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BEFORE THE LAND USE BOARD OF APPEALS  
OF THE STATE OF OREGON

ARNOLD ROCHLIN, )  
 )  
Petitioner, )  
 )  
vs. )  
 )  
CITY OF PORTLAND, )  
 )  
Respondent, )  
 )  
and )  
 )  
RYAN LAWRENCE, )  
 )  
Intervenor-Respondent. )

LUBA No. 97-080  
FINAL OPINION  
AND ORDER

Appeal from City of Portland.

Arnold Rochlin, Portland, filed the petition for review and argued on his own behalf.

Ruth M. Spetter, Senior Deputy City Attorney, Portland, filed a response brief and argued on behalf of respondent.

Timothy J. Sercombe, Portland, filed a response brief and argued on behalf of intervenor-respondent. With him on the brief was Preston Gates & Ellis.

HANNA, Board Member, participated in the decision.

AFFIRMED 04/29/98

You are entitled to judicial review of this Order. Judicial review is governed by the provisions of ORS 197.850.

1 Opinion by Hanna.

2 **NATURE OF THE DECISION**

3 Petitioner appeals the city's approval of a final planned  
4 unit development (PUD) plan and a final subdivision plat.

5 **MOTION TO INTERVENE**

6 Intervenor-respondent Ryan Lawrence (intervenor), the  
7 applicant below, moves to intervene on the side of the city.  
8 There is no opposition to the motion, and it is allowed.

9 **MOTION TO FILE REPLY BRIEF**

10 Petitioner moves to file a reply brief, pursuant to OAR  
11 661-10-039, which permits a reply brief confined to new  
12 matters raised in the response brief.<sup>1</sup> Petitioner alleges  
13 that the two response briefs raise new matters concerning  
14 standing, jurisdiction, scope of review, procedural prejudice,  
15 and disputes over facts and the content of the record.

16 Neither the city nor intervenor object to the reply  
17 brief. We agree that the response briefs raise the new  
18 matters alleged in petitioner's motion, and allow the motion.

19 **FACTS**

20 The subject property is a 15-acre parcel zoned RF-2 (two-  
21 acre residential) and located at the headwaters of Balch Creek

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<sup>1</sup>OAR 661-10-039 provides:

"A reply brief may not be filed unless permission is obtained from the Board. A request to file a reply brief shall be filed with the proposed reply brief together with four copies as soon as possible after respondent's brief is filed. A reply brief shall be confined solely to new matters raised in the respondent's brief. \* \* \*."

1 in Northwest Portland. In October 1990, intervenor's  
2 predecessors submitted an application for a seven-lot  
3 residential subdivision including a six-lot PUD, and the city  
4 issued its preliminary approval on April 22, 1991.

5 Approximately two and one-half years later, on January  
6 31, 1994, intervenor submitted his final development plan and  
7 subdivision for approval. The city conducted a "Type I"  
8 administrative review before the city planning director, and  
9 granted approval on July 31, 1995. Petitioner appealed to  
10 LUBA, and on March 6, 1996, the city voluntarily remanded  
11 pursuant to ORS 197.830(12)(b).<sup>2</sup> After consideration of  
12 petitioner's written comments on remand, the city planning  
13 director issued the challenged decision on April 7, 1997,  
14 approving intervenor's final development plan and subdivision  
15 plat.<sup>3</sup> This appeal followed.

16 **FIRST ASSIGNMENT OF ERROR**

17 Petitioner argues that the city erred in approving the  
18 final development plan and subdivision plat, because the

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<sup>2</sup>Rochlin v. City of Portland, \_\_\_ Or LUBA \_\_\_ (LUBA No. 95-176, March 6, 1996).

<sup>3</sup>Petitioner notes that the city approved a version of the subdivision plat on July 10, 1996 in a separate decision. Petitioner appealed that decision, and the city voluntarily remanded it pursuant to ORS 197.830(12)(b). On remand, the city decided not to modify its approval, and accordingly we reinstated the appeal, pursuant to OAR 661-10-021. Rochlin v. City of Portland and Multnomah County, \_\_\_ Or LUBA \_\_\_ (LUBA No. 97-022, Order Reinstating Appeal, June 20, 1997). Shortly thereafter, we granted the city's motion to reverse its approval of the final subdivision plat. Rochlin v. City of Portland and Multnomah County, \_\_\_ Or LUBA \_\_\_ (LUBA No. 97-022, July 10, 1997). The subdivision plat at issue in LUBA No. 97-022 is not in this record. Except for arguments raised under the thirteenth assignment of error, the subdivision plat and decision at issue in LUBA No. 97-022 appear to have no significance to issues raised in the present appeal.

1 preliminary approval issued April 22, 1991, expired two years  
2 later, on April 22, 1993, well before intervenor applied for  
3 final approval on January 31, 1994.

