**II-D-1.0 Facility Health Services**

**Effective: 12/21/2012**

**Subject:** Facility Health Services

**Section – Policy Number:** D: Health and Mental Health – 1.0

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**Supersedes:**
- II-D-1.0 (01/05)
- II-D-1.0 (12/03)

**Related Standards and References:**
- ORS 420A.010 (Creation and duties)
- ORS 420A.125 (Youth offenders; intake assessments; reformation plan; placement)
- OAR Chapter 416, Division 340 (Medication Management)
- OAR Chapter 416, Division 105 (Disclosure of Offender Case Record Information)
- Performance-based Standards (PbS), *Juvenile Correction and Detention Facilities Health and Mental Health*
- American Correctional Association, *Standards for Juvenile Correctional Facilities*; 4-JCF-4C-01 through -04; 4-JCF-4C-06 through -09; 4-JCF-4C-14; and 4-JCF-4C-27
- National Commission on Correctional Health Care, *Standards for Health Services in Juvenile Detention and Confinement Facilities 2011*
- OYA policy: I-A-10.0 (Preventing, Responding to, and Monitoring Offender Sexual Abuse)
- II-A-1.5 (Agency Case Review; DOC Return Protocol)
- II-A-3.0 (Supervision of Offenders)
- II-A-3.1 (Facility Offender Transports and Escorted Trips)
- II-D-2.2 (Suicide Prevention in OYA Close-custody Facilities)
- II-D-1.4 (Medication Management)
- OYA forms: YA 4004 (Youth Correctional Facility Medical- Dental-Optical Consent)
- YA 4406 (HIV Test Informed Consent)
- YA 4408 (YCF Initial Health Screen)
- YA 4409 (YCF Mental Status Assessment)
- YA 4413 (YCF Brief Mental Status Assessment)
- YA 4416 (Health History and Physical Assessment)
- YA 4435 (Initial Psychological Evaluation Recommendations)
- YA 4450 (Referral for Psychiatric/Psychological Evaluation)
- YA 4452 (Psychology Progress Report)
- YA 6300 (Oregon State Hospital Transfer Request)

**Related Procedures:**
- HS I-E-2.2 (b) Facility Medical File Transfer
- HS I-A-10.0 Preventing, Responding to and Monitoring Offender Sexual Abuse-Assault

**Policy Owner:** Health Services Director

**Approved:**

[Signature]

Fariborz Pakseresht, Director
I. PURPOSE:

This policy provides general standards for health services available to offenders in OYA facilities.

II. POLICY DEFINITIONS:

Health assessment: The process whereby the health status of an offender is evaluated, including questioning the offender regarding symptoms. The assessment includes a medical history, physical examination, and diagnostic testing, as appropriate.

Health screening: A process of structured inquiry and observation designed to prevent newly arrived offenders who pose a threat to their own or others’ health or safety from being admitted to the facility’s general population, and to provide them rapid medical care, as necessary.

Health services: Include medical, dental and mental health services.

Psychiatric/Psychological assessment: A clinical interview and chart review administered by a psychologist, psychiatrist, or psychiatric mental health nurse practitioner to assess an offender’s psychological status, and to identify any psychological or psychiatric disorders. If indicated in the interview, psychological tests/assessment instruments used to identify mood, personality, or attention problems, psychopathy, or other psychological disorders may be administered. Depending on the circumstances of the case, family members and other collateral persons may also be interviewed.

Qualified health care professional: Includes physicians, physician assistants, nurse practitioners, nurses, dentists and others who are permitted by law within the scope of their professional practice acts to evaluate and care for patients.

Qualified mental health professional (QMHP): A licensed medical practitioner and any other person who meets the following minimum qualifications:

- Holds any of the following educational degrees:
  - Graduate degree in psychology;
  - Bachelor’s Degree in nursing and licensed by the State of Oregon;
  - Graduate degree in social work;
  - Graduate degree in a behavioral science field;
  - Graduate degree in recreational, art, or music therapy; or
  - Bachelor’s degree in occupational therapy and licensed by the State of Oregon;

and

Whose education and experience demonstrates the competencies to identify precipitating events; gather histories of mental, emotional and physical disabilities, alcohol and drug use, past mental health services and criminal justice contacts; assess family, social, and work relationships; conduct a mental status assessment; document a multi-axial DSM diagnosis; write and implement or supervise implementation of a treatment plan; conduct and document a mental health assessment; and provide mental health treatment and rehabilitative services within the scope of his or her training.
III. POLICY:

OYA ensures all offenders receive health services in an appropriate and timely manner. OYA has been determined to be an entity not covered by the federal Health Insurance Portability and Accountability Act (HIPAA) of 1996; however, offender health information may only be shared by health care staff with non-treating staff only as is necessary for the performance of their job duties and as necessary to protect the health and safety of offenders and facility staff.

