

# LIMITED LIABILITY COMPANY (LLC), CORPORATION, or TRUST FLAGGING CONTRACTOR LICENSE APPLICATION

# Office location:

201 High St SE, Suite 600 Salem, OR 97301

# Mailing address:

PO Box 14140 Salem, OR 97309-5052

# **Secure Fax:**

(503) 373-2155

# **Board website:**

www.oregon.gov/ccb

# **Questions?**

Email ccb.info@ccb.oregon.gov or call (503) 378-4621

# CONSTRUCTION CONTRACTORS BOARD (CCB) FLAGGING CONTRACTOR LICENSE APPLICATION INSTRUCTIONS

#### **READ INSTRUCTIONS CAREFULLY**

| You must sub | mit the following documents as one complete packet to the CCB for licensure:  |
|--------------|---|
|              | Application Form (pages 4-10)   |
|              | Payment Information (page 12)   |
|              | <u>Construction Flagging Contractor Surety Bond</u> (1-page document for the proper amount in the <b>exact business name</b> listed on your completed application). Do not submit separately. |
|              | Certificate of <u>Liability Insurance</u> (in the exact business name listed on your completed application and naming CCB as the certificate holder). Do not submit separately.               |
|              | <b>Social Security Number / Disclosure for Foreign Applicants</b> (page 11). Required signature if any owner, officer, or member do not have a social security number.                        |

#### ASSUMED BUSINESS NAME(S)

An Assumed Business Name (ABN) is a business name that must be registered with the State of <u>Oregon Secretary of State (SOS) Corporation Division</u> as an assumed business name. All assumed business names must be registered at the Oregon SOS, unless it includes the applicants legal first, middle, and last name. Contact the <u>Oregon SOS</u> to register your business name(s) or call (503) 986-2200.

#### **WORKERS COMPENSATION**

If you are Non-exempt (have employees) you must provide the name and policy number of your Workers Compensation carrier.

If you selected a commercial endorsement without employees, you must provide, on the application form, Personal Election Workers Compensation coverage.

All Exempt classes (no employees) must complete the Exempt Workers Compensation Status Declaration section of this application.

For more information about workers compensation, talk to an insurance agent or visit the <u>Oregon Workers</u> <u>Compensation Division</u> website. To learn more about who is exempt and non-exempt look <u>here</u>.

#### **CRIMINAL BACKGROUND**

Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

#### SOCIAL SECURITY NUMBER / DISCLOSURE FOR FOREIGN APPLICANTS

This section is required for all applicants. You will either sign attesting to the accuracy of your social security number, or you will sign and declare that you have never had a social security number issued to you by the United States Social Security Administration in the no social security section of this application.

#### **METHOD OF PAYMENT**

The application fee is \$325 and is non-refundable. This fee covers administrative costs for processing your application.

The Board accepts debit and credit card payments, check, and money order. Credit card payments may be made using VISA, MasterCard, or Discover and sent via our secure fax at (503) 373-2155. Check payments may be mailed to the Board office and made payable to the Oregon Construction Contractors Board.

DO NOT EMAIL YOUR APPLICATION OR PAYMENT TO THE BOARD

MISREPRESENTATION IN THIS APPLICATION IS CAUSE FOR DENIAL OF LICENSE

APPLICATIONS ARE NOT PROCESSED IN-PERSON

# Flagging Contractor License Application For Limited Liability Company (LLC), Corporation, or Trust

| License N | No    |       |          |
|-----------|-------|-------|----------|
| □ ENF     | □ RBO | □ СВО | □sos     |
| Cross Re  | f     |       |          |
| Test      |       | ССВ   | USE ONLY |



FEE: \$ 325.00

**Important**: Read the application instructions carefully before completing this form. Complete <u>all</u> required areas of the application and attach additional document(s) as needed. Personal information provided on this application will be used to conduct background checks. Incomplete applications cannot be processed.