4 Petitioner's argument turns on whether intervenor's  
5 application is governed by Portland Community Code (PCC)  
6 34.20.060(A), which requires that a final subdivision plat be  
7 submitted to the city for approval within two years of  
8 tentative plat approval.<sup>4</sup> The city responds that PCC  
9 34.20.060(A) governs only subdivision and partition  
10 applications, and does not govern combined PUD/subdivision  
11 applications. The city cites to former PCC 33.79.160, which  
12 provides that "[t]he combined PUD-subdivision application  
13 shall be subject to the review and appeal procedures of this  
14 Chapter, 33.79, not those of Title 34."<sup>5</sup> PCC 33.79.130

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<sup>4</sup>The 1989 version of PCC 34.20.060(A), in effect on the date of application, states:

"Time limits: The Subdivision Plat or major partition map for major land division shall be submitted to the Bureau of Planning for approval within 2 years following the approval of the tentative plan, and shall incorporate any modifications required as a condition to approval of the tentative plan.  
\* \* \*."

<sup>5</sup>Former PCC 33.79.160, in effect on the date of application, provides:

"A subdivision plat may be approved concurrently with the approval of the PUD. To do so the applicant shall request tentative plat approval at the same time as the PUD preliminary plan approval. The combined PUD-subdivision application shall be subject to the review procedures of this Chapter, 33.79, not those of Title 34. The Hearings Officer shall require that all informational requirements of Sections 34.20.030 and 34.20.040 of the Subdivision Code be fulfilled unless information in the PUD application is sufficient to meet the intent of Title 34 requirements and to review the tentative plat application. Final plat approval shall be granted within the final development plan approval if all appropriate Title 34 requirements for the final plats are met."

1 permits intervenor to submit a final PUD development plan and  
2 subdivision plat within three years after preliminary  
3 approval. Accordingly, the city argues that intervenor was  
4 subject to the three-year time limit of PCC 33.79.130, not the  
5 two-year limit of PCC 34.20.060(A), and thus that intervenor  
6 timely submitted the final development plan.

7 Petitioner counters first that, by its terms,  
8 PCC 33.79.160 subjects combined PUD/subdivision applications  
9 only to the review procedures of chapter 33.79, and that,  
10 because the two-year time limit in PCC 34.20.060(A) is a  
11 "substantive" requirement, PCC 34.20.060(A) continues to  
12 govern time limits for combined PUD/subdivision applications.  
13 Petitioner cites to Forest Park Neigh. Assoc. v. City of  
14 Portland, 27 Or LUBA 215, 226-27, aff'd 129 Or App 641 (1994),  
15 for the proposition that the two-year time limit in the 1989  
16 version of PCC 34.20.060(A) is a "substantive" requirement.

17 At issue in Forest Park Neigh. Assoc. was whether  
18 ORS 227.178(3) permitted the city to apply a newly-adopted  
19 three-year time limit to a PUD/subdivision application, or  
20 whether it was required to apply the two-year time limit of  
21 PCC 34.20.060(A) effective at the time of application. We  
22 held that requirements in PCC 34.20.060(A) to obtain an  
23 extension of time to file the final development plan  
24 constituted "substantive factors" for purposes of  
25 ORS 227.178(3), and thus that the two-year time limit in the  
26 1989 version of PCC 34.20.060(A) applied rather than the

1 three-year time limit of the 1992 version. 27 Or LUBA at 227.  
2 We did not hold that the two-year time limit itself was a  
3 "substantive factor" for purposes of ORS 227.178(3). Even if  
4 we had, it does not follow that the two-year time limit is  
5 "substantive" as opposed to "procedural" for purposes of  
6 PCC 33.79.160, which was not at issue in Forest Park Neigh.  
7 Assoc.

8 PCC 33.79.160 distinguishes between "review procedures"  
9 subject to the chapter 33.79 and "informational" and other  
10 "appropriate Title 34 requirements" that remain subject to  
11 Title 34. Petitioner does not explain why the two-year time  
12 limit at PCC 34.20.060(A) is "informational" or substantive in  
13 nature, or otherwise why it is appropriate to apply the two-  
14 year time limit. Petitioner's reading of PCC 33.79.160 would  
15 require the applicant to submit the subdivision plat within  
16 two years, but the final PUD development plan within three  
17 years, which is inconsistent with the thrust of PCC 33.79.160  
18 to allow the applicant to submit and process both documents  
19 together.

20 Second, petitioner cites to subsection (B) of  
21 PCC 34.20.060, which provides that the time during which a  
22 subdivision application is on appeal

23 "shall not be counted as part of the allotted 2 or  
24 3-year period in which an applicant must act on a  
25 tentative plan. This Section shall also apply to a  
26 combined PUD and Subdivision application. (Emphasis  
27 added).

28 Petitioner argues that the underlined sentence refers by

1 its terms to Section .060 of PCC 34.20, in other words, to  
2 both subsections (A) and (B), and thus in effect requires that  
3 PCC 34.20.060(A) and its two-year time limit apply to combined  
4 PUD/subdivision applications. The city rejected that  
5 interpretation below, arguing that the underlined sentence is  
6 intended to refer only to subsection B. We agree with the  
7 city's reading.<sup>6</sup> The reference to "3-year period" in the  
8 sentence preceding the language emphasized above has no  
9 meaning except as a reference to combined PUD/subdivision  
10 applications. Petitioner's reading would render the reference  
11 to "3-year period" meaningless, while the city's reading gives  
12 it a meaning consistent with the general theme pervading the  
13 sections of the city's code discussed above, that a combined  
14 PUD/subdivision application enjoys a longer time frame for  
15 completion than a subdivision alone.

