

## **CHIEF COMPLIANCE and REGULATORY OFFICER OREGON STATE TREASURY**

### **About the Oregon State Treasury (OST)**

The OST is a highly sophisticated organization with a wide range of financial responsibilities, including managing the investment of state funds, issuing all state bonds, serving as the central bank for state agencies, and administering the Oregon 529 College Savings Network. OST's Investment Division, with 25 staff, is responsible for a complex \$90 billion financial and real asset investment portfolio comprised of both internally and externally managed mandates. This includes the \$70B Oregon Public Employee Retirement Fund (OPERF), one of the nation's largest and better funded public pension plans. More comprehensive information about the OST, the Investment Division, OST-managed funds, and the Oregon Investment Council (OIC, which provides fiduciary oversight for OST's investment management activities) can be found at [www.oregon.gov/treasury](http://www.oregon.gov/treasury).

### **Chief Compliance and Regulatory Officer (CCRO) Responsibilities**

As part of the Investment Division's management team, the CCRO is responsible for coordinating the division's transactional and regulatory compliance efforts to ensure that all investment activities undertaken by division staff and external managers are consistent with approved OIC and OST policies. The CCRO reports to the Deputy State Treasurer and supervises a staff of two currently. OST has received authorization to hire additional positions as the compliance program is built out.

**This CCRO position offers the opportunity to provide leadership and direction in the development of a strong compliance program for a well-respected, successful, and growing investment organization.**

### **Requirements**

- JD or other advanced degree strongly preferred.
- Substantial experience in compliance/regulatory role in a similar investment environment that includes internal trading and transactional compliance for fixed income and public equity. Ideal experience will include working with worldwide regulatory compliance and a variety of compliance issues.
- Experience working as part of a senior management team and working with an oversight board will be viewed favorably.

### **Compensation**

Compensation will consist of a competitive base salary and benefits.

### **For more information – or to apply:**

The OST has retained EFL Associates to conduct this search. For more information, to make a referral, or to apply, please contact:

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