

User Guide for Asbestos Program Submittal of Annual Small Scale Friable and Annual Nonfriable ACM Abatement Notifications and Quarterly Reporting

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https://www.oregon.gov/deq/Hazards-and-Cleanup/Pages/Asbestos-Program-Contacts.aspx

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DEQ can provide documents in an alternate format or in a language other than English upon request. Call DEQ at 800-452-4011 or email deqinfo@deq.state.or.us.

1. Introduction

The Oregon Department of Environmental Quality Asbestos Requirements (<u>OAR 340, Division 248</u>) include the notification requirements for entities that perform annual small scale friable and annual nonfriable asbestos abatement projects in Oregon. Prior to conducting asbestos abatement projects under the annual notification(s) in Oregon, contractors or facilities must submit the notification(s) to DEQ according to OAR 340-248-0260. Also, each asbestos abatement project performed under an annual notification must be reported to DEQ quarterly.

Asbestos is a known carcinogen and there is no known safe level of exposure. DEQ regulations require that anyone performing abatement of asbestos-containing material, or ACM, follow Oregon and federal asbestos rules and regulations to protect the health of employees and the public.

DEQ's Asbestos Program uses Your DEQ Online to receive and process submittals including:

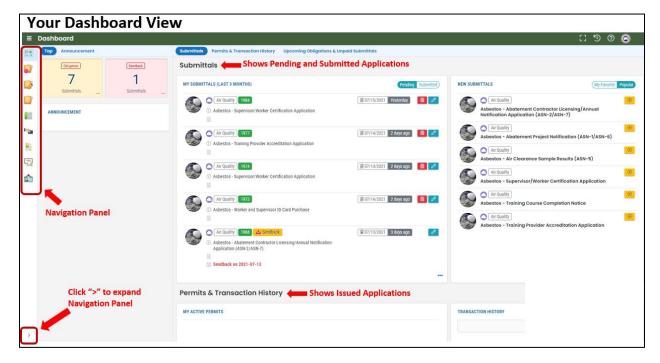
- 1. Annual Small Scale Friable Asbestos Abatement Project Notification (ASN-2)
- 2. Annual Nonfriable Asbestos Abatement Project Notification (ASN-7)
- 3. Quarterly Reporting (ASN-3)

I. Navigating the System

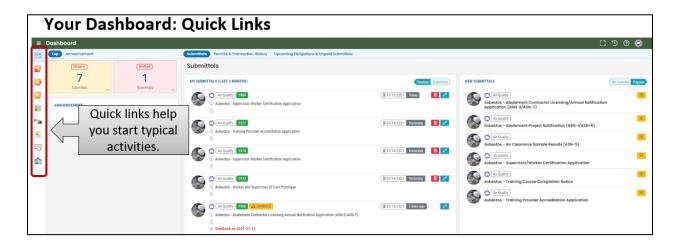
The Your DEQ Online system is designed to work with Safari or Google Chrome browsers. DEQ recommends using one of these browsers to optimize the user's ability to navigate the system. Internet Explorer is not a recommended browser.

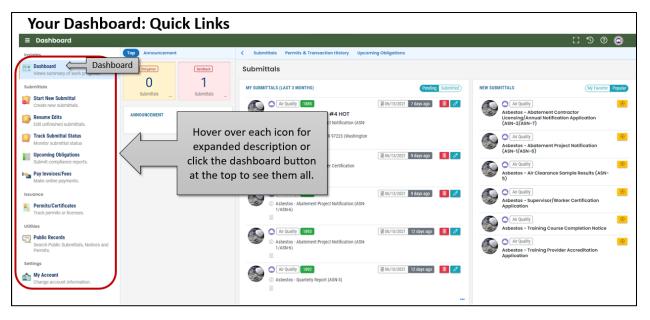
Dashboard

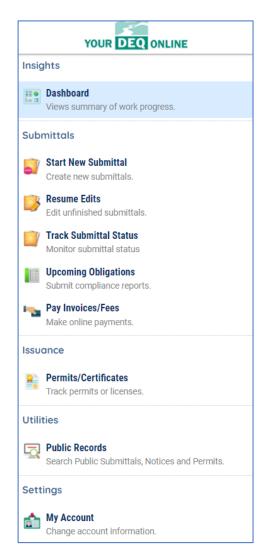
The Dashboard is your initial landing pad when logging into Your DEQ Online. It shows a summary of current and pending activity associated with your linked facilities.



The navigation panel on the left side of the screen is the primary way to navigate through various features in the system. The navigation panel can be expanded by clicking on the bottom left side of the screen.







Dashboard: This is your landing pad when you enter the system. It shows a summary of current and pending activity for your account.

Start New Submittal: Your DEQ Online is used by the asbestos program for a variety of submittals. To submit an annual notification, select "Start New Submittal."

Resume Edits: Allows you to resume editing any submittals that have been started, but not yet submitted to DEQ.

Track Submittal Status: Shows the status of all complete submittals and allows you to review the submitted report, view the submittal receipt and track your submittal status.

Upcoming Obligations: Displays any upcoming obligations for which a submittal can be started, including the Quarterly Report.

Pay Invoices/Fees: Allows for online payment of invoices or fees to DEQ.

Permits/Certificates: Allows you to search for and view all DEQ issued permits and licenses. Please note: "permits" in this context means "notifications."

Public Records: Allows you to search for and view all published submittal records.

My Account: Allows you to change your contact information, account type, facility linkage, and other account options.

Icons

You will see the following common icons as you navigate the system and submit your Annual Small Scale Friable or Annual Nonfriable ACM Abatement Notification.



Loading: This icon will appear while the system processes is an action.



Save: Click this icon to save changes made on a page.



Edit: Click this icon to edit the selected submission.



View: Click this icon to view a selected submission.



Submittal Selection: Click this button to toggle between pending and submitted obligations on their dashboard.



Requirement: This note will appear when a particular field in a submittal is required.



Add: Click this button to add multiple sets of data.



Expand: Click this button to expand.



Delete: Click this button to delete the information.

2. Establishing an Account

I. Account Registration

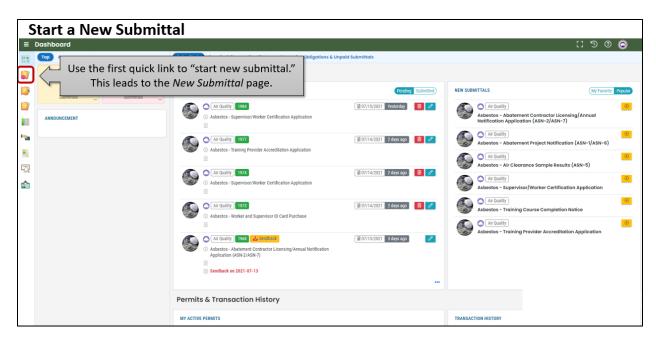
Resources are available to assist in Public Account Registration online at <u>yourdeqonlinehelp.oregon.gov</u>. If you are the Responsible Official for a company, and will be submitting an annual small scale friable or annual nonfriable asbestos abatement notification, select a Responsible Official account. When establishing links to Submittal Groups, select the "Asbestos" group.

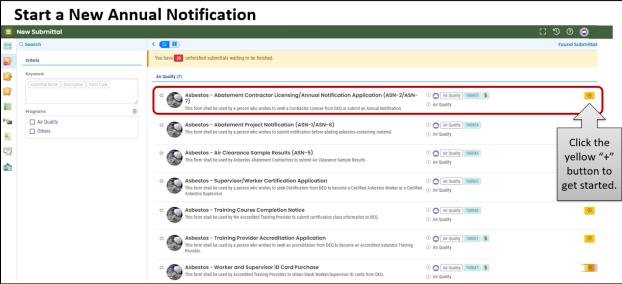
Pursuant to OAR 340, Division 248, each asbestos abatement project performed under an annual notification is reported quarterly. The annual notification and quarterly reports must be submitted by a regulated entity under the Asbestos Program, and must be certified by a designated representative. The Responsible Official designation within Your DEQ Online corresponds to the designated representative required under this rule.

3. Annual Small Scale Friable ACM Abatement Notification Submittal

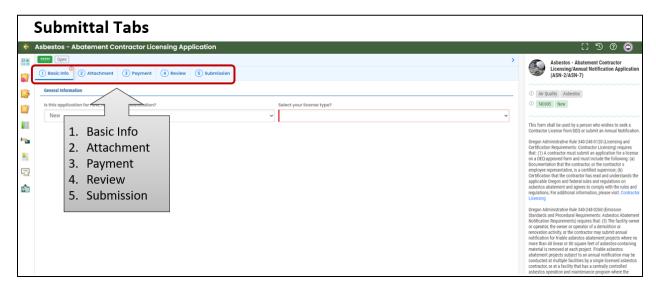
I. Starting Annual Small Scale Friable ACM Abatement Notification

The Responsible Official or delegated Consultant/Preparer may initiate a submittal for an annual small scale friable ACM notification. From your account's dashboard, use quick links to maneuver through the system. From there, follow the steps illustrated below to submit the annual notification online.

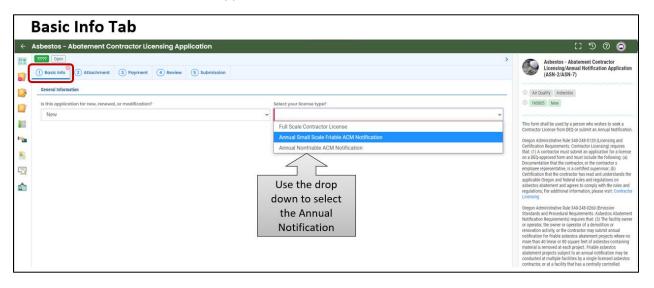


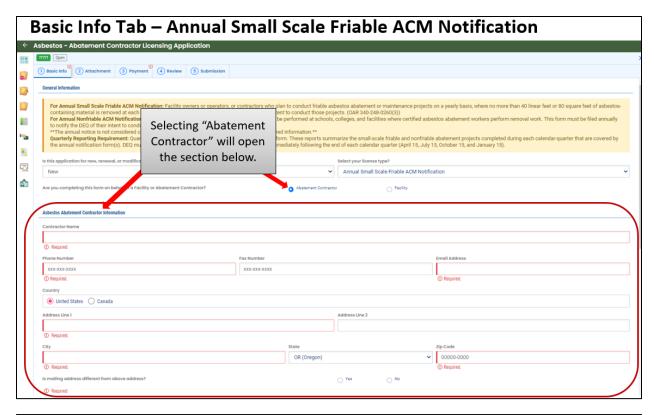


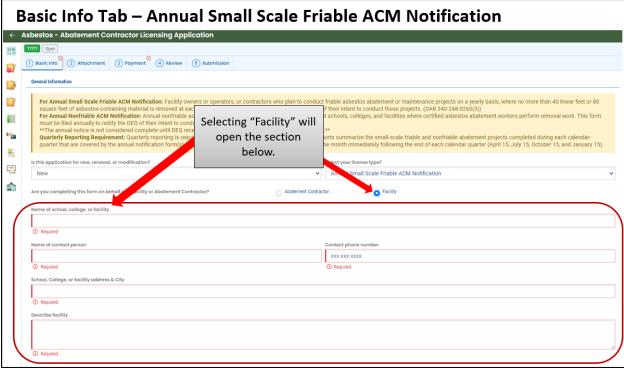
List of Submittal Tabs

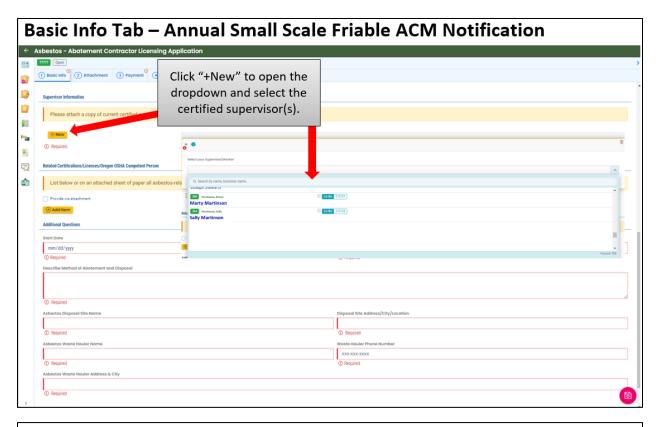


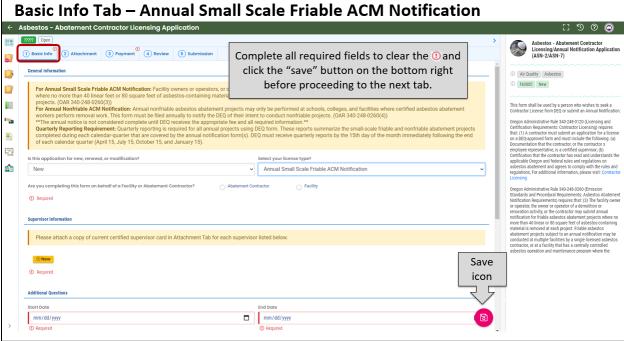
Under the "Basic Info" tab, complete all required information. If a required field is left blank or unanswered, the icon will still appear next to the "Basic Info" tab.





