



# Oregon State Police CJIS Division Statewide Training Event

Welcome!



# Oregon State Police CRIMEvue Replacement Project

Pride

Honor

Dedication

Loyalty

Presented by: Mathew Oeder  
Presentation date: September 23, 2015

## CRIMEvue & LEMS

- Oregon's sole-source repository for criminal justice information
- 24 hours a day, 7 days a week, 365 days a year availability
- Support of public safety through:
  - Law Enforcement Officers and Criminal Justice Agency Partners (Courts, DOC, etc.) access to critical information
  - Authorized Non-Criminal Justice Regulatory Agencies employment and placements background checks
  - Firearms Background Checks
- A criminal justice data exchange worldwide



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Services"

## Critical System Needs and Issues

- Software and hardware is past its end-of-life
  - System is 19 years old
- No longer supported by the vendor
  - "We have to keep feeding it"
- Risk of catastrophic failure exists
- Does not meet industry standards for reliability of sustained operations
- Attracting and retaining staff for aging technologies is difficult



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## Completed Thus Far

- On-Site visit with Idaho
- Partnership with DAS CIO office
- Business Case
- Stage Gate 1 Conditional approval
- RFP for Project Manager and Business Analyst
- Work with DAS Procurement Services for a Quality Assurance Vendor



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## Stage Gate

- Received conditional approval from the State CIO's office on May 7, 2015.



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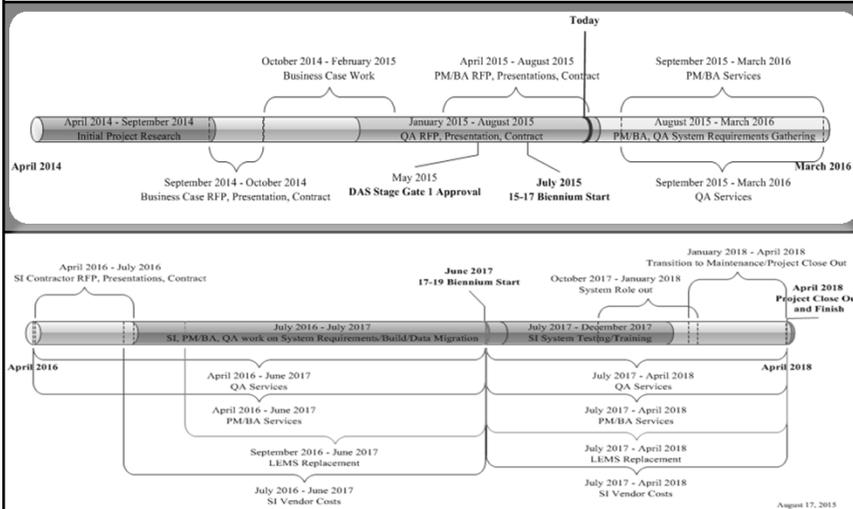
## PM/BA and QA

- OSP initiated the RFP for Project Management and Business Analysis service in April 2015
  - Services and partnership started on September 8, 2015.
- OSP initiated the QA contracting process on February 2015
  - First in Line negotiations were not successful, Preparing for the competitive bid process.



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## Project Timeline



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## Next Steps

- Work with Contracted PM/BA Team and QA
- Document system requirements
- Prepare contract and SOW for Solution vendor
- Communications with partner agencies



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## Questions?

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Chief Information Officer

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503-602-0982

Mathew Oeder

CJIS Programs Manager

[mathew.oeder@state.or.us](mailto:mathew.oeder@state.or.us)

503-934-2328



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## Oregon State Police CJIS Division Statewide Training Event

Break

WiFi Password:  
XXXXXXXX



## Oregon Uniform Crime Reporting ONIBRS/NIBRS Update

Tricia Whitfield  
CJIS Division Director

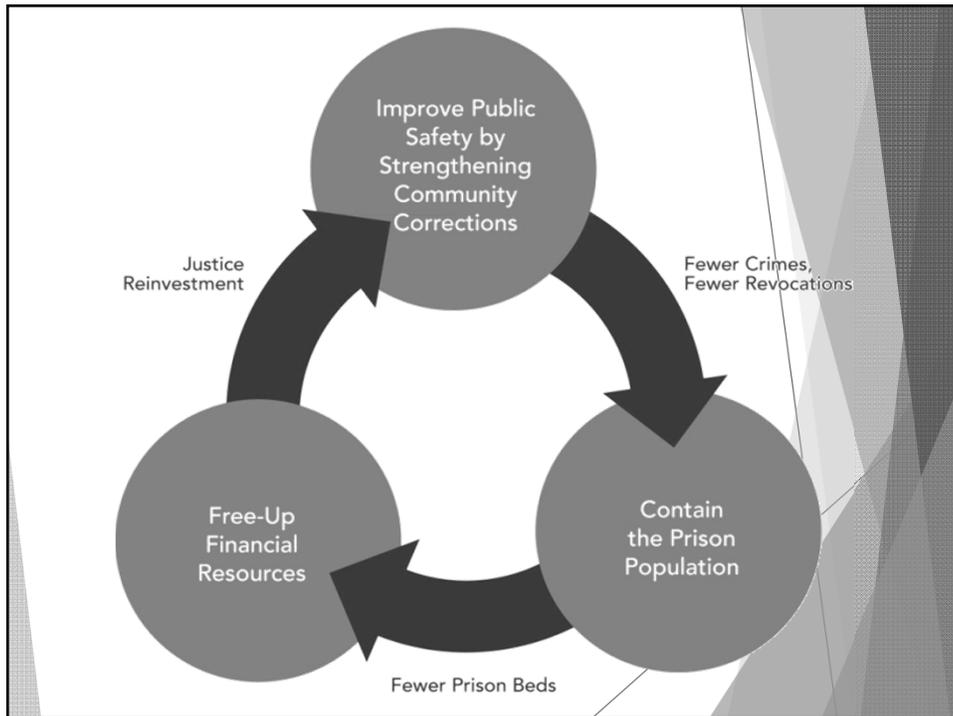
# Oregon Criminal Justice Commission

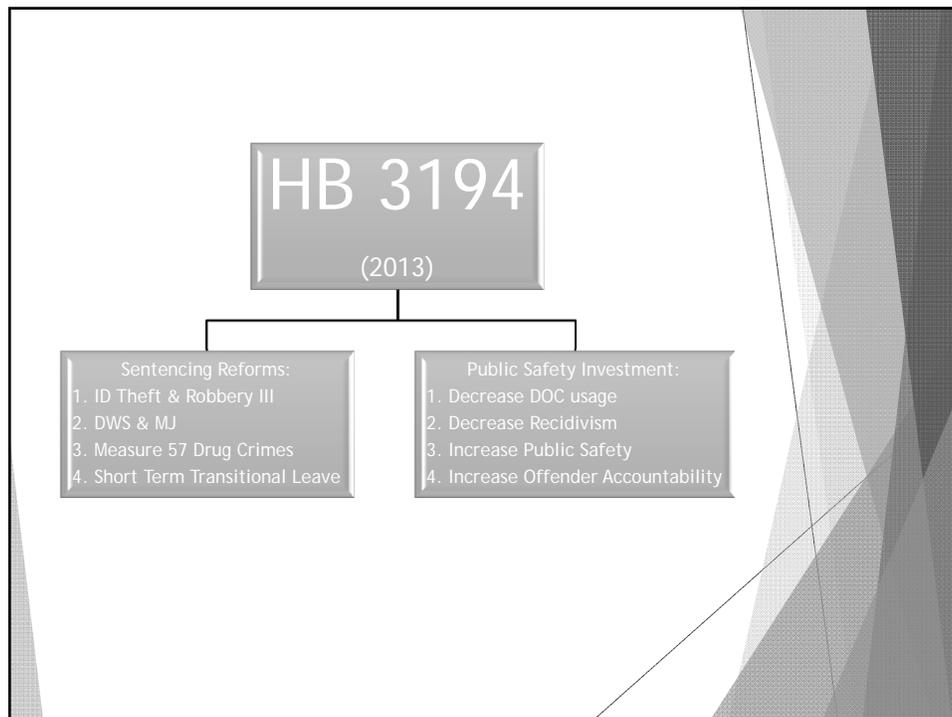
Kelly Officer  
Oregon State Police CJIS Statewide Training  
September 23, 2015

*The mission of the Oregon Criminal Justice Commission is to improve the legitimacy, efficiency and effectiveness of state and local criminal justice systems.*

► <http://www.oregon.gov/CJC/Pages/index.aspx>

# Oregon Justice Reinvestment





## Oregon Justice Reinvestment Grant Program '15-'17

- Justice Reinvestment Grant Program is funded at \$38.7 million
- County LPSCC applies for funds
- Each program in application must address one of the Justice Reinvestment goals
- Each county application must address all 4 goals

