

**STATE OF OREGON
Department of Human Services
Erinn Kelley-Siel, Director**

**Social Services Block Grant
(SSBG)**

**Report of Intended Use For
Federal Fiscal Year 2015**

**For Time Period Beginning
October 1, 2014 to September 30, 2015**

and

**Post Expenditure Report of Use
For Federal Fiscal Year 2013**

**For the Time Period Covering
October 1, 2012 to September 30, 2013**

**Submitted to
Children's Bureau
Administration for Children and Families
Department of Health and Human Services**

August 2014

**STATE OF OREGON
DEPARTMENT OF HUMAN SERVICES
SOCIAL SERVICES BLOCK GRANT**

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DEPARTMENT OF HUMAN SERVICES PROGRAM DESCRIPTION

Use of Social Services Block Grant (SSBG) Funding in Oregon

On January 4, 1975, Title XX of the Social Security Act authorized an entitlement to States for providing social services. Title XX gave States increased flexibility to offer a wider range of services to a broader population of adults and children. The statute also included requirements for planning, public participation, income eligibility, and administration. In 1981, Congress amended Title XX to establish the Social Services Block Grant (SSBG) program. States determine what services are provided, the eligible populations, the locations in which each service is provided, and whether the services are provided by State or local agency staff or through grants or contracts.

SSBG funds are allocated to States as block grants, based on State population, to support the delivery of social services. The SSBG encourages each State to furnish services directed at five statutory goals:

- Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
- Achieving or maintaining self-sufficiency, including reduction or prevention of dependency;
- Preventing or remedying neglect, abuse or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions.

By federal law, SSBG funds must be administered by the same state agency that administers child welfare and foster care/adoption programs. In Oregon, the designated state agency is the Department of Human Services (DHS).

The Oregon Legislature has consistently used SSBG funds to support DHS budget-based programs that would have otherwise been funded with state general funds. To simplify the accounting and reporting procedures within the department, in 1990, DHS began allocating all SSBG funds to its Child Welfare division. SSBG is one of many federal and other (non-state) funding sources that support its Legislatively Approved Budget.

DHS has an intricate accounting system that preserves state funds by charging every possible cost to federal categorical entitlement funding sources. Expenditures that are not eligible for categorical reimbursement are analyzed and charged to the least flexible funding source for which it is an allowable cost.

Finally, SSBG and state general funds are used to pay any remaining costs. While this method achieves the highest level of federal funding, it also makes it difficult to estimate where SSBG ultimately will be spent. Only expenditures which are allowable costs under the SSBG federal program requirements are applied to SSBG funding.

Services funded with SSBG are described on the following pages.

**DEPARTMENT OF HUMAN SERVICES
PROGRAM DESCRIPTION**

- Service:** In-home Safety and Reunification Services
- Purpose:** In-Home Safety and Reunification Services (ISRS) program is designed to provide for the immediate safety of children by managing the safety threats within the family; or when children have been placed in protective custody to help them return home with intensive in-home services.
- Objective:** To increase the safety of children in the family home when documented safety threats exist through various protective strategies; to maintain family unity, insofar as consistent with the child's safety; to reduce those factors, causes, and stresses which led to abuse or neglect.

Activities/Services:

The ISRS program will provide a combination of concrete safety and strengths based change services that will lead to lasting safety changes within the home. Services are designed to protect children, stabilize the family, and assist parents in establishing linkages to formal, informal, and natural supports and resources so that a child can remain safely with their family without further intervention of the Oregon Child Welfare System. The opportunity for lasting change is improved when parents develop skills to advocate for their family and meet their child's individual needs for safety.

Proposers will be expected to describe a proposed model for working with minority cultural groups disproportionately represented in the child welfare population.

Program Description:

The ISRS Program is designed to compliment the Oregon Safety Model to insure services are change-based and address safety issues for families referred during the Child Protective Services (CPS) assessment or when planning for

family reunification. During the ISRS program intervention, the assigned caseworker and Provider will work with the family to provide child safety, stabilize and improve parental protection of children, and help families access necessary formal, informal community resources and supports.

The following chart outlines the purpose and differences for both Safety and Change services.

Safety Services:	Change Services:
The purpose is to control and manage.	The purpose is to change behaviors.
Safety Services are limited to managing and controlling identified safety threats.	ISRS Services are put in place following provider assessment and when the family is ready.
Activity and Services are dense which means there are a lot of things going on frequently.	Activities and Services focus on lasting behavioral change.
The Safety Services must have an immediate effect. This means the moment they are set in place.	Change Services build on existing protective capacity of the Parents.
The Safety Service provider's role and responsibility in the ongoing safety plan is precise and focused on managing safety threats.	The Change Service provider's role and responsibility vary according to client need.

