

SOCIAL WORKERS, BOARD OF

Annual Performance Progress Report (APPR) for Fiscal Year (2009-2010)

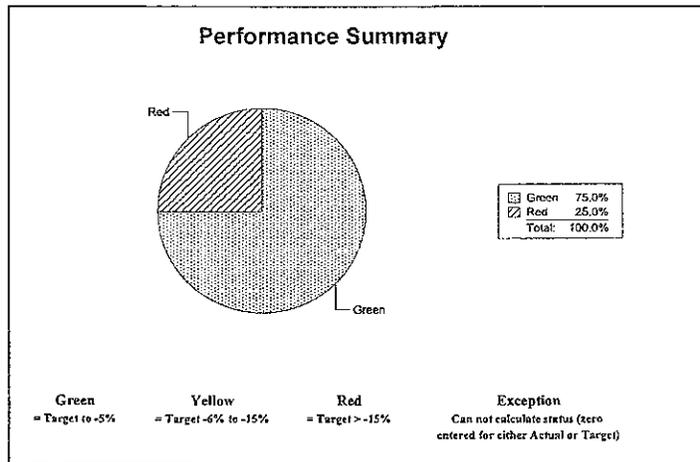
Proposed KPM's for Biennium (2011-2013)

Original Submission Date: 2010

Finalize Date: 8/2/2010

2009-2010 KPM #	2009-2010 Approved Key Performance Measures (KPMs)
1	TIMELY COMPLAINT RESOLUTION - Percent of complaints upon which the Board makes a decision within six months of when the complaint is received in the Board office.
2	CE AUDITS - Percent of license renewal Continuing Education audits that meet the requirement for accredited coursework.
4	CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information.
5	BOARD BEST PRACTICES - Percent of total best practices met by the Board.

SOCIAL WORKERS, BOARD OF		I. EXECUTIVE SUMMARY	
Agency Mission: To protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers.			
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I. SCOPE OF REPORT

The Board's four Key Performance Measures (KPMs) focus on customer service performance, with emphasis on licensing, and some insight on overall Board best practices. With respect to the key arena of consumer protection, the Board does have a KPM that tracks speed of complaint resolution, a measure shared by most agencies with a similar mission. However, that KPM, while valuable, is not a measure of quality of investigations, nor does this measure reflect the increasing subject matter complexity of the complaints received. The KPMs of the Board do not track performance in the arena of information technology, or fiscal standards and controls. (In the latter arena the Board has historically earned the CAFR Gold Standard every year due to its partnership with the Shared Client Services unit of the Department of Administrative

Services, State Controller's Division).

2. THE OREGON CONTEXT

Degree and Type of Agency influence on Benchmarks and High-level Outcomes:

The Oregon State Board of Licensed Social Workers was created by the Legislature as a public protection agency. The primary mission of the Board is to protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers. The Board seeks to provide excellent customer service while effectively accomplishing its mission. The pursuit of its mission of public protection and quality customer service does not contribute to any specific Oregon Benchmark.

Nevertheless, the Board currently tracks its performance through four Performance Measures.

3. PERFORMANCE SUMMARY

In Fiscal Year 2009, this agency was within target (within -5%) on three of its five performance measures. One on-target performance measure was eliminated by the 2009 Legislature. In Fiscal Year 2010, the Board improved its performance on the remaining four performance measures, four of which easily came in within 5% of the target. The performance measure on speed of consumer complaint resolution remains a serious challenge. Although performance improved by 13% from FY 2009 to FY 2010, the measure remains 28% below historic target levels, and 10% below the historic average of performance during the previous five fiscal years 2005-2009.

4. CHALLENGES

The key challenge for the Board is its primary consumer protection measure, timely resolution of complaints, which in FY 2009 came in 31% below target, and 24% below target in FY 2010. The data reflects a continual rise in the complaint load for the Board. In FY 2010 alone, the number of new complaints exceeded the Board's entire load of new complaints in the 2005-07 biennium.