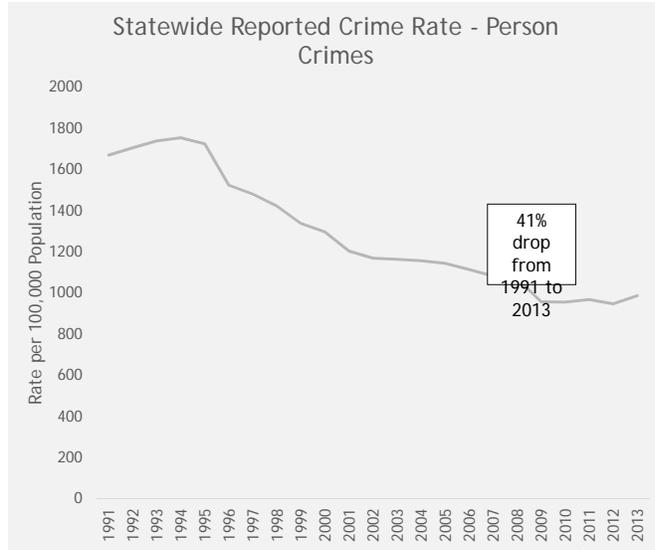
## Oregon Justice Reinvestment Grant Program '15-'17

- "The county may use up to 10 percent of the funds payable under the justice reinvestment grant for administrative costs, including activities such as purchasing, budgeting, payroll, accounting, staff services, and other costs as deemed appropriate by the Commission.
- *Administrative costs may also include funds to incentivize compliance by law enforcement agencies with completing Uniform Crime Reporting and other timely law enforcement data collection activities."*

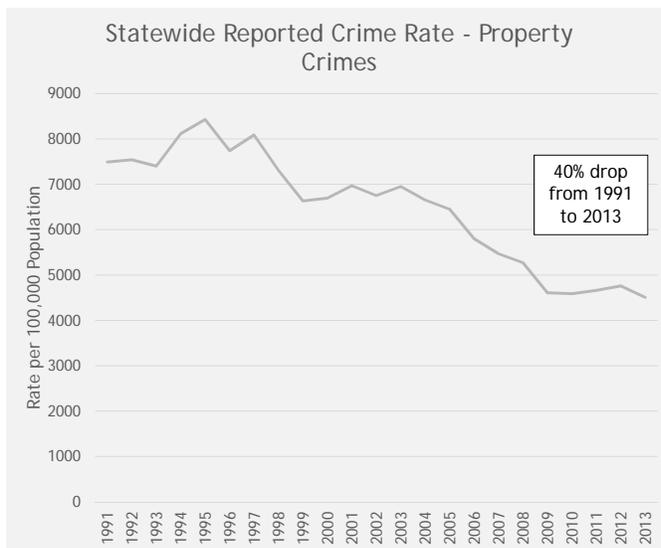
## Oregon Justice Reinvestment Grant Program '15-'17

- Recognize importance of reported crime data at state and county level
- CJC is tracking prison use and recidivism very closely
- Tracking reported crime is an equally important performance measure

## Person Crimes Reported Crime Rate



## Property Crimes Reported Crime Rate



Kelly Officer  
Oregon Criminal Justice  
Commission  
503-378-6224  
[Kelly.J.Officer@Oregon.gov](mailto:Kelly.J.Officer@Oregon.gov)



## CJIS Division Update & Overview

Oregon State Police  
Criminal Justice Information Services Division



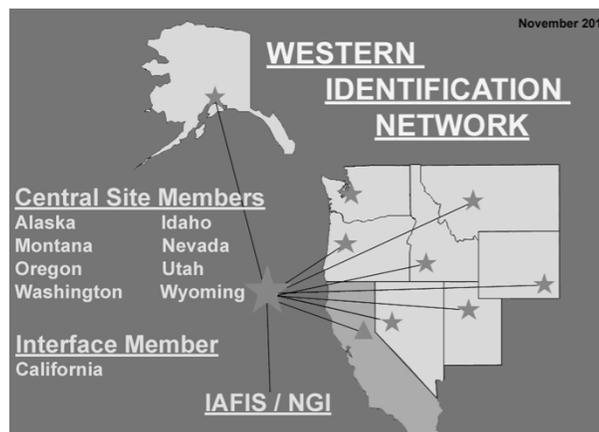
# Oregon State Police

Criminal Justice Information Services Division

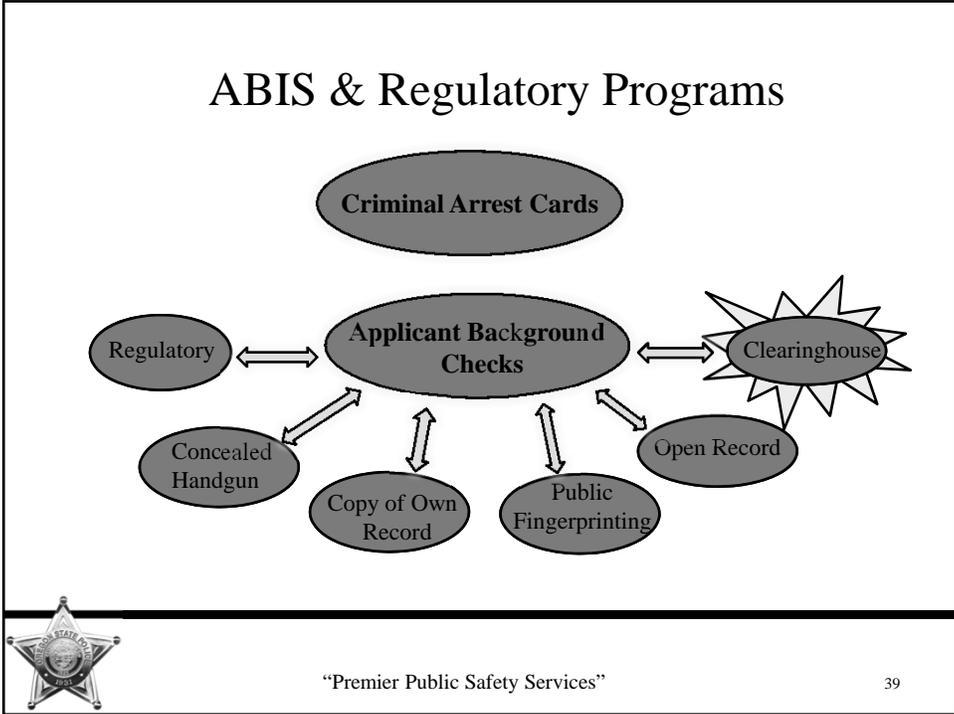
## ABIS & Regulatory Programs

Linda King, Program Manager  
Lisa Miller, Regulatory Supervisor  
Robert Barnett, Criminal Supervisor

## AUTOMATED BIOMETRIC IDENTIFICATION SYSTEM (ABIS)



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## ABIS & Regulatory Program Statistics

	2013	2014	2015
<b>Criminal Arrest Cards</b>	134,175	135,272	89,669
<b>Applicant Background Checks</b>			
▪ Regulatory Applicant Cards	79,395	86,606	68,237
▪ Concealed Handgun License	40,493	25,222	17,846
▪ Clearinghouse	3,868	3,605	2,005
▪ Copy of Own Record	3,688	3,791	2,399
▪ Open Records	31,921	33,737	22,157
▪ Public Fingerprinting	4,610	4,946	3,307


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## ABIS & Regulatory Program Future

### Electronic Fingerprint Capture/Transmission

	<u>2013</u>	<u>2014</u>	<u>2015 YTD</u>
<b>Criminal Arrest Cards</b>	94%	94.8%	95%
<b>Regulatory Applicant</b>	14.3%	17.5%	44%
<b>Concealed Handgun License</b>	84%	91%	94.5%



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## ABIS & Regulatory Program Future

### Automated Biometric Identification System (ABIS)

- Palm and Writer's Palm Prints
- Facial Recognition

### Rap Back

- Voluntary Fingerprint Retention Program
- Non-criminal justice applicants – employees, volunteers, and licensees
  - ⇒ Fingerprint Submission
    - ⇒ Retention in ABIS & NGI
      - ⇒ Notification of future event to OSP
        - ⇒ Report to submitting agency



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## ABIS & Regulatory Program



Linda King  
503-934-2383  
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## Computerized Criminal History Unit

