A Cost and Effectiveness Certification is required for any municipality or intermunicipal, interstate, or state agency that applies for a Clean Water State Revolving Fund loan to demonstrate that the proposed project is the most cost effective approach to achieving the project’s water quality goals. This is required by section 602(b)(13) of the Clean Water Act.

The guide for developing and implementing the cost and effectiveness analysis is available here.

The minimum requirements of an analysis are:

- A study and evaluation of the cost and effectiveness of the processes, materials, techniques, and technologies for carrying out the proposed project or activity.
- The selection, to the maximum extent practicable, of a project or activity that maximizes the potential for efficient water use, reuse, recapture, conservation, and energy conservation, taking into account the cost of:
  - Constructing the project or activity.
  - Operating and maintaining the project or activity over the life of the project or activity.
  - Replacing the project or activity.

Check the appropriate statement below and sign the signature block.

☐ **For Planning loans:** by signing below, the loan recipient agrees to develop and implement a cost and effectiveness analysis that meets the requirements above. The undersigned also agrees to provide a copy of the cost and effectiveness analysis to DEQ as a deliverable under the loan agreement.

☐ **For Non-planning loans:** by signing below, the loan recipient certifies that:
  1. A planning document served as the basis for selection of the recommended alternative; and
  2. The undersigned agrees to develop a summary of findings from the planning document that addresses the requirements above; and will attach applicable pages from the planning document to this summary, and will provide these prior to final design and construction.

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<thead>
<tr>
<th>Signature</th>
<th>Date</th>
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<tbody>
<tr>
<td>Print Name and Title</td>
<td>Utility/Loan Recipient</td>
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<tr>
<td>Project Name</td>
<td>Contact Number</td>
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Alternative formats

Documents can be provided upon request in an alternate format for individuals with disabilities or in a language other than English for people with limited English skills. To request a document in another format or language, call DEQ in Portland at 503-229-5696, or toll-free in Oregon at 1-800-452-4011, ext. 5696; or email deqinfo@deq.state.or.us.