	\$12,094	\$302	
	\$2,160 to	\$4,319	\$518	\$1,512 to	\$3,023	\$363	
	≤	\$2,159	\$550	≤	\$1,511	\$423	
6+	≥	\$18,267	\$365	≥	\$12,787	\$256	
	\$4,567 to	\$18,266	\$457	\$3,197 to	\$12,786	\$320	
	\$2,283 to	\$4,566	\$548	\$1,598 to	\$3,196	\$384	
	≤	\$2,282	\$550	≤	\$1,597	\$448	
<b>Natural Gas</b>	1	≥	\$10,153	\$203	≥	\$7,107	\$200
		\$2,538 to	\$10,152	\$254	\$1,777 to	\$7,106	\$200
		\$1,269 to	\$2,537	\$305	\$888 to	\$1,776	\$213
		≤	\$1,268	\$355	≤	\$887	\$249
	2	≥	\$13,844	\$277	≥	\$9,691	\$200
		\$3,461 to	\$13,843	\$346	\$2,423 to	\$9,690	\$242
		\$1,731 to	\$3,460	\$415	\$1,211 to	\$2,422	\$291
		≤	\$1,730	\$485	≤	\$1,210	\$339
	3	≥	\$14,950	\$299	≥	\$10,465	\$209
		\$3,738 to	\$14,949	\$374	\$2,616 to	\$10,464	\$262
		\$1,869 to	\$3,737	\$449	\$1,308 to	\$2,615	\$314
		≤	\$1,868	\$523	≤	\$1,307	\$366
	4	≥	\$15,568	\$311	≥	\$10,898	\$218
		\$3,892 to	\$15,567	\$389	\$2,724 to	\$10,897	\$272
\$1,946 to		\$3,891	\$467	\$1,362 to	\$2,723	\$327	
	≤	\$1,945	\$545	≤	\$1,361	\$381	
5	≥	\$16,817	\$336	≥	\$11,772	\$235	
	\$4,204 to	\$16,816	\$420	\$2,943 to	\$11,771	\$294	
	\$2,102 to	\$4,203	\$505	\$1,472 to	\$2,942	\$353	
	≤	\$2,101	\$550	≤	\$1,471	\$412	
6+	≥	\$17,779	\$356	≥	\$12,446	\$249	
	\$4,445 to	\$17,778	\$444	\$3,111 to	\$12,445	\$311	
	\$2,222 to	\$4,444	\$533	\$1,556 to	\$3,110	\$373	
	≤	\$2,221	\$550	≤	\$1,555	\$436	

**HDD Region #1**  
 Benton, Clackamas, Clatsop, Columbia, Coos, Curry, Douglas, Hood River, Jackson, Josephine, Lane, Lincoln,  
 Linn, Marion, Morrow, Multnomah, Polk, Tillamook, Umatilla, Wasco, Washington, Yamhill