| 1 ENTITY OWNERSHIP – The                | e owner must b  | e 18 years or old | er                            |                           |
|---|-----------------|-------------------|-------------------------------|---------------------------|
| A) BUSINESS ENTITY INFORMATION          | V               |                   |                               |                           |
| Corporate/LLC Name (exactly as file     | ed with SOS Cor | poration Division | n) OR Corpor                  | ate/LLC Registry #        |
| Business <b>Email</b> Address           |                 |                   | Business <b>Phone</b> Number  | er                        |
| Corporation/LLC <b>Mailing</b> Address  |                 |                   | The mailing and are the same: | l physical address YES NO |
| City                                    | State           | Zip Code          | County                        |                           |
| Corporation/LLC <b>Physical</b> Address |                 | City              | State                         | Zip                       |
| B) OWNERSHIP INFORMATION*               |                 |                   |                               |                           |
| Officer/Member FULL First Name          | FULL Mido       | lle Name          | FULL Last Name                |                           |
| Date of Birth (MM/DD/YYYY)              | Social Security | y Number          | Driver's License #            | <br>Issuing State         |
| Officer/Member FULL First Name          | FULL Mido       | lle Name          | FULL Last Name                |                           |
| Date of Birth (MM/DD/YYYY)              | Social Security | y Number          | Driver's License #            | Issuing State             |
| Officer/Member FULL First Name          | FULL Midd       | lle Name          | FULL Last Name                |                           |
| Date of Birth (MM/DD/YYYY)              | Social Security | y Number          | <br>Driver's License #        | <br>Issuing State         |

\*You must complete the above information for <u>all</u> corporate officers or members per OAR 701-046. If necessary, attach an additional page to list all officers or members. \*If a member is another entity, please include the FULL legal name, date of birth, and driver's license information for each officer of the member entity.

| If you have three or more corporate officers please list their full legal name(s) below:  | , members, en er ascees, and eney a | , e an part or the same ranning,  |
|---|-------------------------------------|-----------------------------------|
| Self  | Spouse                              |                                   |
| Son(s)  | Daughter(s)                         |                                   |
| Son(s)-in-law   | Daughter(s)-in-law                  |                                   |
| Brother(s)  | Sister(s)                           |                                   |
| Parents   | Grandchildren                       |                                   |
| compensation insurance. However, if the fair your business is nonexempt and workers con   | npensation must be provided.        | ousins, aunts, uncles, etc.) then |
| 3 ASSUMED BUSINESS NAME(S) – I  | lf applicable                       |                                   |
| An Assumed Business Name (ABN) is a busin<br>Secretary of State (SOS) Corporation Division<br>must be registered at the Oregon SOS, unles<br>name.          | as an assumed business name. A      | ll assumed business names         |
| Business Name   |                                     | ABN Registry Number               |
| Business Name   |                                     | ABN Registry Number               |
| 4 EMPLOYER ACCOUNT INFORMAT   | TION — If you have employees        |                                   |
| Oregon Business Identification Number (BIN  | ):                                  |                                   |
| <ul> <li>Required if the business has employee</li> <li>It is not the Social Security Number of</li> <li>For more information contact the Oregon</li> </ul> | the Business Registry Number        |                                   |
| Federal Employer Identification Number (EIN   | N):                                 |                                   |
| <ul> <li>Required if the business has employee</li> <li>It is not the Social Security Number or</li> <li>For more information contact the Intel</li> </ul>  | the Business Registry Number        |                                   |