Safety Services

Services are expected to be delivered in the family's home, and provided when family members are at home and most likely to impact child safety. This may include early morning, meal times and bed times.

The range of services will be flexible to meet client needs. Highly skilled intensive services can be used initially to resolve the initial child safety crisis and to maintain family stability. Services can then evolve to less concentrated reinforcement and follow-up sessions.

The DHS protective action or safety plan will outline the safety services and conditions designed to control a safety threat through in-home observation, supervision and specific intervention. These conditions will be confirmed by the Safety Services Provider on each contact with referred families.

During initial contact, the Provider will work with the family to develop plans and services to improve child safety, stabilize functioning, and access necessary formal, informal, and community resources and supports

The ISRS Contractor is expected to provide safety services, in whole or in part, that have been identified in the Department's Protective Action or In-home Safety Plan. These plans will identify safety services that will have immediate impact with respect to controlling identified safety threats; and will not use a parent or caregiver who is the alleged perpetrator of physical abuse, sexual abuse, or domestic violence to provide protection. Protective Actions or Safety Plans may include the following types of services:

- a. Supervision and monitoring child safety by direct observation.
- b. Stress reduction
- c. Basic Parenting Assistance
- d. Respite Care
- e. Social/Emotional Support
- f. Safe Housing Assistance
- g. Food/Clothing/Basic Needs
- h. Assist to access emergency medical, mental health and addiction services, as needed
- i. Stabilization of home environment assuring child's needs for stability and safety from potential abusers and dangerous home conditions.
- j. Monitoring & supporting family managed safety.

Change Services will utilize interventions with demonstrated effectiveness for improving child safety. When services are initiated before final development of the DHS Case Plan, the Provider will use their professional judgment to evaluate and report on parental capacity to protect their children, and recommend actions and services to the parents and to DHS which will improve parental care of their children.

Change services may include:

- a. **Crisis Intervention services to address the crisis of disclosure, CPS assessment, and concerns when a significant family member leaves the home.**

b. Motivational Interviewing, Collaborative Problem Solving, Cognitive Behavioral therapy, and Parent–Child attachment coaching sessions.

Services will utilize the existing protective capacity of caregivers and will assist parents to improve the diminished protective capacities that lead to their child being unsafe. Services will help parents build additional problem solving skills to eventually become self-sufficient, including problem solving to access needed community services and supports.

Services will help parents develop empathy for their child's experience and help the child contribute their solutions. Services will also help parents identify strategies for predictable problems relating to child's behavior, child safety, depression, mood stabilization and other adult relationships.

Implementation:

The ISRS services were implemented October 1, 2010.

Progress to Date: March 26, 2014:

Contracts were extended for an additional year in October 2013 with some changes to increase performance. The original design provided fixed funding that helped establish stable service provision that was necessary for 24 hour on-call availability. Now that providers have been in place for over two years in most areas of Oregon, some Districts chose to move to a fee for service contract so payment is only made for services actually delivered. Some contracts were discontinued due to low performance, and capacity was added to other contracts within the District. Early indications since October are that services are increasing in low performing areas.

The Office of Child Welfare is also working to develop a Differential Response program as well as implement State legislation to "Safely Preserve and Reunify Families". These efforts will be integrated with the current ISRS services, and we expect that the transition will help Oregon achieve our goal of a safe and equitable reduction of foster care. This will help Oregon provide a continuum of services to first serve families with where safety threats have been identified as well as those with moderate to high needs. ISRS is also available to help

return children home sooner, both to prepare for the return, and also after the child's return to help re-build family relationships.

We plan to continue with referrals towards placement prevention when the home is calm and stable enough for ISRS services to take place. The following standards in Oregon Administrative Rules help define the criteria for these decisions and for returning children to their homes:

413-015-0435 Establish a Protective Action

Typically, a protective action will include a straightforward immediately achievable arrangement such as: arranging and confirming that the parent or caregiver who is the alleged perpetrator will leave and remain away from the home; arranging for a parent or caregiver who is not the alleged perpetrator to leave home with the child; using people and resources available to the family to immediately protect the child; or placing the child in a relative placement, foster care, or appropriate temporary shelter facility.