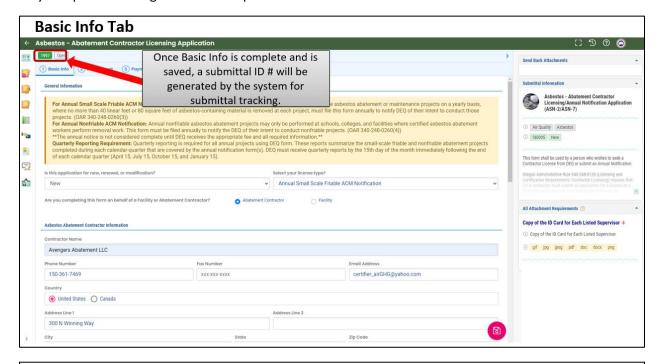


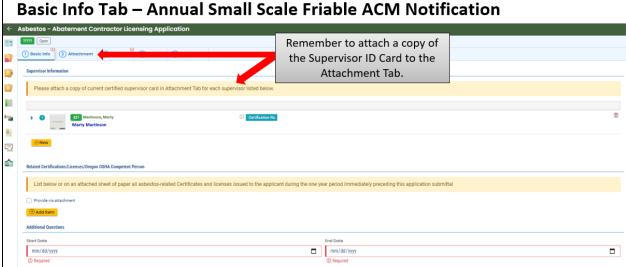




Always click the "save" button before proceeding to the next tab.

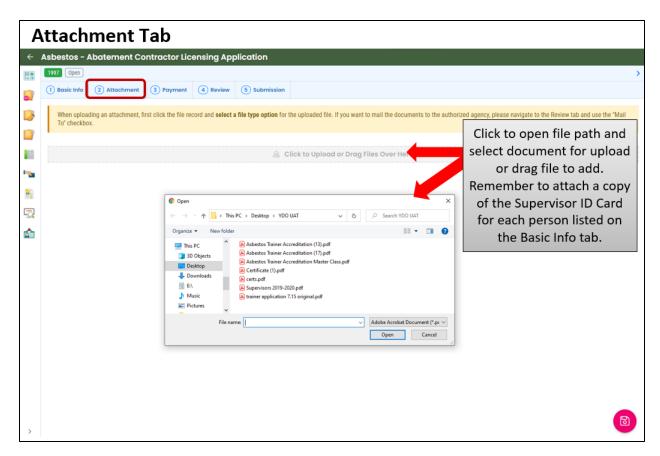
A Submittal ID number will be generated by the system and assigned to your submittal. Use this number to track the annual notification's submittal status and look up the submittal if you are unable to fully complete it during the submittal process.

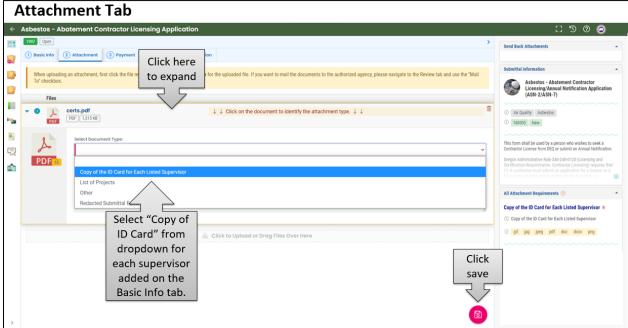




II. Attachments

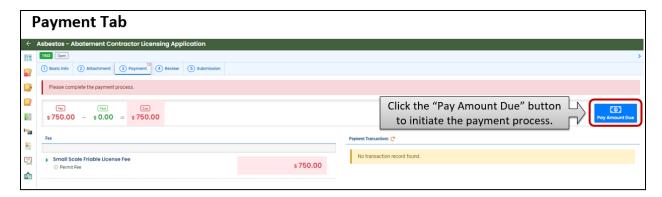
On the Attachment tab, upload or drag a copy of the Oregon ID Card for each of the certified supervisors listed on the notification form under the Basic Info tab. You will not be able to submit your annual notification until you have returned to the Attachment tab and uploaded a copy of the certified supervisor's ID card.





III. Making a Payment

Proceed to the "Payment" tab and click the blue "Pay Amount Due" box.

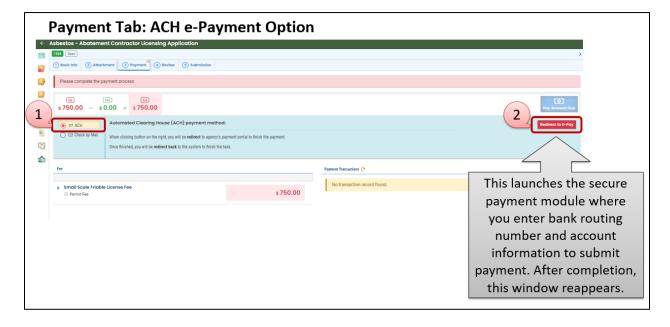


The options for payment are currently electronic fund transfer through ACH e-Payment or by check.

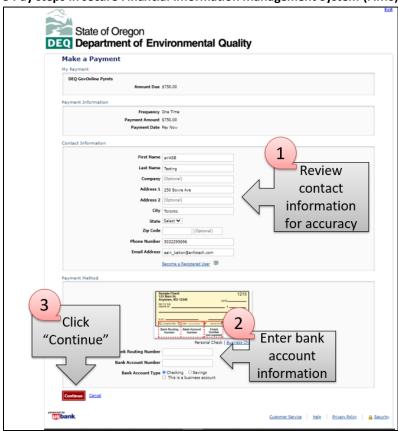
- 1. ACH e-Payment: Make an electronic fund transfer.
- 2. Check by Mail: Print submittal receipt and mail along with paper check to the US Bank lockbox address as indicated.

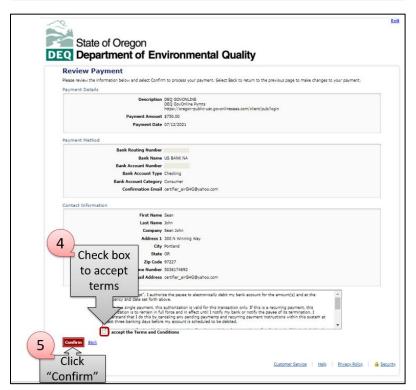
Option 1: Payment by ACH e-Payment

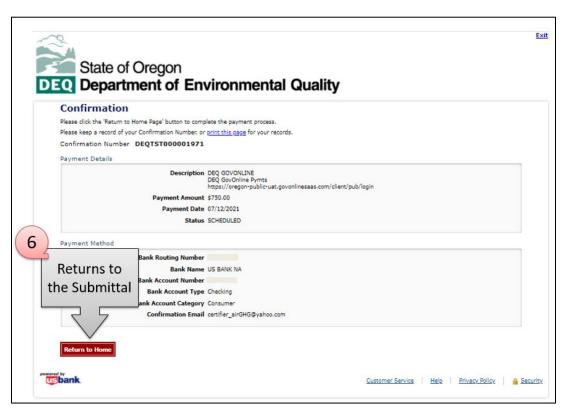
- 1. Select ACH e-Payment.
- 2. Launch e-Pay.
- 3. After submitting bank payment information, return to this page and click "save."

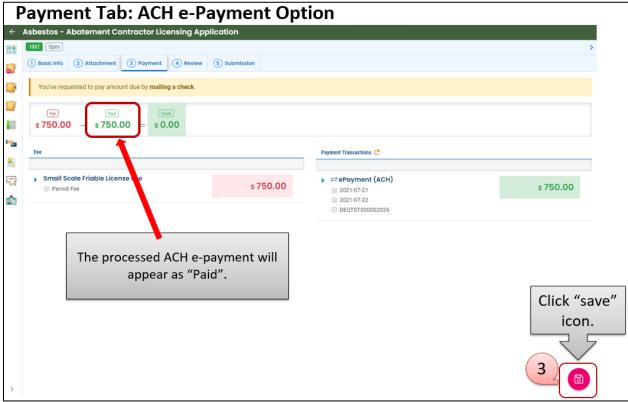


e-Pay steps in secure Financial Information Management System (FIMS)



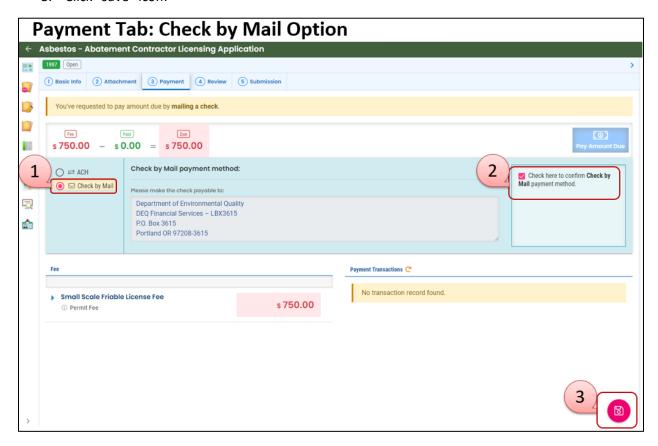






Option 2: Check by Mail

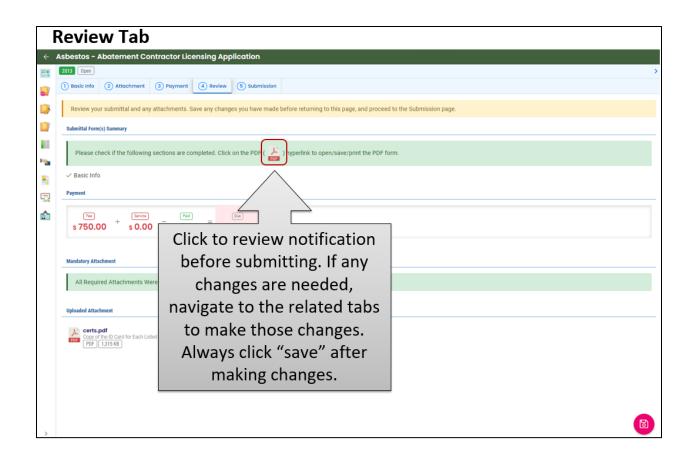
- 1. Select Check by Mail.
- 2. Check the confirmation box.
- 3. Click "save" icon.



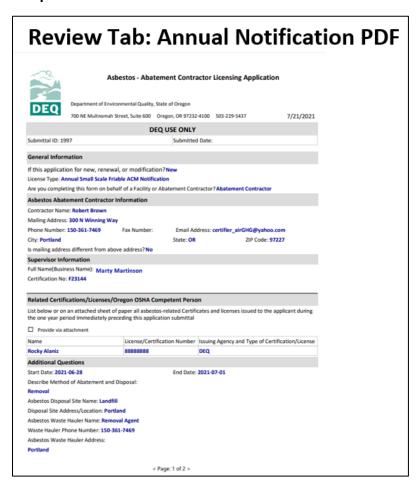
By following these three steps, you enable to system to produce a Submittal Receipt after your submittal is finalized. The submittal receipt contains instructions and bank mailing address to use when mailing your payment by check.

IV. Reviewing the Submittal

The Review tab allows you to download a PDF copy of the annual notification to review the information prior to final submission. The Review tab will also indicate if there are any required fields that are not complete.