		Non-Subsidized			Subsidized		
		# in HH	Income Range	Max Benefit	Income Range	Max Benefit	
<b>Oil</b>	1		≥ \$14,652 \$3,663 to \$14,651 \$1,832 to \$3,662 ≤ \$1,831	\$293 \$366 \$440 \$513	≥ \$10,257 \$2,564 to \$10,256 \$1,282 to \$2,563 ≤ \$1,281	\$205 \$256 \$308 \$359	
	2		≥ \$19,979 \$4,995 to \$19,978 \$2,497 to \$4,994 ≤ \$2,496	\$400 \$499 \$550 \$550	≥ \$13,985 \$3,496 to \$13,984 \$1,748 to \$3,495 ≤ \$1,747	\$280 \$350 \$420 \$489	
	3		≥ \$21,575 \$5,394 to \$21,574 \$2,697 to \$5,393 ≤ \$2,696	\$431 \$539 \$550 \$550	≥ \$15,102 \$3,776 to \$15,101 \$1,888 to \$3,775 ≤ \$1,887	\$302 \$378 \$453 \$529	
	4		≥ \$22,466 \$5,616 to \$22,465 \$2,808 to \$5,615 ≤ \$2,807	\$449 \$550 \$550 \$550	≥ \$15,726 \$3,932 to \$15,725 \$1,966 to \$3,931 ≤ \$1,965	\$315 \$393 \$472 \$550	
	5		≥ \$24,269 \$6,067 to \$24,268 \$3,034 to \$6,066 ≤ \$3,033	\$485 \$550 \$550 \$550	≥ \$16,988 \$4,247 to \$16,987 \$2,124 to \$4,246 ≤ \$2,123	\$340 \$425 \$510 \$550	
	6+		≥ \$25,657 \$6,414 to \$25,656 \$3,207 to \$6,413 ≤ \$3,206	\$513 \$550 \$550 \$550	≥ \$17,960 \$4,490 to \$17,959 \$2,245 to \$4,489 ≤ \$2,244	\$359 \$449 \$539 \$550	
	<b>Liquid Fuel (e.g. Propane)</b>	1		≥ \$11,481 \$2,870 to \$11,480 \$1,435 to \$2,869 ≤ \$1,434	\$230 \$287 \$344 \$402	≥ \$8,037 \$2,009 to \$8,036 \$1,005 to \$2,008 ≤ \$1,004	\$200 \$201 \$241 \$281
2			≥ \$15,655 \$3,914 to \$15,654 \$1,957 to \$3,913 ≤ \$1,956	\$313 \$391 \$470 \$548	≥ \$10,958 \$2,740 to \$10,957 \$1,370 to \$2,739 ≤ \$1,369	\$219 \$274 \$329 \$384	
3			≥ \$16,905 \$4,226 to \$16,904 \$2,113 to \$4,225 ≤ \$2,112	\$338 \$423 \$507 \$550	≥ \$11,833 \$2,958 to \$11,832 \$1,479 to \$2,957 ≤ \$1,478	\$237 \$296 \$355 \$414	
4			≥ \$17,603 \$4,401 to \$17,602 \$2,200 to \$4,400 ≤ \$2,199	\$352 \$440 \$528 \$550	≥ \$12,322 \$3,081 to \$12,321 \$1,540 to \$3,080 ≤ \$1,539	\$246 \$308 \$370 \$431	
5			≥ \$19,016 \$4,754 to \$19,015 \$2,377 to \$4,753 ≤ \$2,376	\$380 \$475 \$550 \$550	≥ \$13,311 \$3,328 to \$13,310 \$1,664 to \$3,327 ≤ \$1,663	\$266 \$333 \$399 \$466	
6+			≥ \$20,104 \$5,026 to \$20,103 \$2,513 to \$5,025 ≤ \$2,512	\$402 \$503 \$550 \$550	≥ \$14,073 \$3,518 to \$14,072 \$1,759 to \$3,517 ≤ \$1,758	\$281 \$352 \$422 \$493	

**HDD Region #1**

Benton, Clackamas, Clatsop, Columbia, Coos, Curry, Douglas, Hood River, Jackson, Josephine, Lane, Lincoln, Linn, Marion, Morrow, Multnomah, Polk, Tillamook, Umatilla, Wasco, Washington, Yamhill

	Non-Subsidized			Subsidized			
	# in HH	Income Range	Max Benefit	Income Range	Max Benefit		
<b>Wood/Pellet</b>	1	≥	\$6,089	\$200	≥	\$4,262	\$200
		\$1,522 to	\$6,088	\$200	\$1,066 to	\$4,261	\$200
		\$761 to	\$1,521	\$200	\$533 to	\$1,065	\$200
		≤	\$760	\$213	≤	\$532	\$200
	2	≥	\$8,302	\$200	≥	\$5,812	\$200
		\$2,076 to	\$8,301	\$208	\$1,453 to	\$5,811	\$200
		\$1,038 to	\$2,075	\$249	\$726 to	\$1,452	\$200
		≤	\$1,037	\$291	≤	\$725	\$203
	3	≥	\$8,965	\$200	≥	\$6,276	\$200
		\$2,241 to	\$8,964	\$224	\$1,569 to	\$6,275	\$200
		\$1,121 to	\$2,240	\$269	\$784 to	\$1,568	\$200
		≤	\$1,120	\$314	≤	\$783	\$220
4	≥	\$9,336	\$200	≥	\$6,535	\$200	
	\$2,334 to	\$9,335	\$233	\$1,634 to	\$6,534	\$200	
	\$1,167 to	\$2,333	\$280	\$817 to	\$1,633	\$200	
	≤	\$1,166	\$327	≤	\$816	\$229	
5	≥	\$10,085	\$202	≥	\$7,059	\$200	
	\$2,521 to	\$10,084	\$252	\$1,765 to	\$7,058	\$200	
	\$1,261 to	\$2,520	\$303	\$882 to	\$1,764	\$212	
	≤	\$1,260	\$353	≤	\$881	\$247	
6+	≥	\$10,662	\$213	≥	\$7,463	\$200	
	\$2,665 to	\$10,661	\$267	\$1,866 to	\$7,462	\$200	
	\$1,333 to	\$2,664	\$320	\$933 to	\$1,865	\$224	
	≤	\$1,332	\$373	≤	\$932	\$261	

