



# Oregon

Tina Kotek, Governor

Department of Environmental Quality  
Office of Compliance and Enforcement  
700 NE Multnomah Street, Suite 600  
Portland, OR 97232-4100  
(503) 229-5696  
FAX (503) 229-5100  
TTY 711

April 25, 2024

CERTIFIED MAIL: 9859 0710 5270 0688 6530 69

JELD-WEN, INC.  
c/o C T Corporation System, Registered Agent  
780 Commercial St SE STE 100  
Salem, OR 97301-3465

Re: Notice of Civil Penalty Assessment and Order  
Case No. AQ-GHG-HQ-2023-074

This letter is to inform you that the Oregon Department of Environmental Quality (DEQ) has issued you a civil penalty of \$8,200 for failing to submit a complete greenhouse gas emissions data report to DEQ for the 2022 reporting year. Specifically, you did not submit a supplemental reporting form that includes information about the natural gas supplier and the annual amount of natural gas delivered to your facility. DEQ issued this penalty because this is a repeated violation and incomplete reporting undermines the Greenhouse Gas Reporting Program, an essential component of Oregon's efforts to track greenhouse gas emissions and combat climate change.

DEQ appreciates your efforts to correct the violation by submitting the supplemental reporting form on May 26, 2023. DEQ considered these efforts when determining the amount of civil penalty.

You may pay the civil penalty as follows:

Pay online with e-check (ACH) or Credit Card. Go to Your DEQ Online here: <https://ydo.oregon.gov>. Select Register Account or Login, then select Pay Invoices/Fees on your account dashboard. Enter the Invoice number and Account ID included on the attached payment slip. Note: US Bank charges a 2.3% convenience charge for credit card transactions. ACH payments have no additional charges.

Pay by check or money order: Make checks payable to "Department of Environmental Quality" and mail to the address on the enclosed payment slip. Please make sure to include the payment slip with your check or money order.

If you wish to appeal this matter, DEQ must receive a request for a hearing within 20 calendar days from your receipt of this letter. The hearing request must be in writing. Send your request to DEQ Office of Compliance and Enforcement:

Via mail – 700 NE Multnomah Street, Suite 600, Portland, Oregon 97232

Via email – [DEQappeals@deq.oregon.gov](mailto:DEQappeals@deq.oregon.gov)

Via fax – 503-229-6762

Once DEQ receives your request, we will arrange to meet with you to discuss this matter. If DEQ does not receive a timely written hearing request, the penalty will become due.

JELD-WEN, INC.

Case No. AQ-GHG-HQ-2023-074

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The attached Notice further details DEQ's reasons for issuing the penalty and provides further instructions for appealing the penalty. Please review and refer to it when discussing this case with DEQ.

DEQ may allow you to resolve part of your penalty through the completion of a Supplemental Environmental Project (SEP). SEPs are environmental improvement projects that you sponsor instead of paying a portion of your penalty. Further information is available by calling the number below or at <http://www.oregon.gov/deq/Regulations/Pages/SEP.aspx>.

DEQ's rules are available at <http://www.oregon.gov/deq/Regulations/Pages/Statutes.aspx> or by calling the number below.

If you have any questions, please contact Tori Heroux at 971-808-7046 or toll free in Oregon at 800-452-4011, extension 2295269.

Sincerely,

A handwritten signature in black ink that reads "Rebecca J. Puskas". The signature is written in a cursive style with a large initial 'R'.

Becka Puskas, Interim Manager  
Office of Compliance and Enforcement

Enclosures

cc: William Morgan, JELD-WEN, INC., 3303 Lakeport Blvd Klamath Falls, OR 97601-1017  
Bill Brady, Portland Headquarters  
Colin McConnaha, DEQ  
Accounting, DEQ

1 BEFORE THE ENVIRONMENTAL QUALITY COMMISSION  
2 OF THE STATE OF OREGON

3 IN THE MATTER OF:  
4 JELD-WEN, INC.

Respondent. )  
)  
) NOTICE OF CIVIL PENALTY  
ASSESSMENT AND ORDER  
CASE NO. AQ-GHG-HQ-2023-074

6 I. AUTHORITY

7 The Department of Environmental Quality (DEQ) issues this Notice of Civil Penalty Assessment  
8 and Order (Notice) pursuant to Oregon Revised Statutes (ORS) 468.100, ORS 468.126 through 468.140,  
9 ORS Chapter 183 and 468A, Oregon Administrative Rules (OAR) Chapter 340, Divisions 011, 012, and  
10 215.

