



# **SUPPLEMENT FOR WHOLESALE LICENSES & CERTIFICATES OF APPROVAL**

The information in this supplement outlines the required forms and documents needed for the above type of license. This supplement also lists the privileges for this type of license. The supplement is intended to be used in conjunction with the Liquor License Application Guide.

# **WHOLESALE MALT BEVERAGE & WINE LICENSE** **(WMBW)**

## **This license allows the holder to:**

- ✓ Import, store, transport, distribute and make wholesale sales of malt beverages, wine and cider to OLCC licensed retailers.
- ✓ Export wine, cider and malt beverages.
- ✓ Import, sell to OLCC and export wine of alcoholic content in excess of 21 percent alcohol by volume.
- ✓ Sell naturally fermented wine or cider in quantities from 4 gallons to 55 gallons at any one time to consumers for consumption off the licensed premises.
- ✓ Sell malt beverages with not more than 8 percent alcohol by volume in quantities of not less than 5 gallons to any unlicensed organization, lodge, picnic party or private gathering. The unlicensed party may not sell the malt beverages.
- ✓ Serve sample tastings of malt beverages, wine or cider at alcoholic beverage industry trade shows, seminars and conventions and at alcoholic beverage industry sample tastings for employees of retail licensees.

## **The holder may not:**

- ☹ Sell any alcoholic liquor for consumption on the licensed premises.

**ANNUAL FEE:** \$275

**BUREAU OF ALCOHOL, TOBACCO & FIREARMS:** There are BATF requirements for this license. See the Guide for details.

**BOND:** Every WMBW applicant and licensee must provide (and at all times maintain) a bond acceptable to the OLCC and payable to the OLCC. Once your application has been accepted, contact OLCC's Privilege Tax Auditor at 1-800-452-6522 for more information.

**LOCAL GOVERNMENT RECOMMENDATION:** See the *Local Government Recommendation* section of the Guide.

**LAW ORIENTATION:** See the *Law Orientation* section of the Guide.

## REQUIRED OLCC FORMS:

Applicants must **fully and accurately** complete and submit the following OLCC forms. Please refer to the Guide for information on completing the forms:

- Liquor License Application
- Individual History (all individual applicants and managers; corporate officers, directors and major stockholders; all limited liability company members and managers; and all limited and general partners)
- Statement of Funding
- Franchise Agreement Summary (if applicable)
- Corporate Questionnaire (if applying as a corporation)
- Limited Liability Questionnaire (if applying as an LLC)
- Limited Partnership Questionnaire (if applying as a limited partnership)
- Business Information

## DOCUMENTS APPLICANTS MUST PROVIDE (copies only):

- Real estate and personal property (equipment, furnishings, etc.) leases
- Real estate and personal property purchase contracts
- Corporate applicants only: Copies of filed articles of incorporation, meeting minutes designating current officers, directors and stock holders, and a copy of the stock subscription agreement
- LLC applicants only: Copies of the LLC's operating agreement and filed articles of organization
- Limited partnership applicants only: copy of the Limited Partnership Agreement and a certificate of partnership **or** a letter of acknowledgement from the state of Oregon
- Franchisees only: Franchise Agreement
- Non-Oregon corporate, LLC and limited partnerships only: filed authority to conduct business in Oregon
- Territorial agreement(s) for sale of malt beverages. Contact OLCC's Wholesale Manufacturing Specialist at 1-800-452-6522 for more information.

# **WAREHOUSE LICENSE (WH)**

A warehouse must be physically secure in an area zoned for the intended use and be physically separated from any other use.

## **This license allows the holder to:**

- ✓ Store, import, bottle, produce, blend, transport and export nontax paid, bonded wine or wine on which the tax is paid
- ✓ Store, import and export nontax paid and tax paid malt beverages and cider

## **Wine, cider and malt beverages may be removed from the licensed premises only to:**

- ✓ Sell for export
- ✓ Sell or ship to a Wholesale Malt Beverage and Wine licensee
- ✓ Sell or ship to another warehouse licensee
- ✓ Sell or ship to a winery licensee
- ✓ Ship wine or cider to a retail licensee of OLCC **if** the wine is produced by an licensed Oregon winery and sold to the retail licensee by the winery

**ANNUAL FEE:** \$100

**BUREAU OF ALCOHOL, TOBACCO & FIREARMS:** To qualify for this license, you must be registered and approved as a bonded wine cellar or winery by the BATF. There are additional BATF requirements for this license. See the Guide for details.