413-040-0009 Requirements for Conditions for Return

- (1) The caseworker must determine the conditions that must exist prior to the return of the *child* to a *parent* or legal guardian.
- (2) The *conditions for return* are documented in the *case plan* and must describe:
 - (a) The specific behaviors, conditions, or circumstances that must exist before the Department may develop an in-home *ongoing safety plan* that assures a child's safety, as described in OAR 413-015-0450(2)(b)(A)(i)-(iii); and
 - (b) The actions, services, and time requirements of all participants in the in-home *ongoing safety plan*.

Categories of Individuals to be Served:

- Child(ren) who are, or who are alleged to be abused, neglected, exploited, or abandoned and their families.
- Parent(s)/Caregiver(s) of children who are, or who are alleged to be abused, neglected, exploited, or abandoned and their families.

Characteristics of Individual to be Served:

The purpose of Oregon's In-home Safety and Reunification Services Program is to provide intensive in-home services to increase the safety of children in the family home when documented Safety Threats exist.

"Child" means a person under 18 years of age.

"Parent" means the biological or adoptive mother or the legal father of the child. A legal father is a man who has adopted the child or whose paternity has been established or declared under ORS 109.070, ORS 416.400 to 416.465, or by a juvenile court. In cases involving an Indian child under the Indian Child Welfare Act (ICWA), a legal father includes a man who is a father under applicable tribal law. "Parent" also includes a putative father who has demonstrated a direct and significant commitment to the child by assuming or attempting to assume responsibilities normally associated with parenthood unless a court finds that the putative father is not the legal father.

"Caregiver" means a guardian, legal custodian, or other person acting in loco parentis, who exercises significant authority over and responsibility for a child. In order to be eligible for In-home Safety and Reunification Services, a Safety Threat must be present, and a child must be determined to be unsafe using the Oregon Safety Threats Guide.

"Unsafe" means there is a safety threat to which the child is vulnerable and there is insufficient parent or caregiver protective capacity to protect a vulnerable child from the identified safety threats.

**DEPARTMENT OF HUMAN SERVICES
PROGRAM DESCRIPTION**

- Service:** County-Based Services
- Purpose:** To fund local, community-based preventive programs for at risk children and youth and their families
- Objective:** To reduce the risk factors and build resiliency factors associated with juvenile delinquency, teenage pregnancy, drug and alcohol abuse, child abuse and neglect, readiness to learn, educational and workforce success, poverty, families' ability to care for their own children, and access to child and respite care at the community level through county-based planning and services

Geographic Area/Location: Statewide

Statutory Goals:

- Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
- Achieving or maintaining self-sufficiency, including reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interest or preserving, rehabilitating, or reuniting families.

Description:

State government services are prioritized toward achieving benchmarks that serve children and youth at risk. The Oregon Legislature transferred responsibility for children and youth at risk of entering more intensive state services to local county government, tribal governments and community-based

organizations. Local service delivery can help overcome access barriers, build community supports, and prevent the need for state government intervention.

Description of the Service:

Services may include outreach and assessment, service plan development, crisis intervention, parent support, family counseling and mediation, individual and group counseling, independent living skill training, transitional living services, alcohol and drug abuse intervention, detoxification diversion programs, and other services to meet the objectives listed above. These may also include educational supports and workforce and career development supports for children and youth.

How Services are Delivered:

The Oregon Youth Development Council allocates funds to counties, tribes, and community-based organizations for children and youth ages 6 to 20 and builds supports and mitigates risks for children, youth and their families. Specific strategies are developed and prioritized to achieve the objectives identified above.

A competitive process based on equity and indicators of needs is used to select service providers best able to achieve identified outcomes. Funding is awarded to providers who utilize the most effective community-based prevention needs. While services may vary in communities, all are outcome-based and designed to build supports that keep children, youth and families from needing more intensive intervention. Examples of services are listed above.

Categories of Individuals to be Served:

Children and youth, 6-20 years old, and their families who demonstrate a need for supports and skills to prevent the need for more intensive state services. The need for such support and services may include children, youth and their families who:

- Chronically act out
- At risk of dropping out of school

- Are out of parental control
- Are at-risk of child abuse and neglect
- Have alcohol and drug issues
- Need short-term crisis counseling
- Have a lack of parenting knowledge
- Are non-adjudicated runaway or homeless youth
- Lack employment opportunities
- Lack mentoring opportunities
- Other categories appropriate for service needs identified through indicators of need

Characteristics of Individual to be Served:

Social Services Block Grant/Youth Investment Title XX programming through the Youth Development Council provides evidence-based/best practice community services for at-risk middle and high school age youth who are chronically acting out and/or are victims of neglect. Funds are used in education and family support activities; youth education and support; youth development and asset-building; and health, mental health and counseling.

