



Small Grant Program

Billing Information

For Small Grant Applications submitted after July 1, 2015

The following information is provided to facilitate preparation of your payment requests. Use of the correct procedures and forms should expedite the process and speed up processing of grant payments.

NEW

- ❖ Grant administration may not exceed 10% of the total direct project costs expended for the grant. Receipts are not required for Grant administration expenses.
- ❖ OWEB has revised its Budget Categories and corresponding definitions. Refer to the “July 2015 Budget Categories Definitions and Policy” document for budget category definitions and allowable and non-allowable costs.
- ❖ Copies of receipts, invoices or supporting documentation, for amounts \$250 or more, including grant administration (excluding indirect costs), will be required by OWEB. However; copies of ALL receipts, invoices or supporting documentation, charged to an OWEB grant, need to be retained by the Grantee and provided to OWEB upon request. ALL expenses charged to an OWEB grant must be listed on the Grantee’s Expense Tracking Spreadsheet.
- ❖ In addition, once a grant is closed OWEB may request the grantee submit receipts that are under \$250 (excluding indirect costs charged to grant administration), and timesheets and payroll reports (to support salaries/wages/benefits and grant administration) for review. The intent of this review is to ensure the grantee billed OWEB for actual costs. Grantees will submit the requested information to OWEB, which will be reviewed in the same manner OWEB reviews payment requests and receipts \$250 and over. Grantees using the Indirect Cost billing method will have to submit receipts and documentation for all budget categories except Grant Administration.
- ❖ **Forms** are available on the OWEB website
http://www.oregon.gov/OWEB/GRANTS/pages/smgrant_forms.aspx
- ❖ **OWEB Online Grant Management System (OGMS)**
OGMS should be used to review grant status, payments, report due dates, amendments, etc.
<http://apps.wrd.state.or.us/apps/oweb/fiscal/default.aspx>
- ❖ Small Grants may have only two payment requests.
- ❖ **Some Invoices Not Required**
Small Grant Program Grant Administration and Year-Two Status Reporting expenses can be billed without invoices when listed on the signed Request for Release of Funds form dated 07/2015.
However, expenditures billed in this manner must be listed on your spreadsheet and are still subject to review. Actual payroll records must be kept on record by the Grantee or Payee.
- ❖ **OWEB cannot reimburse project expenses incurred outside the grant period i.e. prior to the effective (start) date or after the grant completion date.** The grant begins upon the date of the last signature (OWEB’s) on the grant agreement. The grant completion date is listed on both page 1 and 8 of the grant agreement.

The most common delays for payments include:

1. Secured Match or Final Project Funding/Match forms not submitted
2. Land Use form not submitted
3. Spreadsheet* not submitted and/or inadequate receipts
4. Request for Release of Funds form is not signed
5. Permits, licenses, or other documents as required by the grant, are not submitted to OWEB prior to or with the first payment request
6. OWRI online reporting form and map have not been submitted or have not been finalized at time of final payment request

*Grantees may use their own spreadsheet formats to track expenses, however expenses must be tracked individually and grouped by budget categories per the application budget.

Attached are examples of OWEB forms required at the time of payment requests:

1. Small Grant Request for Release of Funds, page 6
2. Spreadsheet example, page 7
3. Secured Match form (due on or before first payment), page 8
4. Final Total Project Funding/Match Form (final payment), page 9
5. Budget Amendment Request, page 10
6. Travel Expenses, page 11
7. Examples of invoices for Salaries Wages and Benefits, page 12
8. Example of Landowner Labor Tracking sheet, page 13
9. Tax ID Form—required ONLY for new payees or if the mailing address or Tax ID # has changed, for instructions call Leilani Sullivan, 503-986-0183
http://www.oregon.gov/OWEB/Pages/forms_linked.aspx

First Payment Request

The first payment may consist of either:

- Advance: An advance of up to 60% of the Small Grant award upon presentation of a detailed estimate of expenses in the form of a signed payment request. Receipts and invoices are *not* required at this stage. **However, a spreadsheet and receipts for the full advance amount are due within 120 days of the date of the payment.**

OR

- Reimbursement: A reimbursement of expenses to-date of up to 90% of the grant award upon presentation of receipts and invoices (\$250 and over).