Oregon State Police  
Criminal Justice Information Services Division

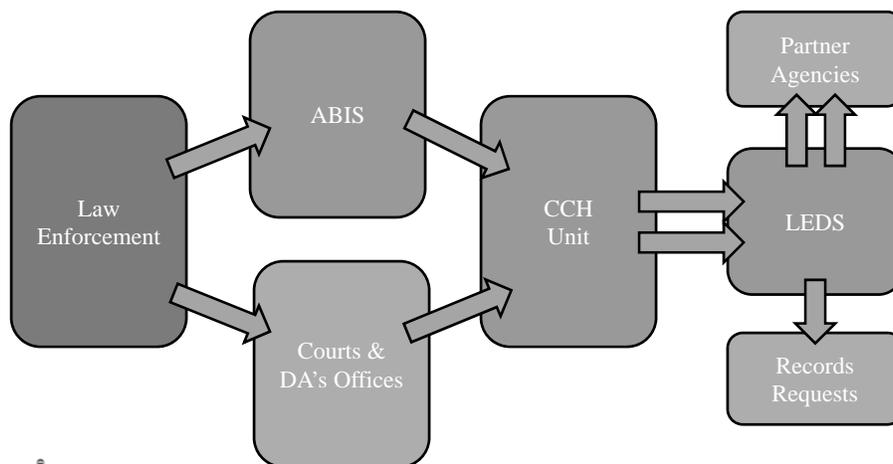
Gina Gibson

## Computerized Criminal History (CCH) Unit

- **CCH serves as the point-of-contact for:**
  - Law enforcement agencies
  - Criminal justice agencies
  - Citizens
- **CCH is responsible for:**
  - Maintaining
  - Reviewing
  - Researching
  - Updating
- **Our mission is to provide accurate information in a timely manner.**



## Life Cycle of a Criminal CCH



## CCH - Judicial Disposition Data

- **How dispositions are reported:**

Mail

Electronic

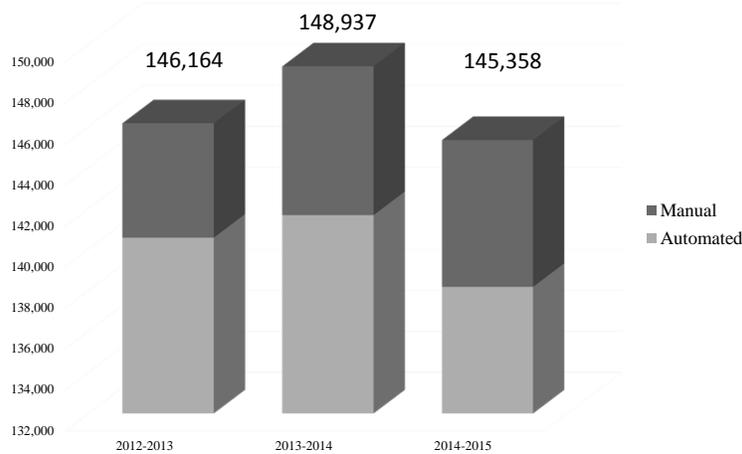


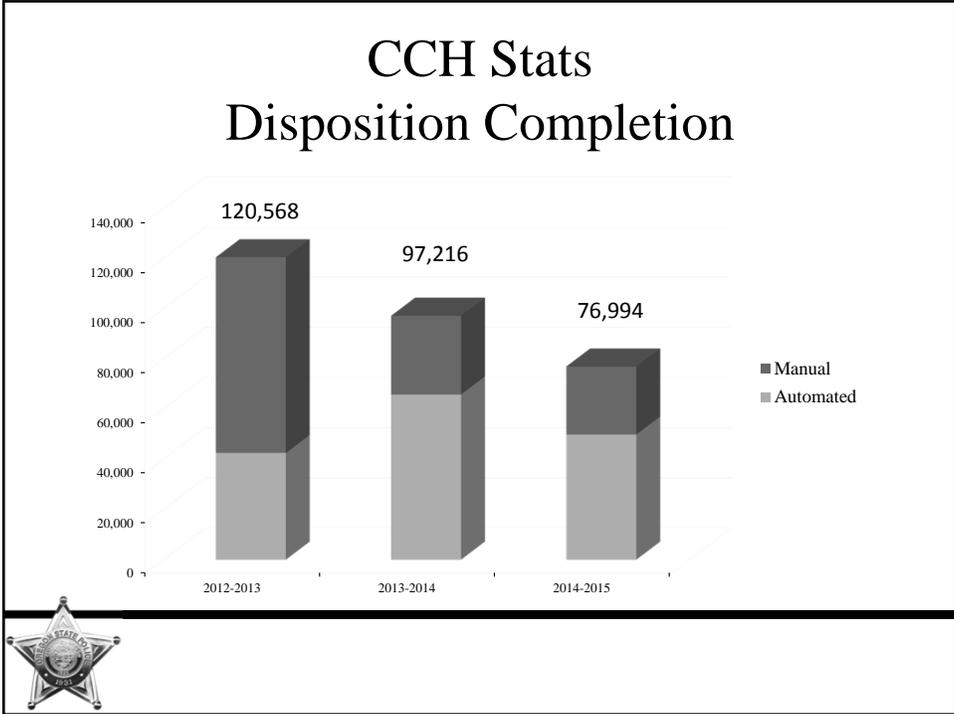
- **Why we need dispositions:**

A disposition is the DA's Office or Court's final determination of a criminal charge.



## CCH Stats Criminal Card Completion





### Additional Areas Within CCH

CJIS Security Background Checks  
Set Asides/Expungements



## For Whom Do You Submit CJIS Security Prints?

CJIS Security fingerprint based backgrounds shall be completed on staff who will have direct access to Criminal Justice Information (CJI) or unescorted access around LEADS terminals, confidential shred bins, IT routers, switches, and servers which process unencrypted CJI, within 30 days of hire/assignment.

The CJIS background process is **NOT** intended to serve as the agency's pre-employment background check.



## WHY Do You Submit CJIS Security Prints?

- CJIS Clearance
  - Individuals with any felony conviction or misdemeanor convictions of ID theft, fraud, or computer crimes are precluded from access to CJI and therefore LEADS/NCIC.
- CJIS Flag
  - Arrest notifications
- *References*
  - OAR 257-015-0050(6)
  - *CJIS Security Policy Section 5.12: Personnel Security*

The CJIS background process is **NOT** intended to serve as the agency pre-employment background check.



## CJIS Security Fingerprinting & Background Checks

**CRIMINAL JUSTICE INFORMATION SYSTEMS  
SECURITY CLEARANCE BACKGROUND REQUEST**  
(DO NOT MODIFY THIS FORM - PLEASE FILL IN ALL FIELDS)

I have been informed that in order to be allowed access into areas associated with or around Criminal Justice Information System (CJIS), a state and national fingerprint background check will be required.

I understand that the existence of a criminal record in itself would not disqualify me for employment, contract work, or being a volunteer, however may effect what locations I will be allowed access. Further, I understand if there is any question regarding the results of the fingerprint background check, I may contact the Oregon State Police CJIS Division directly for information regarding the results of the check.

Applicant Signature (REQUIRED) \_\_\_\_\_ Date \_\_\_\_\_

**CLEARLY PRINT (REQUIRED):**

NAME \_\_\_\_\_  
(Last) (First) (Middle)

OTHER NAMES USED \_\_\_\_\_ DATE OF BIRTH \_\_\_\_\_

**SWORN POSITION**

SELECT ONE: POLICE CORRECTIONS RESERVE  
JOB TITLE (Only required if Police & Probation Officers): \_\_\_\_\_

**NON-SWORN POSITION**  
JOB TITLE \_\_\_\_\_

**\*\*\*REQUIRED INFORMATION\*\*\***

\*PERMANENT EMPLOYEES OR \*CONTRACTOR/VENDOR/OTHER

DATE OF HIRE: \_\_\_\_\_ END OF SERVICES: \_\_\_\_\_  
(Month/Year) (Month/Year)

NOTE: Please fill out ALL fields, information is needed for tracking purposes. If not filled in, form will be rejected/returned to agency. CJIS flag will be deleted at the time of end of service unless extended by an email from the agency or email address: [OSP\\_CJIS@state.or.us](mailto:OSP_CJIS@state.or.us). If there is an end date of service for non permanent employees, please write in "continued contract" and we will call you to have them that included in future use of this information to your ORE.

**MANAGER CONTACT INFORMATION FOR RESULTS**

AGENCY: \_\_\_\_\_ OR: \_\_\_\_\_

NAME: \_\_\_\_\_ PHONE: \_\_\_\_\_

EMAIL: \_\_\_\_\_

The person identified above will have required access to a CJIS security area under my direction. By this request I am complying with the CJIS Security policy requirement for this facility and submitting within the 30 days of annual here as directed in section 1.1.1.1 of the CJIS Security Policy and the State CSD or their designee.

Manager or LEAD REP Signature (REQUIRED) \_\_\_\_\_ Date \_\_\_\_\_

Revised April 2013

Please be sure to send this signed and completed form along with your CJIS Security fingerprint cards to the OSP CJIS Division.

This completed form includes information regarding who within your organization OSP will contact should law enforcement action be taken on your CJIS flagged staff.