The funds serve non-delinquent youth, ages 6 through 20, who are chronically acting out, are victims of neglect, lack education and employment opportunities. The funds are allocated to communities to address indicators of need that have been identified in communities, and adolescent risk factors, which if left unaddressed, would lead to more costly outcomes such as homelessness, school dropouts, unemployment, criminal activity, and substance abuse.

Program delivery is mostly done through community-based nonprofit providers but can also include local governmental entities such as school districts and county departments and tribes.

DEPARTMENT OF HUMAN SERVICES PROGRAM DESCRIPTION

Service: Community-Based Services

Purpose: To fund local, community-based preventive programs for at risk children and their families

Objective: To reduce and mitigate risk factors and their effects on children and families through a range of therapeutic early childhood and family services designed to take best advantage of each family's strengths while addressing each family's specific needs. This is done through community-based nonprofit agencies known as Relief Nurseries.

Geographic Area/Location: Statewide

Statutory Goals:

- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interest or preserving, rehabilitating, or reuniting families.
- Research based interventions including home visits, therapeutic early childhood classrooms, crisis response, and drug and alcohol recovery are utilized to keep children safe in their homes, reduce the number of children in foster care, enhance early literacy and increase school readiness.

Description:

State government services are prioritized toward achieving benchmarks that serve children at risk. The Oregon Legislature transferred responsibility for children at risk of entering more intensive state services to community-based nonprofit agencies. Local planning and service delivery can help overcome access barriers, build community supports, and prevent the need for state government intervention.

Description of the Service:

Services may include outreach and assessment, therapeutic classrooms sessions, crisis intervention, crisis nursery care, respite care and parent support, home visits, and information and referral for other available community services.

How Services are Delivered:

As of July 1, 2014, the Early Learning Division, allocates SSBG funds directly to each relief nursery (community-based nonprofit agencies) in Oregon as specified in ORS 417.788, based on the number of children aged 0 - 6 years old being served by each relief nursery in their local community. While services may vary slightly from nursery to nursery, all are outcome-based and designed to build supports that keep children and families from needing more intensive state intervention. Examples of services are listed above.

Categories of Individuals to be Served:

Children, ages 0 - 6 years old, and their families who demonstrate a need for supports and skills to prevent the need for more intensive state services. The need for such support and services include children and their families experiencing multiple stresses related to child abuse and neglect.

Characteristics of Individual to be Served:

Social Services Block Grant/Relief Nursery Title XX programming through the Early Learning Division provides comprehensive therapeutic family support programs serving children under age six in families experiencing multiple stresses related to abuse and neglect. 100% of these families are at or below the Federal Poverty Level. Relief Nurseries intervene to keep children safe in their homes, reduce the number of children in foster care, enhance early literacy, and increase school readiness in therapeutic early childhood classrooms and home visits.

Program delivery is done through several community-based nonprofit agencies proven to strengthen high-risk families and keep young children safe.

**DEPARTMENT OF HUMAN SERVICES
PROGRAM DESCRIPTION**

Service: Child Protective Services

Purpose: To assess reports of suspected abuse or neglect and to provide protective services to families and children when the child has been determined to be unsafe.

Objective: To assure the child's welfare and safety through various protective strategies; to maintain family unity, insofar as consistent with the child's safety; to reduce those factors, causes, and stresses which led to abuse or neglect; to support and encourage cognitive, emotional, and behavioral adjustment which results in family maintenance or reunification; and to expedite permanency plans when it is determined that the child cannot safely return home.

Geographic Area/Location: Statewide

Statutory Goals:

- Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency.
- Achieving or maintaining self-sufficiency, including reduction or prevention of dependency.
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interest.
- Achieve statewide implementation of a service array, specifically designed to strengthen, preserve and reunify families.

Activities/Services:

Child Welfare Protective Services include:

- Accepting suspected reports alleging abuse and neglect, and assessing the level of intervention necessary, if any.
- Providing information and making referrals to appropriate community resources.
- Conducting comprehensive assessment of suspected reports of child abuse and neglect by observation, interviews (all children and adults in the household, the perpetrator, all non-custodial legal parents, and all other collateral contacts), and coordination with police, district attorney, medical providers, and mental health professionals.
- At the conclusion of the comprehensive assessment, the agency is able to clearly articulate the extent of the abuse, the circumstances surrounding the abuse, the child's functioning, the parent's functioning, the adult's functioning and parenting and disciplinary practices in the home. Allowing a determination to be made regarding if the child is safe or unsafe without the agency's intervention.
- Emergency child placement outside the child's home when it has been determined the child is unsafe without immediate, temporary custody.
- Development of an ongoing safety plan to ensure the child is safe. This includes outlining how each safety threat will be managed for the specific child.
- Providing parent/caregivers with clear conditions for the children's return.
- Providing parent/caregivers with clear conditions to end the department's involvement with a family and close a case.