Sample PDF file

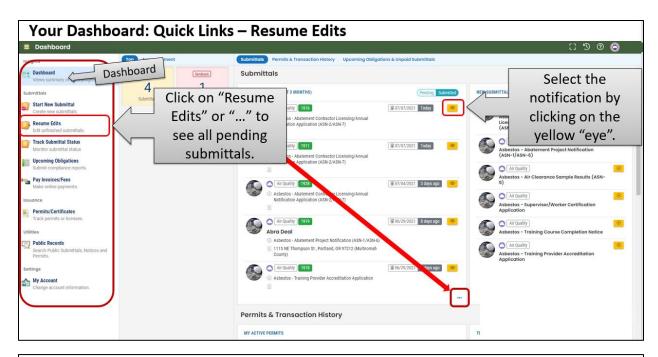


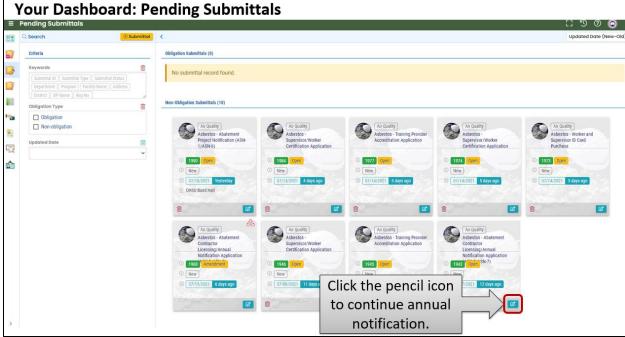
V. Resume Editing the Submittal

If you are unable to complete the annual notification at any point in the process, you may return to the system at a later time to resume editing the submittal. The following steps will guide you through locating the annual notification and continuing with the submittal.

After logging into your account, the Dashboard screen will appear. Using the Quick Links, navigate to the "Resume Edits" area to view the Pending Submittals and locate your unfinished annual notification.

Alternatively, you may access the pending submittal using the "..." icon.

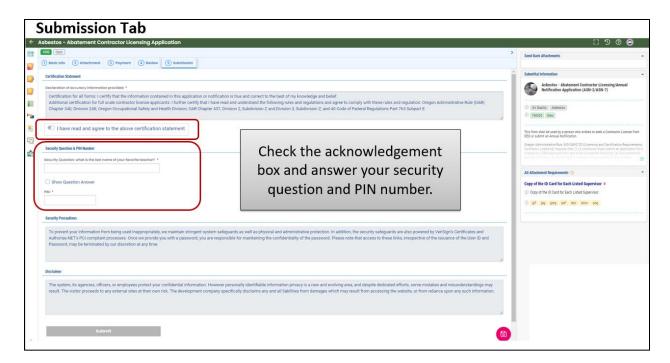




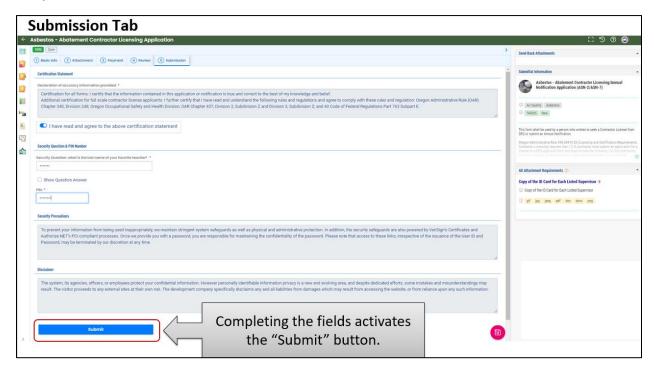
After completing all required fields and finishing the annual notification, go to the Submission tab.

VI. Completing the Submittal

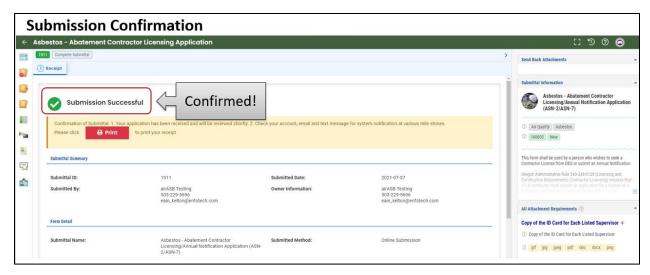
On the Submission tab, the Responsible Official is in charge of certifying the information contained within the annual notification is true and correct. Answer your account security question and PIN number. These were part of the account registration process.

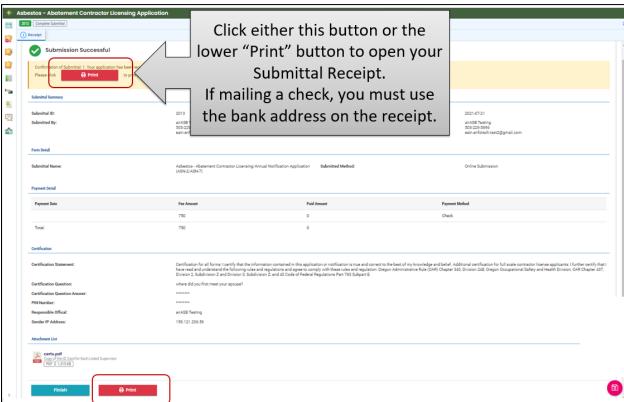


Next, click the activated "Submit" button.

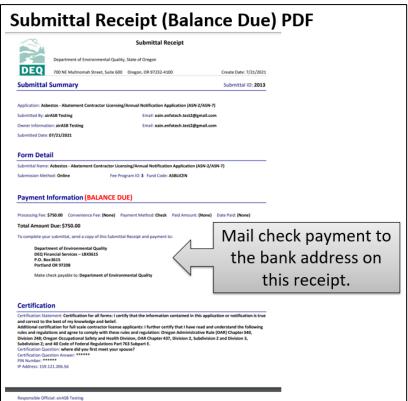


Submission Confirmation

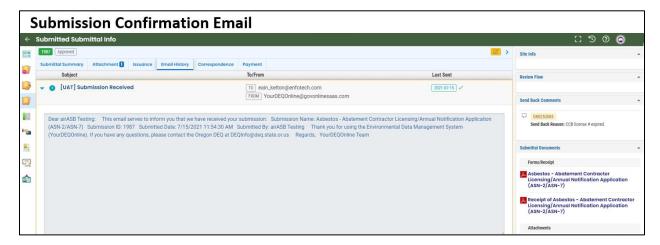






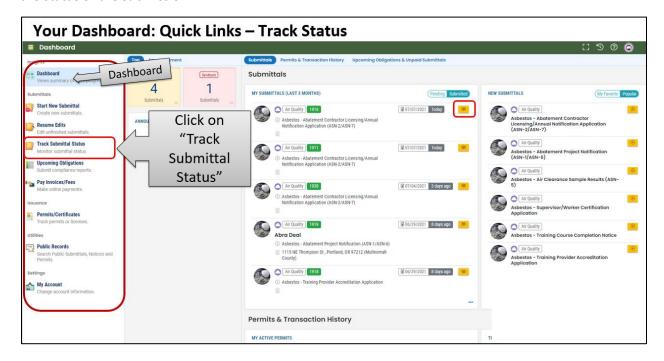


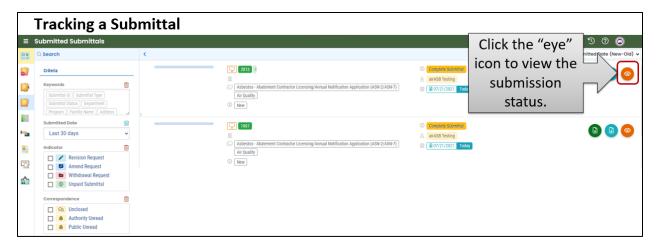
You will receive an automatic email confirming receipt of the submittal. You may review this from the Tracking Submittal Status section.



VII. Tracking Submittal Status

Once you have completed the submittal, open the Track Submittal Status page by using the navigation pane on the left side of the Dashboard screen. Next to the submittal, there will be an "eye" icon to view the status of the submission.



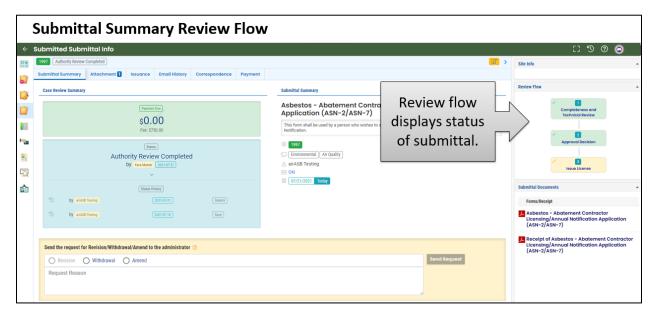


Submittal status includes multiple tabs (below). If you have uploaded any attachments as part of the annual notification, they will be accessible via the Attachment tab. Once the annual notification has been reviewed by DEQ, an annual notification acknowledgement ID number will be assigned and viewable in the Issuance tab.



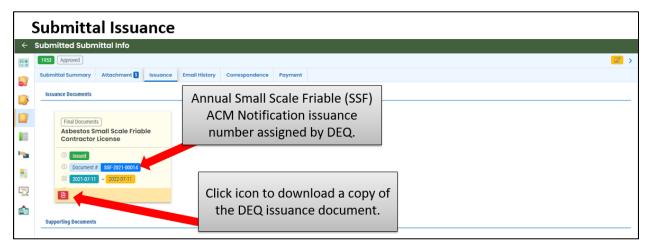
1. Submittal Summary

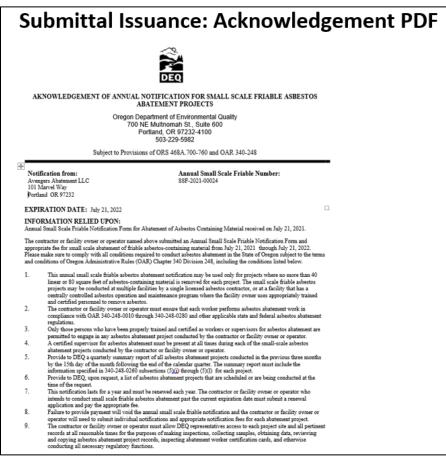
The Submittal Summary tab displays the current status of your submission on the right side of the page under Review Flow. Immediately after successful submission, you will receive an email confirming receipt of your submittal which is accessible via the Email History tab. The Review Flow will change from yellow to green as DEQ conducts a review of the submittal. If additional information is required to process the submittal, DEQ will "send back" the annual notification in the system. Refer to the "Submittal Send Back" in **Section VIII** of this document for instructions.



2. Issuance Tab

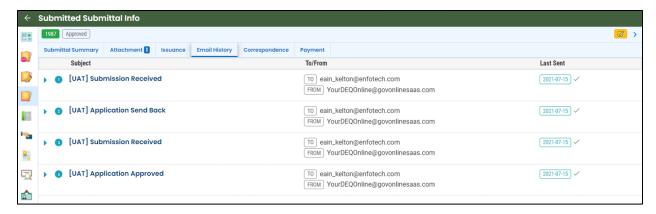
The Issuance tab displays the electronic version of the Annual Small Scale Friable ACM Notification Acknowledgement letter and ID number as issued by DEQ.





3. Email History Tab

The Email History tab will display email sent to you regarding this submission, for example, submission received, application send back or application approved.



4. Correspondence Tab

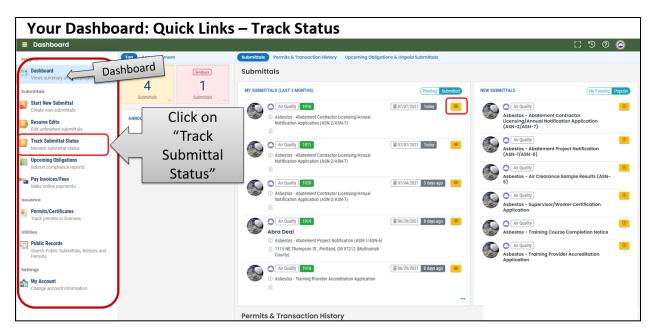
The Correspondence tab allows you to initiate messages with DEQ.