Health Services staff must provide specialized health services to offenders only within the scope of the Health Services staff's training.

Health Services staff are not responsible for the transportation and supervision of offenders to medical appointments, either at the facility or in the community. Supervision and transportation of offenders during medical appointments is addressed in OYA policies II-A-3.0 (Supervision of Offenders) and II-A-3.1 (Facility Offender Transports and Escorted Trips), Health information may be shared with transport staff if necessary to ensure staff and offender safety.

IV. Physical Health General Standards:

A. Health screenings

1. All offenders will receive an Initial Health Screening (YA 4408) within one hour of admittance to the facility. This screening will be completed by a health-trained staff (OYA transition camps only) or a qualified health care professional.

2. If the screening is completed by a health-trained staff at an OYA transition camp, the findings will be reviewed by a qualified health care professional at the first opportunity.

3. A health screening will be completed prior to the offender entering the general population.

4. Information obtained during the Initial Health Screening that should be communicated to staff in writing immediately includes:

   a) Food or drug allergies;

   b) Immediate health problems, including prescribed medications and treatment instructions;

   c) Acute and chronic health conditions that may require special care and treatment instructions such as diabetes, seizure disorder respiratory disorders, heart conditions, fractures, and post-surgical care;

   d) Medical restrictions;

   e) Special dietary requirements;
f) Follow-up care requirements;

g) Appointments for specialized health services.

5. All other health information may not be shared unless authorized by statute or determined by a facility qualified health professional as necessary for facility staff to perform his or her job duties.

B. Health assessments - OYA facility intake (initial commitment to OYA)

A full Health History and Physical Assessment (YA 4416) must be completed by a physician or nurse practitioner on each offender within seven days of admittance to the intake facility. The assessment must include:

1. A review of the results of the Initial Health Screening;

2. A complete health history of medical, dental and psychiatric treatment and needs;

3. A review of immunization records and a plan to update immunizations;

4. Tuberculosis screening;

5. Height, weight, Body Mass Index (BMI), pulse, blood pressure and temperature;

6. Offenders ages 12 to 20 years old: complete the BMI for age percentile graph;

7. Hearing and vision screening;

8. Physical examination by a physician or nurse practitioner;

9. Gynecological assessment of females (when clinically indicated) by a physician or nurse practitioner, frequency of pap smears per current health care guidelines;

10. A referral for pregnant offenders to the contracted OB/GYN for prenatal care;

11. A screening test for Gonorrhea and Chlamydia;

12. Other laboratory and/or diagnostic tests for communicable diseases, including sexually transmitted infections, as determined by the physician or nurse practitioner;

13. A dental examination by a dentist and development of a dental treatment plan;
15. A review of all results of all findings by a physician or nurse practitioner; and


C. Health assessments - Transfer from OYA intake facility to the treatment OYA facility

1. The nursing staff at the receiving facility (health-trained staff at Camps) must screen the offender face-to-face within one hour of arrival at the facility. The focus of the screening is on the offender’s appearance, behavior, and recounted problems the offender may say occurred during the transfer process. The screening must be documented in the offender's medical file with the screening staff's signature, date and time of completion.

2. Nursing staff (health-trained staff at Camps) must review the offender's health record or summary within 12 hours of arrival to ensure:

   a) Continuity of care is initiated;
   b) Missing initial assessments (health, mental health, dental) are identified and any required assessments are scheduled; and
   c) Records from the sending facility are filed in the current health record.

3. The receiving facility's physician or nurse practitioner must do the following within seven days of the offender's arrival:

   a) Review the offender's Health History and Physical Assessment form (YA 4416). If one was not completed at the intake facility, complete the YA 4416;
   b) Review the offender's medical file;
   c) Verify that all screenings have been completed;
   d) Follow-up on all test results;
   e) Initiate or complete any necessary treatment as appropriate; and
   f) Document this review has been completed by placing a note in the offender's medical file.

D. Health assessments - Readmission to an OYA facility
1. The physician or nurse practitioner must follow the same process described for initial commitments (section IV.B. above) except that the YA 4416 must be completed again only if it has been greater than six months since the youth left close custody.

2. The physician or nurse practitioner may complete a limited physical exam if the last full exam was completed no longer than one year ago (depending on clinical judgment of the physician or nurse practitioner).

E. Health assessments - OYA facility periodic assessments

The physician or nurse practitioner at each facility clinic in collaboration with the OYA Medical Director must determine the frequency and content of periodic health assessments of offenders based on nationally-recognized professional standards such as the American Academy of Pediatrics or American Academy of Family Practice.

F. Offender health education and counseling

1. Health Services staff must provide offenders education on their diagnosed medical condition, medications and self-management of disease.