Categories of Individuals to be Served:

- Children who are, or are alleged to be, abused, neglected, exploited, or abandoned; and their families.

Characteristics of Individual to be Served:

The purpose of Oregon's Child Protective Services (CPS) is to assure the protection of children after a report of alleged child abuse or neglect is received by a screener. Oregon defines a "child" to mean any person under the age 18. CPS is legislatively mandated and has no income eligibility requirements or restrictions. To be eligible for CPS, a report of child abuse and neglect must be received, and the information indicates any one of the following:

- (A) The alleged perpetrator is a legal parent of the alleged child victim;
- (B) The alleged perpetrator resides in the alleged child victim's home;
- (C) The alleged perpetrator may have access to the alleged child victim, and the parent or caregiver, may not be able or willing to protect the child; or
- (D) The alleged child abuse occurred in a daycare facility, the home of a Department certified foster parent or relative caregiver, or a private child caring agency that is not a Children's Care Provider (CCP).

In order to be eligible for services beyond a CPS assessment, a child must be determined to be unsafe, or the family must request voluntary services.

**DEPARTMENT OF HUMAN SERVICES
PROGRAM DESCRIPTION**

Service: Substitute Care

Purpose: To assure protective care and support to children who require 24-hour emergency shelter, foster care, residential care or treatment services due to a child being unsafe in their home, or whose behavior is out of the control of their parent/guardian. This may include abuse, neglect, exploitation, abandonment, emotional disturbance and physical or mental disability.

Objective: To improve family capacity to be self-sustaining while creating a safe and permanent living environment for children, by providing appropriate substitute care to children removed from their homes and preventing further substitute care placements.

Geographic Area/Location: Statewide

Statutory Goals:

- To provide care, support and protective services for children who are dependent or neglected, who have mental or physical disabilities, or who for other reasons, are in need of public service.
- To accept any child placed in the department's custody by a court, and provide such services for the child as the department finds to be necessary.
- All children in the legal custody of the department who, in the judgment of the Director of Human Services or the authorized representative for the director, are in need of care or treatment services, may be placed with any person or family of good standing or any child caring agency for such services.

Activities/Services:

Services are provided at the following settings: (1) relative caregiver homes, (2) family foster care homes, (3) family shelter homes, and (4) licensed private child-caring agencies.

Substitute care makes available a variety of purchased and staff provided services. Those provided by the department staff (including volunteers) are:

- Determination of eligibility for service.
- Assessment of family needs.
- Development of case plans.
- Determination of need for and type of care resources.
- Selection of the most appropriate services for the child.
- Recruitment, certification/licensing of foster homes or residential programs.
- Placement and supervision of children in substitute care and residential treatment resources.
- Monitoring of certified families and private child caring agencies to assure compliance with the administrative rules for certification or licensing.
- Work with family to stabilize a child and family, and to strengthen parental protective capacity so that a child can return home.
- Achieve permanency for children in foster care, either by achieving reunification with their parents, or establishing guardianship or adoption.
- Complete parent and relative searches for children placed into protective custody.
- Provide intensive casework and supportive services to parents/guardians, child, foster parents.

- Pursue adoption or guardianship planning for children who cannot be returned to parents.
- When case plan is changed to achieve adoption, follow legal process to free children for adoption either through relinquishment or through the court termination of parental rights process via the purchase of attorney services, including miscellaneous case costs for the termination proceedings.
- Match child to adoptive home, prepare child for adoption, and make the placement.

Service components purchased through contracts and agreements include:

- Treatment Foster Homes through private child-caring agencies including evaluation services.
- Target Planning and Consultation services for children with complex needs that cannot be met through other service array.
- Residential treatment services.
- Legal assistance for termination of parental rights cases.

These services include individualized treatment for behavioral and emotionally disturbed children and other specialized activities. These services are delivered in certified family foster homes, licensed private child caring agencies and through community service providers.

Categories of Individuals to be Served:

- Children who require substitute care and/or treatment services due to abuse or neglect, and children whose behavior is out of the control of their parent/guardian.
- Ages 0 through 20

Characteristics of clients served:

While Oregon provides Substitute Care services to individuals between birth through the age of 20 years old, there are unique characteristics of these individuals:

- "Child" means a person under 18 years of age.
 - The child must be under 18 years of age, at the time the child is placed in the Department's legal custody, and placement services are first initiated.