## Set Aside/Expungements/Sealed Record

- Oregon law provides for certain arrests and convictions to be removed or “set aside” from CCH records.
- A motion and order must be filed through the District Attorney’s office and signed by the court before the record is removed from the CCH file.





## Firearms Instant Check System (FICS)

Oregon State Police  
Criminal Justice Information Services Division

Dave Piercy – Program Manager  
Lori Barnes – FICS Supervisor  
Matt Castleman – NARIP Supervisor

## Two Units – Similar Missions

- Firearms Instant Check System (FICS)
  - Conducts Background Checks for firearms purchase attempts at Federally Licensed Firearm Dealers (FFLs) and provides a similar service for private individuals
  - Conducts research into incomplete criminal histories as the background checks come in
- NICS Act Record Improvement Program (NARIP)
  - Temporary, federally grant-funded program to conduct the same research but for records from 1990-2010



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## NARIP Work – A Broken Record

- NARIP works on records from 1990-2010 that have incomplete criminal histories
- Records worked and updated in 2014
  - Worked 5,500 convictions, 800 of which were felonies that now have a disposition
  - Worked another 13,000 charges almost all of which were dismissals
- Records worked and updated in the first half of 2015
  - Worked 3,550 convictions, 425 of which were felonies that now have a disposition
  - Worked 7,700 other charges almost all of which were dismissals



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## FICS Background Information

- Oregon’s “Handgun” Instant Check Unit established in June 1996 for gun dealer background checks on handguns only
- Oregon voter initiative in November 2000 and Legislative law change in 2001 established the Gun Violence Prevention Act (ORS 166.445)
  - Established Oregon as a NICS Point-of-Contact State
  - Requires OSP to perform checks on both handguns and long guns
  - Voluntary private-party background check when not at gun show
- Oregon Firearms Safety Act – 2015
  - Signed by Governor Brown on May 11<sup>th</sup>, 2015.
  - Requires private-party background checks in most cases as of August 9<sup>th</sup>, 2015



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## Purpose

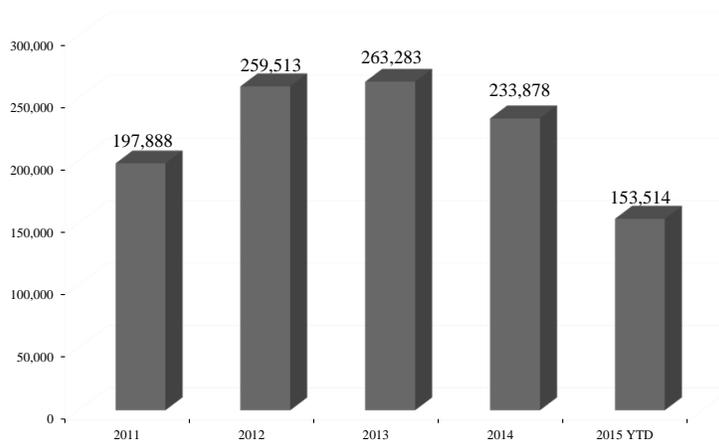
- The primary purpose of the FICS Unit is to conduct criminal background checks for those individuals wishing to purchase a firearm in the state of Oregon.
- The Oregon background check includes a stolen gun check.
- Comply with state and federal laws with regard to the transfer of firearms in order to aid in maintaining public safety.



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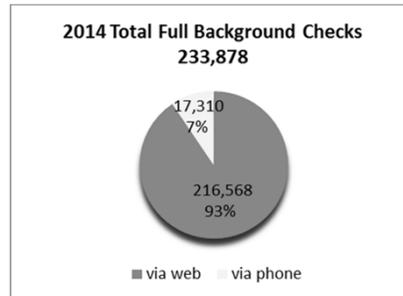
## Yearly Transaction Total



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## How Many Checks are Being Done?



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## Background Check Definition of Terms

**Approved:** No state or federal prohibitions found as result of a completed background check. Dealer provided an approval number for their records as required by ATF.

**Denied:** One or more state or federal prohibitors were found as the result of a completed background check. Dealer notified of denied status for records.

**Delayed:** A transaction that cannot be approved or denied immediately due to incomplete records needed to make a final determination.



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## Contacting Law Enforcement and Judicial Agencies

- In 2014, there were 8,010 (3.42%) background checks that could not be completed instantly due to incomplete criminal histories or convictions needing research to determine if they are state or federal disqualifiers.
  - There are 15 state and federal disqualifying categories. Top contributors to a transaction being delayed and the length of time to resolve the delay include:
    - Arrest charges that could be domestic violence
    - Charges that could be either felony or misdemeanor and a decision cannot be made until records are obtained
- \* Local, state, and federal agencies often do not have the resources to respond to requests for missing information



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## Contact Info – Firearms Unit

Dave Piercy, FICS Program Manager

[Dave.Piercy@state.or.us](mailto:Dave.Piercy@state.or.us)

503-934-2330

Matt Castleman, NARIP Supervisor

[Matt.Castleman@state.or.us](mailto:Matt.Castleman@state.or.us)

503-934-2365

Lori Barnes, Firearms Supervisor

[Lori.Barnes@state.or.us](mailto:Lori.Barnes@state.or.us)

503-934-2364

[osp.firearms@state.or.us](mailto:osp.firearms@state.or.us)

503-370-8584 (Fax)



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## CJIS Training & Auditing

Oregon State Police  
Criminal Justice Information Services Division

Russ Hoskins, Training Specialist

## LEDS/NCIC Auditing

### Purpose of Audit Program

- Ensure Accuracy of LEDS/NCIC Records
- Mitigate Agency Liability
- Assist with Internal and System Misuse Investigations
- Ensure Compliance with NCIC/LEDS Security and Operational Policies



## LEDS/NCIC Auditing

### Purpose of Audit Program

- Increase Criminal Apprehension
- Enhance Recovery of Stolen Property
- Enhance Officer and Citizen Safety



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## CJIS Training – Support LEDS Reps

- Issue training materials & certificates – double check training records
- Assist new LEDS Reps
- Approve certain extensions when warranted
- Answer questions and provide focused training



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## CJIS Training – Maintain Healthy Records

- Check LEDS training records – expirations
- Update NexTest records – ORI reassignments
- Resolve training record conflicts between LEDS and NexTest



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## CJIS Training – LEDS Training

- Statewide
- Regional
  - ✓ *Quarterly at a minimum*
- Agency
- Individual



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## CJIS Training - Documentation

- Maintain and update the LEDS manual
- Produce LEDS informational bulletins and updates



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## CJIS Training - Advise

- LEDS related legislation & policy changes
- Impact on training and documentation



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## Contact

Kendele Miyasaki, Training Coordinator  
[kendele.miyasaki@state.or.us](mailto:kendele.miyasaki@state.or.us)  
503-934-0300 (Desk)

Russ Hoskins, Training Specialist  
[russell.hoskins@state.or.us](mailto:russell.hoskins@state.or.us)  
503-934-2341 (Desk)



## Oregon State Police CJIS Division Statewide Training Event

### Lunch Break

WiFi Password:  
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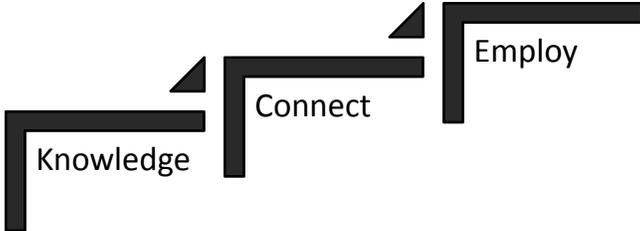


Federal Bureau of Investigation  
Identity Resolution and  
Investigative Tools

*2015 Oregon State Police  
Statewide Training Conference*



*The value you'll receive today.....*



Knowledge      Connect      Employ

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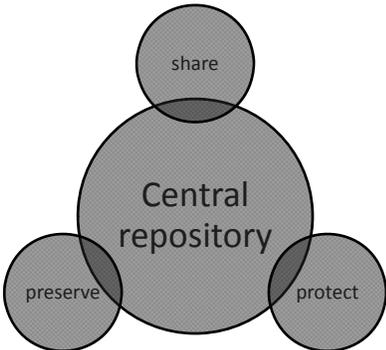
# Objectives:

1. Criminal Justice Information Services (CJIS) Overview
2. NCIC Off-Line Search Overview
3. Known or Appropriately Suspected Terrorist (KST) File Overview
4. Training Resources

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## CJIS Division Mission



The diagram consists of three overlapping circles. The top circle is labeled 'share', the bottom-left circle is labeled 'preserve', and the bottom-right circle is labeled 'protect'. A larger, shaded circle in the center, overlapping all three, is labeled 'Central repository'.

- To equip law enforcement, national security, and intelligence community partners with the criminal justice information they need to protect the United States while preserving civil liberties.