The following are required before payment can be made for either an advance or reimbursement:

- Completed Land Use Form
- Copies of permits, licenses, or other documents, as required
- Secured Match form, with signatures from authorized representatives
- Completed Request for Release of Funds Form—use line item categories from the budget page of the grant agreement in column (B) and fill in request amounts in column (C). The Excel spreadsheet will automatically fill in the two right-hand columns. **When finished be sure to scroll up so that the column headers show before saving and/or printing the page.** Funds for the Year-2 Status Report may not be requested in an advance. If grant administration is being sought, the charge to OWEB may not exceed 10% of the total amount being requested in the first payment. (Project grants for a total of \$2,000 or less may include grant administration expenses up to \$200, not to exceed the total amount awarded.)

Make copies, as appropriate, for your project file. Send the entire package to the OWEB Small Grant Project Manager listed on page 1 of the grant agreement.

Final Payment Request

The Final Payment Request should reflect the actual project costs. Grantees may skip the first payment request and make just one final payment request. However, they will still need to furnish all the documentation required for the First Payment Request, described above. In addition the final payment request must include:

- Copies of all invoices and receipts (\$250 and over) associated with the project. **Invoices must be dated within the grant period.**
- Project Completion Report, complete with color photos. *
- Final Total Project Funding/Match Form *
- Oregon Watershed Restoration Reporting (OWRI) form and map must be submitted online per instructions <http://www.oregon.gov/OWEB/MONITOR/pages/owri.aspx>

***Please note:** Completion Reports and Final Total Project Funding/Match forms may now be submitted online using your organization's unique logon i.d. and password. If you do not have them, please call Leilani Sullivan, 503-986-0183.

Instructions— http://www.oregon.gov/OWEB/GRANTS/Documents/OnlineReporting_GranteeGuidance.pdf

Online Report—<http://apps.wrd.state.or.us/apps/oweb/fiscal/default.aspx>

- **Request for Release of Funds**
 - Repeat columns (B) and (C) from the first payment request.
 - If funds are being requested for the Year-Two Status Report, consult the Grant Agreement budget for the allowed amount and fill in the amount in column (D).
 - The totals for columns (C) and (D) cannot exceed the OWEB award amount shown on page 1 of the grant agreement, and the total Grant Administration expense cannot exceed 15% of the *actual* direct project costs.
 - Sign the payment request form. Make copies, as appropriate for your project file.
 - Send the entire package to the OWEB Small Grant Project Manager for the Board listed on page 1 of the grant agreement.
- **Spreadsheet**—Tally the invoices and receipts, and develop a spreadsheet based on project expenses. Verify that the final amount being requested does not exceed the amount awarded (shown in the grant agreement).
- **Invoices and receipts** must be legible. Dates, amounts and descriptions must all be clearly readable. Purchase orders, statements, or credit card statements are not allowed without actual invoices attached.
- **Salaries, Wages and Benefits** Actual expenses may either be billed on Grantee letterhead (see example page 13), or on Detailed QuickBooks expense transaction sheets. Documents must show: (a) Dates for which expenses were incurred; (b) Position description and name of person being paid; (c) Amounts; and must be signed and dated by authorized Grantee or Payee. Expenditures billed in this manner are still subject to OWEB review. Actual expense records and timesheets must be kept by the Grantee or Payees. An example for calculating Salaries, Wages and Benefits expenses may be found at http://www.oregon.gov/OWEB/forms/employee_payroll_budgetingandbilling_instructions.pdf
- **Travel** must be shown and broken down by **individual dates, from/to destinations, and the total number of miles per trip must be shown for reimbursement. The Small Grant Program will not reimburse for meals, lodging, or for out-of-state travel expenses (see example).** For the latest travel rates, go to http://www.oregon.gov/OWEB/Pages/forms_linked.aspx#Regular_Grant_Forms_Documents

- Landowner Expenses

- A. Requirements for Landowner receipts submitted to OWEB for reimbursement.**

1. Supplies/Materials: Reimbursement to a project landowner for sale of general supplies to the project:
 - a. OWEB will reimburse Grantee for new materials purchased by the landowner provided a vendor receipt is received documenting that the item was purchased during the grant period (i.e., after the grant agreement is fully executed and before the grant completion date). Copies of receipts for supplies/materials, in the amount of \$250 or more, must be sent to OWEB. Grantees shall obtain and keep copies of ALL receipts for which a Landowner is requesting reimbursement, should a financial review be requested by OWEB.
 - b. OWEB does not reimburse Grantee for USED or INVENTORIED landowner materials (i.e., used or inventoried fence posts, wire, gates, pipe, culverts, etc.). These items may be used as non-OWEB match.