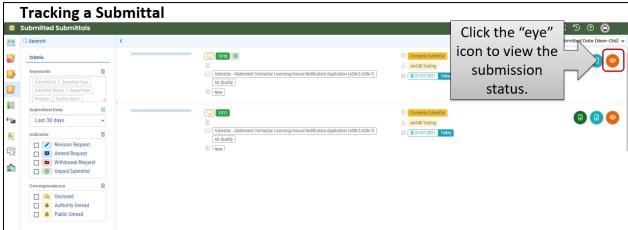


VIII. Making Corrections

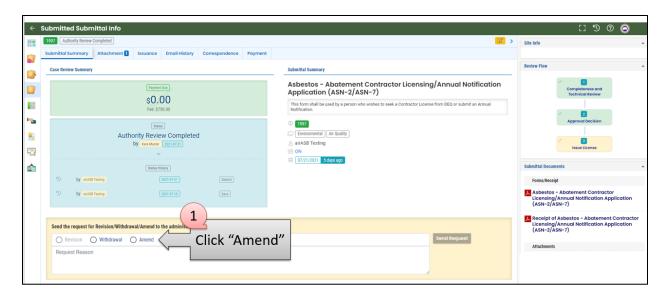
Amending the Submittal

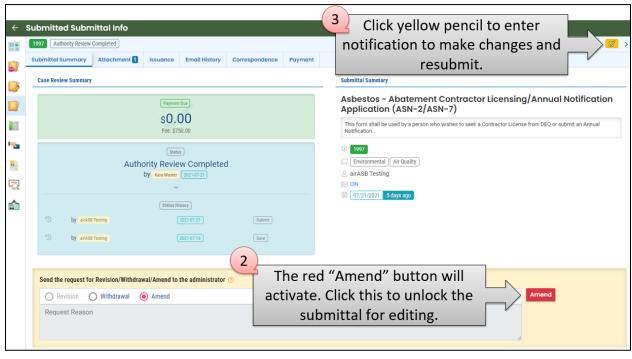
If you identify an error that needs to be corrected, or other revision after submission of your annual small scale friable ACM notification, you have the ability to revise the submittal. To make revisions, locate the annual notification from the Track Submittal Status area using the Submittal ID number.

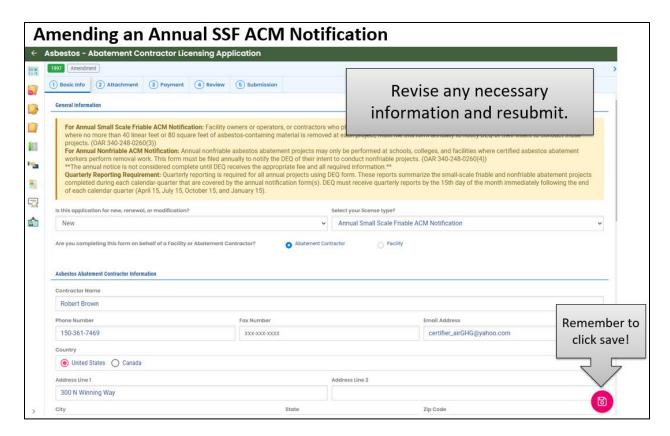




Open the notification to view the submittal detail. From the Submittal Summary tab, you will see a box titled "Send the request for Revision/Withdrawal/Amend to the administrator."



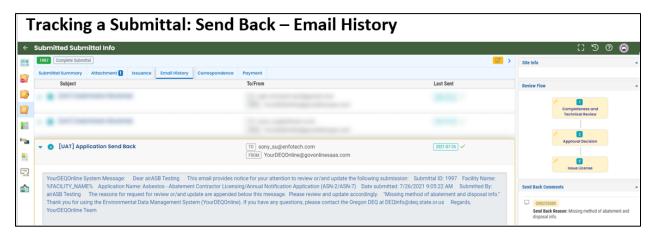


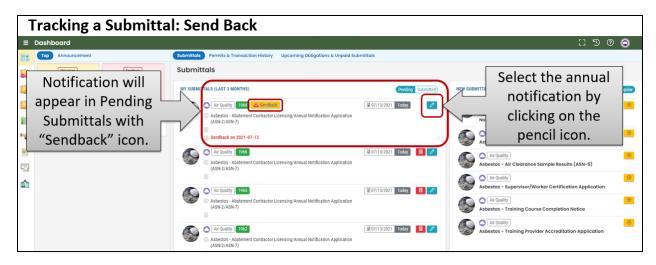


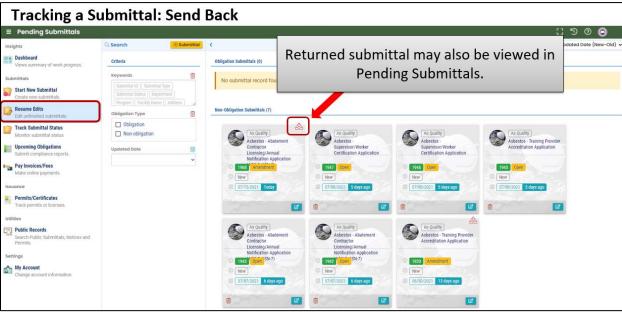
This Amend action will unlock the submittal to make changes and resubmit to DEQ.

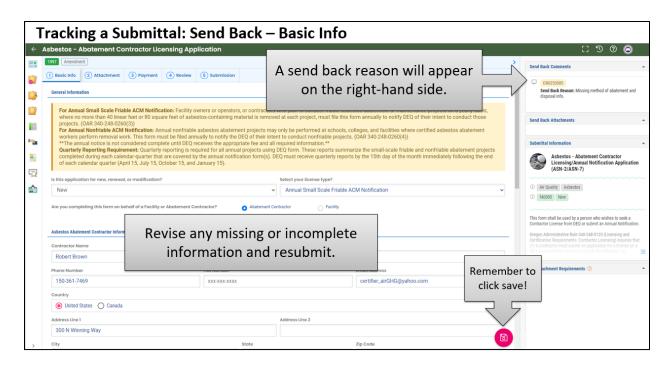
Submittal Send Back

If DEQ identifies missing or incomplete information, you will receive notification by email outside of the Your DEQ Online system to inform you that the notification has been sent back for additional information. To edit the notification, search for the "Sendback" icon on the submittal and click the pencil icon to begin edits.







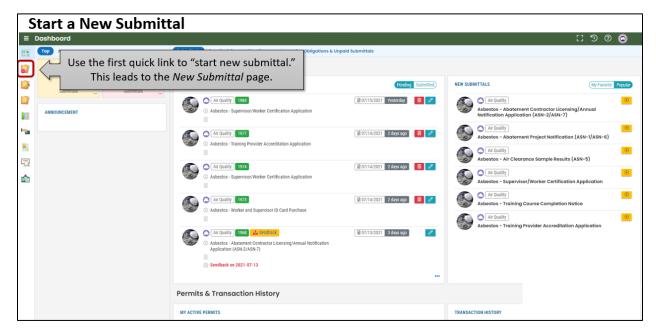


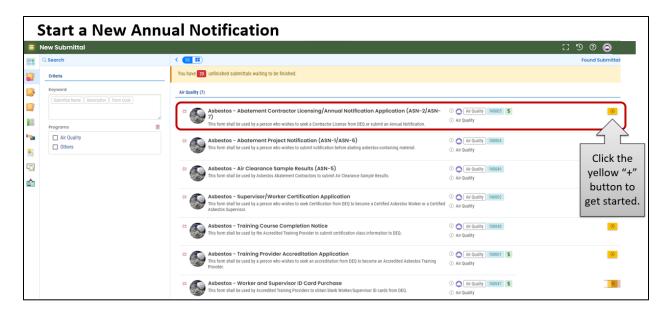
Follow the remainder of the submittal steps to resubmit to DEQ with requested information.

4. Annual Nonfriable ACM Abatement Notification Submittal

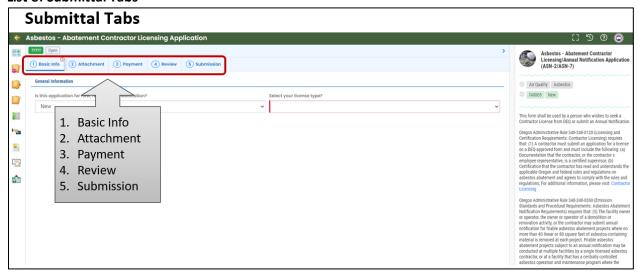
I. Starting Annual Nonfriable ACM Abatement Notification

The Responsible Official or delegated Consultant/Preparer may initiate a submittal for an annual nonfriable ACM notification. From your account's dashboard, use quick links to maneuver through the system. From there, follow the steps illustrated below to submit the annual notification online.

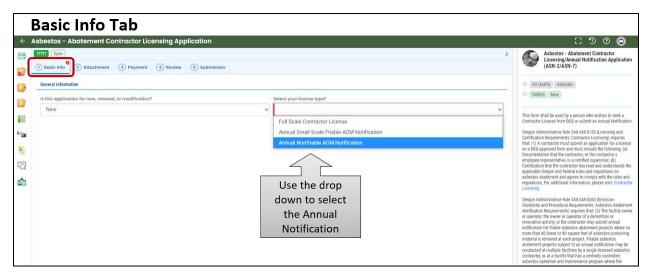


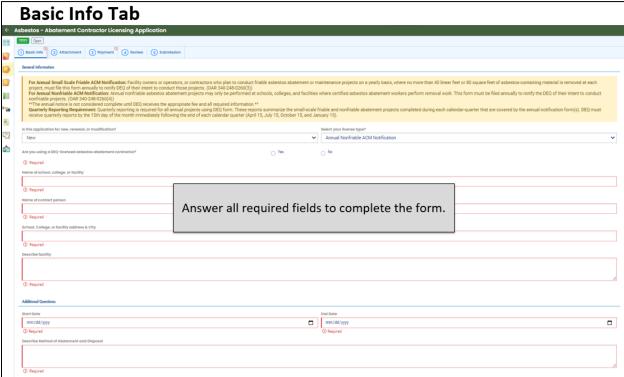


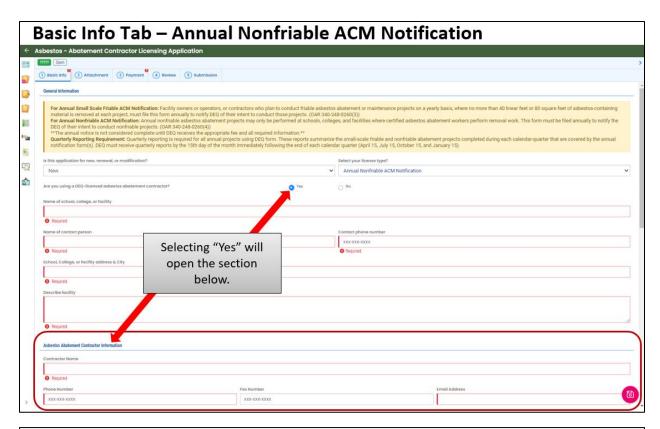
List of Submittal Tabs

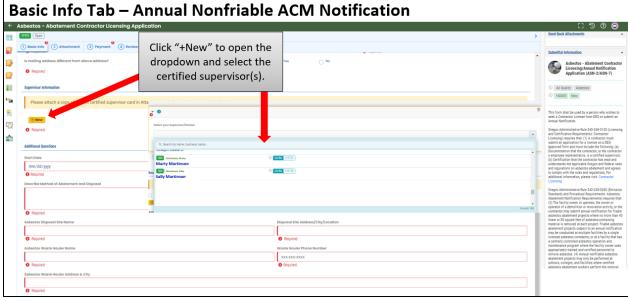


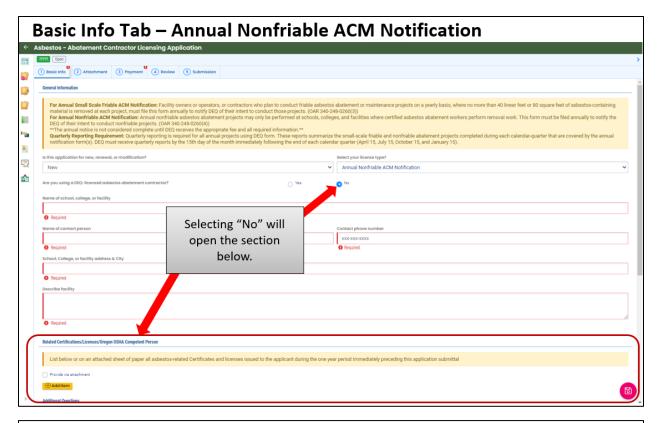
Under the "Basic Info" tab, complete all required information. If a required field is left blank or unanswered, the icon will still appear next to the "Basic Info" tab.