11 II. FINDINGS OF FACT

12 1. Respondent owns and operates multiple wood products and manufacturing facilities at  
13 3303 Lakeport Boulevard, Klamath Falls, Oregon (the Facility). The Facility includes boilers that  
14 combust natural gas.

15 2. On December 1, 2021, the Department of Environmental Quality (DEQ) renewed  
16 Respondent's Title V Air Quality Permit TV-18-0006 (Permit). The Permit was in effect at all material  
17 times.

18 3. Condition 88 of the Permit requires Respondent to register and report its greenhouse gas  
19 emissions with DEQ in accordance with Oregon Administrative Rule (OAR) Chapter 340, Division  
20 215, if the calendar year emission rate of greenhouse gases (in carbon dioxide equivalent or CO<sub>2</sub>e) is  
21 greater than or equal to 2,756 tons (2,500 metric tons).

22 4. According to OAR 340-215-0046(1)(a), annual greenhouse gas emissions data reports  
23 are due by the due date for the annual report for the Permit or March 31, whichever is later. Pursuant to  
24 Condition 85.b of the Permit, the annual report for the Permit is due March 15. Thus, Respondent's  
25 annual greenhouse gas emissions data report is due March 31.

26 5. According to OAR 340-215-0105(9) [previously OAR 340-215-0105(8)], DEQ requires  
27 all greenhouse gas reporting facilities that use natural gas to report information about the natural gas

1 supplier and the annual amount of natural gas delivered. In 2020, 2021 and 2022, DEQ required that  
2 stationary sources submit supplemental reporting forms to meet this requirement.

3 6. On or before March 31, 2023, Respondent submitted its 2022 greenhouse gas emissions  
4 data report to DEQ but it was missing the supplemental reporting form.

5 7. On May 26, 2023, DEQ issued Pre-Enforcement Notice No. 2023-PEN-8441, citing  
6 Respondent for failing to submit a complete and accurate emissions data report by the March 31, 2023  
7 deadline. The Pre-Enforcement Notice requested that Respondent submit the supplemental reporting  
8 form to DEQ by June 9, 2023.

9 8. Respondent submitted the supplemental reporting form for the 2022 greenhouse gas  
10 emissions data report to DEQ on May 26, 2023.

### 11 III. CONCLUSIONS

12 1. Respondent has violated Permit Condition 88, OAR 340-215-0105(9) [previously OAR 340-  
13 215-0105(8)], and OAR 340-215-0046(1)(a) by failing to submit a complete emissions data report to  
14 DEQ by the reporting deadline, as described in Section II above. This is a Class I violation, according to  
15 OAR 340-012-0054(1)(ii). DEQ hereby assesses a \$8,200 civil penalty for this violation.

### 16 IV. ORDER TO PAY CIVIL PENALTY AND TO COMPLY

17 Based upon the foregoing FINDINGS OF FACTS AND CONCLUSIONS, Respondent is  
18 hereby ORDERED TO:

19 1. Pay a total civil penalty of \$8,200. The determination of the civil penalty is attached as Exhibit  
20 1 and is incorporated as part of this Notice.

21 If you do not file a request for hearing as set forth in Section V below, please pay the penalty as  
22 follows:

23 Pay online with e-check (ACH) or Credit Card. Go to Your DEQ Online here:  
24 <https://ydo.oregon.gov>. Select Register Account or Login, then select Pay Invoices/Fees on your  
25 account dashboard. Enter the Reference Number and FIMS Account ID included on the attached  
26 payment slip. Note: US Bank charges a 2.3% convenience charge for credit card transactions. ACH  
27 payments have no additional charges. OR

1 Pay by check or money order: Make checks payable to “Department of Environmental  
2 Quality” and mail to the address on the enclosed payment slip. Please make sure to include the  
3 payment slip with your check or money order.