**HDD Region #2**

Baker, Crook, Deschutes, Gilliam, Grant, Harney, Jefferson, Klamath, Lake, Malheur, Sherman, Union, Wallowa, Wheeler

	Non-Subsidized			Subsidized			
	# in HH	Income Range	Max Benefit	Income Range	Max Benefit		
<b>Electricity</b>	1	≥	\$11,380	\$228	≥	\$7,966	\$200
		\$2,845 to	\$11,379	\$285	\$1,992 to	\$7,965	\$200
		\$1,423 to	\$2,844	\$341	\$996 to	\$1,991	\$239
		≤	\$1,422	\$398	≤	\$995	\$279
	2	≥	\$15,518	\$310	≥	\$10,862	\$217
		\$3,879 to	\$15,517	\$388	\$2,716 to	\$10,861	\$272
		\$1,940 to	\$3,878	\$466	\$1,358 to	\$2,715	\$326
		≤	\$1,939	\$543	≤	\$1,357	\$380
	3	≥	\$16,757	\$335	≥	\$11,730	\$235
		\$4,189 to	\$16,756	\$419	\$2,932 to	\$11,729	\$293
		\$2,095 to	\$4,188	\$503	\$1,466 to	\$2,931	\$352
		≤	\$2,094	\$550	≤	\$1,465	\$411
4	≥	\$17,449	\$349	≥	\$12,214	\$244	
	\$4,362 to	\$17,448	\$436	\$3,054 to	\$12,213	\$305	
	\$2,181 to	\$4,361	\$523	\$1,527 to	\$3,053	\$366	
	≤	\$2,180	\$550	≤	\$1,526	\$428	
5	≥	\$18,850	\$377	≥	\$13,195	\$264	
	\$4,712 to	\$18,849	\$471	\$3,299 to	\$13,194	\$330	
	\$2,356 to	\$4,711	\$550	\$1,649 to	\$3,298	\$396	
	≤	\$2,355	\$550	≤	\$1,648	\$462	
6+	≥	\$19,928	\$399	≥	\$13,950	\$279	
	\$4,982 to	\$19,927	\$498	\$3,487 to	\$13,949	\$349	
	\$2,491 to	\$4,981	\$550	\$1,744 to	\$3,486	\$418	
	≤	\$2,490	\$550	≤	\$1,743	\$488	

**HDD Region #2**  
 Baker, Crook, Deschutes, Gilliam, Grant, Harney, Jefferson, Klamath, Lake, Malheur, Sherman, Union, Wallowa,  
 Wheeler