16 Finally, petitioner takes issue with the city's  
17 alternative rationales for the three-year time limit, based on  
18 petitioner's failure to appeal the city's determination in  
19 1993 that the three-year time limit applied to the present  
20 case, and a finding that intervenor had requested and received  
21 a one-year extension. However, we need not reach petitioner's  
22 arguments. We determined above that the city's primary  
23 justification in applying the three-year time limit, its

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<sup>6</sup>Because city planning staff, rather than the city's legislative body, rendered the challenged decision, we owe no deference to the city's interpretation of its land use ordinance. Gage v. City of Portland, 319 Or 308, 317 (1994).

1 reading of PCC 33.79.160, is correct. It follows that the  
2 city's alleged errors in reaching its alternative  
3 justifications for the three-year time limit do not provide a  
4 basis to reverse or remand the decision.

5 The first assignment of error is denied.

6 **SECOND ASSIGNMENT OF ERROR**

7 Petitioner argues that, even if the three-year time limit  
8 of PCC 33.79.160 applies, intervenor failed to submit the  
9 final development plan and subdivision plat within the three-  
10 year period, i.e. before April 22, 1994. Petitioner notes  
11 that the final PUD plan and the subdivision plat approved in  
12 April 1997 contain notes and dates indicating that parts of  
13 the plan and plat were created or revised after April 22,  
14 1994.

15 Petitioner does not appear to contest that intervenor  
16 submitted versions of the final development plan and the  
17 subdivision plat before April 22, 1994. There is substantial  
18 evidence in the record that intervenor submitted to the city  
19 before April 22, 1994, versions of the subdivision plat and  
20 the various essential components of the final development  
21 plan.<sup>7</sup> Rather, we understand petitioner to argue that  
22 intervenor must submit a final development plan and plat that  
23 conform to all the conditions of approval of the preliminary  
24 approval. Petitioner reasons that, because the city required

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<sup>7</sup>Intervenor points out that the "final development plan" contains numerous components and separate documents. We follow the parties in referring to the "final development plan" as a single group of documents.

1 or accepted changes to the versions of the final plan and plat  
2 first submitted, those documents necessarily did not conform  
3 to all of the conditions of approval required by the  
4 preliminary approval. Petitioner contends that the three-year  
5 time limit at PCC 33.79.130 has no meaning if an applicant can  
6 submit nonconforming versions of the final development plan  
7 and subdivision plat just before the three-year period  
8 expires, and then modify them later in response to city review  
9 or public input.

10 The challenged decision rejected that argument below,  
11 stating that it is more reasonable to interpret PCC 33.79.130  
12 not to preclude later modifications to the project in response  
13 to city review or public input. We also find petitioner's  
14 understanding of PCC 33.79.130 unreasonable. Nothing cited to  
15 us in the city's code or elsewhere suggests that the city is  
16 prohibited from allowing an applicant to modify a final  
17 development plan after submission, in order to conform the  
18 plan to the preliminary approval or to respond to staff review  
19 or other input.

20 The second assignment of error is denied.

21 **THIRD ASSIGNMENT OF ERROR**

22 Petitioner argues that the city erred in approving the  
23 final development plan without finding compliance with PCC  
24 provisions implementing two types of environmental overlay  
25 zones that affect the subject property.

26 Petitioner concedes that the two environmental overlay

1 zones did not apply to the subject property in 1990 when  
2 intervenor submitted the application for preliminary approval,  
3 and acknowledges that, pursuant to ORS 227.178(3), the entire  
4 application is governed by the standards in effect at the time  
5 the application for preliminary approval is submitted.  
6 However, petitioner cites Gage v. City of Portland, 24 Or LUBA  
7 47 (1992), for the proposition that, where the final  
8 development plan differs from the preliminary approval in  
9 certain particulars, the final plan is governed by the city  
10 legislation in effect at the time the final development plan  
11 was submitted.

12 Gage does not support petitioner's position. Gage  
13 addressed whether a separate application to amend an approved  
14 final PUD plat was governed by city provisions in effect when  
15 the original PUD application was filed, or those in effect  
16 when the application to amend the PUD was filed. We concluded  
17 that, because the PUD amendment application was a separate and  
18 distinct application from the original PUD application, the

1 standards in effect when the PUD amendment application was  
2 filed apply. 24 Or LUBA at 50.

3 More to the point, ORS 227.178(3) requires that approval  
4 or denial of an application be based upon the standards  
5 applicable at the time the application is first submitted.<sup>8</sup>  
6 In Corbett/Terwilliger Neigh. Assoc. v. City of Portland, 25  
7 Or LUBA 601, 606-607, aff'd without opinion, 124 Or App 211  
8 (1993), we held that differences between a preliminary PUD  
9 plan and a final PUD plan do not obviate ORS 227.178(3) where  
10 the modifications leave the preliminary approval  
11 "fundamentally intact."