2. Health Services staff must provide education and counseling on:
   a) Sexually transmitted diseases and prevention practices;
   b) Contraceptive options; and
   c) Healthy eating, healthy habits, exercise and maintaining healthy body weight.

G. Requests for non-emergency health care

1. Each OYA facility must provide offenders a daily opportunity to submit a health care request form directly to Health Services staff.

2. Health Services staff must review each request for care within 24 hours of receiving the request.

3. In OYA transition camps where Health Services staff are not on duty, health-trained staff must review and respond to health care requests and make timely arrangements for an appointment with the camp’s contracted community health care provider.

4. Health Services staff must triage and prioritize non-emergency health care requests.

5. Health Services staff must document each request in a facility medical log or file.
6. The qualified health professional must document medical care provided in the offender’s medical record.

H. Sick call

1. Sick call must be conducted by a qualified health care professional on a regularly-scheduled basis.

2. Not every sick call request requires a sick call appointment; however, when a sick call request describes a clinical symptom, the health care professional must have a face-to-face encounter with the offender.

3. The qualified health professional must document the encounter in the offender’s medical record.

I. Health evaluation of offenders isolated or segregated from the general population

1. A qualified health professional must medically assess, in person, on a daily basis each offender who is isolated or segregated from the general population for 24 hours or more.

2. This assessment must include:
   a) Notation of any signs of trauma;
   b) Notation of any signs or symptoms of illness;
   c) Comments regarding the offender’s attitude and outlook; and
   d) Changes in mental status.

3. The qualified health professional must document the assessment in the offender’s medical record.

J. Offender Sexual Assault/Abuse

If an offender may have been sexually abused and the abuse may have occurred within 96 hours, Health Services staff must arrange for the offender to be transported to a medical emergency room or a facility in the community that is equipped to evaluate, collect physical evidence, and treat victims of sexual abuse.

1. Staff must schedule the offender to see a physician or nurse practitioner upon the offender’s return to an OYA facility.

2. The physician or nurse practitioner must determine if all necessary prophylactic treatment has been given to the offender. If not, the physician or nurse practitioner must administer all necessary
prophylaxis (including follow-up care for sexually transmitted diseases or other communicable diseases as appropriate).

3. The physician or nurse practitioner must provide female offenders follow-up monitoring for pregnancy.

4. Staff must refer the offender to a mental health practitioner for crisis intervention and counseling, assessment for suicide potential and long-term follow-up.

5. A mental health practitioner must meet with the offender within 24 hours of the medical examination.

6. Refer to OYA policy I-A-10.0 (Preventing, Responding to, and Monitoring Offender Sexual Abuse) for additional information on offender sexual assault/abuse response.

V. Mental Health General Standards:

A. A Qualified Mental Health Professional (QMHP) must complete an Initial Mental Status Assessment (Form YA 4409) or Brief Mental Status Assessment (Form YA 4413) within one hour of an offender’s admittance to an OYA facility.

1. A YA 4409 must be completed when an offender is initially committed to OYA close custody, or is returning to close custody on a parole revocation after more than six months of release from close custody.

2. A YA 4413 must be completed when an offender is transferred between OYA facilities, or is returning to close custody on a parole revocation within six months of release from close custody.

3. For initial commitments and parole revocations, the assessment must be reviewed by a Treatment Services Supervisor within seven days of its completion.

4. Refer to OYA policy II-D-2.2 (Suicide Prevention in Close-custody Facilities) for observation, supervision, and clinical review requirements for offenders determined to be at risk of suicidal behavior after receiving mental status assessment.

B. Initial psychological/psychiatric evaluation

1. A staff or contracted psychologist, or psychologist resident must complete a psychological evaluation and YA 4435 (Initial Psychological Evaluation Recommendations) on each offender within 30 days of the offender’s initial admittance to an OYA facility.

2. If an offender is taking psychotropic medication, a psychiatrist or psychiatric mental health nurse practitioner must complete a
psychiatric evaluation within 14 days of the offender's initial admittance to an OYA facility.

C. Ongoing mental health services

1. The Treatment Services Director must ensure mental health services are available to all offenders in OYA close custody.

2. Staff may receive referrals for care from an offender's parent/guardian, facility staff, QMHPs, Health Services staff, juvenile parole/probation officers, courts, or an offender.

3. The level of services to be provided must be determined by a Qualified Mental Health Professional (QMHP). Referrals for psychiatric or psychological evaluations may be requested via a YA 4450 (Referral for Psychiatric/Psychological Evaluation).

   Psychologists complete a YA 4452 (Psychology Progress Report) to document contact with offenders other than for a psychological evaluation.

4. A QMHP or facility counselor must reassess each offender at every MDT review to review current mental status and to determine service needs.

