State Capability Assessment Project

Draft Questions

ACCESS CONTROL AND IDENTITY VERIFICATION

**Capability Description:**
Apply a broad range of physical, technological, and cyber measures to control admittance to critical locations and systems, limiting access to authorized individuals to carry out legitimate activities.

**Mission Area:** Protection

**Resources Used to Develop Questions:**
- Comprehensive Preparedness Guide 101
- Emergency Management Standard by EMAP
- Please note: No compatible TCL capabilities

**PLANNING**

1. **Does your jurisdiction have plans that address access control and identity verification?**
   
   *Answer: radio button, yes/in progress/no*

2. **If your jurisdiction has plans that address access control and identity verification, do they: [CPG]**
   
   *Answer: multi-answer*
   
   a. Include a basis on outcomes of a hazard and threat analysis?
   b. Include elements for reduction or elimination of threats to people?
   c. Include elements for reduction or elimination of threats to property?
   d. Include elements for reduction or elimination of threats to the environment?
   e. Include elements for reduction or elimination of threats to economic vitality?
   f. Address the protection of critical infrastructure and key resources (CIKR)?
   g. Address terrorism?
   h. Address natural disasters?
   i. Include protective actions taken before an incident?
   j. Include protective actions taken during an incident?
   k. Include protective actions taken after an incident?
   l. Include fusion center operations?
   m. Cover impacts of nonlocal, nationwide, or global incident?
   n. Describe the method by which security resources will be provided to support incident operations?
   o. Describe the process to determine public safety and security requirements and to determine resource priorities?
p. Describe the process to maintain communication with supporting agencies to determine capabilities, assess the availability of resources, and track resources?
q. Coordinate or request support from or through the Fusion Center?

3. Based on your responses to the questions above, how would you rate your overall access control and identity verification capability as it relates to planning? Answer: dropdown, 1 - 10

ORGANIZATION

4. Have you identified primary and supporting agencies responsible for coordinating access control and identity verification efforts? Answer: radio button, yes/in progress/no
5. Do you have a mechanism in place to engage local partners in planning for access control and identity verification? Answer: radio button, yes/in progress/no
6. Are mutual aid agreements in place to acquire additional resources to aid in access control and identity verification efforts? Answer: radio button, yes/in progress/no
7. Is your emergency management organization aware of the access control and identity verification systems, procedures, and policies in place in private facilities and organizations within your jurisdiction? Answer: radio button, yes/in progress/no
8. Are private facilities and organizations within your jurisdiction aware of the access control and identity verification systems, procedures, and policies in place in your emergency management organization? Answer: radio button, yes/in progress/no
9. Based on your responses to the questions above, how would you rate your overall access control and identity verification capability as it relates to organization? Answer: dropdown, 1 - 10

EQUIPMENT

10. Do you have adequate equipment and supplies to implement access control and identity verification actions for your jurisdiction? Answer: radio button, yes/in progress/no
11. If not, which of the following equipment and/or associated resources are you most in need of to support access control and identity verification actions? Answer: multi-answer
   a. Planning resources
   b. Qualified personnel
   c. Information management tools
   d. Other (note in gaps)

12. Based on your responses to the questions above, how would you rate your overall access control and identity verification capability as it relates to equipment? Answer: dropdown, 1 - 10

TRAINING

13. Have you identified staff and key partners responsible for implementing access control and identity verification actions? Answer: radio button, yes/in progress/no
14. Have identified staff been trained on access control and identity verification actions? Answer: 
   *radio button, within the past year/within the past 2 years/within the past 5 years/no

15. Do access control and identity verification training events include private sector partners?  
   *Answer: radio button, yes/in progress/no

16. Based on your responses to the questions above, how would you rate your overall access control and identity verification capability as it relates to training? Answer: *dropdown, 1 - 10

**EXERCISES**

17. Has your emergency management organization conducted a protection exercise that incorporates elements of access control and identity verification? Answer: *radio button, yes/in progress/no

18. If you have conducted a protection exercise that incorporates elements of access control and identity verification, when? Answer: *radio button, within the past year/within the past 2 years/within the past 5 years/no

19. Has exercising of the access control and identity verification capability led to identification of corrective actions in regard to this capability? Please identify in the gaps section of this question. [EMAP] Answer: *radio button, yes/in progress/no/Not Applicable.

20. Based on your responses to the questions above, how would you rate your overall access control and identity verification capability as it relates to exercises? Answer: *dropdown, 1 - 10

**GLOBAL**

21. Based on your responses to the questions above, how would you rate your overall access control and identity verification capability? Answer: *dropdown, 1 - 10

22. Based on your responses to the questions above, what priority (high, medium, low) would you assign to the access control and identity verification capability for your jurisdiction? Answer: *radio button, H, M, L

23. Please identify which hazard/threat would most likely tax your ability to perform the access control and identity verification capability? Answer: *dropdown, hazards

24. Have you identified any planning barriers or do you have any additional notes or comments regarding the access control and identity verification capability? Answer: *Text