		Non-Subsidized			Subsidized		
		# in HH	Income Range	Max Benefit	Income Range		Max Benefit
<b>Natural Gas</b>	1	≥	\$11,077	\$222	≥	\$7,754	\$200
		\$2,769 to	\$11,076	\$277	\$1,938 to	\$7,753	\$200
		\$1,385 to	\$2,768	\$332	\$969 to	\$1,937	\$233
		≤	\$1,384	\$388	≤	\$968	\$271
	2	≥	\$15,103	\$302	≥	\$10,572	\$211
		\$3,776 to	\$15,102	\$378	\$2,643 to	\$10,571	\$264
\$1,888 to		\$3,775	\$453	\$1,322 to	\$2,642	\$317	
≤	\$1,887	\$529	≤	\$1,321	\$370		
3	≥	\$16,309	\$326	≥	\$11,417	\$228	
	\$4,077 to	\$16,308	\$408	\$2,854 to	\$11,416	\$285	
	\$2,039 to	\$4,076	\$489	\$1,427 to	\$2,853	\$342	
≤	\$2,038	\$550	≤	\$1,426	\$400		
4	≥	\$16,983	\$340	≥	\$11,888	\$238	
	\$4,246 to	\$16,982	\$425	\$2,972 to	\$11,887	\$297	
	\$2,123 to	\$4,245	\$509	\$1,486 to	\$2,971	\$357	
≤	\$2,122	\$550	≤	\$1,485	\$416		
5	≥	\$18,346	\$367	≥	\$12,842	\$257	
	\$4,587 to	\$18,345	\$459	\$3,211 to	\$12,841	\$321	
	\$2,293 to	\$4,586	\$550	\$1,605 to	\$3,210	\$385	
≤	\$2,292	\$550	≤	\$1,604	\$449		
6+	≥	\$19,396	\$388	≥	\$13,577	\$272	
	\$4,849 to	\$19,395	\$485	\$3,394 to	\$13,576	\$339	
	\$2,424 to	\$4,848	\$550	\$1,697 to	\$3,393	\$407	
≤	\$2,423	\$550	≤	\$1,696	\$475		
<b>Oil</b>	1	≥	\$15,985	\$320	≥	\$11,189	\$224
		\$3,996 to	\$15,984	\$400	\$2,797 to	\$11,188	\$280
		\$1,998 to	\$3,995	\$480	\$1,399 to	\$2,796	\$336
		≤	\$1,997	\$550	≤	\$1,398	\$392
	2	≥	\$21,795	\$436	≥	\$15,257	\$305
		\$5,449 to	\$21,794	\$545	\$3,814 to	\$15,256	\$381
		\$2,724 to	\$5,448	\$550	\$1,907 to	\$3,813	\$458
	≤	\$2,723	\$550	≤	\$1,906	\$534	
	3	≥	\$23,536	\$471	≥	\$16,475	\$330
		\$5,884 to	\$23,535	\$550	\$4,119 to	\$16,474	\$412
		\$2,942 to	\$5,883	\$550	\$2,059 to	\$4,118	\$494
	≤	\$2,941	\$550	≤	\$2,058	\$550	
4	≥	\$24,508	\$490	≥	\$17,156	\$343	
	\$6,127 to	\$24,507	\$550	\$4,289 to	\$17,155	\$429	
	\$3,064 to	\$6,126	\$550	\$2,144 to	\$4,288	\$515	
≤	\$3,063	\$550	≤	\$2,143	\$550		
5	≥	\$26,475	\$530	≥	\$18,533	\$371	
	\$6,619 to	\$26,474	\$550	\$4,633 to	\$18,532	\$463	
	\$3,309 to	\$6,618	\$550	\$2,317 to	\$4,632	\$550	
≤	\$3,308	\$550	≤	\$2,316	\$550		
6+	≥	\$27,990	\$550	≥	\$19,593	\$392	
	\$6,997 to	\$27,989	\$550	\$4,898 to	\$19,592	\$490	
	\$3,499 to	\$6,996	\$550	\$2,449 to	\$4,897	\$550	
≤	\$3,498	\$550	≤	\$2,448	\$550		

**HDD Region #2**

Baker, Crook, Deschutes, Gilliam, Grant, Harney, Jefferson, Klamath, Lake, Malheur, Sherman, Union, Wallowa, Wheeler