VI. Offender Transfers - Physical and Mental Health Information

A. Offender transfers to an OYA facility with in-house Health Services staff

1. The transporting staff must transport the following in a sealed package clearly labeled with the offender's name:

   a) The offender's complete medical and dental file;

   b) A completed medical transfer/discharge form;

   c) The current Medical Administration Record; and

   d) All current medications.

2. The sending facility's nursing staff must immediately notify the contract pharmacy of the transfer.

3. Health Services staff at the sending facility must make verbal contact with the receiving facility if the offender has complex or acute medical needs.

4. Nursing staff at the receiving facility will complete an intake health screen within one hour of admission and review the offender's health record and discharge summary within 12 hours of arrival to ensure that continuity of care is initiated and that missing
assessments are identified and scheduled. The physician or nurse practitioner of the receiving facility must review the screening and health record within seven days of transfer. (See section IV.A. above.)

5. Mental health staff at the sending facility must make verbal contact with the receiving facility if the offender has acute or chronic mental health needs.

B. Offender transfers to an OYA transition camp without in-house Health Services staff or discharge to a community placement.

1. The transporting staff must transport the following in a sealed package clearly labeled with the offender's name:
   a) A completed medical transfer/discharge form;
   b) A certificate of immunization status form;
   c) A copy of the current Medication Administration Record; and
   d) A 30-day supply of medications.

   If the complete supply is not available, the sending facility’s nursing staff must contact the prescriber to send a prescription or to call in an order to a local pharmacy.

2. The sending facility’s nursing staff must immediately notify the contract pharmacy of the transfer.

3. Health Services staff at the sending facility must make verbal contact with the receiving facility’s staff if the offender has complex or acute medical needs.

4. Mental health staff at the sending facility must make verbal contact with the receiving facility staff if the offender has acute or chronic mental health needs.

C. Offender transfers to Oregon Department of Corrections (DOC)

1. Staff releasing an offender to DOC must send the following in a sealed package clearly labeled with the offender's name:
   a) A completed medical transfer/discharge form;
   b) A certificate of immunization status;
   c) A copy of the current Medication Administration Record;
   d) A 30-day supply of medications; and
e) Copies of all pertinent chart notes, provider orders, laboratory reports, psychiatric and psychological records.

2. The sending facility’s nursing staff must immediately notify the contract pharmacy of the transfer.

3. Health Services staff at the sending facility must make verbal contact with the receiving DOC facility Health Services staff if the offender has complex or acute medical needs.

4. Mental health staff at the sending facility must make verbal contact with the receiving DOC facility mental health staff if the offender has acute or chronic mental health needs.

D. Offender transfers to Oregon State Hospital

1. The following must be sent with the offender at the time of admittance to the Oregon State Hospital:
   a) A completed medical transfer/discharge form;
   b) A copy of the certificate of immunization status;
   c) An approved visitors list;
   d) An original Oregon State Hospital Transfer Request signed by the facility superintendent (Form YA 6300);
   e) Copies of all psychiatric and psychological records; and
   f) Copies of any pertinent behavioral information.

2. The sending facility staff must notify the Treatment Services Director and Health Services Director of the transfer.

3. Facility nursing staff must notify the contract pharmacy that the offender is temporarily offsite.

E. Offender transfers to community hospitals for admission (physical medicine)

1. Facility nursing staff must provide the following to the hospital at the time of the offender’s admittance:
   a) A list of current medications (or a copy of the current Medication Administration Record);
   b) Copies of any pertinent medical records;
   c) Private insurance information, if applicable; and
d) An approved visitors list.

2. The sending facility will notify the Treatment Services Director and Health Services Director of the inpatient admission to a community hospital.

3. The sending facility staff must notify an OYA Medicaid Eligibility Specialist to complete an application for Medicaid coverage.

4. The sending facility staff must notify the Treatment Services Director and Health Services Director when the offender is discharged from the hospital and returned to the sending facility.

5. The sending facility staff must notify an OYA Medicaid Eligibility Specialist to close the Medicaid claim.

6. The contract pharmacy will be notified that the offender is temporarily off site.

F. Offender transfers to a community psychiatric hospital for admission

1. Facility nursing staff must provide the following to the hospital at the time of the offender’s admittance:
   a) A list of current medications (or a copy of the current Medication Administration Record);
   b) Copies of all psychiatric/psychological records;
   c) A copy of the certificate of immunization status;
   d) A copy of TB testing and results;
   e) Private insurance information, if applicable; and
   f) An approved visitors list.

2. The sending facility staff must notify the Treatment Services Director and Health Services Director of the inpatient admission to a community psychiatric hospital.

3. The sending facility staff must notify the Treatment Services Director and Health Services Director when an offender is discharged from the hospital back to the sending facility.

4. Facility nursing staff must notify the contract pharmacy that the offender is temporarily offsite.

V. LOCAL OPERATING PROTOCOL REQUIRED: NO