12 Petitioner does not attempt to argue or demonstrate in  
13 this assignment of error that the modifications at issue are  
14 so significant as not to leave the preliminary approval  
15 "fundamentally intact." Accordingly, we conclude that the  
16 city committed no error in evaluating the final development  
17 plan under the standards and criteria in effect at the time  
18 the preliminary plan was submitted, rather than more  
19 contemporary standards.

20 The third assignment of error is denied.

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<sup>8</sup>ORS 227.178(3) provides:

"If the application is complete when first submitted \* \* \* approval or denial of the application shall be based upon the standards and criteria that were applicable at the time the application was first submitted."

1 **FOURTH ASSIGNMENT OF ERROR**

2 Petitioner argues that the city erred in approving septic  
3 drainfields in a common area, contrary to PCC 33.79.130(g),  
4 which requires that deeds to property within the PUD shall  
5 stipulate that no "private structure of any type" be  
6 constructed in common areas. Petitioner contends that septic  
7 drainfields are "structures" within the meaning of PCC  
8 33.79.130(g), and hence that the city's approval is contrary  
9 to that provision.

10 The term "structure" is defined twice in the city's  
11 ordinance. PCC 33.12.760 defines "structure" to mean "any  
12 object erected by art and fixed in or upon the ground composed  
13 of one or more pieces and designed for use or ornamentation."  
14 PCC 33.910.030 defines "structure" as

15 "[a]ny object constructed in or on the ground.  
16 Structure includes buildings, decks, fences, towers,  
17 flag poles, signs and other similar objects.  
18 Structure does not include paved areas or vegetative  
19 landscaping materials."

20 Intervenor responds that PCC 33.79.130(g) merely requires  
21 that deeds to the property contain a covenant prohibiting the  
22 construction of private structures in common areas, and thus  
23 does not impose any limitation on construction of drainfields  
24 by the developer. Both intervenor and the city also disagree  
25 with petitioner that the meaning of "structure" extends to  
26 underground septic drainfields.

27 The challenged decision finds that the final plan  
28 complies with PCC 33.79.130(g), because intervenor submitted a

1 declaration of covenants stating, according to the decision,  
2 that "[d]eeds to the property will require that \* \* \* no  
3 private structures (i.e. house or building) of any type shall  
4 be constructed in the common area." Record 631. The decision  
5 contains no explicit interpretation of PCC 33.79.130(g), but  
6 the city's finding is consistent with the view espoused by  
7 intervenor that PCC 33.79.130(g) requires only a deed covenant  
8 and does not provide an approval criterion with respect to  
9 drainfields built by the developer.

10 In the absence of the city's interpretation, we may make  
11 our own determination whether the city's decision with respect  
12 to PCC 33.79.130(g) is correct. ORS 197.829(2). We agree  
13 with intervenor that PCC 33.79.130(g), by its terms, requires  
14 only a deed covenant prohibiting private structures in common  
15 areas.

16 The fourth assignment of error is denied.

17 **FIFTH ASSIGNMENT OF ERROR**

18 Petitioner challenges the city's finding that the final  
19 PUD plan is in "substantial conformance with the approved  
20 preliminary development plan and any conditions therein," as  
21 required by PCC 33.79.140(c). Petitioner disagrees with the  
22 city's interpretation of "substantial conformance" and argues  
23 that the type and extent of modifications made to the final  
24 development plan are so significant as to render the final  
25 plan not substantially in conformance with the approved  
26 preliminary plan.

1 The challenged decision finds that the  
2 "proposed final development plan modifies the lot  
3 lines for the six PUD lots, arranges the building  
4 sites and drainfields differently than originally  
5 approved, and alters the course of the roadway, and  
6 therefore changes the lot coverage and building  
7 setbacks from those allowed in the preliminary  
8 approval" Record 625.

9 The decision goes on to define "substantial conformance" as  
10 "whether the final development plan is consistent with the  
11 core concerns of the preliminary approval," which the decision  
12 found to be the protection of Balch Creek and wildlife habitat  
13 on the property. Record 625. The decision notes that all of  
14 the modifications are directed at or have the effect of  
15 reducing the potential impacts on Balch Creek and  
16 environmentally sensitive areas, and that

17 "the development is essentially the same with the  
18 same number of homesites, same access point to  
19 Cornell Road, limits to construction, 'extraordinary  
20 features' (i.e. a bridge instead of the usual  
21 culvert crossing of Balch Creek), clustering of  
22 proposed dwelling units, commonly owned open space  
23 (including the headwaters of Balch Creek),  
24 restrictions on construction within a specified  
25 distance of Balch Creek, a reduced size access road,  
26 specific identified building areas for the proposed  
27 homes, and mitigation and replacement of removed  
28 vegetation." Record 628.

29 The challenged decision buttresses its conclusion by  
30 analogizing to the distinction between "minor" and "major"  
31 amendments for purposes of PCC 33.79.180(a), a distinction  
32 that determines whether an application to amend a preliminary  
33 or final PUD plan is subject to administrative or quasi-

1 judicial procedures.<sup>9</sup> The decision finds that the  
2 modifications at issue here do not fit the examples or  
3 characteristics of major changes, and are more accurately  
4 characterized as minor changes. The decision treats minor  
5 changes as being consistent with substantial conformance, and  
6 concludes that the final development plan substantially  
7 conforms with the approved preliminary plan. Record 629.