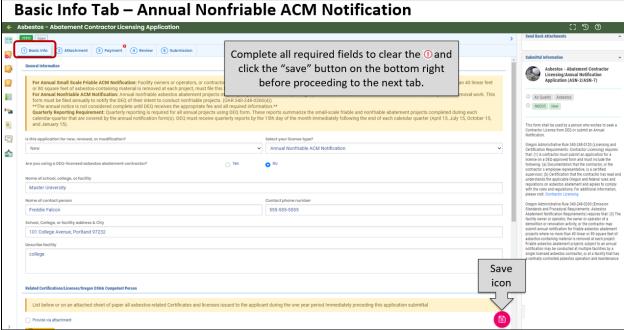






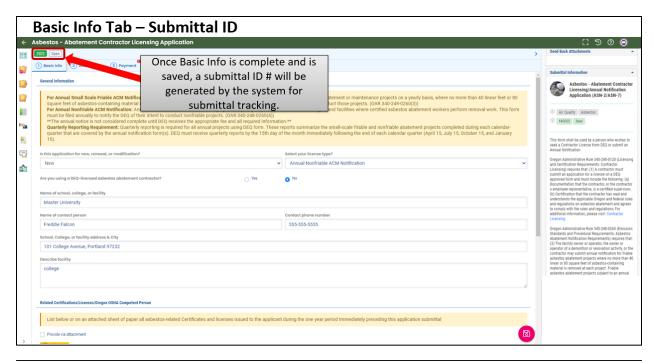


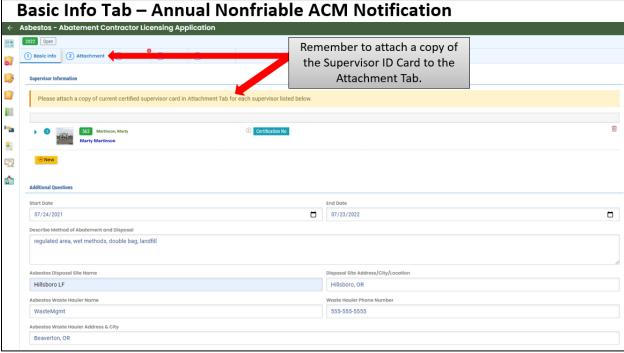




Always click the "save" button before proceeding to the next tab.

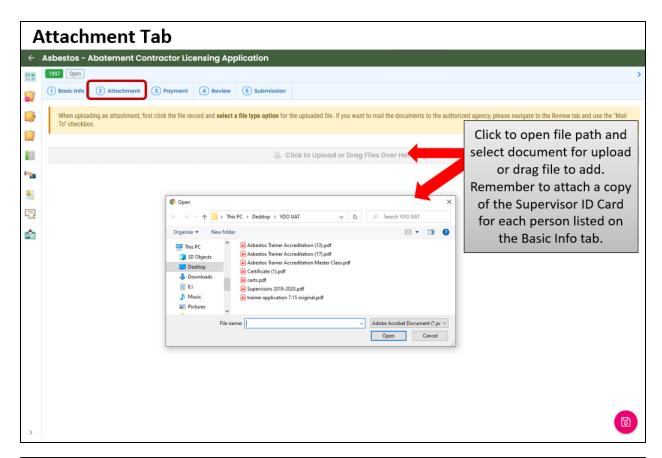
The system generates and assigns a submittal ID number to your annual notification. Use this to track the notification's submittal status and look up the notification if you are unable to fully complete it during the submittal process.

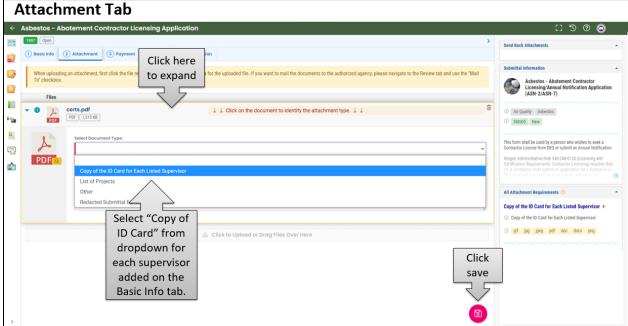




II. Attachments

On the Attachment tab, if you select the option of using an asbestos abatement contractor with a certified supervisor, you must upload or drag a copy of the Oregon ID Card for each of the certified supervisors listed on the annual notification form from the Basic Info tab. You will not be able to submit your annual notification until you have returned to the Attachment tab and uploaded a copy of each certified supervisor's ID card.





III. Making a Payment

Proceed to the "Payment" tab and click the blue "Pay Amount Due" box.

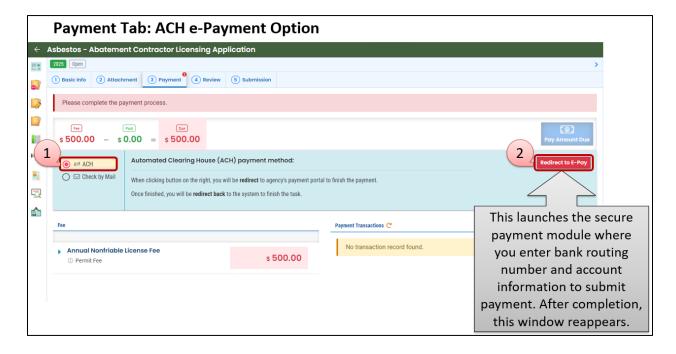


The options for payment are currently electronic fund transfer through ACH e-Payment or by check.

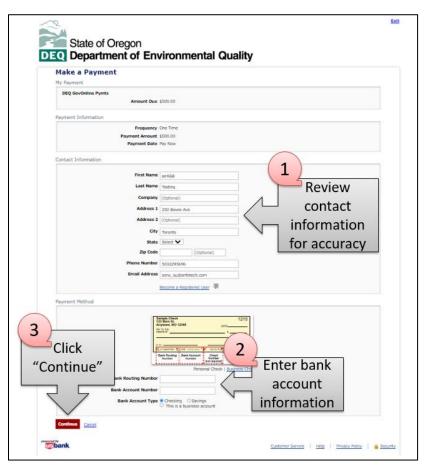
- 1. ACH e-Payment: Make an electronic fund transfer.
- 2. Check by Mail: Print submittal receipt and mail along with paper check to the US Bank lockbox address as indicated.

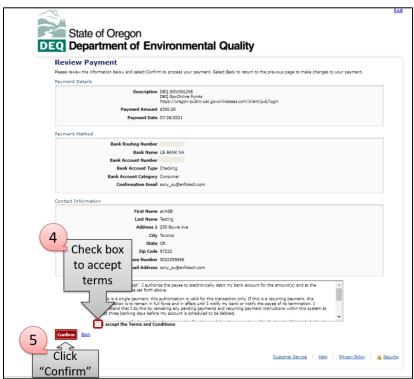
Option 1: Payment by ACH e-Payment

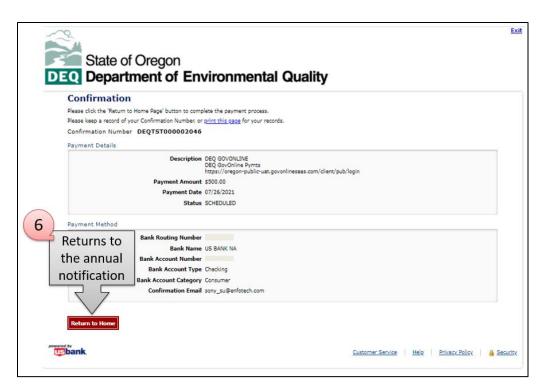
- 1. Select ACH e-Payment.
- 2. Launch e-Pay.
- 3. After submitting bank payment information, return to this page and click "save."

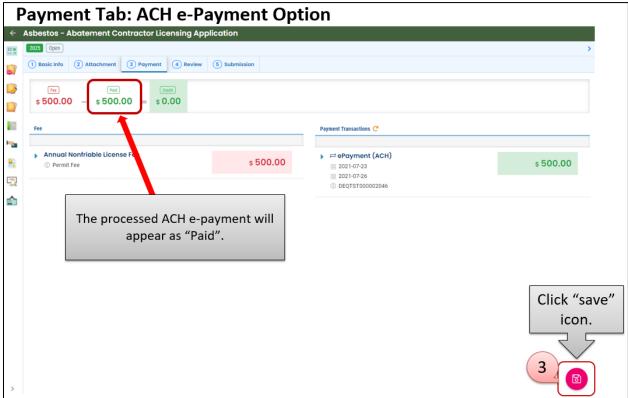


e-Pay steps in secure Financial Information Management System (FIMS)



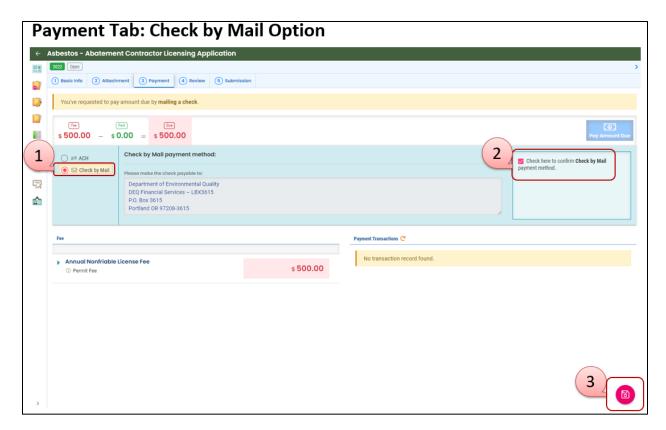






Option 2: Check by Mail

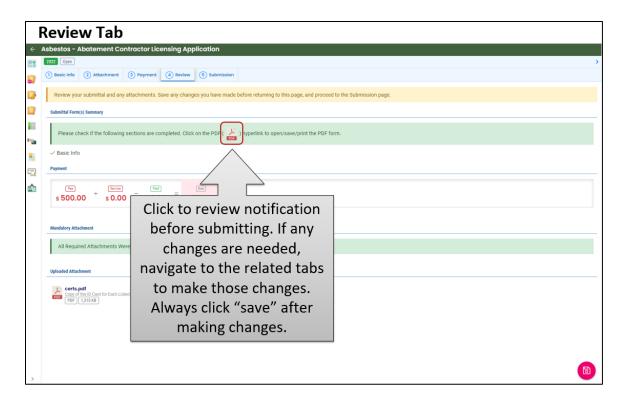
- 1. Select Check by Mail.
- 2. Check the confirmation box.
- 3. Click "save" icon.



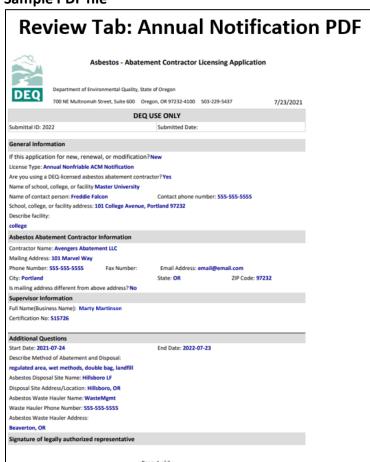
By following these three steps, you enable to system to produce a submittal receipt after your submittal is finalized. The receipt contains instructions and bank mailing address to use when mailing your check.

IV. Reviewing the Submittal

Use the Review tab to download a PDF copy of the annual nonfriable ACM notification to review the information prior to final submission. The Review tab will also indicate if there are any required fields that are not complete.



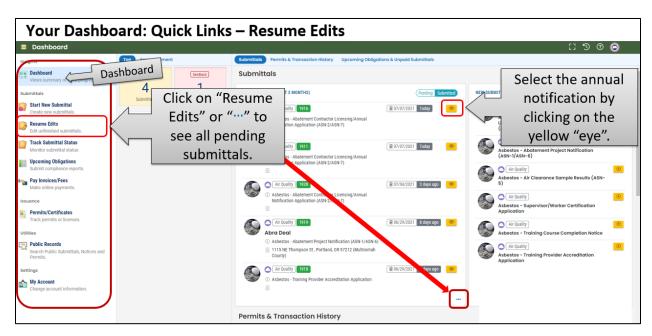
Sample PDF file

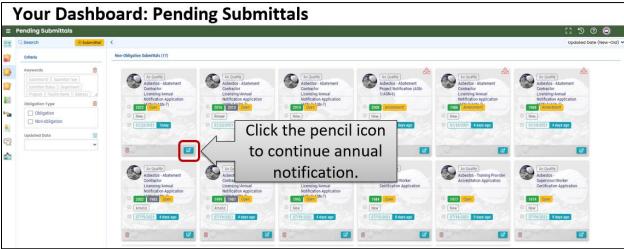


V. Resume Editing the Submittal

If you are unable to complete the annual notification at any point in the process and need to return to the system at a later time to resume editing the submittal, the following steps will guide you through locating the notification and continuing with the submittal.