4 V. NOTICE OF RIGHT TO REQUEST A CONTESTED CASE HEARING

5 You have a right to a contested case hearing on this Notice, if you request one in writing. DEQ  
6 must receive your request for hearing **within 20 calendar days** from the date you receive this Notice. If  
7 you have any affirmative defenses or wish to dispute any allegations of fact in this Notice or attached  
8 exhibit, you must do so in your request for hearing, as factual matters not denied will be considered  
9 admitted, and failure to raise a defense will be a waiver of the defense. (See OAR 340-011-0530 for  
10 further information about requests for hearing.) You must send your request to: **DEQ, Office of**  
11 **Compliance and Enforcement, 700 NE Multnomah Street, Suite 600, Portland, Oregon 97232**, fax  
12 it to **503-229-6762** or email it to **DEQappeals@deq.oregon.gov**. An administrative law judge  
13 employed by the Office of Administrative Hearings will conduct the hearing, according to ORS  
14 Chapter 183, OAR Chapter 340, Division 011 and OAR 137-003-0501 to 0700. You have a right to be  
15 represented by an attorney at the hearing, however you are not required to be. If you are an individual,  
16 you may represent yourself. If you are a corporation, partnership, limited liability company,  
17 unincorporated association, trust or government body, you must be represented by an attorney or a duly  
18 authorized representative, as set forth in OAR 137-003-0555.

19 Active duty Service members have a right to stay proceedings under the federal Service  
20 Members Civil Relief Act. For more information contact the Oregon State Bar at 1-800-  
21 452-8260, the Oregon Military Department at 503-584-3571, or the nearest United States Armed  
22 Forces Legal Assistance Office through <http://legalassistance.law.af.mil>. The Oregon Military  
23 Department does not have a toll free telephone number.

24 If you fail to file a timely request for hearing, the Notice will become a final order by default  
25 without further action by DEQ, as per OAR 340-011-0535(1). If you do request a hearing but later  
26 withdraw your request, fail to attend the hearing or notify DEQ that you will not be attending the  
27 hearing, DEQ will issue a final order by default pursuant to OAR 340-011-0535(3). DEQ designates

1 the relevant portions of its files, including information submitted by you, as the record for purposes of  
2 proving a prima facie case.

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4/25/2024  
Date

Rebecca J Puskas  
Becka Puskas, Interim Manager  
Office of Compliance and Enforcement

EXHIBIT 1

FINDINGS AND DETERMINATION OF RESPONDENT'S CIVIL PENALTY  
PURSUANT TO OREGON ADMINISTRATIVE RULE (OAR) 340-012-0045

VIOLATION NO. 1 Failure to submit a complete emissions data report by the reporting deadline, in violation of Permit Condition 9.3, OAR 340-215-0105(9) [previously OAR 340-215-0105(8)] and OAR 340-215-0046(1)(a).

CLASSIFICATION: This is a Class I violation pursuant to OAR 340-012-0054(1)(ii).

MAGNITUDE: The magnitude of the violation is moderate pursuant to OAR 340-012-0130(1), as there is no selected magnitude specified in OAR 340-012-0135 applicable to this violation, and the information reasonably available to DEQ does not indicate a minor or major magnitude.

CIVIL PENALTY FORMULA: The formula for determining the amount of penalty of each violation is:  $BP + [(0.1 \times BP) \times (P + H + O + M + C)] + EB$

"BP" is the base penalty, which is \$6,000 for a Class I, moderate magnitude violation in the matrix listed in OAR 340-012-0140-(2)(b)(A)(ii) and applicable pursuant to OAR 340-012-0140-(2)(a)(T) because this is greenhouse gas reporting program violation by a person with greenhouse gas emissions greater than or equal to 25,000 metric tons per year,

"P" is whether Respondent has any prior significant actions, as defined in OAR 340-012-0030(19), in the same media as the violation at issue that occurred at a facility owned or operated by the same Respondent, and initially receives a value of 10 according to OAR 340-012-0145(2)(a)(C), because there are at least 10 Class I violations in Mutual Agreement and Final Order no. AQ/V-ER-09-091, issued on August 27, 2014. The value of P is reduced to 6 as per OAR 340-012-0145(2)(d)(A)(ii) because all of the formal enforcement actions in which prior significant actions were cited were issued more than five years before the current violation occurred.

"H" is Respondent's history of correcting prior significant actions and receives a value of 0 according to OAR 340-012-0145(3)(c) because there is insufficient information on which to base a finding under paragraphs (3)(a) or (b).

"O" is whether the violation was repeated or ongoing, and receives a value of 0 according to OAR 340-012-0145(4)(a) because there was only one occurrence of the violation. Respondent failed to submit a complete 2022 emissions data report to DEQ by the deadline.