		Non-Subsidized			Subsidized		
		# in HH	Income Range	Max Benefit	Income Range	Max Benefit	
<b>Liquid Fuel (e.g. Propane)</b>	1		≥ \$12,525 \$3,131 to \$12,524 \$1,566 to \$3,130 ≤ \$1,565	\$250 \$313 \$376 \$438	≥ \$8,767 \$2,192 to \$8,766 \$1,096 to \$2,191 ≤ \$1,095	\$200 \$219 \$263 \$307	
	2		≥ \$17,078 \$4,269 to \$17,077 \$2,135 to \$4,268 ≤ \$2,134	\$342 \$427 \$512 \$550	≥ \$11,954 \$2,989 to \$11,953 \$1,494 to \$2,988 ≤ \$1,493	\$239 \$299 \$359 \$418	
	3		≥ \$18,442 \$4,610 to \$18,441 \$2,305 to \$4,609 ≤ \$2,304	\$369 \$461 \$550 \$550	≥ \$12,909 \$3,227 to \$12,908 \$1,614 to \$3,226 ≤ \$1,613	\$258 \$323 \$387 \$452	
	4		≥ \$19,204 \$4,801 to \$19,203 \$2,400 to \$4,800 ≤ \$2,399	\$384 \$480 \$550 \$550	≥ \$13,443 \$3,361 to \$13,442 \$1,680 to \$3,360 ≤ \$1,679	\$269 \$336 \$403 \$470	
	5		≥ \$20,745 \$5,186 to \$20,744 \$2,593 to \$5,185 ≤ \$2,592	\$415 \$519 \$550 \$550	≥ \$14,521 \$3,630 to \$14,520 \$1,815 to \$3,629 ≤ \$1,814	\$290 \$363 \$436 \$508	
	6+		≥ \$21,932 \$5,483 to \$21,931 \$2,741 to \$5,482 ≤ \$2,740	\$439 \$548 \$550 \$550	≥ \$15,352 \$3,838 to \$15,351 \$1,919 to \$3,837 ≤ \$1,918	\$307 \$384 \$461 \$537	
<b>Wood/Pellet</b>	1		≥ \$6,642 \$1,661 to \$6,641 \$830 to \$1,660 ≤ \$829	\$200 \$200 \$200 \$232	≥ \$4,650 \$1,162 to \$4,649 \$581 to \$1,161 ≤ \$580	\$200 \$200 \$200 \$200	
	2		≥ \$9,057 \$2,264 to \$9,056 \$1,132 to \$2,263 ≤ \$1,131	\$200 \$226 \$272 \$317	≥ \$6,340 \$1,585 to \$6,339 \$792 to \$1,584 ≤ \$791	\$200 \$200 \$200 \$222	
	3		≥ \$9,780 \$2,445 to \$9,779 \$1,223 to \$2,444 ≤ \$1,222	\$200 \$245 \$293 \$342	≥ \$6,846 \$1,712 to \$6,845 \$856 to \$1,711 ≤ \$855	\$200 \$200 \$205 \$240	
	4		≥ \$10,184 \$2,546 to \$10,183 \$1,273 to \$2,545 ≤ \$1,272	\$204 \$255 \$306 \$356	≥ \$7,129 \$1,782 to \$7,128 \$891 to \$1,781 ≤ \$890	\$200 \$200 \$214 \$250	
	5		≥ \$11,002 \$2,750 to \$11,001 \$1,375 to \$2,749 ≤ \$1,374	\$220 \$275 \$330 \$385	≥ \$7,701 \$1,925 to \$7,700 \$963 to \$1,924 ≤ \$962	\$200 \$200 \$231 \$270	
	6+		≥ \$11,631 \$2,908 to \$11,630 \$1,454 to \$2,907 ≤ \$1,453	\$233 \$291 \$349 \$407	≥ \$8,142 \$2,035 to \$8,141 \$1,018 to \$2,034 ≤ \$1,017	\$200 \$204 \$244 \$285	

**CERTIFICATION REGARDING LOBBYING**

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

---

**Victor Merced**  
Director, Oregon Housing and Community Services

---

Date

## CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

---

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

---

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

*Controlled substance* means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

*Conviction* means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

*Criminal drug statute* means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

*Employee* means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

**The grantee certifies that it will or will continue to provide a drug-free workplace by:**

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about --
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs;
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted --

Attachment D—Certification of Drug Free Workplace

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).
- (B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

**North Mall Office Building  
725 Summer Street, N.E.  
Salem, Oregon 97301**

DRAFT

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS**

Primary Covered Transactions --Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\*\*\*\*\*

**(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:**

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Lower Tier Covered Transactions--Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, [[Page 33043]] should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\*\*\*\*\*

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.**
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.**