To address the serious drop in performance on this measure, the Board has worked with stakeholders and the Legislature since 2008 to implement fees to fund the Board's first staff-level compliance position. While these elements were put in place during the first half of FY 2009, and the Legislature approved the compliance position to continue through June 2011, the backlog of cases and continued record numbers of new complaints meant that improvement in this arena could not be achieved quickly. This arena remains the primary focus of Board time and resources, and is reflected in the Board's top priority budget request to receive final budgetary authority to continue its only compliance-related staff position.

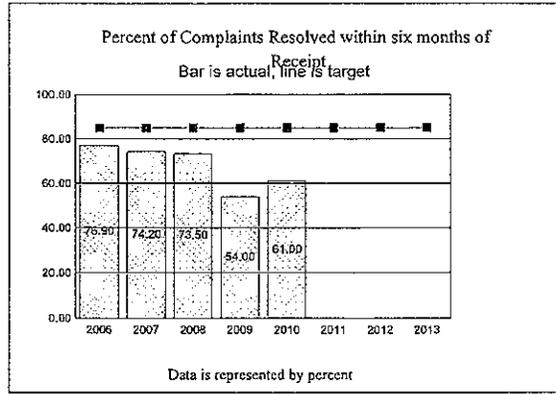
A new, positive challenge for the Board emerged from the 2009 legislative session in the form of passage of Senate Bill 177, which provides the Board with significant expanded authority as of 1/1/2011. This includes for the first time a mandate requiring licensure for all those practicing clinical social work, as well as 2 new voluntary forms of licensure in the arena of non-clinical social work. While the bill is a very positive step for regulation of social work, the bill will also expand the tasks of the Board's compliance program, by adding unlicensed practice cases to the Board's case load. This means that the challenge on the Board's KPM measuring speed of complaint resolution will become even harder. To address this problem, the Board is requesting additional compliance-related resources to implement SB 177, as part of its 2011-13 Agency Budget Request.

5. RESOURCES AND EFFICIENCY

The Board is staffed by a full-time Executive Director, one full time assistant, as well as two half-time assistants. In addition, a new compliance position is authorized full time through June 30, 2011. Maintaining funding authority after that date for that position is critical with respect to processing of consumer complaints, and the related KPM.

The Board is entirely funded by fees paid by its licensees (100% Other Funds). The Board proposes an Agency Request Budget for 2011-13 in the amount of \$1,380,651, reflecting an increase in funding, and related FTE, to implement its expanded authority provided by Senate Bill 177 (2009). The budget includes a key efficiency measure, a move toward two-year licensure for most license types, lining up continuing education reporting and licensure renewal cycles.

KPM #1	TIMELY COMPLAINT RESOLUTION - Percent of complaints upon which the Board makes a decision within six months of when the complaint is received in the Board office.
Goal	Public Protection - this KPM links to the goal of public protection by measuring the timeliness of complaint resolution
Oregon Context	The KPMs of this Board do not link to directly to Oregon benchmarks.
Data Source	Index of Agency Consumer Protection Complaint Cases
Owner	Martin Pittoni, Executive Director, 503-373-1163



1. OUR STRATEGY

The agency worked with stakeholders to increase resources for the compliance function, by raising fees and adding its first compliance staff person on a limited-duration basis in October 2008. The 2011-13 budget requests maintaining authority on an on-going basis for this compliance position.

2. ABOUT THE TARGETS

The measure reveals the rate at which the agency is processing and resolving complaints in a timely manner.

3. HOW WE ARE DOING

The Board has not been able to meet this KPM target since FY 2002. Data for FY 2009 reflect a major drop in performance, to only 54% of cases resolved in a timely manner. In FY 2010, this performance improved to 61%, still substantially below the goal of 85% and 10% below the average five-year performance from FY 2005-2009.

4. HOW WE COMPARE

5. FACTORS AFFECTING RESULTS

The data shows the effect of not having a staff-level investigation function in place until late 2008, combined with a dramatic increase in the number and complexity of complaints. Performance in this KPM will show lag time before improvement due to the accumulated backlog of complaint cases, in combination with the increase in new complaints. The Board received 57 new cases in the 05-07 biennium, compared to 94 new cases during 07-09. In 09-11 to date (through July 31, 2010), the Board received 62 new complaints, more than in the entire 05-07 biennium. If that rate is sustained through the remainder of the biennium, this would put the Board on course toward 114 projected new complaints for 2009-11. The Board has worked with stakeholders and the Legislature to increase fees and fund the Board's first staff-level compliance position through June 30, 2011.