- B. Reimbursement to a project landowner for Sale of Natural Resource Supplies to the project (e.g., logs, boulders, gravel, or other similar materials).**

1. OWEB may reimburse Grantee for natural resource supplies, which are provided by the project landowner and which were extracted /obtained from the landowner's property where the project is taking place.
2. Prior to such reimbursement:
 - a. Grantee must verify that payment to a landowner for natural resource supplies does not exceed fair market value;
 - b. Fair market value will be documented by the Grantee providing quotes from three separate local vendors. OWEB recommends that two of the three quotes be from vendors not affiliated with the landowner. Note: This is not a requirement, only a recommendation. Payment will be made unless the OWEB project manager determines a quote, from a vendor not affiliated with the landowner, is necessary to establish fair market value.
 - c. OWEB will consider accepting quotes from just two separate local vendors if Grantee provides a justification for why a third quote could not be obtained;
 - d. The amount OWEB will reimburse will not exceed the average of all the quotes; and
 - e. Grantee must either provide copies of any issued licenses, approvals, or permits that are required for the landowner to extract or remove the natural resource, or provide written documentation that permits, approvals or licenses are not required.

- C. Requirements for other landowner expenses submitted to OWEB.**

1. Labor Costs

- a. Contractor's invoice **or**
- b. Landowner invoice (must be signed and dated by the landowner).
- c. Labor charges must show:
 - Names of persons paid (for landowner invoices only);
 - Dates the work was performed;
 - Description of work performed;
 - Hours worked and hourly rates **or** Number of acres and rate per acre; and
 - Total costs.

2. Equipment Usage

- a. Contractor or Rental Business invoice (copy of actual invoice required);
- b. Landowner invoice (must be signed and dated by Landowner); and
- c. Landowner and contractor invoices must show:
 - Description of equipment;
 - Rates per hour (use NRCS equipment hold down hourly rates for Landowner invoices only – NRCS website - www.or.nrcs.usda.gov) Contact your local NRCS office for rates;
 - Dates the work was performed; and
 - Total paid.

Budget Amendments

Actual expenses do not need to mirror line item amounts in the grant agreement. However, if you wish to add line items that did not appear in the grant agreement budget , then you must submit a Budget Amendment Request and justification to the Project Manager for the Board prior to your payment request.

If you have questions call the Small Grant Project Manager (503) 986-0187.

If you know a problem exists, or if a change to the terms of the grant needs to be executed, please contact us immediately!

Small Grant Program

Request for Release of Funds

(for applications received after July 1, 2015)



Project Name: _____ Project #: _____
 Grantee Name: _____

- Invoices may not be dated prior to the project start date or after the Grant Agreement completion date.
- Small Grants are limited to two payment requests.

➤ **First Payment Request:** Submit the Land Use, Secured Match (if not already submitted with the application), and permits or other forms, as required. The first request may be either a 120-day *advance* of up to 60% of the Small Grant award, or a *reimbursement* of expenses to date upon presentation of receipts/invoices (\$250 and over) (with a 10% holdback until project completion). If the payment is an advance, **receipts/invoices and an expense tracking spreadsheet for the full advance amount are due to OWEB within 120 days of the date the check is issued.**

➤ **Final Payment Request:** Receipts/invoices (\$250 and over) and an expense tracking spreadsheet must be attached along with the Project Completion Report including color photos with captions, an Actual Match form and a Restoration Reporting Form (OWRI) with map (OWRI/Map be submitted online).