- "Young adult" means a person aged 18 through 20 years.
 - The young adult is an individual who remains in the legal care and custody of the Department beyond the age of 18 years of age, by Court Order.

There is no consideration for income or financial need for a child or young adult to receive Substitute Care Services.

**DEPARTMENT OF HUMAN SERVICES
PROGRAM DESCRIPTION**

Service: Tribal Social Services Programs

Purpose: Provide effective, culturally relevant social services to Indian children and their families.

Objective: Jointly plan and provide social services to Indian children with Oregon Indian Tribal Organization social service agencies to better meet the social needs of Tribal members.

Geographic Area/Location: Statewide

Statutory Goals:

- Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency
- Achieving or maintaining self-sufficiency, including reduction or prevention of dependency

Description:

Geographical, cultural, language and other factors place special barriers to access of social services for members of Oregon Indian Tribal Organizations. Some Tribal members live on reservations and must leave the reservation to seek out State services, often at great distances. Where services are more readily available, they may not be culturally relevant or sensitive to the needs of Native Americans and require special program planning. Additionally, Native American social service agencies often do not have adequate funding to provide the accessible, appropriate and culturally relevant services needed by members of their Tribes.

Activities/Services:

- **Description of Service:**

To provide social services to children of Oregon Indian Tribal Organizations, various Tribal social service agencies and/or Councils to perform a need assessment, and to develop, plan and deliver services to target populations within their Tribes with the assistance of DHS. Services include youth advocacy; delinquency; prevention and intervention in family dysfunction and distress; alcohol and drug abuse, family and mental health counseling; day care services; comprehensive support services to families; parent and foster parent training; community awareness on child welfare status; child protective services and emergency placements; short-term, intensive residential care; and provision of culturally relevant child welfare related employee training.

- **How Services Are Delivered:**

Program planning for individual Tribes occurs jointly between the social services agencies associated with each Tribe and/or Tribal Councils and DHS. Services are provided directly by the Tribal agency or purchased on contract. DHS awards SSBG funds to Tribes based on inter-governmental agreements.

Categories of Children to be Served:

- Native American children and youth, ages 0 - 21.

Characteristics of Individual to be Served:

- Native American children and young adults between 0 – 21 years of age. and their families who would be TANF and Medicaid eligible due to no income.

Distribution of the Social Services Block Grant Report

DHS has historically placed a public notice in the largest paper in the state, the *Oregonian*, under the public notice section. Due to the lack of response and ineffectiveness of this past practice, DHS has developed alternative ways to distribute the SSBG report. This report will be distributed to all interested parties by direct mailing. A copy of this report will be placed on the DHS website, and stakeholders such as the Oregon Early Learning Council/Youth Development Council, the Citizen Review Board, the Tribes of Oregon, and other human service providers will be sent a copy. Below is a list of stakeholders and interested parties who requested or were sent a copy of the SSBG report during the last twelve months, or who will be sent a copy of this report.

Record of Distribution of SSBG FY 2015 Proposed SSBG state plan:

Marsha Werner – ACF, DHHS, DC
Lynwood McDaniel – ACF, DHHS, DC
Brenda Brooks – ELD
Jada Rupley – YDC
Leola McKenzie – CRB
Erinn Kelley-Siel – DHS
Lois Day – DHS
Kevin George – DHS
Sherril Kuhns – DHS
Michelle Bradach – Burns Paiute Tribe
Morgan Gaines – Confederated Tribes of Coos, Lower Umpqua & Siuslaw
Indians
Yvonne Livingstone – Coquille Indian Tribe
June Horn – Cow Creek Band of Umpqua Indians
Dana Ainam – Confederated Tribes of Grand Ronde
Marvin Garcia – The Klamath Tribes
Karen McGowan – Confederated Tribes of Siletz Indians
Julie Taylor – Confederated Tribes of the Umatilla Indian Reservation
Ron Hager – Confederated Tribes of Warm Springs

REPORT OF INTENDED USE

Federal Fiscal Year 2015

**For Time Period Beginning
October 1, 2014 to September 30, 2015**

(Please see the 2015 Pre-Expenditure Report
submitted through the Portal)