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# What is Criminal Justice Information?

Biometrics	Identity History
Person	Organization
Property*	Case/Incident History Data

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Identity  
+

Resolution

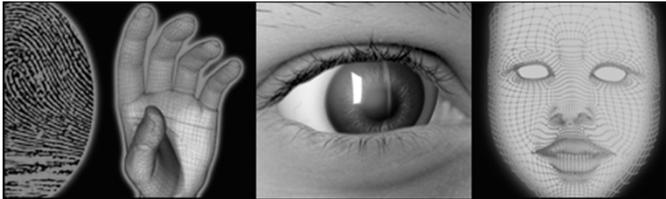
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Establishing a person to be as described

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## Next Generation Identification (NGI)




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## Next Generation Identification (NGI)

- Improved accuracy (99.6%)
- Cold case activity
- Rap Back
- RISC

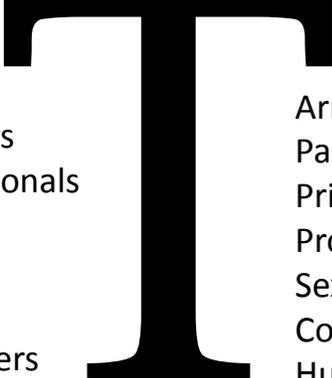


*Design for the future....*

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## Ten-print Submission

Non-Criminal		Criminal
Military		Arrestee's
Teachers		Parolees
Professionals		Prisoners
Doctors		Probationers
Nurses		Sex Offenders
Cops		Confidential
Caregivers		Human Source
Pilots		
Coaches		

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## Facial, Analysis, Comparison, and Evaluation (FACE) Services

Active FBI and Guardian investigations

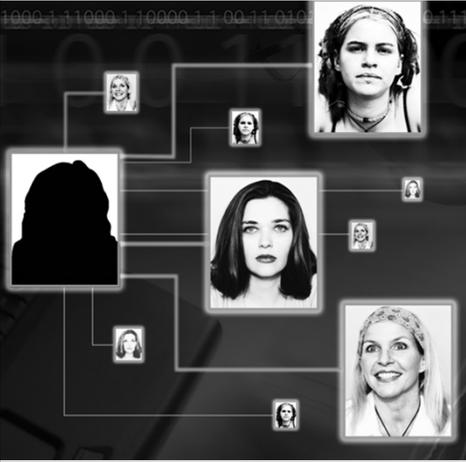
Comparison of photos

Investigative leads and support



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**FACE**



- July 16,2014,FBI field office investigator submitted 3 photos to Face Services Unit
- 50 potential candidates via NGI criminals' photos search
- Biometric images specialist identified likely candidate
- July 17,2014, Investigative lead provided to FBI investigator
- Positive identification made
- Subject and partner arrested



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# Law Enforcement Enterprise Portal (LEEP)

### Agency's Network

### Services

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**LEEP**  
LAW ENFORCEMENT ENTERPRISE PORTAL

[Deny/Revoke](#) [Log Out](#)

[Home](#)
[News](#)
[Tools](#)
[Support](#)
[Contact Us](#)

#### SERVICES

- JABS**  
Joint Automated Booking System (JABS) is a repository of federal arrest information.
- LEO**  
Used to support investigative operations, send notifications and alerts, and provide an avenue to remotely access other law enforcement and intelligence resources.
- National Gang Intelligence Center**  
Multi-agency effort that integrates gang information from local, state, and federal law enforcement entities to serve as a centralized intelligence resource for gang information and analytical support.
- RISC**  
Repository for Individuals of Special Concern
- RISSNET**  
Provides timely access to a variety of law enforcement sensitive, officer safety, and public safety resources.
- Active Shooter**  
The FBI Active Shooter Resources Page serves as LEO's single clearinghouse for all materials available for use by law enforcement agencies and other first responders around the country.
- FBI Virtual Academy**  
The FBI's enterprise training system which provides access to all FBI training that is available to the FBI's criminal justice and intelligence partners.
- Malware Investigator**

#### LATEST NEWS

- Malware Investigator Quick Reference**  
Aug 20, 2014 by Michael Jenkins
- LEEP Newsletter Volume 3 Issue 2**  
Aug 8, 2014 by Michael Jenkins
- LEEP Information Services**  
Jun 24, 2014 by Michael Jenkins

#### FEATURED SERVICE PROVIDERS

Active Shooter  
Jun 24, 2014 by Michael Jenkins

The FBI Active Shooter Resources Page serves as LEO's single clearinghouse for all materials available for use by law enforcement agencies and other first responders around the country.

#### IN THIS SECTION

**News**  
New Services and Identity Providers, maintenance, and other updates can be viewed from here, along with archives of old news items.

[READ MORE](#)

#### Tools

SPARCL, Helpdesk, and Address Book.

[READ MORE](#)

#### Support

Includes information on how to use LEEP, along with a list of our Service and Identity Providers.

[READ MORE](#)

#### Contact Us

Includes contact information for your Account Provider, general LEEP help, and Service Provider contact info.

#### WANT TO BE A LEEP PARTNER?

[Click here for more information.](#)

#### RSS NEWS FEED

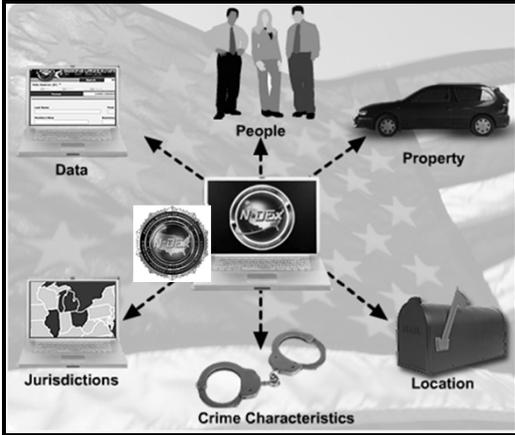
PoliceOne Daily News

- Missing Children Alert Cases
  - > Missing: LIAM PLATT (MA)
  - > Missing: HUNTER HARE (NC)
  - > Missing: OLIVIA KEAN (MI)
  - > Missing: FRANCISCO MARMOLEJO (NM)



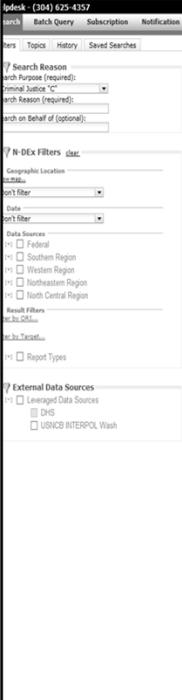
# National Data Exchange (N-DEx)



Connect the dots...

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## N-DEx

Search local, state, tribal, and federal criminal justice records

Detect relationships between people, events, property, and locations

Share valuable case information in a secure, real-time environment

Receive notification when new records of interest enter system

Receive notification when another user searches similar interests

# Uniform Crime Reporting (UCR)

- Crime statistics collection
- Law Enforcement Officers Killed and Assaulted (LEOKA)
  - Data driven
  - Research based
  - Training program

