State Capability Assessment Project

Draft Questions

RISK MANAGEMENT FOR PROTECTION PROGRAMS AND ACTIVITIES

<table>
<thead>
<tr>
<th>Capability Description:</th>
<th>Identify, assess, and prioritize risks to inform Protection activities and investments.</th>
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<td>Mission Area:</td>
<td>Protection</td>
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| Resources Used to Develop Questions: | • Target Capabilities List (Risk Management)  
                              • Comprehensive Preparedness Guide 201    
                              • Emergency Management Standard by EMAP |

PLANNING

1. Does your jurisdiction have plans that address risk management for protection programs and activities? Answer: radio button, yes/in progress/no

2. If yes, do the plans include the following elements: Answer: multi-answer
   a. Risk analysis and risk management plans and procedures? [TCL/CPG]
   b. Identify functional as well as spatial relationships of assets and systems infrastructure and assets? [TCL]
   c. Rate and/or rank criticality of potential targets? [TCL]
   d. What your community will do to address specific risks with the unique resources it has or can obtain? [CPG]
   e. How decision-making is risk-informed? [CPG]
   f. Community risk perspectives? [CPG]
   g. Sharing of risk assessment results? [CPG]
   h. Describe cost-benefit/cost-effectiveness analysis for consideration of applicable prescribed measures required to mitigate associated risks to an asset or system of assets? [TCL]
   i. Protective efforts to reduce or eliminate a threat to people, property, and the environment? [CPG]
   j. Protective efforts for specific adaptive and non-adaptive risks? [CPG]

3. Based on your responses to the questions above, how would you rate your overall risk management for protection programs and activities capability as it relates to planning? Answer: dropdown, 1 - 10
ORGANIZATION

4. Have you identified primary and supporting agencies responsible for coordinating risk management for protection programs and activities efforts?

5. Do you have a mechanism in place to engage local partners in planning for risk management for protection programs and activities?

6. Are mutual aid agreements in place to acquire additional resources to aid in risk management for protection programs and activities? **Answer: radio button, yes/in progress/no**

7. Do you have procedures, protocol, or methods in place for: **Answer: multi-answer**
   a. Guiding risk assessment activities? [TCL]
   b. Maintaining a framework for how risk assessments and risk analysis will serve the business process of managing “risks”? [TCL]
   c. Stakeholder buy-in and governing process (including public administrators, owners and operators of critical infrastructure and key assets, and decision makers)? [TCL]
   d. Assessing changes in risk and effectiveness of risk management? [TCL]
   e. Managing and monitoring risk through continued assessment and analysis? [TCL]
   f. Receiving information on threat, vulnerability, and consequence? [TCL]

8. **Based on your responses to the questions above, how would you rate your overall risk management for protection programs and activities capability as it relates to organization?** **Answer: dropdown, 1 - 10**

EQUIPMENT

9. Do you have adequate equipment and supplies to implement risk management for protection programs and activities for your jurisdiction? **Answer: radio button, yes/in progress/no**

10. If not, which of the following equipment and/or associated resources are you most in need of to support risk management for protection programs and activities? **Answer: multi-answer**
   a. Planning resources
   b. Qualified personnel
   c. Information management tools
   d. Other (note in gaps)

11. **Based on your responses to the questions above, how would you rate your overall risk management for protection programs and activities capability as it relates to equipment?** **Answer: dropdown, 1 - 10**

TRAINING

12. Have you identified staff and key partners responsible for implementing risk management for protection programs and activities? **Answer: radio button, yes/in progress/no**

13. Have identified staff been trained on risk management for protection programs and activities? **Answer: radio button, within the past year/within the past 2 years/within the past 5 years/no**
14. If you have offered training on risk management for protection programs did they cover: [TCL]
   
   Answer: multi-answer
   
   a. Understanding and appreciation of risk assessment, risk analysis, and risk management principles?
   b. Risk management strategies?
   c. Threat, vulnerability, and consequence results for potential targets?
   d. Prioritization of risk-reduction strategies?
   e. Protection strategies for high-risk targets?
   f. Intelligence reporting?
   g. Modeling and the use of analytical tools?

15. Do risk management training events include private sector partners? Answer: radio button, yes/in progress/no/not applicable

16. Based on your responses to the questions above, how would you rate your overall risk management for protection programs and activities capability as it relates to training?
   
   Answer: dropdown, 1 - 10

EXERCISES

17. Has your emergency management organization conducted a disaster protection exercise that incorporates risk management for protection programs and activities? Answer: radio button, yes/in progress/no

18. If yes, when? Answer: radio button, within the past year/within the past 2 years/within the past 5 years/no

19. Do you have a system in place for collecting and sharing lessons learned regarding risk management? [TCL] Answer: radio button, yes/in progress/no

20. Has exercising of risk management for protection programs and activities led to identification of corrective actions in regard to this capability? Please identify in the gaps section of this question. [EMAP] Answer: radio button, yes/in progress/no

21. Based on your responses to the questions above, how would you rate your overall risk management for protection programs and activities capability as it relates to exercises?
   
   Answer: dropdown, 1 - 10

GLOBAL

22. Based on your responses to the questions above, how would you rate your overall risk management for protection programs and activities capability? Answer: dropdown, 1 - 10

23. Based on your responses to the questions above, what priority (high, medium, low) would you assign to the risk management for protection programs and activities capability for your jurisdiction? Answer: radio button, H, M, L

24. Please identify which hazard/threat would most likely tax your ability to perform the risk management for protection programs and activities capability? Answer: dropdown, hazards
25. Have you identified any planning barriers or do you have any additional notes or comments regarding the risk management for protection programs and activities capability? Answer: Text