After logging into your account, the Dashboard screen will appear. Using the Quick Links, you can navigate to the "Resume Edits" area to view the Pending Submittals and locate your unfinished annual notification. Alternatively, you may access the pending submittal using the "..." icon.

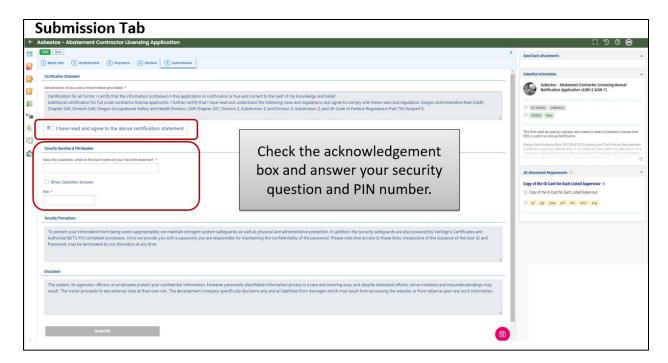




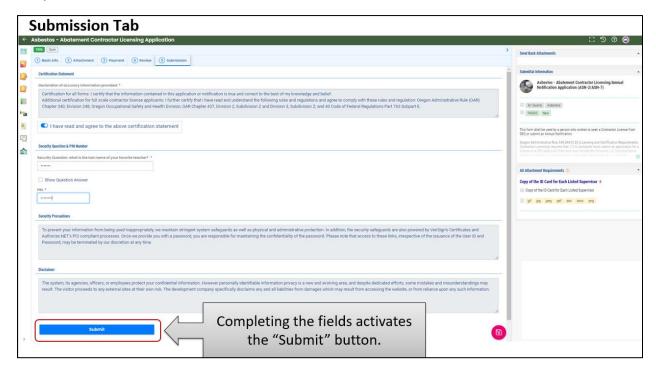
After completing all required fields and finishing the notification, go to the Submission tab.

VI. Completing the Submittal

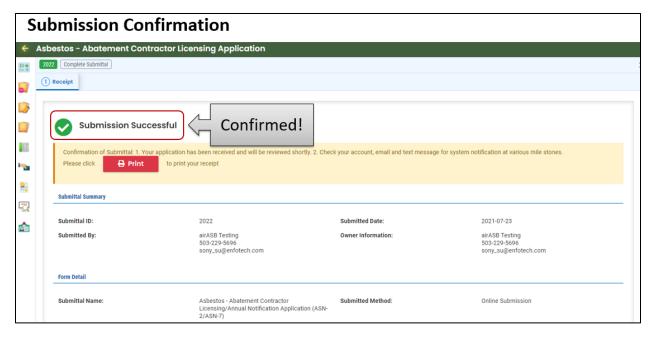
On the Submission tab, the Responsible Official is in charge of certifying the information contained within the annual notification is true and correct. Answer your account security question and PIN number. These were part of the account registration process.

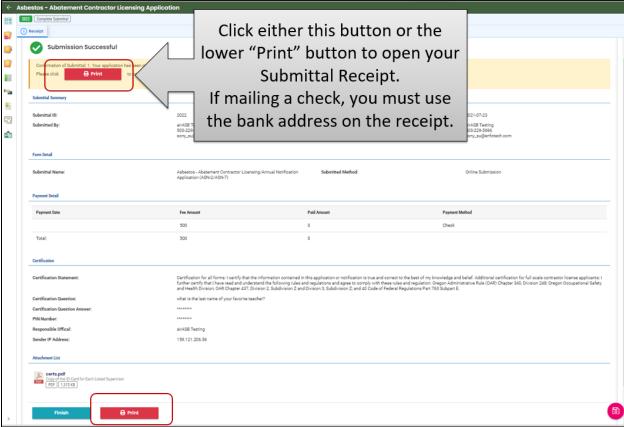


Next, click the now activated "Submit".

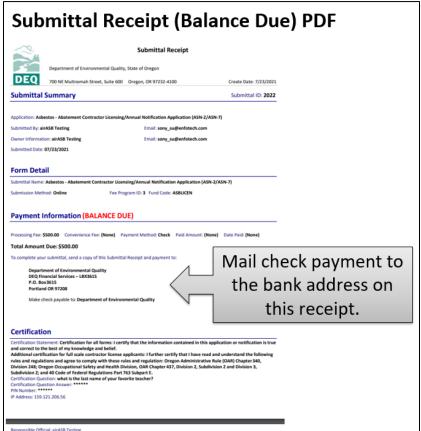


Submission Confirmation









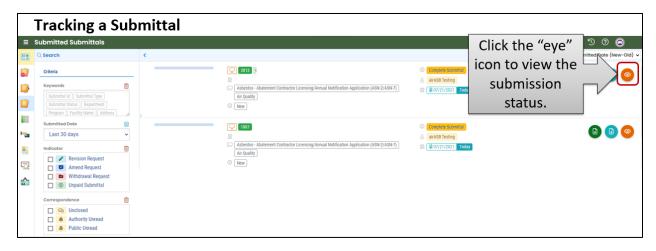
You will receive an automatically generated email to confirm receipt of the submittal which will be viewable from the Tracking Submittal Status section.



VII. Tracking Submittal Status

Once you have completed the submittal, open the Track Submittal Status page by using the navigation pane on the left side of the Dashboard screen. Next to the submittal, there will be an "eye" icon to view the status of the submission.



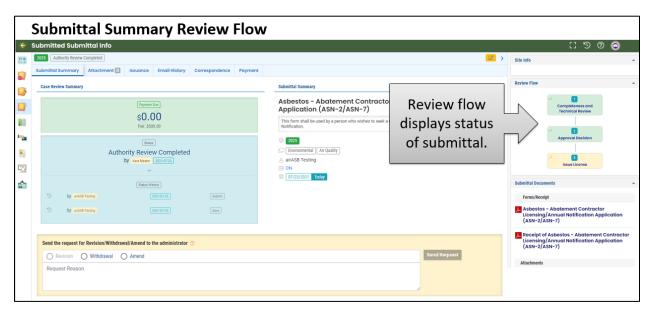


Submittal status includes multiple tabs (below). If you have uploaded any attachments as part of the annual notification, they will be accessible via the Attachment tab. Once the annual notification has been reviewed by DEQ, an annual notification acknowledgement ID number will be assigned and will be accessible using the Issuance tab.



1. Submittal Summary

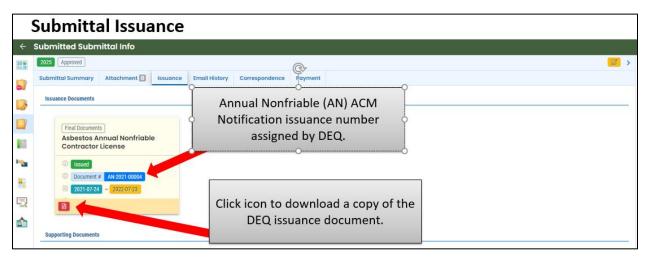
The submittal summary tab displays the current status of your submission on the right side of the page under Review Flow. Immediately after successful submission, you will receive an email confirming receipt of your submittal which is accessible via the Email History tab. The Review Flow will change from yellow to green as DEQ conducts a review of the submittal. If additional information is required to process the submittal, DEQ will "send back" the annual notification in the system. Refer to the "Submittal Send Back" in **Section VIII** for instructions.

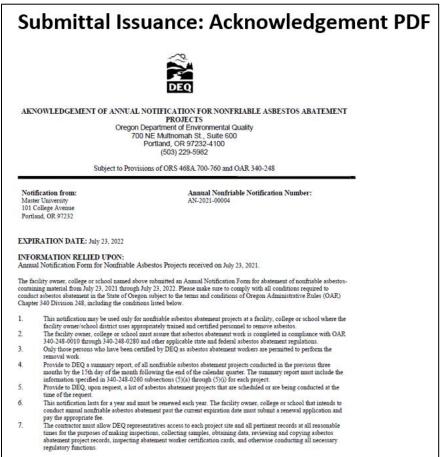


As the submittal progresses through the review process, it will be reflected in the Review Flow section, until the acknowledgement letter and ID number has been assigned.

2. Issuance Tab

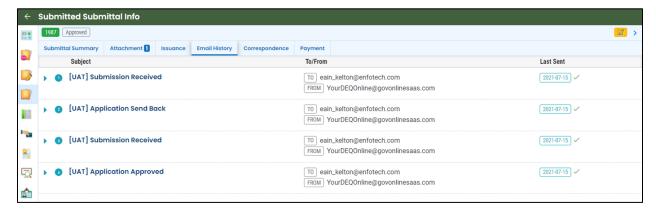
The Issuance tab displays the electronic version of the Annual Nonfriable ACM Notification Acknowledgement letter once it is issued by DEQ.





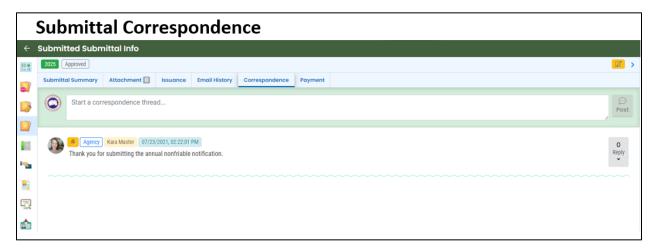
3. Email History Tab

The Email History tab will display email sent to you regarding this submission, for example, submission received, application send back or application approved.



4. Correspondence Tab

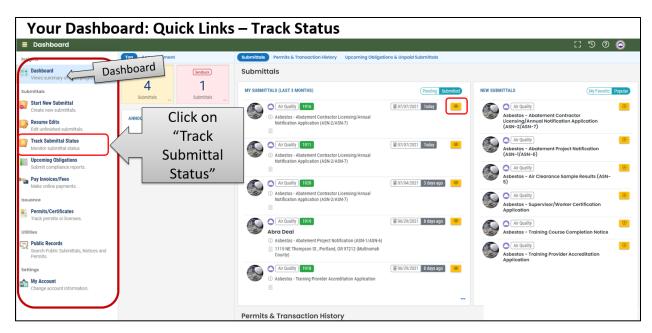
The Correspondence tab allows you to initiate messages with DEQ.

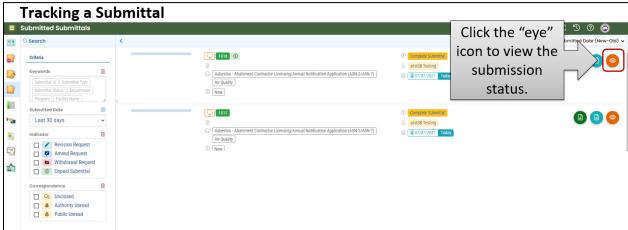


VIII. Making Corrections

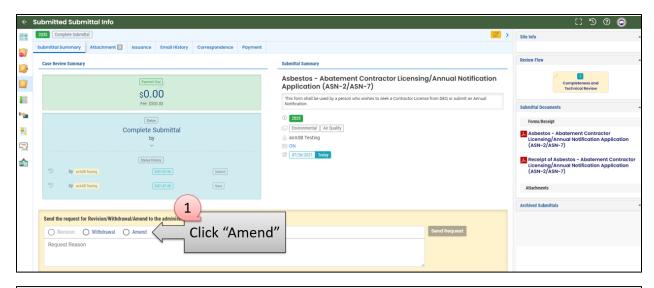
Amending the Submittal

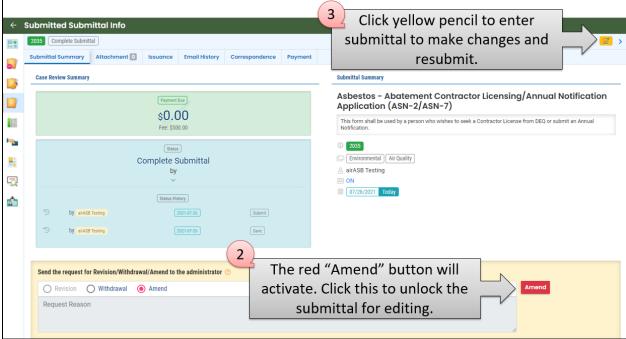
After submission of your annual notification, you may identify an error on the notification form which you would like to revise. To make revisions, locate the submittal from the Track Submittal Status area.