8 Petitioner concedes that "substantial conformance"  
9 permits some alterations of the preliminary plat. However,  
10 petitioner construes "substantial conformance" to have a much  
11 narrower scope than determined by the decision. Petitioner's  
12 interpretation also relies on the distinction between minor  
13 and major amendments. Petitioner suggests that "substantial  
14 conformance" does not include changes to development plans  
15 that rise to the level of a minor amendment. That is,  
16 petitioner posits three categories of final development plans:

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<sup>9</sup>PCC 33.79.180(a) provides:

- "(1) Major changes. A major change to the development plan is one that may have a significant impact on the surrounding neighborhood or will cause a substantial change in the PUD, as approved. Major changes include, but are not limited to: an increase in the number of housing units; a change in the mix of single family and multi-unit structures; a change in access to the PUD, a significant change in the amount or location of streets or common parking areas; a reduction of approved open space; an increase in the amount of land utilized for non-residential uses; any change within 50 feet of the PUD perimeter; or any change that the Planning Director finds, based on a written statement of findings of fact, falls under the standards of this Subsection (a)(1).
  
- "(2) Minor changes. Minor changes are all other changes to the development plan which will have little or no effect on the neighborhood and conform to the intent of the preliminary plan approval."

1 those in substantial conformance with the preliminary plat,  
2 those with minor amendments, and those with major amendments.  
3 The challenged decision posits two categories, essentially  
4 stating that plans in substantial conformance with the  
5 preliminary plat include those that fit the definition of a  
6 minor amendment.

7         The difficulty with petitioner's analysis, as petitioner  
8 acknowledges, is that the definition of "minor" amendment  
9 covers every change that is less than "major." Petitioner  
10 does not suggest any principled means to distinguish a minor  
11 amendment and some lesser level of change that leaves the  
12 final development still in substantial conformance with the  
13 preliminary plat. In other words, the definitions of "minor"  
14 and "major" amendments at PCC 33.79.180(a) support the city's  
15 view that plans in "substantial conformance" include those  
16 that fit the definition of minor amendments. We are also  
17 persuaded by the definition of "major" amendment, which  
18 includes "substantial changes in the PUD as approved." PCC  
19 33.79.180(a)(1). The terms "substantial change" and  
20 "substantial conformance" are reverse images of each other,  
21 suggesting a common border between the two concepts.

22         Petitioner next argues that the final development plan  
23 intervenor presented is, by definition, a "major" amendment  
24 and thus cannot be in substantial conformance with the  
25 preliminary plat. Petitioner notes that the definition of  
26 major amendment includes "reduction of approved open space."

1 PCC 33.79.180(a)(1). Petitioner argues that the final  
2 development plan increases the residential lots from six acres  
3 to 6.5 acres, and reasons that the approved open area must be  
4 correspondingly reduced in size.

5 The decision finds that the final development plan leaves  
6 approximately 6.084 acres of open space, and that the final  
7 plan conforms with the preliminary plan, which proposed  
8 "approximately six acres" for commonly owned open space.  
9 Record 626. Intervenor argues that this finding fatally  
10 undermines petitioner's argument that the final development  
11 plan is a major amendment to the preliminary plan. We agree.

12 The fifth assignment of error is denied.

13 **SIXTH ASSIGNMENT OF ERROR**

14 Petitioner argues that the decision makes no findings of  
15 compliance with respect to PCC 34.20.070(B)(1)(a), which  
16 requires that the final subdivision plat conform with the  
17 tentative subdivision plat. Petitioner also argues that PCC  
18 34.20.070(B)(1)(a) requires absolute conformance, rather than  
19 substantial conformance, and because the final subdivision  
20 plat reflects different lot boundaries than the tentative  
21 plat, the application cannot comply with PCC  
22 34.20.070(B)(1)(a).

23 The city responds that the provisions of PCC Title 34 do  
24 not apply to a combined PUD/subdivision application, except as  
25 required by the terms of PCC Title 33. PCC 33.79.160 requires  
26 that "[f]inal plat approval shall be granted with the final

1 development plan approval if all appropriate Title 34  
2 requirements for final plats are met." The city's code does  
3 not specify the "appropriate Title 34 requirements" that an  
4 application for final approval of combined PUD/subdivision  
5 must satisfy. The city argues in the alternative that, even  
6 assuming that PCC 34.20.070(B)(1)(a) applies, it is implicit  
7 in a "tentative plat" that it is subject to revision, and  
8 hence the final plat may differ from the tentative plat in  
9 minor respects.