The position was filled as of November 2008 and notwithstanding one turnover in that position in summer 2009, improvements in this KPMs performance are expected over time. Key factor over time is receiving budgetary final authority to continue the compliance position.

In addition, Senate Bill 177 (2009) places additional demands on the Board's compliance function as of 2011, by adding new unlicensed practice cases, and enforcement of a new title protection provision. Additional resources for that compliance function are requested in the agency's 2011-13 budget request.

6. WHAT NEEDS TO BE DONE

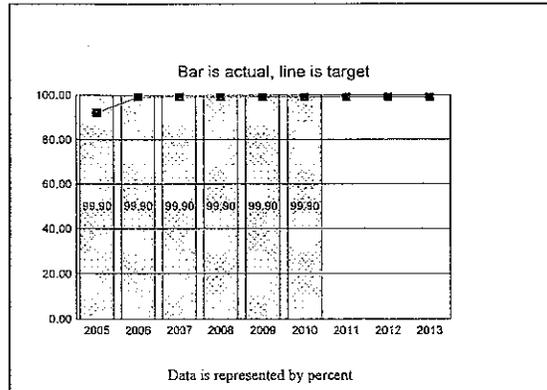
Key to any hope of returning to acceptable levels of performance is legislative approval to obtain final budgetary authority for the Board's sole compliance position (Policy Option Package 100 in the Agency Request Budget for 2011-13).

In addition, Senate Bill 177 (2009) places additional demands on the Board's compliance function as of 2011, by adding new unlicensed practice cases, and enforcement of a new title protection provision. In order to achieve performance at acceptable levels on this performance measure, approval of a second compliance position in SB 177-related Policy Package 102 is essential.

7. ABOUT THE DATA

The data is reported on a fiscal year basis.

KPM #2	CE AUDITS - Percent of license renewal Continuing Education audits that meet the requirement for accredited coursework.
Goal	Public Protection - this measure links to the goal of public protection by measuring compliance with continuing education requirements by licensees. Continuing education is a key tool for licensees to stay current within their specialty fields.
Oregon Context	This Board's KPMs do not link directly to Oregon Benchmarks
Data Source	Agency random audits of continuing education compliance reports conducted during licensure renewal.
Owner	Sancha Alley, Administrative Specialist, 503-373-1161



I. OUR STRATEGY

Given the on-going outstanding performance on this measure, the agency's strategy is to continue with random audits of continuing education reports.

2. ABOUT THE TARGETS

The measure reveals the extent of licensee compliance with Board continuing education requirements.

3. HOW WE ARE DOING

The performance in this KPM has remained steady at very high levels, as staff works very closely with licensees to ensure compliance with continuing education requirements.

4. HOW WE COMPARE

N/A

5. FACTORS AFFECTING RESULTS

One factor affecting results is staff time spent on ensuring licensees are fully familiar with how to comply with continuing education requirements.

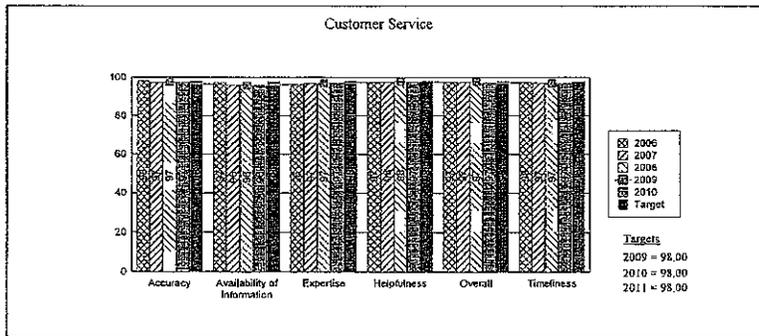
6. WHAT NEEDS TO BE DONE

Although the data does not show the need for changes, the expansion of the Board's authority in January 2011 to include issuance of two new non-clinical license types (RBSWs and LMSWs) will present challenges for this KPM in the future. New CE requirements are under development for these license types, and new RBSW and LMSW licensees will need to be educated on this aspect of their obligations.