Business Name: \_

|                                | Business Na   | ıme:  |  |  |
|--------------------------------|---|---|--|--|
| 5                              | WORKERS COMPENSATION  |   |  |  |
|                                | Exempt = No employees Non-exempt = Yes  | employees   | 5  |  |
| 1.                             | Do you have Employees?  • If using leased employees from a leasing company, check "Y  | ⁄ES"  | YES  | □ NO*  |
| 2.                             | Do you have three or more officers, members or trustees who ar all immediate members of the same family?  | e not   | YES  | □ NO*  |
| If you                         | answered "YES", you must provide Workers Compensation Insura  | ance:   |  |  |
|                                | Workers Compensation Policy Carrier   | Policy Nur  | nber   | <del></del>  |
| 4                              | *If you answered " <b>NO</b> " to all questions, you <u>must</u> complete the Exe   | empt Decla  | ration section   | on below.  |
| 6                              | EXEMPT WORKERS COMPENSATION STATUS DECLARATION  | ON*   |  |  |
|                                | *Required <u>ONLY</u> for Exempt Independent Conti  | ractor Clas   | S  |  |
| class, r                       | cense applicant below has filed their CCB license application as an meaning it will not hire employees. If the applicant decides to hire stands that Oregon law requires it to:   | •   | •  |  |
| 1.                             | Comply with workers compensation laws and maintain a worker long as the application is an employer.   | rs compens  | sation insura  | ance policy as   |
| 2.                             | Provide the Carrier name and policy number  |   |  |  |
| 3.                             | Notify the CCB of the change to its workers compensation status employees by submitting a <u>Change My Workers Compensation</u> status their <u>Online Services Account</u> . There is no fee to make this change   | <u>Status</u> forn  |  | _  |
| nonex                          | ning this document, the applicant certifies that it will change their<br>tempt with the CCB <b>before</b> hiring any employees. It will also providensation policy carrier and policy number.   |   | =  |  |
| hir<br>int<br>un<br>lice<br>mo | RS 701.035(3) states: (3) If a person who is licensed as exempt und res one or more employees, utilizes one or more workers supplied by any of the categories set out in subsection (2)(a)(B) of this section der ORS 701.992 (Civil penalties and other sanctions) for improper ensed as exempt under subsection (2)(b) of this section hires one of ore workers supplied by a worker leasing company, the person is all der ORS 701.098 (Grounds for discipline). The person must reapply | ny a worke<br>n, the pers<br>licensing.<br>r more em<br>lso subject | r leasing cor<br>son is subjec<br>If a person<br>ployees, or u<br>to licensing | mpany or falls<br>t to penalties<br>who is<br>utilizes one or<br>sanctions |
| Busine                         | ess Entity or Applicant Name  |   |  |  |
|                                |   |   |  |  |

Signature REQUIRED if exempt

Date

| _          |        |                  |                      |                           |                            |                       | Business Name:                                     |                   |              |              |
|------------|--------|------------------|----------------------|---------------------------|----------------------------|-----------------------|--|-------------------|--------------|--------------|
| 7          | C      | ONS <sup>®</sup> | TRUC                 | TION DE                   | BT / LICE                  | NSE HISTOR            | Υ  |                   |              |              |
| 1.         | Che    | ck the           | e appr               | opriate bo                | x(es) belov                | w if <u>any</u> perso | n listed on this applicat                          | ion has <u>ar</u> | ny of the fo | llowing:     |
|            |        |                  |                      | he busines<br>gations, OI | <del>-</del>               | person listed         | in the application, hav                            | e any out         | tstanding co | onstruction  |
|            |        |                  | A fina               | al unpaid c               | order has b                | een issued by         | the Construction Cont                              | ractors Bo        | ard.         |              |
|            |        |                  |                      | •                         |                            |                       | unpaid arbitration awa within the United State     |                   | ıal unpaid c | ivil penalty |
|            |        |                  |                      | •                         |                            |                       | unpaid civil penalty aris<br>y workers compensatio | _                 |              | naintain     |
|            |        |                  | An ar                | mount is o                | wed to em                  | ployees of a d        | construction contracting                           | g business        | for unpaid   | wages.       |
| 2.         | Has    |                  | oersor               |                           |                            |                       | ontractor license refused                          |                   |              |              |
|            | LL.    | NO               | t ann                | YES                       |                            | •                     | State?   |                   |              |              |
|            | пу     | es, iis          | st appi              | icani(s) Fu               | iii Name: _                |                       | *Addition  |                   | ntation may  | be requested |
| <b>7</b> 6 |        | DIN 41           | INIAI                | DACKCD(                   |                            |                       | , idantion.  | ar documer        | reaction may | se requested |
| 8          |        |                  |                      | BACKGRO                   |                            |                       |  |                   |              |              |
| Has        | any    | _                | on list<br><b>VO</b> | ed on this                | application YES            |                       | ed for or convicted of ar<br>applicant(s):         | -                 | _            | imes?        |
|            |        |                  |                      | Date                      | State                      | County                |  | Date              | State        | County       |
|            | Mur    | der              | _                    |                           |                            |                       | Robbery 1  |                   |              |              |
|            | Assa   | ult 1            | -                    |                           |                            |                       | ☐ Theft 1  |                   |              |              |
|            | Kidn   | appir            | ng _                 |                           |                            |                       | ☐ Arson 1  |                   |              |              |
|            | Sexu   | ıal ab           | use _                |                           |                            |                       | $\square$ Theft by extortion                       |                   |              |              |
|            | Rape   | e, sod           | lomy                 | or unlawfu                | l sexual pe                | netration             |  |                   |              |              |
| •          |        |                  |                      |                           | ed supervis<br>probation c | •                     | probation, please prov                             | ride the na       | ame and co   | ntact        |
| Par        | ole/F  | Proba            | ation (              | Officer Nan               | ne                         |                       | Phone  | Number            |              |              |
|            | ail Ad | ddres            |                      |                           |                            |                       |  |                   |              |              |

