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# SUD 1115 Demonstration Waiver: ASAM Implementation Plan

Provider Community meeting

August 23, 2022

3:00 a.m. – 4:00 p.m.



HEALTH SYSTEMS DIVISION  
Medicaid, BH Policy and Licensing Units

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# Welcome and Introductions

- Shawna McDermott, Behavioral Health Strategic Operations Director
- Jesse Benet, MA, LPC (Oregon), LMHC (Washington), Adult Behavioral Health Director
- Nicole Corbin, LPC, Addiction Treatment, Recovery, & Prevention Manager
- Cissie Bollinger, Licensing, Certification, and Technical Assistance Manager
- Sarah Adelhart, MS, SUD 1115 Waiver Policy Analyst
- Dana Peterson, Medication Assisted Treatment Grant Director
- Melissa Farin, LPC, Licensing and Certification Compliance Specialist

# Agenda

- Review 1115 SUD Waiver components and concepts
- Review components of ASAM portion of the 1115 SUD Waiver
- Progress since Provider meeting on April 6, 2022
- ASAM implementation steps
- Questions

# Review: Rationale for seeking the SUD 1115 Demonstration Waiver

Oregon gains through the SUD Demonstration Waiver:

- Increased capacity
  - Ability to approve SUD RES and WM programs for 17 or more beds
- Federal participation allowed for each bed over 16 per facility and campus
- Increased rates:
  - Specialty rates

# Review: Rationale for seeking the SUD 1115 Demonstration Waiver, continued...

## Oregon gains through the SUD Demonstration Waiver:

- Improved SUD system of care
  - Consistent assessment between levels of care
  - Clearly ASAM defined levels of care per program
  - Expanded Continuum of Care
- Under this demonstration beneficiaries will have access to high quality, evidence-based OUD/SUD treatment services across a comprehensive continuum of care, ranging from residential and inpatient treatment to ongoing chronic care for these conditions in cost-effective community-based settings.

Effective April 8, 2021

# Review: ASAM Requirements per 1115 Waiver

- Oregon's continuum of care shall be based on the American Society of Addiction Medicine (ASAM) criteria.
- Providers assess treatment needs based on the American Society of Addiction Medicine (ASAM) Criteria.
- Establishment of a utilization management approach that includes an independent process for reviewing placement in residential.
- Establish a state-wide continuum of care that emphasizes the transition between levels of care.

# Review: ASAM Requirements per 1115 Waiver, Continued...

- Establish SUD treatment provider qualifications in certification and licensure, policy & provider, managed care contracts, and credentialing that meet standards described in the ASAM Criteria; including levels of care, hours of clinical care, and credentials of staff for residential treatment, etc.
  - These requirements apply to every type of HSD certified and licensed SUD program throughout the State, regardless of funding source or level of care.

# UPDATES

- Progress since April 6, 2022, Provider meeting:
  - Annual report to CMS was submitted.
  - New licenses were issued to all SUD RES and WM providers that contain the IMD and specialty services status, as applicable.
  - HSD is beginning to contract for ASAM implementation supports.
  - Specialty Programs OAR 309-018-0162 became effective on July 20, 2022



# ASAM – Fidelity & Compliance

- The following actions must be effective no later than April 7, 2023:
  - Revising OARs: 309-008, 309-018, 309-019, 415-012, 415-020, 415-050, 415-057, and 415-065
  - When the above OAR revisions are effective, SUD Programs must apply for approval for each ASAM Level of Care rendered.
  - Applications for ASAM Levels of Care
  - Compliance Reviews will give providers a six month grace period to come into compliance from date rules are final.

# ASAM Fidelity & Compliance, continued...

- ASAM tools:
  - HSD will provide training and resources to support Provider implementation
  - HSD will use the ASAM compliance review tools
  - HSD has scheduled a meeting with ASAM for Providers to learn about the ASAM assessment options (paper/ PDF, and software)
- Future Provider meetings:
  - Provider Focus group: to address implementation barriers
  - Provider Informational meetings: about once a quarter to discuss updates
  - Technical Assistance sessions with Licensing and Certification

# ASAM Fidelity & Compliance, continued...

- Renewal reviews will use forms that adhere to ASAM Criteria standards for various aspects of the program, each level of care approved, and documentation relevant to ASAM Criteria, a fidelity review, and the applicable OARs
  - Service Record documentation must adhere to ASAM standards for documentation, including:
    - ASAM's assessment will be the only accepted version
    - Service plans
    - Transfer Summaries
- HSD may conduct informal reviews across a sample of programs to provide technical assistance and assess the implementation of ASAM across the system of care.

# Questions & Thoughts

Choose: chat, raise hand or send an email to  
[sud.waiver@dhsoha.state.or.us](mailto:sud.waiver@dhsoha.state.or.us)



# Next Steps...

- HSD welcomes continued community input and collaboration for this implementation process. Email the SUD Waiver Team: [sud.waiver@dhsoha.state.or.us](mailto:sud.waiver@dhsoha.state.or.us) (link is also on the website)
- HSD is planning follow-up meetings to continue the discussion while focusing on certain topics.
- Technical assistance sessions for programs:
  - HSD Licensing, Certification and Technical Assistance Unit will be hosting regular meetings with Providers to assist with implementation.
- A recording of this meeting will be made available on the SUD 1115 Waiver website: <https://www.oregon.gov/oha/HSD/Medicaid-Policy/Pages/SUD-Waiver.aspx>