Expenditure Report for OR 2015

Services	SSBG Allocation	Funds Transferred	Other Expenditures	Total Expenditures	Public Method	Private Method
1) Protection Services						
2) Case Management						
3) Congregate Meals						
4) Counseling Services						
5) Day Care--Adults						
6) Day Care--Children						
7) Education and Training Services						
8) Employment Services						
9) Family Planning Services						
10) Foster Care Services--Adults						
11) Foster Care Services--Children	175,000		63,289,164	63,464,164	X	
12) Health-Related Services						
13) Home-Based Services						
14) Home-Delivered Meals						
15) Housing Services						
16) Independent/Transitional Living Services						
17) Information & Referral						
18) Legal Services						
19) Pregnancy & Parenting						
20) Prevention & Intervention	11,188,726		35,110,133	46,298,859	X	
21) Protective Services--Adults						
22) Protective Services--Children	6,104,151		191,451,261	197,555,412	X	
23) Recreation Services						
24) Residential Treatment	325,000		34,867,924	35,192,924	X	
25) Special Services--Disabled						
26) Special Services--Youth at Risk						
27) Substance Abuse Services						
28) Transportation						
29) Other Services***						
30) SUM OF EXPENDITURES FOR SERVICES	17,792,877		324,718,482	342,511,359		
31) Administrative Costs	1,694,145					
32) SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	19,487,022					

Recipient Report for OR 2015

Services	Children	Age 59 And	Age 60 And Over	Age Unknown	Total Adults	Total
1) Adoption Services						
2) Case Management						
3) Congregate Meals						
4) Counseling Services						
5) Day Care--Adults						
6) Day Care--Children						
7) Education and Training Services						
8) Employment Services						
9) Family Planning Services						
10) Foster Care Services--Adults						
11) Foster Care Services--Children	7,943					7,943
12) Health-Related Services						
13) Home-Based Services						
14) Home-Delivered Meals						
15) Housing Services						
16) Independent/Transitional Living Services						
17) Information & Referral						
18) Legal Services						
19) Pregnancy & Parenting						
20) Prevention & Intervention	26,522					26,522
21) Protective Services--Adults						
22) Protective Services--Children	11,060					11,060
23) Recreation Services						
24) Residential Treatment	392					392
25) Special Services--Disabled						
26) Special Services--Youth at Risk						
27) Substance Abuse Services						
28) Transportation						
29) Other Services***						
30) SUM OF RECIPIENTS OF SERVICES	45,917					45,917



Oregon

John A. Kitzhaber, M.D., Governor

Department of Human Services

Office of Child Welfare Programs

500 Summer Street NE

Salem, OR 97301

Phone: 503-945-5600

Fax: 503-945-6969

TTY: 503-945-5896



March 26, 2014

Mr. Lynwood McDaniel Jr.
Social Services Program Specialist
Division of State Assistance (DSA)
Office of Community Services (OCS)
Administration for Children and Families (ACF)
U.S. Department of Health and Human Services
370 L'Enfant Promenade, S.W.
Washington, DC 20447

Dear Mr. McDaniel,

RE: Social Services Block Grant FFY 2013 Post-Expenditure Report

Please see the attached Post-Expenditure Report, reflecting Oregon's SSBG spending in FFY2013. As you'll see, we spent approximately 50% of our funds on Protective Services for Children, and approximately 40% on Prevention and Intervention Services.

We recognize that we missed the SSBG performance measure by 8%, scoring 72% spent as planned, rather than 80% or above. This was because we neglected to revise the pre-expenditure report to reflect spending changes from Oregon's Legislatively Approved Budget for Child Welfare, which was the source for the numbers in our FFY2013 pre-expenditure report. In FFY2014, we have been monitoring our expenditures more closely, and are working with our Budget Office to better project SSBG spending. We intend to revise our pre-expenditure report in the near future, and to adjust it as needed throughout the year so that we meet the performance measure.

Please let me know if you have questions, or if I can be of further assistance in any way.

Sincerely,

Sherril Kuhns

Manager

Federal Compliance Unit

Phone # 503-945-6679

"Safety, health and independence for all Oregonians"
An Equal Opportunity Employer

POST EXPENDITURE REPORT

Federal Fiscal Year 2013

**For Time Period Beginning
October 1, 2012 to September 30, 2013**

(Please see the 2013 Post Expenditure Report
submitted through the Portal)