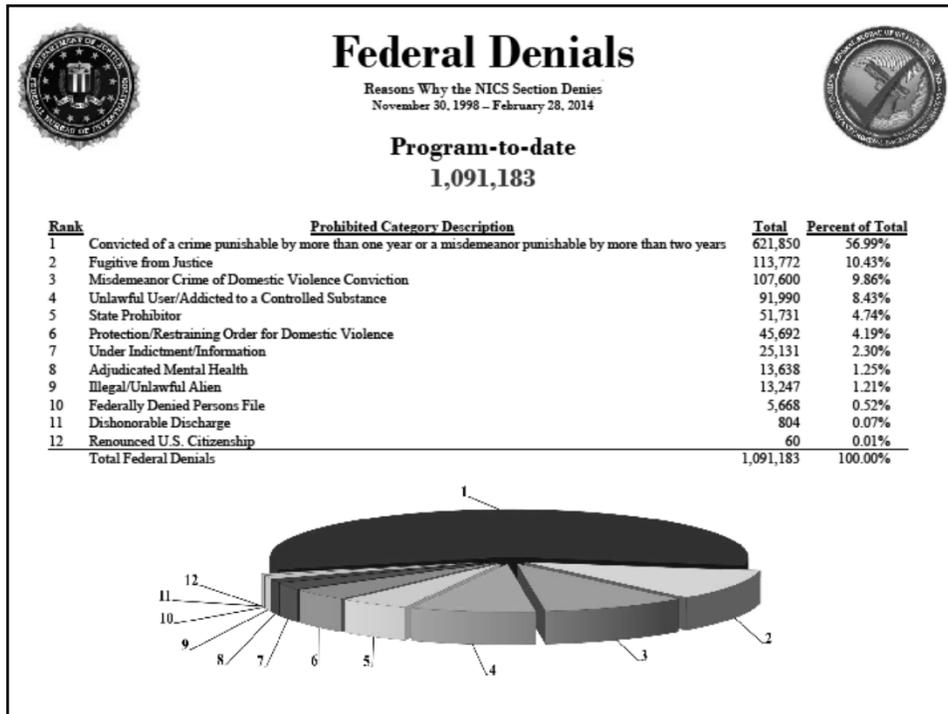
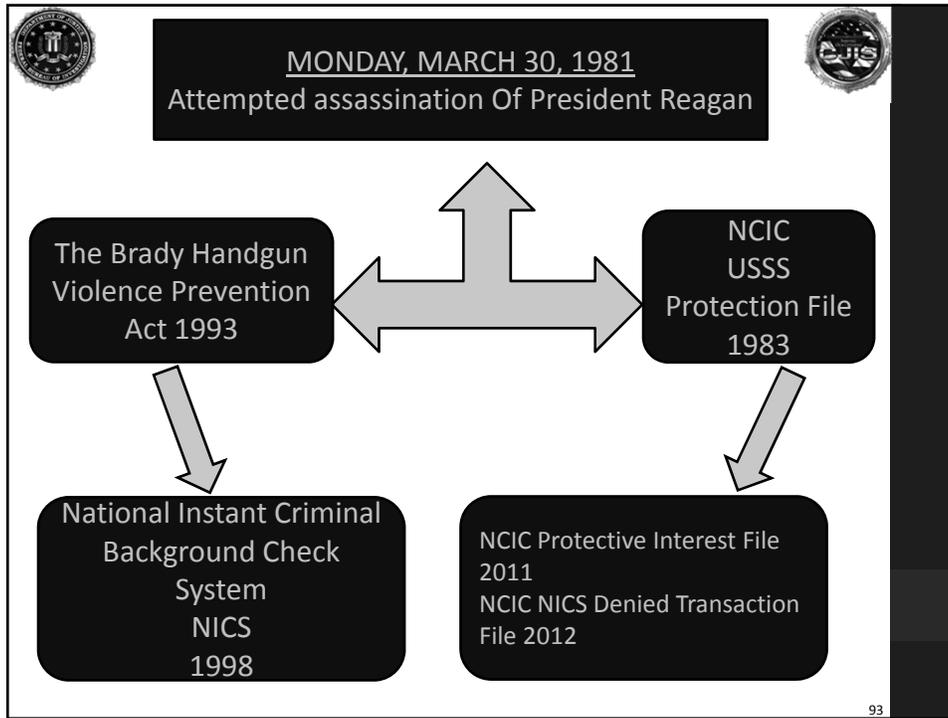
Circumstance	Count
Disturbance calls	4
Arrest situations	6
Civil disorder	0
Handling prisoners	0
Investigating suspicious persons	5
Ambush situations	5
Investigative activity	1
Handling persons with mental illness	0
Traffic pursuits/stops	2
Tactical situations	4

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# National Instant Criminal Background Check System (NICS)

- National computerized system designed to search national databases to identify persons disqualified from receiving or possessing firearms
- Became operational November 30, 1998
- Brady Handgun Violence Prevention Act of 1993 (Brady Act)
- Decision must be rendered within three business days
- If a determination cannot be made, the Federal Firearms Licensees may transfer the firearm at their discretion

9/22/2015 UNCLASSIFIED 92





## Public Access Line (PAL)




- Public tip line
- Launched October 2012
- Staffed 24/7
- 102 customer service representatives
- 6 Supervisory Special Agents
- Major Case Contact Center (MC3)

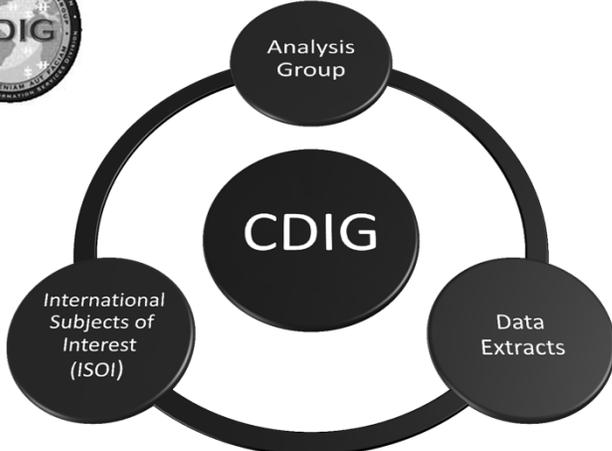
*A single point of contact for crime tips*

9/22/2015 UNCLASSIFIED 95



## CJIS Intelligence Group (CDIG)





Analysis Group

CDIG

International Subjects of Interest (ISOI)

Data Extracts

9/22/2015 UNCLASSIFIED 96




## National Crime Information Center (NCIC)

- Computerized criminal justice information database
- 21 files of person and property information
- More than 12.2 million records
- Enhance officer and agent safety
- Available nationwide, 24/7



- *Has provided investigative services that have resulted in nearly 114,000 arrests and more than \$3 billion in property recoveries*

9/22/2015 UNCLASSIFIED 97

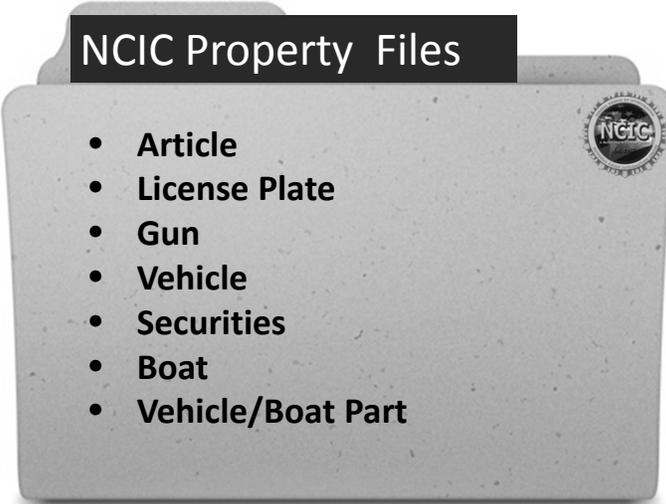



## Person Files in the NCIC

- Wanted Person
- Missing Person
- Unidentified Person
- Foreign Fugitive
- Immigration Violator
- Protection Order
- National Sex Offender Registry
- Supervised Release
- Identity Theft
- Gang
- Known or Appropriately Suspected Terrorist
- **Protective Interest (NEW)**
- **NICS Denied Transaction (NEW)**
- **Violent Person(NEW)**

Timely, accurate, documented

9/22/2015 UNCLASSIFIED 98

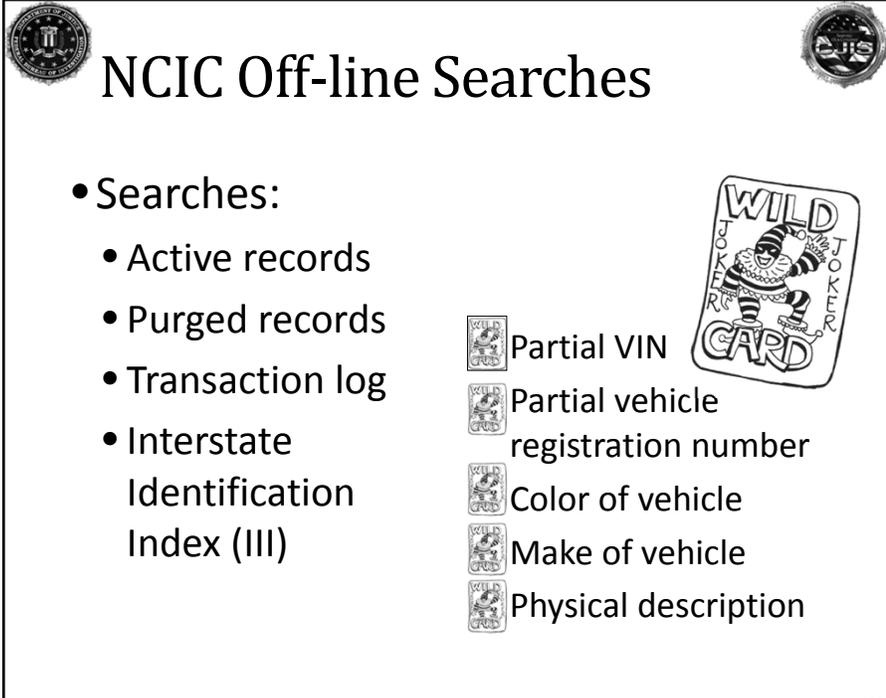


**NCIC Property Files**

- Article
- License Plate
- Gun
- Vehicle
- Securities
- Boat
- Vehicle/Boat Part