**BOND:** Every Warehouse applicant and licensee must provide (and at all times maintain) a bond acceptable to the OLCC and payable to the OLCC. Once your application has been accepted, contact OLCC's Privilege Tax Auditor at 1-800-452-6522 for more information.

**LOCAL GOVERNMENT RECOMMENDATION:** See the *Local Government Recommendation* section of the Guide.

**LAW ORIENTATION:** See the *Law Orientation* section of the Guide.

## **REQUIRED OLCC FORMS:**

**Applicants must fully and accurately complete and submit the following OLCC forms. Please refer to the Guide for information on completing the forms:**

- Liquor License Application
- Individual History (all individual applicants and managers; corporate officers, directors and major stockholders; all limited liability company members and managers; and all limited and general partners)
- Statement of Funding
- Business Information
- Corporate Questionnaire (if applying as a corporation)
- Limited Liability Questionnaire (if applying as an LLC)
- Limited Partnership Questionnaire (if applying as a limited partnership)

## **DOCUMENTS APPLICANTS MUST PROVIDE (copies only):**

- Bond (see above)
- Real estate and personal property (equipment, furnishings, etc.) leases
- Real estate and personal property purchase contracts
- Corporate applicants only: Copies of filed articles of incorporation, meeting minutes designating current officers, directors and stock holders, and a copy of the stock subscription agreement
- LLC applicants only: Copies of the LLC's operating agreement and filed articles of organization
- Limited partnership applicants only: copy of the Limited Partnership Agreement and a certificate of partnership **or** a letter of acknowledgement from the state of Oregon
- Franchisees only: Franchise Agreement
- Non-Oregon corporate, LLC and limited partnerships only: filed authority to conduct business in Oregon
- Written description detailing how you will maintain the physical security of the warehouse
- Territorial agreement(s) for sale of malt beverages. Contact OLCC's Wholesale Manufacturing Specialist at 1-800-452-6522 for more information.
- Copy of your BATF approved registration as a bonded wine cellar or winery
- New outlet applicants only: verification of appropriate zoning.

# **CERTIFICATE OF APPROVAL (CERA)**

This certificate allows an out-of-state manufacturer or importer to ship wine, cider and malt beverages to a licensed Oregon wholesaler, and allows Oregon wholesalers to import foreign (out of the country) wine, cider and malt beverages for resale in Oregon.

## **Certificate holders must:**

- ✓ Furnish a monthly report to the OLCC showing the quantity of malt beverages, cider or wine delivered to each licensee during the preceding month
- ✓ Enter into territorial agreements with manufacturers, authorized importers and wholesalers for malt beverages only
- ✓ Comply with BATF and OLCC requirements for labeling alcoholic beverages (Oregon does not require pre-approval unless the label is a private label containing an Oregon retailer's trade name, trademark, or other words or symbols identifiable with the retailer)
- ✓ Comply with Oregon's Bottle Bill, which requires a refund statement on each container (the refund statement may be a sticker applied by the wholesaler)

**CERTIFICATE FEE:** \$175 for a 5-year certificate or \$10 for a temporary 30-day certificate

**BATF:** You can get more information on BATF regulations at [www.atf.treas.gov](http://www.atf.treas.gov)

**APPLICATION REQUIREMENTS:** Applicants must complete a Certificate of Approval Application and Agreement. Applicants who will ship malt beverages must attach copies of their territorial agreement(s).

Oregon Liquor Control Commission  
9079 SE McLoughlin Blvd. ● PO Box 22297 ● Portland, OR 97222-7355  
503-872-5070 ● [www.oregon.gov/olcc](http://www.oregon.gov/olcc)

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