NOTE: Providing incomplete or inaccurate information may delay or prevent approval of your license request. The CCB has the authority to do a criminal history check on all applicants and any personal information provided may be used for that purpose.

| Business Name: |      |
|----------------|------|
|                | <br> |



#### INDEPENDENT CONTRACTOR CERTIFICATION

<u>All</u> applicants must certify that their business activities will be performed in compliance with Oregon's independent contractor law (ORS 670.600).

At all times while conducting business as a CCB Licensee:

- The licensee will be free from a client's direction and control over the means and manner of
  providing the services. The applicant is subject only to the right of the client, for whom the services
  are provided, to specify the desired results of the work.
- 2. The licensee will be customarily engaged in an independently established business by at least three of the following criteria:
  - a) Maintaining a business location that is separate from the business or work location for whom the services are provided; or that is in portion of the applicant's residence and that portion is used primarily for the business.
  - b) Bearing the risk of loss related to the business or provision of services as shown by factors such as: entering into fixed-price contracts; a requirement to correct defective work; warranties the services provided or the applicant negotiates indemnification agreements or purchases liability insurance performance bonds or errors and omissions insurance.
  - c) Providing contract services for two or more different persons within a 12 moths period, or routinely engaging in business advertising, solicitation or other marketing efforts reasonably calculated to obtain new contracts to provide similar services.
  - d) Making significant investment in the business, through means such as: purchasing tools or equipment necessary to provide the services; paying for the premises or the facilities where the services are provided; or paying for the licenses, certificates or specialized training required to provide the services.
  - e) Having the authority to hire other persons to provide or to assist in providing the services and has the authority to fire those persons. Contractors hiring employees must be licensed under the non-exempt class of independent contractor and carry proper workers compensation insurance to protect subject workers.
- 3. The licensee will maintain an active license with the CCB in accordance with ORS 701 while performing construction activities.
- 4. The licensee is responsible for obtaining other licenses or certifications necessary to provide the construction services.

|  | YES, I | certify to | all the | above | items |
|--|--------|------------|---------|-------|-------|
|--|--------|------------|---------|-------|-------|

| Business Name: |
|----------------|
|                |

# 10 CERTIFICATION & SIGNATURE(S)

- I certify to the truth and accuracy of all statements, answers and representations made in the foregoing application, including all supplementary statements. I further acknowledge that knowingly supplying false information on the application is a Class A Misdemeanor punishable by law.
- For as long as this license is in effect, the applicant will continue to carry the required liability insurance and surety bond.
- Effective the date of this application, if the applicant hires employees, the applicant is required to comply with workers compensation laws and will maintain a workers compensation insurance policy as long as the applicant is an employer.
- If the Responsible Managing Individual (RMI) or qualifying individual leaves the business, the applicant will notify the CCB in writing immediately and will provide CCB with a new RMI or qualifying individual's name using the RMI Change form.
- The applicant will operate as an independent contractor per ORS 670.600.
- The applicant understands that any and all information regarding their license may be shared with other government agencies.
- The applicant must remain in compliance with the terms of this license. Failure to do so could result in a civil penalty of up to \$5,000 per offense and/or license suspension or revocation.
- If this business incurs a construction debt that it does not pay, the individual(s) understands that they may be prohibited from serving as an owner, officer, or RMI of another license applicant until that construction debt is satisfied, paid, or discharged.
- The applicant understands that signing below as an owner, partner, corporate officer, LLC member, trustee, or RMI of this application; he/she will be held liable for the licensee's compliance with all applicable statutes and rules.
- Any personal identifying information may be used to conduct background screenings on all applicants.

