

Policy Change Model and ToPPEC

Based on your experience, we are refining the model of the policy change process. This model will hopefully be useful when you think about the stages of an effective policy process (e.g., engage stakeholders, draft policy, ensure compliance).

The main purpose of creating the policy change model is to help structure the ToPPEC project:

- The model outlines the major stages in the policy change process.
- For each of these major stages, ToPPEC is meant to answer the question: How can we effectively accomplish this stage of the policy process?

The model is supposed to be the broad outline of the stages of policy work, as you all have experienced it. Not every policy effort will include all the stages. In practice, the stages overlap and are sometimes accomplished in a different order.

Instructions

Pretend that you were going to do an interview with the world's best policy change expert. This policy expert just finished work in a nearby county where a tobacco-free properties policy was passed and implemented. What questions would you ask this expert that could inform your own work on county tobacco-free policies?

In the attached table, the left-hand column lists the major stages from the policy change model (as currently drafted by the User Panel). The right-hand column includes an example of what you might ask this policy expert.

For this activity, we will break into small groups and put our heads together to come up with more ideas. Each group will be assigned three stages to focus on for this activity. Your answers will help us decide how to focus the ToPPEC project, including the information we want to collect and the analyses we want to conduct.

Have one person in your group be a notetaker, and the notes will be collected at the end. At the end of this small group activity, each group will report back one highlight from each stage that it worked on. The stages for each group are listed on the back.

Site – Group	Stages
Baker City – Group A	<ul style="list-style-type: none"> Identify the problem Engage stakeholders Plan implementation
Baker City – Group B	<ul style="list-style-type: none"> Assess readiness for policy change Reach out and educate Draft policy
Eugene – Group A	<ul style="list-style-type: none"> Identify the problem Reach out and educate Ensure compliance
Eugene – Group B	<ul style="list-style-type: none"> Engage stakeholders Plan implementation Develop overall strategy
Medford	<ul style="list-style-type: none"> Engage stakeholders Draft policy Pass policy
Portland – Group A	<ul style="list-style-type: none"> Assess readiness for policy change Pass policy Evaluate impact
Portland – Group B	<ul style="list-style-type: none"> Engage stakeholders Develop overall strategy Plan implementation
Prineville	<ul style="list-style-type: none"> Reach out and educate Ensure compliance Evaluate impact

What would you ask a world-class policy change expert who just worked on a tobacco-free county properties policy? The table below includes one example for each stage of the policy process. As you add to these examples, feel free to include both general questions (e.g., How did you create an effective coalition?) as well as detailed questions (e.g., How many people were on your coalition?).

Identify the problem	What information or data was helpful to get people to care about tobacco control?
Engage stakeholders	Who were the stakeholders you engaged early in the process, and why was that important?
Assess readiness for policy change	What other environments in the county had tobacco-free policies? (e.g., city properties, community events, businesses, etc.)
Develop overall strategy	How did you assess political feasibility?
Reach out and educate	What outreach was done with other county agencies? (e.g., parks, corrections, etc.)
Draft policy	What compromises were made during the policy process, or what amendments were made during the drafting?
Plan implementation	What policy phase-in was done, either in the written policy or in practice?
Pass policy	Who testified at any public hearings supporting or opposing the policy?
Ensure compliance	How many enforcement actions have been undertaken, including any fines or citations?
Evaluate impact	What evaluation information was collected, such as the reduction in cigarette litter or increase in employee quit attempts?