



**DEPARTMENT OF CORRECTIONS
Administration**



Title: Internal Audit Charter	DOC Policy: 10.2.1
Effective: 5/1/16	Supersedes: Policy 70.3.1 dated 8/18/10
Applicability: All functional units	
Directives Cross-Reference: DAS Policy Internal Auditing – 10.80.10	
Attachments: Internal Audit Charter	

I. PURPOSE

The purpose of this policy is to establish the Internal Audit Charter for the Department of Corrections.

II. POLICY

A. The Internal Audit Charter attached to this policy is a formal document that defines the purpose, authority, and responsibility of the internal audit activity. The Internal Audit Charter establishes the internal audit activity's role within the department, including:

1. The nature of the Internal Audit Administrator's relationship with the Policy Group;
2. Authorization of access to records, employees, contractors, volunteers, and physical properties relevant to the performance of engagements; and
3. Definition of the scope of internal audit activities.

B. The final approval of the Internal Audit Charter resides with the Policy Group.

III. IMPLEMENTATION

The Internal Audit Administrator shall periodically review and update the Internal Audit Charter and present it to the Policy Group for approval.

Certified: signature on file
Birdie Worley, Rules Coordinator

Approved: signature on file
Kim Brockamp, Deputy Director