10 We agree with the city that construing PCC  
11 34.20.070(B)(1)(a) to mandate the final plat's absolute  
12 conformance with the tentative plat is not required by the  
13 terms of PCC 34.20.070(B)(1)(a). Nor is such a reading  
14 consistent with the overall tenor of the review process for  
15 combined PUD/subdivision applications. As the city points  
16 out, PCC 33.79.160 contemplates that, when processing a  
17 combined PUD/subdivision application, the city should process  
18 the subdivision plat and the PUD preliminary plan approval  
19 together. Because the two documents are linked, changes to  
20 the PUD preliminary plan will often require concomitant  
21 changes to the tentative subdivision plat. Petitioner's  
22 reading of PCC 34.20.070(B)(1)(a) would frustrate that scheme  
23 by prohibiting changes to the PUD preliminary plan that  
24 require any changes to the tentative subdivision plat, for  
25 example, a slight lot-line adjustment. Nothing in PCC

1 34.20.070(B)(1)(a) or any other provision brought to our  
2 attention requires that result.

3 The sixth assignment of error is denied.

4 **SEVENTH ASSIGNMENT OF ERROR**

5 Petitioner argues that the city committed a procedural  
6 error in processing the application for final development plan  
7 and plat approval as a Type I procedure, which offers no  
8 opportunity for a hearing or local appeal, rather than as a  
9 Type II procedure, which offers opportunity for a hearing and  
10 local appeal. Petitioner contends that the challenged  
11 decision's analogy between "substantial conformance" and  
12 "minor" amendments, addressed in the fifth assignment of  
13 error, demonstrates that the changes between the preliminary  
14 and final development plans constitute "minor" amendments.  
15 Pursuant to PCC 33.269.440(B), minor plan amendments are  
16 subject to Type II procedures. Hence, petitioner argues that  
17 the city was required to process the instant application under  
18 Type II procedures.

19 Intervenor makes a number of responses. We need only  
20 address the last. Intervenor argues that even if petitioner  
21 is correct that the city committed a procedural error in  
22 processing the final development approval as a Type I rather  
23 than Type II proceeding, petitioner has not alleged any  
24 prejudice to his substantial rights. ORS 197.835(9)(a)(B).<sup>10</sup>

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<sup>10</sup>ORS 197.835(9) provides, in material part:

1 We agree with intervenor that petitioner, who submitted 65  
2 pages of commentary to the city, has not demonstrated that the  
3 city's procedural error, if any, in failing to afford him the  
4 opportunity for local appeal prejudiced petitioner's  
5 substantial rights.

6 The seventh assignment of error is denied.

7 **EIGHTH ASSIGNMENT OF ERROR**

8 Petitioner contends that there is no evidence in the  
9 record to support the city's finding that the city attorney  
10 approved the declaration of covenants, conditions and  
11 restrictions (CC&Rs), as required by PCC 33.79.130(g). The  
12 decision states that the CC&R document is found at pages 500  
13 through 518 of the record and has been approved by the city  
14 attorney. However, petitioner argues that he can find no  
15 evidence in the record that the city attorney reviewed or  
16 approved the CC&Rs.

17 Intervenor responds by citing to several places in the  
18 record where reference is made to city attorney's review and  
19 approval of the CC&Rs. Record 147, 149, 789. We agree with  
20 intervenor that there is substantial evidence in the record

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"\* \* \* the board shall reverse or remand the land use decision  
under review if the board finds:

"(a) The local government or special district:

"\* \* \* \* \*

"(B) Failed to follow the procedures applicable to the  
matter before it in a manner that prejudiced the  
substantial rights of the petitioner."

1 that the city attorney's office reviewed and approved the  
2 CC&Rs.

3 Petitioner also asserts that page 12 of the CC&R at  
4 Record 500-518 is missing, that the city has failed repeatedly  
5 to address his attempts to draw attention to the missing page,  
6 and that the city's failure merits remand. The city responds  
7 that the complete text of the CC&Rs is at Record 14-27. We  
8 agree with the city that the missing page at record 500-518  
9 provides no basis to remand the decision. Petitioner also  
10 directs our attention to a disclaimer in the CC&Rs found at  
11 Record 26 that the CC&Rs have not been "approved or  
12 disapproved by the city." Petitioner does not explain the  
13 significance of this statement or why it provides a basis for  
14 reversal or remand.

15 The eighth assignment of error is denied.

16 **NINTH ASSIGNMENT OF ERROR**

17 Petitioner contends that the challenged decision errs in  
18 approving a subdivision lot (lot 7) without approving a method  
19 of on-site sewage disposal for that lot, in violation of the  
20 condition 1 of the tentative plat approval that "each lot" of  
21 the subdivision shall provide a method of sewage disposal.

22 Lot 7 is not part of the six-lot PUD, but is a two-acre  
23 remainder portion of the subject property that contains part  
24 of the headwaters of Balch Creek. No housing is proposed for  
25 lot 7, which is reserved for unspecified "future sale or

1 development." Record 225. The challenged decision found that  
2 the preliminary plat condition of approval is met, stating:

3 "A sewage disposal plan was not required for lot 7.  
4 The meaning of 'lot' in this condition is a lot that  
5 is proposed to be developed for residential use as  
6 part of the PUD. The purpose of the requirement was  
7 to assure that lots within the PUD could be arranged  
8 in substantially the manner approved. If Lot 7 is  
9 ever developed, it will require its own sanitary  
10 site disposal approval by operation of law." Record  
11 632.