In accordance with the terms of the Grant Agreement, I request funds as follows:

***Double click in the table to get into it. Single Click outside the table to get out of it.*

(A) Budget Category (A and B per Grant Agreement Budget)	(B) Project Budget Amount	(C) FIRST Request Amount	(D) FINAL Request Amount	TOTAL Requested to Date	Remaining Budget
Salaries, Wages and Benefits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Contracted Services	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Materials and Supplies	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Grant Admin. (Not to exceed 10% of above)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Post-Grant*	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTALS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

A budget amendment is required ONLY when requesting funds for a budget category not listed in the Grant Agreement.

*May be billed at the time of final request ONLY.

Please Note: A Signature on this form replaces the requirement of submitting letterhead as a receipt for Post Grant costs. All other OWEB receipts requirements remain in effect.

By signing this request, I declare that expenses for this project are to the best of my knowledge true, correct and complete.

Payee Signature: _____ Organization: _____

Payee Name (print/type): _____ Date: _____

Phone Number: _____ E-mail Address: _____

Forward This Request to OWEB's Small Grant Project Manager for Signature.

All checks will be made payable to Grantee's Fiscal Agent. Grantee and Fiscal Agent are responsible for paying vendors directly with funds received for this project.

<u>OWEB APPROVAL</u>
I find this request to be consistent with the Grant Agreement and all funding conditions have been met.
OWEB Project Manager: _____ Date: _____ Revised 7/15



Small Grant Program Secured Match Funding Form

**Document the match funding
shown in your grant application**

This form, with signatures, may either be submitted with the project application or with the first payment request. This evidence of match must be submitted to OWEB before funds will be released.

Project Name: _____ Project #: _____

Applicant/Grantee Name: _____ OWEB Award Amount: \$ _____

MATCHING FUNDS

OWEB requires evidence of at least 25% (of the requested OWEB funds) match funding for small grant projects. The Small Grant Team may require a greater amount of match. Evidence entails listing the match funding source and including a signature of commitment from the entity providing the match. Matching funds may come from other grants, cash donations, donated labor or services, and donated supplies or materials.

An applicant may not use *another OWEB grant* to match an OWEB grant. **OWEB funds and OWEB funds for council support may not be used to satisfy the match requirement.** However, an applicant who benefits from a pass-through OWEB agreement with another state agency, by receiving either staff expertise or a grant from that state agency, may use those benefits as match for an OWEB grant. (Example: A grantee may use as match the effort provided by ODFW restoration biologists because OWEB funding for those positions is the result of a pass-through agreement.)

If you have questions about whether your proposed match is eligible or not, visit our Web site at http://www.oregon.gov/OWEB/forms/Allowable_Match_7-2011rev.pdf, or contact your OWEB Small Grant Project Manager by accessing our directory at http://www.oregon.gov/OWEB/pages/contact_us_directory.aspx

Match Funding Source	Type (√ one)	Dollar Value	Authorized Representative's Signature	Date
	<input type="checkbox"/> cash			
	<input type="checkbox"/> in kind			
	<input type="checkbox"/> cash			
	<input type="checkbox"/> in kind			
	<input type="checkbox"/> cash			
	<input type="checkbox"/> in kind			
	<input type="checkbox"/> cash			
	<input type="checkbox"/> in kind			
	<input type="checkbox"/> cash			
	<input type="checkbox"/> in kind			
Total Match Funding				

OWEB Small Grant Program

Budget Amendment Form

Grant Name: _____

Grant #: _____

NOTE: This form needs to be submitted ONLY if you are adding a new line items that did not appear in the original Grant Agreement budget.

JUSTIFICATION FOR CHANGE: You may submit this form by email. **Include a written justification for the requested budget change.** The OWEB Small Grant Project Manager can then review and approve the request by return e-mail. If submitted by regular mail, your signature is required below. If you are the grantee requesting the budget amendment, be sure to copy your project's fiscal agent.