By signing holow, I cortify that I have road and understand the statements listed above

| by signing below, i certify that i have read and | understand the statements list | eu above. |
|--|--------------------------------|-----------|
| Signature of officer/member/partner/trustee      | Printed Name                   | Date      |
| Signature of officer/member/partner/trustee      | Printed Name                   | Date      |
|  | Printed Name                   | <br>Date  |

NOTE: ALL owners, officers, and/or members must sign the application

If necessary, you may attach an additional page to list additional officers, members, partners, or trustees.

| T . | •     |      |   |
|-----|-------|------|---|
| Rm  | inecc | Name | ٠ |
|     |       |      |   |



# 11 SOCIAL SECURITY NUMBER / DISCLOSURE FOR FOREIGN APPLICANTS

If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

#### **Declaration of Social Security Number**

As part of your application for an initial license and certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency. The authority for this requirement is Oregon Revised Statute (ORS) 25.785, ORS 701.046, and 42 USC § 666(a)(13) (Federal Law). Failure to provide your Social Security Number will be a basis to deny the certificate or license you seek. Your Social Security Number may be shared with other authorities only for tax administration, debt collection, and child support enforcement purposes.

By signing this application, each owner, officer, member acknowledge the disclosure of their Social Security Number and it's use by the Construction Contractor Board for the purposes stated above.

If you were issued a Social Security Number by the United States Social Security Administration, you may skip this section.

#### **Disclosure of NO Social Security Number**

As part of your application for license or certifications, issued by the Oregon Construction Contractors Board, you are required to provide your Social Security Number to this agency if such a number has ever been issued to you. If a member, officer, or owner has not been issued a social security number then an alternative form must be signed pursuant to ORS 25.785.

Your signature(s) below serves as your declaration that you <u>have not been issued a Social Security Number</u> by the United States Social Security Administration.

| Full Legal Name                         |      |   |
|---|------|---|
| - un                                    |      |   |
| Signature to declare NO ISSUANCE OF SSN | Date | _ |
|   |      |   |
| Full Legal Name                         |      |   |
| Signature to declare NO ISSUANCE OF SSN | Date | _ |

#### **PAYMENT INFORMATION**

A complete application must be submitted at the time of payment. Please read the application instructions carefully prior to submitting the application and payment to the Board's office. For your protection, <a href="EMAIL SUBMISSIONS WILL NOT BE ACCEPTED">EMAIL SUBMISSIONS WILL NOT BE ACCEPTED</a>.

By signing below, I understand that once the application fee has been paid it is non-refundable. You may submit payment and your application using ONE of the following options (please select only one):

| Payment by Debit or Credit Card   |                |   |                    |
|---|----------------|---|--------------------|
| VISA, MASTERCARD, or DISCOVER ONLY for credit card payments.  |                |   |                    |
| I authorize the amount of \$325 license application fee to be charged to my card.   |                |   |                    |
| ☐ VISA ☐ MASTERCARD   |                | DISCOVER                                    |                    |
|   |                |   |                    |
| Card Number   | Expir          | ration Date (MM/YY)                         | CVV (3-digit Code) |
| Name as it appears on the card  |                |   |                    |
| Card Holder's Billing Address   |                |   |                    |
| City  | State          | Zip Cod                                     | le                 |
| Card Holder's Email   |                | Card Holder's Phone Number                  |                    |
| Authorized Signature - REQUIRED   |                |   |                    |
| Secure Fax (only if paying by debit or credit card)   |                |   |                    |
| You may fax your payment to the office using our secure fax at (503) 373-2155.  |                |   |                    |
| Payment by Check  |                |   |                    |
| Check or Money Order can be made payable to the "Oregon Construction Contractors Board" or "Oregon CCB". Mail the check/money order to the Board office at:               |                |   |                    |
| Regular Mail:   | Priority Mail: |   |                    |
| P.O. BOX 14140<br>Salem, OR 97309-5052  |                | 201 High St SE, Ste. 600<br>Salem, OR 97301 |                    |
| Questions?? If you need assistance, please contact the CCB at (503) 378-4621.   |                | FOR OFFICE USE ONLY  AMOUNT PAID            |                    |
| Applications are not processed in-person. Please allow weeks for processing.  | 3-4            |   |                    |
| MPORTANT: Incomplete applications or missing documentation will delay the licensing process. Verify the information provided s complete and accurate prior to submitting. |                | APPROVAL CODE                               |                    |