12 Petitioner argues that the decision's finding of  
13 compliance is contrary to the plain language of the condition  
14 that "each lot" provide a method of sewage disposal. In  
15 addition, petitioner contends that lot 7 is undevelopable  
16 because of geologic and environmental constraints.  
17 Accordingly, petitioner urges us to reverse the decision,  
18 because remand to approve a method of sanitary disposal on lot  
19 7 would be futile.

20 The city responds that the challenged decision reasonably  
21 construes the sanitary disposal condition of approval to apply  
22 only to lots within the PUD, rather than to lot 7, where no  
23 residential use is proposed. We agree. The constraints that  
24 petitioner identifies as rendering remand futile also  
25 demonstrate how doubtful it is that the hearings officer  
26 intended condition 1 to require sewage disposal on an  
27 environmentally-sensitive lot for which no residential use is  
28 proposed.

29 The ninth assignment of error is denied.

1 **TENTH ASSIGNMENT OF ERROR**

2 Condition 4 of the tentative subdivision plat approval  
3 requires that the final subdivision plat meet the requirements  
4 of the fire marshal. The fire marshal subsequently approved  
5 the subdivision plat. Nonetheless, petitioner argues that the  
6 challenged decision errs in approving a road that does not  
7 comply with Fire Bureau policy guidelines with respect to road  
8 grade and width. Petitioner attaches a copy of the Fire  
9 Bureau's policy document to his petition for review.

10 The city and intervenor respond that the policy document  
11 cited by petitioner merely provides guidelines for Fire Bureau  
12 approvals of proposed roads, and by its terms does not require  
13 that the Fire Bureau, or the city, find compliance with those  
14 guidelines. Further, intervenor argues that the policy  
15 document petitioner cites states requirements for streets that  
16 serve other than one- and two-family residential units, and  
17 thus by its terms the policy document does not apply to the  
18 single-family residential lots approved here.

19 Condition 4 does not require that the final subdivision  
20 meet the requirements of the policy document, or any  
21 particular requirements of the fire marshal. In any case, we  
22 agree with respondents that the policy document by its terms  
23 does not apply to the instant subdivision plat, and merely  
24 provides guidelines.

25 The tenth assignment of error is denied.

1 **ELEVENTH ASSIGNMENT OF ERROR**

2 Condition 15 of the preliminary plan approval requires  
3 (1) that the applicant clean rubbish within and adjoining  
4 drainages on the property, and (2) that the applicant provide  
5 a maintenance easement and covenant of perpetual maintenance  
6 for a 50-foot riparian area. Petitioner argues that the final  
7 development plan does not comply with condition 15 in both  
8 respects.

9 **A. Within and Adjoining Drainages**

10 Petitioner argues that parts of the subject property are  
11 an old dump or landfill covered by soil, and that condition 15  
12 requires removal not only of surface rubbish, but of rubbish  
13 "within" drainages, which petitioner construes to mean  
14 subsurface rubbish, apparently referring to the entire old  
15 dump. The challenged decision found that condition 15 "does  
16 not require removal of material below the surface." Record  
17 635. Petitioner disputes this finding, arguing that condition  
18 15 requires removal of all subsurface rubbish from the dump.

19 The city and intervenor respond that the decision  
20 reasonably construes condition 15 to require removal only of  
21 surface rubbish, particularly in light of condition 3, which  
22 requires that drainages remain in their natural topographic  
23 condition. We agree.

24 **B. Easement and Maintenance Agreement**

25 Condition 15 requires that the applicant provide a  
26 maintenance easement for a revegetated riparian area and that

1 conditions, covenants and restrictions of the PUD must provide  
2 for perpetual maintenance for the riparian area. The  
3 applicant submitted a document binding on PUD property owners  
4 that sets out obligations to maintain open space and the  
5 riparian easement. The decision finds that the document  
6 satisfies condition 15 with respect to easement and covenant  
7 requirements.

8 Petitioner disputes that finding, arguing that the  
9 maintenance covenant must be part of the CC&Rs rather than a  
10 separate document. Intervenor responds that condition 15  
11 contemplates a separate easement document and does not  
12 prohibit the applicant from combining the maintenance covenant  
13 with the easement document, or require that the maintenance  
14 covenant be placed in the CC&Rs. We agree with intervenor  
15 that condition 15 does not prohibit the applicant from  
16 combining the easement and maintenance covenant in a document  
17 separate from the CC&Rs.

18 The eleventh assignment of error is denied.

19 **TWELTH ASSIGNMENT OF ERROR**

20 Petitioner contends that the city erred in approving the  
21 final development plan without finding compliance with parts  
22 e, f and g of condition 20 of the preliminary plan approval.

23 Condition 20 requires a separate concept plan to be  
24 submitted for final development review that shall display:

25 "(e) A solar matrix, describing the solar design  
26 status of each lot;

1           "(f) Areas where development is restricted (i.e.,  
2           sensitive or habitat areas protected by common  
3           ownership and/or deed restrictions); and

4           "(g) Location, quantity and type of trees or other  
5           vegetation to be planted as mitigation for  
6           vegetation lost to construction."