Timely, accurate, documented

9/22/2015 UNCLASSIFIED 99



**NCIC Off-line Searches**

- Searches:
  - Active records
  - Purged records
  - Transaction log
  - Interstate Identification Index (III)

- Partial VIN
- Partial vehicle registration number
- Color of vehicle
- Make of vehicle
- Physical description



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# NCIC OFF-LINE SEARCH

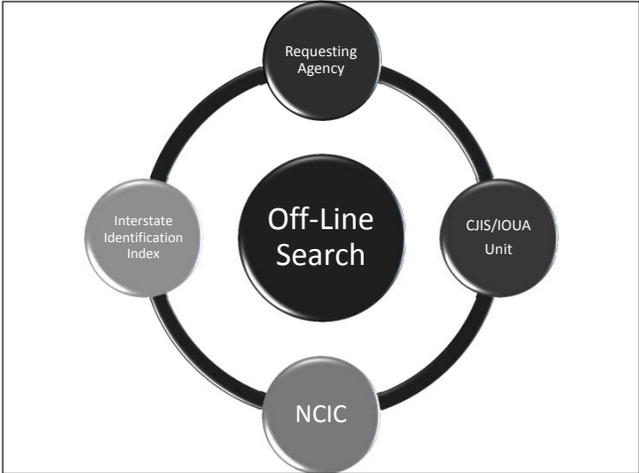
**Search Delimiters**

Can be limited to  
the records of a  
**Particular**  
State(s)  
City(s)  
Agency(s)



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# NCIC OFF-LINE SEARCH



```
graph TD; RA[Requesting Agency] --- OLS((Off-Line Search)); IUI[Interstate Identification Index] --- OLS; CIIS[CIIS/IOUA Unit] --- OLS; NCIC --- OLS; OLS --- RA; OLS --- IUI; OLS --- CIIS; OLS --- NCIC;
```

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# IT WORKS!



## DuBois woman will face kidnap charges

Wednesday, March 2, 2011

The U.S. Marshal Service for the Western District of Pennsylvania opened a fugitive investigation in order to locate Kilmer and the missing child. It forwarded leads to five Marshal Service districts in four states, including one in Utah.

The state police and U.S. marshals pursued leads in New Jersey and Florida and worked with Shaw's office to pinpoint Kilmer's location based on where she made calls on her cell phone and where she used her credit card.

Authorities learned Friday that the Garfield County, Utah, Sheriff's Department had run the license plate of Kilmer's vehicle in the National Crime Information Center's database when the vehicle ran out of gas.

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# OFF-LINE SEARCH



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## OFF-LINE SEARCH

CALENDAR YEAR 2014:

- ✓ 23,509 Off-Line Search Request
- ✓ **434 Arrest**
- ✓ \$2,870,550.00 Assets Recovered
- ✓ 158 Emergency Request

CALENDAR YEAR 2015: Year to Date

- ✓ 18,484 Off-Line Search Request
- ✓ **93 Arrest**
- ✓ \$1,226,753.00 Assets Recovered
- ✓ 103 Emergency Request

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## NCIC KST File

Known or Appropriately Suspected Terrorist

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# KST History:

- VGTOF – Violent Gang and Terrorist File, 1995, Separated into 2 NCIC Files (Gang File and KST) after 9/11.
- KST File was Populated with the TOP 200 Known and Suspected Terrorist on September 12, 2001

UNCLASSIFIED 109



# NCIC Training Resources:

CJIS Training and Advisory Process Unit (CTAP)

1. Education
2. Internal
3. External
  - a. NCIC
  - b. UCR
  - c. NICS
  - d. Law Enforcement Training Instructor Certification
  - e. NGI/Fingerprint Services
  - f. CJIS Security
  - g. New Agent Training

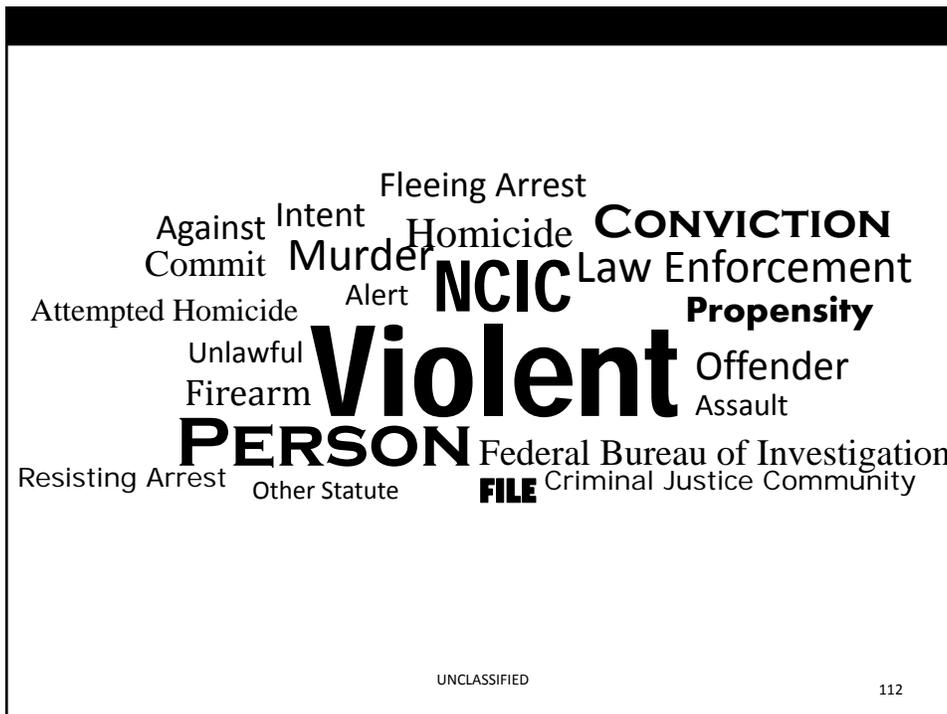
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# NCIC Training Resources:

## NCIC Training Material

1. Documents: Please review packets
2. DVD's:
  - a. NCIC Wanted Person File 03/16/12
  - b. NCIC Investigative Off-Line Search
  - c. NCIC Identity Theft
3. Brand New DVD's
  - a. NCIC Gun File
  - b. NCIC Missing Persons File



**REMEMBER  
PACK THE RECORD!!!!**

**Miscellaneous Information**

Name, DOB, SSN **FBI/UCN #** OLN, Alias, SMT Info

DOW, OCA, WNO

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**Grant E. Smith**  
**Training Instructor**  
**CJIS Training and Advisory Process Unit**  
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## LEDS Audit Briefing

Dan Malin  
Oregon State Police  
LEDS Auditor  
CJIS/LEDS

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## Purpose of Audit Program

- Ensure Accuracy of LEDS/NCIC Records
- Mitigate Agency Liability
- Increase Criminal Apprehension
- Enhance Recovery of Stolen Property
- Enhance Officer and Citizen Safety
- Assist with Internal and System Misuse Investigations
- Ensure Compliance with NCIC/LEDS Security and Operational Policies



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## Audit Requirements

- All criminal and non-criminal justice agencies with direct access to the state system must be audited every three years.  
(Approx. 600 agencies)
- The NCIC CJIS Audit Unit will conduct compliance audits of all CSAs triennially. This will include a sample of interface agencies and noncriminal justice agencies.



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## Audit Process

- Determine On-Site or Self Audit
- Provide Agency Notice - Electronic (30 Days Out)
- Research User Agreement, Policies, Ordinances and Sample of Agency Records and Training Files
- Confirm Security Requirements are Met
- Coordinate for Document Availability
- Conduct the Audit with LEADS Rep or Records Supervisor
- Provide Findings and Recommendations



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## LEDS Audit Findings

- Inappropriate Purpose Code Use - III
- Inappropriate Extradition Field entry
- Fail to obtain/enter DNA, medical and dental record info on Missing Persons
- Fail to update LEDS Training records
- Fail to enter Firearms Prohibition – PCO7 on Protection Orders – MIS Field
- Fail to Ensure CJIS Security Check/Flag



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## 2015 – 2017 Audit Cycle

2015: Linn, Clatsop, Gilliam, Morrow, Wheeler, Benton, Curry, Lincoln, Columbia and Yamhill Counties Finished

October: Union & Wallowa Counties

November: Hood River County

December: Lane County

January: Lane and Tillamook Counties



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## System Misuse Investigations

- Most Based on Agency Request
- System Misuse or Performance
- Database or Message Switch Search
- How Far Back in Time
- Who/What Specifically to Look For
- 2-4 per Month
- Results are Specific – 35% Exoneration



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## Hot Topics

- Electronic Audit Documentation
- Ordinances and CCH/III Access Authority
- Fingerprint/CJIS Security Flag Checks
- CJIS Security Process - Policy
- Laserfiche
- Single/Multi Source Offender Flag



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## Questions?