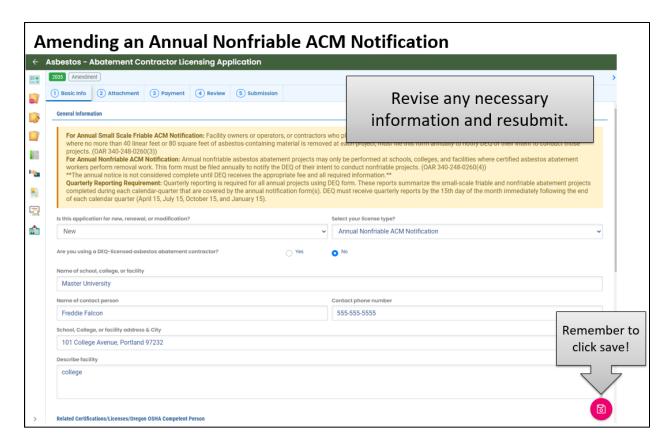




Open the annual notification to view the submittal detail. From the Submittal Summary tab, you will see a box titled "Send the request for Revision/Withdrawal/Amend to the administrator."



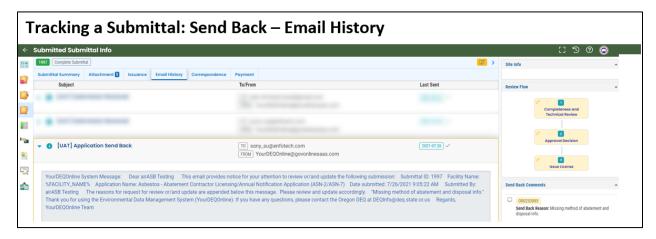


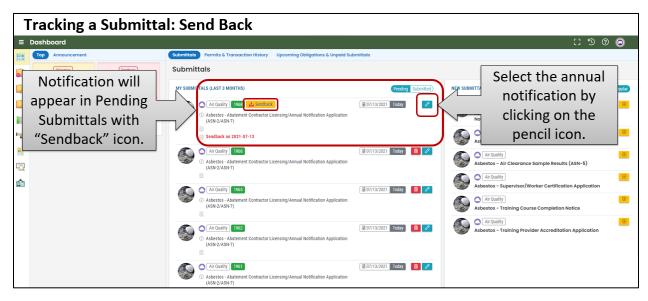


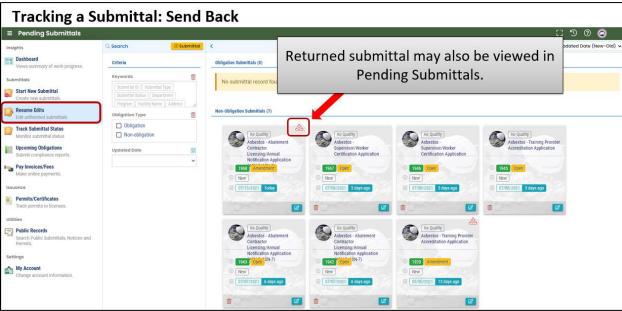
This Amend action will unlock the submittal and enable you to make changes and resubmit.

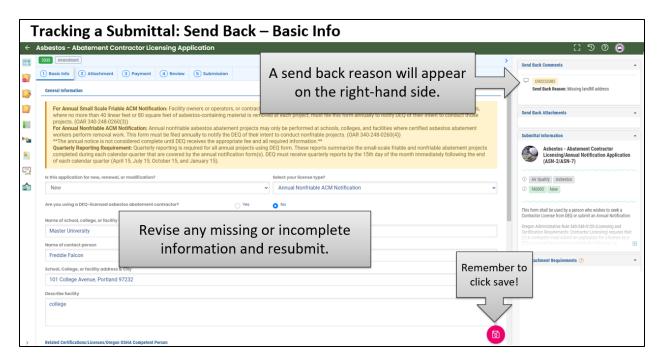
Submittal Send Back

If during review of your annual notification DEQ identifies missing or incomplete information, you will receive notification by email outside of the Your DEQ Online system. This will detail questions or requests for you to revise your notification. DEQ will send back your submittal to make necessary revisions and resubmit. To revise the annual notification, search for the "Sendback" icon on the submittal and click the pencil icon to begin edits.







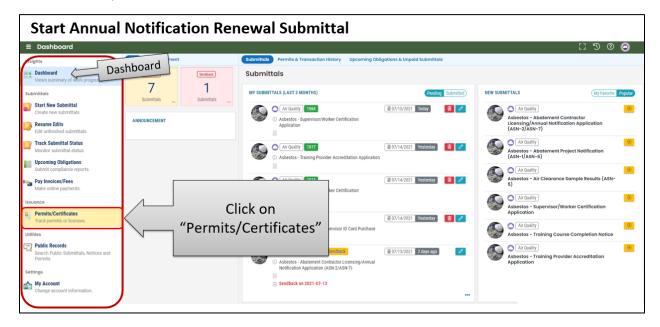


Follow the remainder of the submittal steps to resubmit to DEQ with requested information.

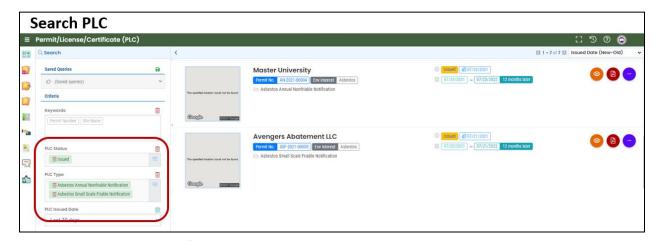
5. Annual Notification Renewal Submittal

If you have previously submitted an annual notification using Your DEQ Online and it was acknowledged by DEQ, you may also renew the annual notification using Your DEQ Online.

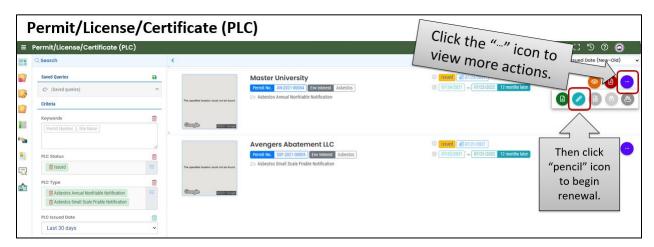
1. To begin the annual notification renewal submittal, navigate to your current annual notification in the system under Permits/Certificates.



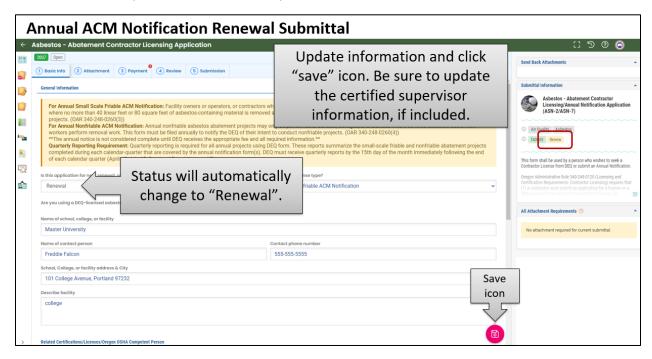
 Once in the PLC section, find your current annual notification using the search features on the left to filter by either "Asbestos Annual Nonfriable Notification" or "Asbestos Small Scale Friable Notification."

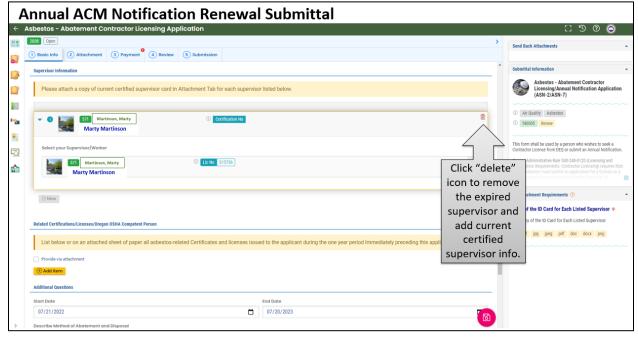


3. Click on the purple button to view more actions and click the pencil to open the renewal submittal. This renewal process is the same for both annual small scale friable and annual nonfriable ACM notifications.



4. Update any expired or outdated information, including the certified supervisor if one was added from the Supervisor Information dropdown.





5. Once all of the applicable information has been updated, follow the remaining steps outlined in Section 3 of this Guide to complete each of the tabs, including payment and submission.

6. Quarterly Reporting Obligations

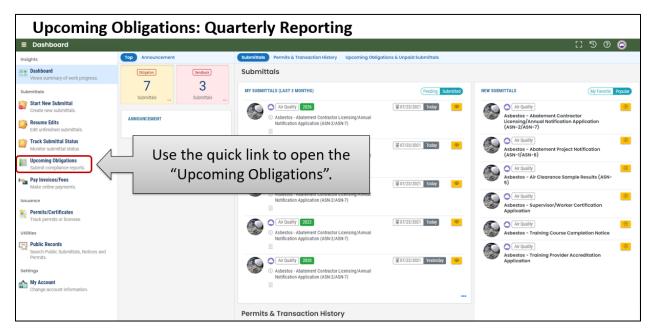
As part of the requirements for annual small scale friable and annual nonfriable asbestos abatement project notifications, entities performing work under these annual notifications must report to DEQ a

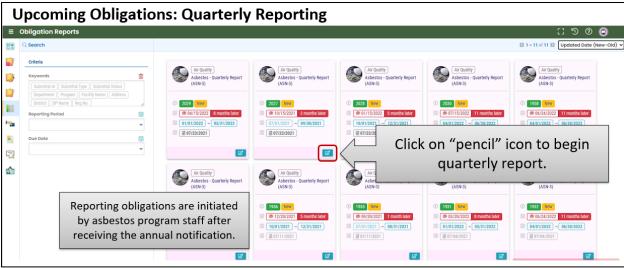
summary of all small scale friable and nonfriable projects conducted in the previous three months by the 15th day of the month following the end of the calendar quarter.

- January March → reported by April 15
- April June → reported by July 15
- July September → reported by October 15
- October December → reported by January 15

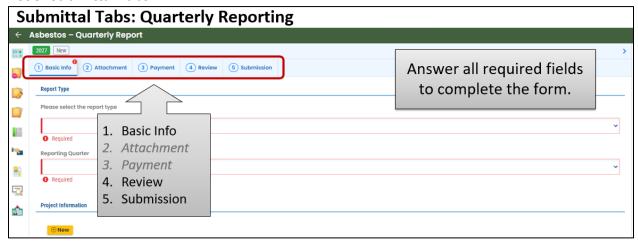
I. Starting Quarterly Report Obligation Submittal

The Responsible Official or delegated Consultant/Preparer must submit quarterly a list of asbestos abatement projects performed under their annual small scale friable or annual nonfriable notification. From your account's dashboard, use quick links to maneuver through the system. From there, follow the steps illustrated below to submit the quarterly report online.