7. ABOUT THE DATA

The data is reported on a fiscal year basis.

KPM #4	CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information.	2006
Goal	Provide excellent customer service	
Oregon Context	The Board's KPMs do not align directly with Oregon Benchmarks	
Data Source	Responses to customer service surveys completed during the licensure renewal process	
Owner	Pam Johansen, Licensing Manager, 503-373-1159	



I. OUR STRATEGY

The Board's strategy for this KPM is to increase utilization of technology and implement licensing program streamlining measures, to ensure staff has sufficient time to provide good customer service. The Board is also requesting additional resources for its licensing function to implement the new licensing programs authorized by Senate Bill 177 (2009), in part to ensure the Board can continue to provide solid customer service results.

2. ABOUT THE TARGETS

The Board has deliberately and continually set a high target for this measure, as the Board expects to achieve excellent customer service results.

3. HOW WE ARE DOING

The Board has consistently performed very well on this measure, within 5% of target, but hopes to improve further by actually achieving in full its 98% target.

4. HOW WE COMPARE

There is no comparable data from other social work boards around the country.

5. FACTORS AFFECTING RESULTS

Continual growth of the licensee base, without additional resources, and growth in applicable rules and regulations that need to be communicated to licensees are key hurdles affecting performance. Staff turnover in the last quarter of FY 2010 also was a challenge with respect to maintaining performance. In addition, adding statewide community forums and expanding classroom presentations to cover the major new social work related regulations in Senate Bill 177 (2009) was a resource challenge, but likely were a factor in maintaining excellent performance.

6. WHAT NEEDS TO BE DONE

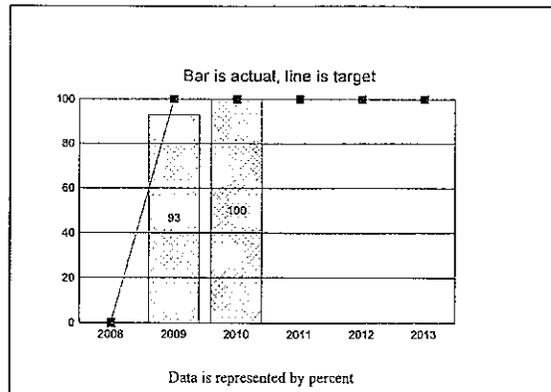
The Board needs to continue to invest in streamlining of licensing operations and utilization of technology to deliver the best results with the least amount of resources. There is a limit though as to how much operational growth these efficiency measures can absorb, before customer service performance is affected. Therefore, the Board is requesting additional resources in this budget for its licensing (and compliance) program to deal with the impact of added responsibilities in implementing Senate Bill 177 (2009).

7. ABOUT THE DATA

The data is presented on a fiscal year basis.

SOCIAL WORKERS, BOARD OF	II. KEY MEASURE ANALYSIS
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KPM #5	BOARD BEST PRACTICES - Percent of total best practices met by the Board.	2009
Goal	Consumer Protection	
Oregon Context	This Board's KPMs do not align directly with Oregon Benchmarks.	
Data Source	Annual Self-Assessment	
Owner	Martin Pittioni, Executive Director, 503-373-1163	



I. OUR STRATEGY

The Board's strategy is to achieve and maintain 100% compliance with best practices.

2. ABOUT THE TARGETS

The Board's position is that this KPM should always be at a 100% target level.

3. HOW WE ARE DOING

After a late introduction of this KPM in FY 2009, which prevented achievement at a 100% level (93% attained), the Board has improved its performance on this KPM to 100%.

4. HOW WE COMPARE

This is a standardized KPM with the same expectation of all stand-alone boards and commissions. It is assumed that most agencies measured by this KPM perform at or near the 100% mark.

5. FACTORS AFFECTING RESULTS

At the time of the initial introduction of this KPM in FY 2009, the (new) Executive Director had not yet received a performance evaluation, which prevented achieving a 100% result that fiscal year. The Board has now had sufficient implementation time to achieve 100% compliance with all best practices.

6. WHAT NEEDS TO BE DONE

Maintain focus on ensuring continued 100% compliance.

7. ABOUT THE DATA

The data is presented on a fiscal year basis.