"M" is the mental state of the Respondent and receives a value of 4 according to OAR 340-012-0145(5)(c) because Respondent's conduct was negligent. According to OAR 340-012-0030(15), negligent means the respondent failed to take reasonable care to avoid a foreseeable risk of conduct constituting or resulting in a violation. Respondent received individual emailed notice of GHG reporting requirements from DEQ in 2021 and 2022, including information regarding the supplemental reporting form. In addition, DEQ issued Respondent a Warning Letter on June 2, 2022, and a Pre-Enforcement Notice on May 26, 2023 regarding the failure to submit the supplemental reporting form for the 2021 and 2022 reporting years. Despite this notice and repeated opportunities to correct, Respondent failed to submit a timely and complete 2022 emissions data report. Thus, Respondent failed to take reasonable care to avoid a foreseeable risk of conduct constituting or resulting in a greenhouse gas reporting violation.

"C" is Respondent's efforts to correct or mitigate the violation and receives a value of -3 according to OAR 340-012-0145(6)(c) because Respondent made reasonable efforts to correct the violation. Respondent submitted the supplemental reporting form for the 2022 greenhouse gas emissions data report to DEQ on May 26, 2023.

"EB" is the approximate dollar value of the benefit gained and the costs avoided or delayed as a result of the Respondent's noncompliance. It is designed to "level the playing field" by taking away any economic advantage the entity gained and to deter potential violators from deciding it is cheaper to violate and pay the penalty than to pay the costs of compliance. In this case, "EB" receives a value of \$0 because DEQ has insufficient information to calculate an economic benefit for this violation.

PENALTY CALCULATION:  $\text{Penalty} = \text{BP} + [(0.1 \times \text{BP}) \times (\text{P} + \text{H} + \text{O} + \text{M} + \text{C})] + \text{EB}$   
= \$6,000 + [(0.1 x \$6,000) x (6 + 0 + 0 + 4 + -3)] + \$0  
= \$6,000 + (\$600 x 7) + \$0  
= \$4,000 + \$4,200 + \$0  
= \$8,200

Oregon Department of Environmental Quality  
 700 NE Multnomah Street, Suite 600  
 Portland, OR 97232-4100



State of Oregon  
**Department of Environmental Quality**

Phone: 503-229-5437  
 Fax: 503-229-5850

**CIVIL PENALTY - ORS 468.135(2)**

<b>DATE:</b>	April 25, 2024
<b>RESPONSE DATE :</b>	July 4, 2024
<b>TOTAL PENALTY:</b>	\$8,200.00

Account Name:	JELD-WEN, INC.		
Account Type:	Vendor/Organization/Company	Reference Number:	CPGFD2400059
SubSystem ID:	216742	FIMS Acct. ID:	16902

**Penalty Summary**

Penalty Amount	Interest	Adjustment	Amount Paid	Total Penalty
\$ 8,200.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 8,200.00

Payment of this penalty is subject to the exercise of your options or right to appeal as described in the enclosed enforcement documents.

To Pay Online with ACH or Credit Card Visit <https://ydo.oregon.gov> and select 'Register Account'



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 PLEASE RETURN THIS PORTION WITH YOUR PAYMENT



REFERENCE NO.	CPGFD2400059		
PAYCODE:	00401 7400 10040 74001 0500 000000 00		
FEE PROGRAM ID:	950	RESPONSE DATE:	July 4, 2024
FIMS ACCT. ID:	16902	TOTAL PENALTY DUE:	\$8200.00

AMOUNT ENCLOSED:

MAKE CHECK PAYABLE TO: Department of Environmental Quality

DEQ FINANCIAL SERVICES - LBX4244  
 PO BOX 4244  
 PORTLAND OR 97208-4244

Check this box if updated address information has been provided on the back of the form.

00401 7400 10040 74001 0500 000000 0095000169029CPGFD240005900008200004



State of Oregon  
Department of  
Environmental  
Quality

# State of Oregon Department of Environmental Quality

CIVIL PENALTY - ORS 468.135(2)

700 NE Multnomah Street, Suite 600  
Portland, OR 97232-4100  
Phone: 503-229-5437  
Fax: 503-229-5850

## Penalty Detail

Transaction Date	Description	Amount
4/24/2024	2023-074 AQ-GHG-HQ-2023-074	\$8,200.00

### SFMS Agencies Use:

Trans Code	Treasury Fund	SFMS	Index	PCA (5)	Agency Object	Project #	Phase
723	00401	7400	10040	74001	0500	00000	00

## Address Changes

Please visit <https://ydo.oregon.gov> to update your mailing address online or provide the following information:

Name \_\_\_\_\_  
Address \_\_\_\_\_  
City, State, Zip \_\_\_\_\_