7   The decision finds with respect to condition 20(e) that solar  
8   envelopes are not shown on the concept map, because the PUD  
9   lots are steep and forested, referring apparently to  
10  exemptions from solar design requirements. At this point in  
11  the decision there is a typographic incontinuity, with an "h"  
12  appearing in the margin, followed by a citation to PCC  
13  34.65.040, which sets out the pertinent exemptions from solar  
14  design requirements. The decision makes no findings with  
15  respect to conditions 20(f) and (g).

16           Petitioner argues that the decision improperly excuses  
17  compliance with condition 20(e), requiring a solar matrix  
18  describing the solar design status of each lot, based on  
19  exemptions at PCC 34.65.040. Petitioner contends that the  
20  decision maker had no authority to change conditions imposed  
21  by the hearings officer in the preliminary approval.

22           The city responds that the decision contains inadvertent  
23  typographic errors that left out part of the findings with  
24  respect to condition 20(e) and all of the findings with  
25  respect to conditions 20(f) and (g). Nonetheless, the city  
26  and intervenor argue that the lack of findings does not

1 provide a basis to reverse or remand the decision. ORS  
2 197.835(11)(b).<sup>11</sup>

3 With respect to condition 20(e), intervenor notes that  
4 the concept plan describes the solar design status for each  
5 lot in note 6, by referring to the exemptions for steep and  
6 forested lots described in the findings. Record 803. The  
7 preliminary approval exempted the PUD from the on-site shade  
8 requirements pursuant to PCC 34.65.040(C). Record 304. We  
9 agree with intervenor that the record clearly supports a  
10 finding of compliance with condition 20(e).

11 With respect to conditions 20(f) and (g), the city notes  
12 that the concept plan shows both the areas where development  
13 is restricted and the location, quantity and type of trees to  
14 be planted as mitigation. Record 803. We agree with the city  
15 that the record clearly supports a finding of compliance with  
16 conditions 20(f) and (g). Accordingly, we affirm this part of  
17 the decision. ORS 197.835(11)(b).

18 The twelfth assignment of error is denied.

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<sup>11</sup>ORS 197.835(11)(b) states:

"Whenever the findings are defective because of failure to recite adequate facts or legal conclusions or failure to adequately identify the standards or their relation to the facts, but the parties identify relevant evidence in the record which clearly supports the decision or a part of the decision, the board shall affirm the decision or part of the decision supported by the record and remand the remainder to the local government, with directions indicating appropriate remedial action."

1 **THIRTEENTH ASSIGNMENT OF ERROR**

2 Petitioner argues that the city unlawfully approved the  
3 subdivision plat after approval of a similar plat was  
4 reversed.

5 According to petitioner, the city approved the  
6 subdivision plat on July 31, 1995, and then voluntarily  
7 remanded that decision.<sup>12</sup> The city then approved the  
8 subdivision plat again, on July 10, 1996, and that decision  
9 was first voluntarily remanded, and then reversed pursuant to  
10 the city's motion.<sup>13</sup> The present proceeding is the decision on  
11 remand of the original July 31, 1995 decision. The decision  
12 does not address the subdivision plat approved July 10, 1996,  
13 which does not appear in this record.

14 We understand petitioner to argue that the reversal of  
15 the decision approving the subdivision plat on July 10, 1996,  
16 pursuant to the city's motion, necessarily determined that  
17 subdivision plat to be "prohibited as a matter of law"  
18 pursuant to OAR 661-10-071(1)(c). Petitioner explains that he  
19 cannot tell which subdivision plat the challenged decision  
20 approves, and posits the supposition that the decision  
21 inadvertently approves the July 10, 1996 plat instead of the  
22 July 31, 1995 plat. In that circumstance, petitioner

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<sup>12</sup>Rochlin v. City of Portland, \_\_\_ Or LUBA \_\_\_ (LUBA No. 95-176, March 6, 1996).

<sup>13</sup>Rochlin v. City of Portland and Multnomah County, \_\_\_ Or LUBA \_\_\_ (LUBA No. 97-022, July 10, 1997).

1 concludes, the city is precluded from approving the  
2 subdivision plat because it had previously been determined to  
3 be "prohibited as a matter of law." In any case, petitioner  
4 continues, the city should have placed the July 10, 1996  
5 subdivision plat before the decision maker in order to resolve  
6 all issues involving the two plats.

7 The challenged decision indicates that it is a decision  
8 on remand stemming from the July 31, 1995 decision. Record  
9 621. We disagree with petitioner that it is unclear which  
10 subdivision plat the challenged decision approves; nothing in  
11 the decision or the record directed to our attention suggests  
12 that any plat other than the one approved in the July 31, 1995  
13 decision is at issue in the present proceeding. It follows  
14 that we need not address petitioner's argument that the  
15 reversal of the July 10, 1996 decision has the consequences  
16 petitioner asserts or necessarily precludes approval of the  
17 subdivision plat at issue in this appeal. We also reject  
18 petitioner's alternative argument that the city was required  
19 to submit the July 10, 1996 subdivision plat into the record  
20 of this proceeding in order to resolve all issues concerning  
21 the two plats. Petitioner cites no authority requiring the  
22 city to consider the July 10, 1996 subdivision plat.

23 The thirteenth assignment of error is denied.

24 The city's decision is affirmed.