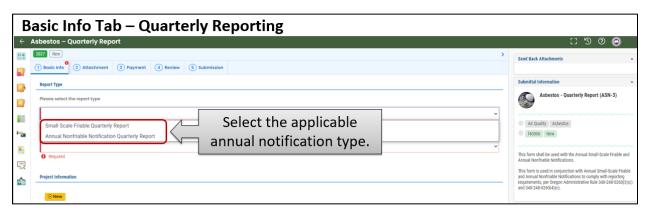


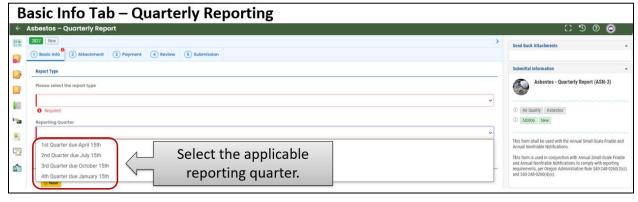


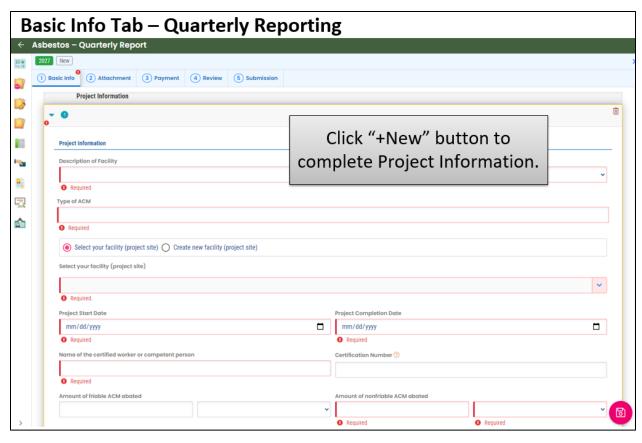
List of Submittal Tabs

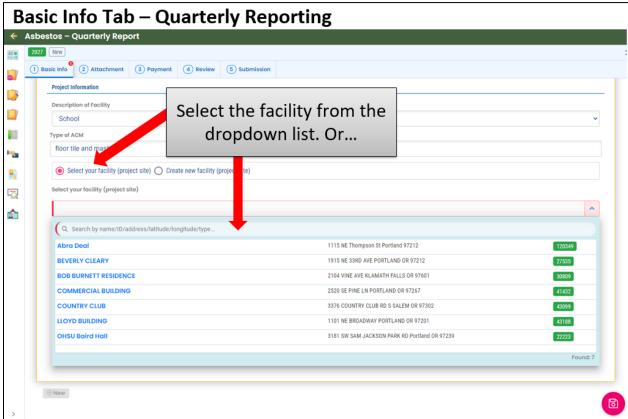


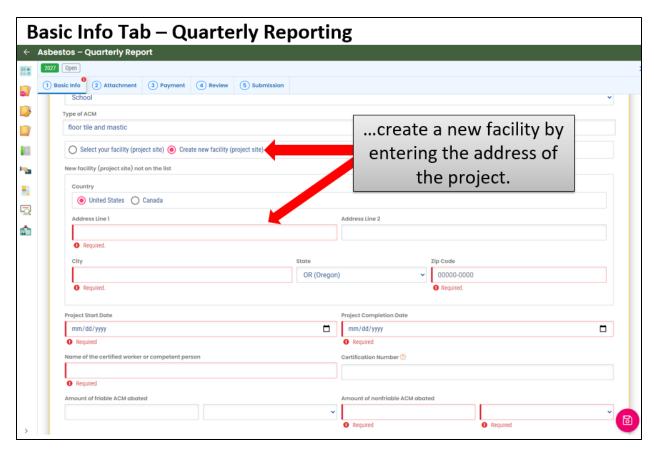
Under the "Basic Info" tab, complete all required information. If a required field is left blank or unanswered, the icon will appear next to the "Basic Info" tab.



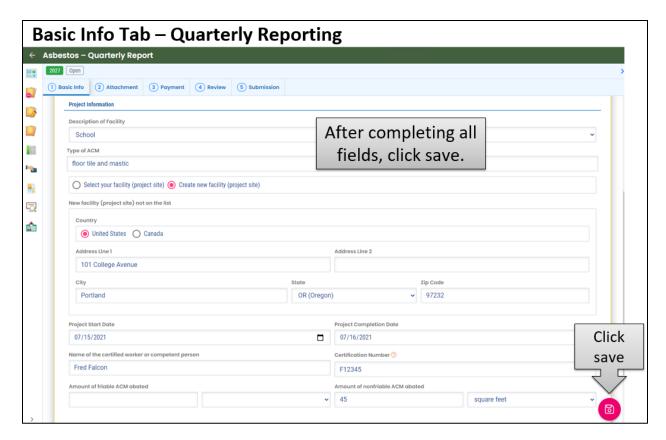












Always click the "save" button before proceeding to the next tab.

II. Attachments

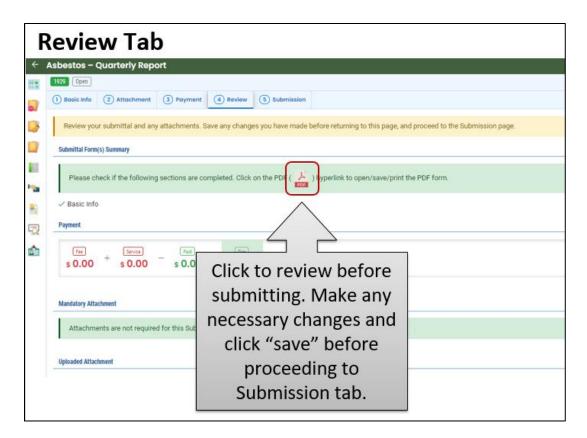
Attachments are not required as part of this submittal.

III. Making a Payment

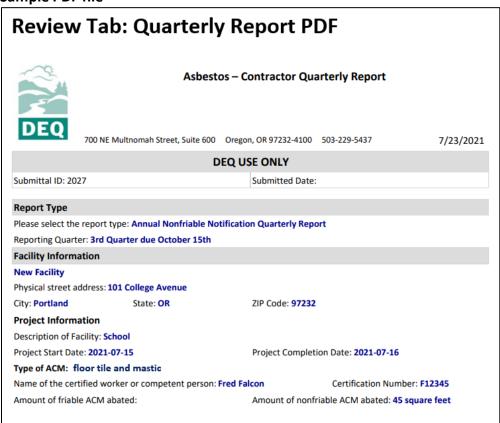
Payment is not required as part of this submittal.

IV. Reviewing the Submittal

The Review tab allows you to download a PDF copy of the quarterly report to review the information prior to final submission. The Review tab will also indicate if there are any required fields that are not complete.



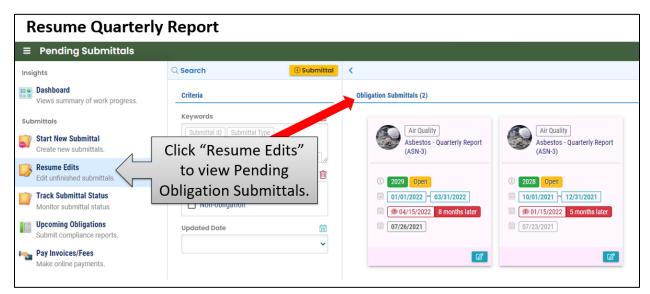
Sample PDF file



V. Resume Editing the Submittal

If you are unable to complete the quarterly report at any point in the process and need to return to the system to resume editing the report, the following steps will guide you through locating the reporting obligation and continuing with the submittal.

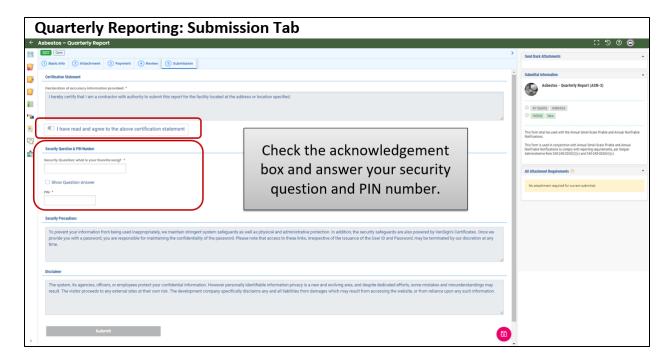
After logging into your account, the Dashboard screen will appear. Using the Quick Links, you can navigate to the "Resume Edits" area to view the Pending Submittals and locate your unfinished quarterly report.



After completing all required fields and finishing the report, go to the Submission tab.

VI. Completing the Submittal

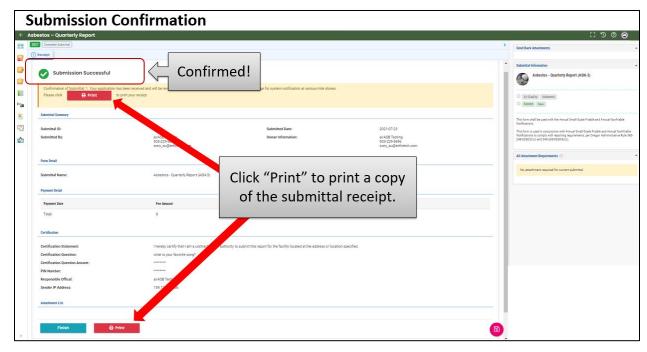
On the Submission tab, the Responsible Official is in charge of certifying the information contained within the report is true and correct. Answer your account security question and PIN number. These were part of the account registration process.



Next, click the activated "Submit".



Submission Confirmation

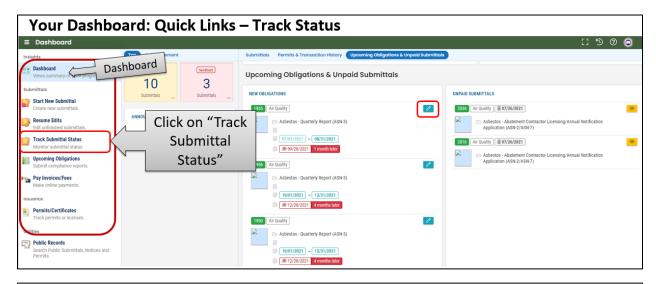


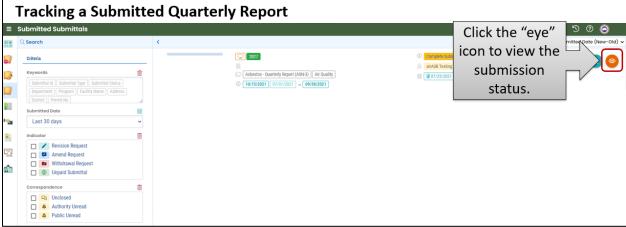


An email will be automatically generated and sent to you confirming receipt of the submittal, which will be accessible to review from the Tracking Submittal Status section.

VII. Tracking Submittal Status

Once you have completed the submittal, open the Track Submittal Status page by using the navigation pane on the left side of the Dashboard screen. Next to the submittal, there will be an "eye" icon to view the status of the submission.





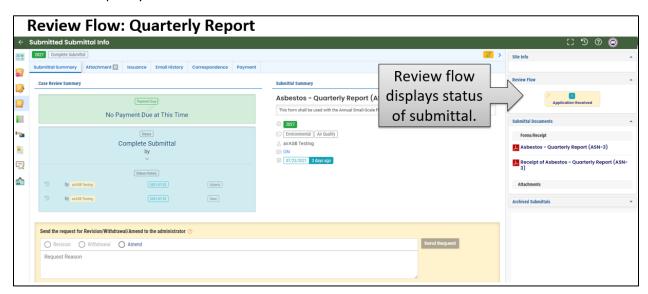
Submittal status includes multiple tabs (below). If you have uploaded any attachments as part of the quarterly report, they will be accessible via the Attachment tab.



1. Submittal Summary tab

The submittal summary tab displays the current status of your submission on the right side of the page under Review Flow. Immediately after successful submission, this will show that your report is in the

"Application Received" step. The Review Flow box will turn green once DEQ Staff have reviewed and confirmed receipt of your submittal.

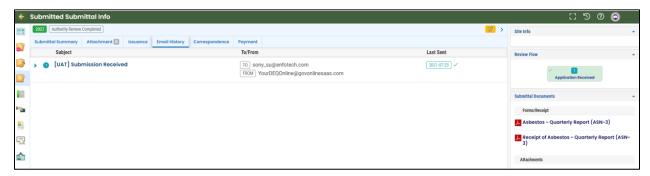


2. Issuance tab

There is no issuance for this submittal.

3. Email History tab

The Email History tab will display email sent to you regarding this submission.



4. Correspondence tab

The Correspondence tab allows you to initiate messages with DEQ.

7. Helpdesk and Resources

If you have questions about this submittal process or other concerns regarding the use of the Your DEQ Online system, please consult the <u>Help page</u> or contact the Your DEQ Online Helpdesk.

For more information, training and resources, go to Your DEQ Online Help: https://yourdegonlinehelp.oregon.gov

For technical assistance:

Your DEQ Online Helpdesk

(Not compatible with Internet Explorer)

For Your DEQ Online questions: 503-229-6184 YourDEQOnline@deq.state.or.us

For Asbestos Program questions:

Regional Asbestos Program Contacts