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## Oregon State Police CJIS Division Statewide Training Event

### Break

WiFi Password:  
XXXXXXXX



## CJIS Information Technology Security Audit (ITSA) 2015 Program Update

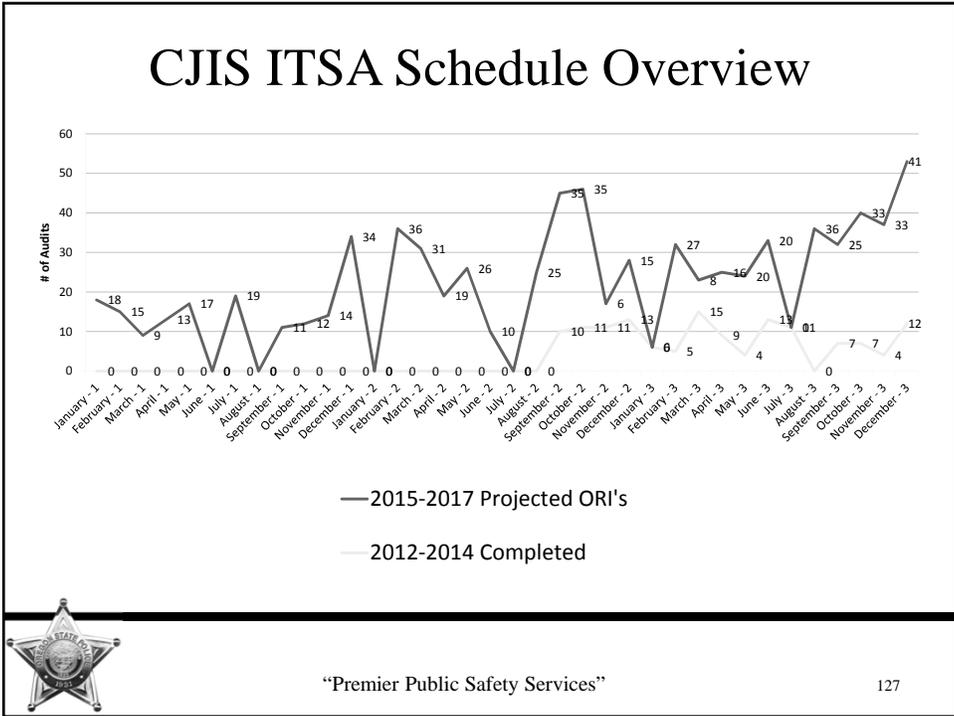
Greg Verharst  
CJIS Information Security Officer  
[Greg.Verharst@state.or.us](mailto:Greg.Verharst@state.or.us)  
(503) 934-2335

### The 4 W's of CJIS Audits

- Who receives Information Technology Security Audits (ITSA)?
- Why are we being audited?
- When are the audits conducted?
- What is being audited?

# W





## 2015-2017 ITSA Schedule

2015	County	2016	County	2017	County
January	Linn	January		January	Douglas
February	Benton	February	Clackamas	February	
March	Curry	March	Washington	March	Josephine
April	Columbia	April	Malheur, Harney	April	Crook, Grant
May	Gilliam, Morrow, Wheeler	May	Union, Baker, Wallowa	May	Deschutes
June	Yamhill	June	Jefferson	June	Coos
July		July	Sherman	July	Wasco
August	NCIC Audit	August	Klamath, Lake	August	Umatilla
September	Clatsop	September	Multnomah	September	Jackson
October	Tillamook	October	Multnomah	October	Marion
November	Lincoln	November	Hood River	November	Marion
December	Lane	December	Polk	December	Marion

166 Agency Audits  
93 Audits to date

218 Agency Audits

237 Agency Audits



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## 2012-2014 Audit Findings – Most Frequent

- Management Control Agreements & Security Addendums
- Encryption – Specifically FIPS Certificates
- Audit Logs
- Policies, Processes and Procedures (PP&P)



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## 2012-2014 Audit Findings – Less Frequent

- Shared user access to CJIS
- Encryption – CJIS transmitted outside a PSL or via Email
- CJIS Security Fingerprinting
- Security Awareness Training



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## 2012-2014 FBI Audit

- FBI ITSA completed the week of 8/10/2015
- Agencies audited
  - OSP
  - Three NCJA (Zero Cycle)
  - Eight Local Agencies (CJA's)



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## 2012-2014 FBI Audit

- Official report to be delivered to OSP by 10/10/2015
- Summary of findings – 75 Non compliance findings
- Only one (1) finding was not in line with OSP local agency audits



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## 2012-2014 FBI Audit

- Summary
- The OSP ITSA program is working. We are seeing great improvement throughout the Oregon CJIS Community.
- Continued efforts and CJIS Policy awareness must continue in order to maintain success
- Don't be caught off guard!!!



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## Security Addendums - Explained

- **What is the CJIS Security Addendum (SA)?**  
Uniform addendum to an agreement between the government agency (CJA or NCJA) and a private contractor
- **When is the SA required?**  
Private contractors who perform **criminal justice functions** shall meet the same training and certification criteria required by governmental agencies performing a similar function



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## Security Addendums–Explained (cont.)

- What does all this really mean?
- Essentially, if your agency utilizes services from a private contractor/vendor which includes unescorted access (physical or logical) to your CJI as part of fulfilling their contractual agreement, then the Security Addendum (SA) is required.



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## Security Addendums–Explained (cont.)

- Examples of when a SA may be required:
  - IT Support
  - Physical Destruction
  - Document imaging
  - Background investigator



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## Security Addendums–Explained (cont.)

- Examples of when a SA may NOT be required:
  - Janitorial Staff
  - Electricians
  - Mail delivery
  - Radio Technicians
  - Building Maintenance



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## Security Addendums–Explained (cont.)

- What is required for private contractors not performing criminal justice functions (janitors, electricians, et al)
  - CJIS Security Fingerprinting. - Security Awareness Training.
- **REMEMBER** - Escorting removes the requirement to Fingerprint, Security Awareness Train & obtain SA's or MCA's



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## CJIS Security Policy (CSP) 5.4

- Version 5.4 is expected any time now.
- Significant changes in this version, specifically regarding Virtualization.
- Current CSP version available at:  
<http://www.oregon.gov/OSP/CJIS/pages/index.aspx>  
<https://www.fbi.gov/about-us/cjis/cjis-security-policy-resource-center/view>



## CJIS Security Policy (CSP) 5.4

- Significant changes:
  - 28 APB Approved Changes
  - 25 Administrative Changes



## CJI in the Cloud

- Substantial benefits of cloud services
  - Cost savings
  - Rapid Deployment
  - Offsite Storage and Disaster Recovery
  - Dynamic Provisioning



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## CJI in the Cloud (cont.)

- Understanding the risks in your own data center is key to understanding your risk in the cloud!
  - Vulnerability to hacking and theft including poor password protection, software flaws and lack of data encryption.
  - Security, privacy and ownership of information in an environment that resides outside of agency firewalls.
  - Lack of portability standards, moving data in and out of cloud environments into other systems, particularly when contracts end.



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## CJI in the Cloud (cont.)

- **Minimizing your agency's risk is fundamental as you consider moving data into the cloud.**
  - Define and document your organization's information and security requirements for your cloud-based solution.
  - Include the agency records officer in the planning, deployment and use of cloud-based solutions.
  - Evaluate your cloud architecture needs. For example, a private cloud vs. public cloud model.
  - Perform due diligence when selecting a cloud provider, including checking references, site visits and verifying required certifications and standards compliance.



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## CJI in the Cloud (cont.)

- **Microsoft Office 365 / Azure**
  - Microsoft will only sign the Security Addendum with one agency per state.
  - Microsoft has signed (or in the process of signing) and implemented security addendums (SA) with 11 states (Texas, Pennsylvania, California, Kansas are a few)
  - OSP is currently working with DAS and DOJ regarding signing a Security Addendum with Microsoft at a state agency level to cover any Oregon agency moving to Microsoft Cloud Services.



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## CJI in the Cloud (cont.)

- Other cloud vendors are looking into CJIS compliance
- Google Apps and Virtu
- Amazon Web Services
- IBM i2 COPLINK



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## CJIS Security Policy Listserv

- <http://listsmart.osl.state.or.us/mailman/listinfo/cjissecuritypolicy>
- 421 Members to date
- To post a message to all list members, send an email to [cjissecuritypolicy@listsmart.osl.state.or.us](mailto:cjissecuritypolicy@listsmart.osl.state.or.us)



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## Additional CJIS Contact Info

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## Closing

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FBI CJIS Security Policy Update  
Stephen Exley



Oregon State Police CJIS Division  
Statewide Training Event

Questions & Closing Remarks