Column A OWEB Grant Budget Categories (from the application budget)	Column B OWEB Budget	Column C Change #1	Column D Change #2	Column E Revised OWEB Budget
Salaries, Wages and Benefits	\$0.00	\$0.00	\$0.00	\$0.00
Contracted Services	\$0.00	\$0.00	\$0.00	\$0.00
Materials and Supplies	\$0.00	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00	\$0.00
Grant Administration	\$0.00	\$0.00	\$0.00	\$0.00
Post Grant	\$0.00	\$0.00	\$0.00	\$0.00
GRANT TOTAL	\$0.00	\$0.00	\$0.00	\$0.00

Grantee Signature: _____

Date: _____

OWEB Project Manager Approval: _____

Date: _____

INSTRUCTIONS:

- Column B:** Reproduce each budget amount (Column B) exactly as it appears in the OWEB Small Grant Agreement. All Budget Categories (original and proposed) must be shown, even when the categories are adjusted to \$0.00.
- Columns C and D:** In Column C, show only proposed changes to Project Management or budget additions for NEW line items. Enter decreases as a negative number, e.g., -150.00. If, at a later date, you need to request an additional budget change, use Column D. Do NOT make changes to the numbers you entered previously in Column C.

When entering numbers in Columns C and D, do NOT enter any numbers in the Grant Total row as they should always automatically calculate vertically to \$0.00.

- Column E:** Do NOT enter any numbers in this column; totals are automatically calculated horizontally across the columns for this row.

After you have completed the form, check to see that the Grant Totals in Columns B and E both equal the original award amount.

SMALL GRANT PROGRAM

TRAVEL EXPENSES

Travel expenses will be reimbursed only in accordance with rates approved by the Department of Administrative Services and which are in effect at the time the expense was incurred. The current approved rates for reimbursement of travel expenses may be found at:

http://www.oregon.gov/OWEB/Pages/forms_linked.aspx#Regular_Grant_Forms_Documents

The rates are subject to change and govern reimbursement of any travel expenses incurred after the date of the change. Rates are updated periodically. The reason or purpose for all travel expense reimbursement requests must be identified.

The Small Grant Program will reimburse mileage for travel in a private automobile, while the Grantee/Contractor/Volunteer is acting within the course and scope of his/her duties under the Small Grant and driving over the most direct and usually traveled route.

To qualify for mileage reimbursement, the Grantee/Contractor/Volunteer must hold a valid, current driver's license for the class of vehicle to be driven and carry personal automobile liability insurance in the amounts not less than those required (i) the Oregon Financial Responsibility Law (ORS 806.060) or (ii) the jurisdiction in which the vehicle is being operated, whichever is greater. No mileage reimbursement will be paid for the use of motorcycles or mopeds.

Individual dates, from/to destinations, and the total number of miles per trip must be shown for reimbursement.

The Small Grant Program will not reimburse for meals, lodging, or for out-of-state travel expenses.

Home Office: _____ **Travel Log 2016—Starting January 1, 2016**
Mileage **Project Number#**
Name:

Date	Time Left	Time Returned	Destination Reason for Travel	# of Miles	At Rate ___ Per Mile
			Totals	0	0.00

Example
Documentation of Salaries, Wages and Benefits
(Letterhead)

January 1, 2015

To: OWEB
775 Summer Street NE Suite 360
Salem, OR 97301-1290

From: Rainbow SWCD
PO Box 111
Salem, OR 97225

Grant Number 01-16-001

Actual Salaries, Wages and Benefits expenses paid for the period 01-01-15 to 01-31-15 are as follows:

John Markum-Project Mgr (45 hrs @ \$30/hr) =\$1,350.00
Dave Jones-Field Crew Leader (95 hrs @ \$18.75/hr) =\$1,781.25
Mike Farmer-Field Crew (94.95 hrs @ \$12.95/hr) = 1,229.60

Total Payroll Expenses \$4,360.85

Authorized Grantee Signature

Date

NOTE: Payroll expenses are gross wages, payroll taxes, health insurance, retirement benefits, accrued leave liability, and workers compensation insurance. Effective January 01, 2010 – If you are using letterhead to document actual payroll expenses, hourly rates and number of hours and amounts must be shown by budget category and names of individuals. The letterhead must be signed and dated.

Substitute for this letterhead: If the *Quickbooks* accounting system is submitted showing actual payroll expense, an “All Transactions Detail” expense sheet, can be provided, if (a) dates, (b) position description, (c) names of employees and (d) amounts are shown. The QuickBooks expense sheet must also be signed and dated