POST

Expenditure Report for OR 2013

Services	SSBG Allocation	Funds Transfer	Other Expenditures	Total Expenditures	Public Method	Private Method	Include All Other Exp
1) Adoption Services							
2) Case Management							
3) Congregate Meals							
4) Counseling Services							
5) Day Care--Adults							
6) Day Care--Children							
7) Education and Training Services							
8) Employment Services							
9) Family Planning Services							
10) Foster Care Services--Adults							
11) Foster Care Services--Children	275775		56280306	56556081	X		Yes
12) Health-Related Services							
13) Home-Based Services							
14) Home-Delivered Meals							
15) Housing Services							
16) Independent/Transitional Living Services							
17) Information & Referral							
18) Legal Services							
19) Pregnancy & Parenting							
20) Prevention & Intervention	8070185		22670152	30740337	X		Yes
21) Protective Services--Adults							
22) Protective Services--Children	10534626		163795904	174330530	X		Yes
23) Recreation Services							
24) Residential Treatment							
25) Special Services--Disabled							
26) Special Services--Youth at Risk							
27) Substance Abuse Services							
28) Transportation							
29) Other Services***							
30) SUM OF EXPENDITURES FOR SERVICES	18880586		242746362	261626948			
31) Administrative Costs	1641215						
32) SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE	20521801						

Recipient Report for OR 2013

Services	Children	Age59AndY ounger	Age60A ndOver	AgeUnk nown	TotalA dults	Total
1) Adoption Services						
2) Case Management						
3) Congregate Meals						
4) Counseling Services						
5) Day Care--Adults						
6) Day Care--Children						
7) Education and Training Services						
8) Employment Services						
9) Family Planning Services						
10) Foster Care Services--Adults						
11) Foster Care Services--Children	12148					12148
12) Health-Related Services						
13) Home-Based Services						
14) Home-Delivered Meals						
15) Housing Services						
16) Independent/Transitional Living Services						
17) Information & Referral						
18) Legal Services						
19) Pregnancy & Parenting						
20) Prevention & Intervention	27346					27346
21) Protective Services--Adults						
22) Protective Services--Children	10782					10782
23) Recreation Services						
24) Residential Treatment						
25) Special Services--Disabled						
26) Special Services--Youth at Risk						
27) Substance Abuse Services						
28) Transportation						
29) Other Services***						
30) SUM OF RECIPIENTS OF SERVICES	50276					50276

Appendix A

Public Inspection

Public Notice

**FFY 2015 Social Services Block Grant (SSBG)
Pre-Expenditure Report**

The Oregon Department of Human Services (DHS) hereby gives notice of the availability of the “FFY 2015 Social Services Block Grant (SSBG) Pre-Expenditure Report” to the citizens of Oregon for review and comment. The report reflects plans of Oregon Department of Human Services to expend SSBG funds for the 2015 fiscal year, October 1, 2014 through September 30, 2015.

This notice is given pursuant to the requirements of Title XX, Section 2004 of the Social Security Act (as enacted in the Omnibus Budget Reconciliation Act of 1981 [P.L. 97-35] and codified at 42 U.S.C. 1397c). Comments regarding this notice will be accepted through July 1, 2013.

Written comments about the FFY 2015 Pre-Expenditure Report may be submitted using the on-line form below, or mailed to:

Department of Human Services
Office of Child Welfare Program
Federal Compliance Unit
500 Summer Street NE
Second Floor, E-69
Salem, OR 97301

A copy of the final and complete FFY 2015 SSBG application and post-expenditure report for FFY 2013 may be obtained through written request to the address listed above or may be accessed through the DHS Internet site on the World Wide Web at: <http://www.oregon.gov/DHS/> later this year.

APPENDIX B

CERTIFICATIONS
(attached)

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical

descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).

7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).

8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an ongoing drug-free awareness program to inform employees about --

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted --

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local

health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

500 Summer Street NE
Salem, OR 97301
Marion County

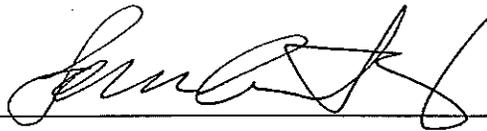
Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]



Signature

Director, Office of Child Welfare Programs

Title

Oregon Department of Human Services

Organization

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of

Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Signature

Director, Office of Child Welfare Programs

Title

Oregon Department of Human Services

Organization

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-
-Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters- -Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and

belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or

had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, [[Page 33043]] should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled ``Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered

transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility an Voluntary Exclusion--Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.



Signature

Director, Office of Child Welfare Programs

Title

Oregon Department of Human Services

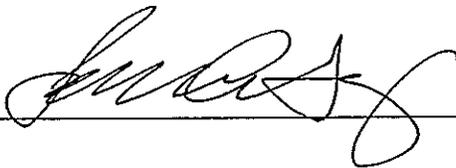
Organization

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

Signature



Title

Director, Office of Child Welfare Programs

Organization

Oregon Department of Human Services

Appendix C

Statewide Single Audit

The State of Oregon Statewide Single Audit Report for the fiscal year ended June 30, 2014 is located at this link:

<http://sos.oregon.gov/Documents/audits/full/2013/2013-07.pdf>

