

**CHAPTER 411  
DIVISION 15**

**SERVICE PRIORITY/CLIENTS SERVED**

**411-015-0000 Purpose**

*(Temporary Effective 12/6/2002 – 6/3/2003)*

The purpose of establishing priorities for persons to be served is to assist the Department in addressing the following goals:

- (1) To enable persons eligible for and receiving services to remain in the least restrictive and least costly setting consistent with their care needs; and
- (2) To serve those persons who are the most functionally impaired and who have no or inadequate alternative service systems; and
- (3) To assure access to services paid by the Department to eligible persons; and
- (4) To assure that services paid by the Department, and the setting in which they are provided are safe and adequate; and
- (5) To manage limited resources to enable the greatest possible number of persons to receive needed services through a priority system based on the Department's assessment of the client's functional impairment and alternative service resources.

Stat. Auth.: ORS 410

Stats. Implemented: ORS 410.070

**411-015-0005 Definitions**

*(Temporary Effective 12/6/2002 – 6/3/2003)*

- (1) "Activities of Daily Living (ADL)" means those personal functional activities required by an individual for continued well-being which are

essential for health and safety. This includes, but is not limited to eating, dressing/grooming, bathing/personal hygiene, mobility, bowel and bladder management, and cognition.

(2) "Alternative Service Resources" means other possible resources for the provision of services to meet the person's needs. This includes, but is not limited to, natural physical/social support systems, Risk Intervention services, Older Americans Act programs, or other community resources.

(3) "Assessment" for service eligibility means the process of evaluating the functional impairment levels including the individual's requirements for assistance or independence in performing activities of daily living, and determining nursing facility care. The Department requires use of the Client Assessment and Planning System (CA/PS) to determine service eligibility and planning.

(4) Assistance Types needed for activities of daily living include, but are not limited to the following terms:

(a) "Cueing" means giving verbal or visual clues during the activity to help the individual complete activities without hand-on assistance.

(b) "Hands-on" means a provider physically performs all or parts of an activity because the individual is unable to do so.

(c) "Reassurance" means to offer encouragement and support.

(d) "Redirection" means to divert the individual to another more appropriate activity.

(e) "Set-up" means getting personal effects, supplies, or equipment ready so that an individual can perform an activity.

(f) "Stand-by" means a person must be at the side of an individual ready to step in and take over the task should the individual be unable to complete the task independently.

(g) "Support" means to enhance the environment to enable the individual to be as independent as possible.

(5) "Assistance/Full Assistance" are defined for each activity of daily living as follows:

(a) Bathing/Personal Hygiene: This is comprised of two components. To be considered Assist, the individual must require Assistance in Bathing or Full Assistance in Hygiene. To be considered Full Assist, the individual must require Full Assistance in Bathing.

(A) Bathing means the activities of bathing and washing hair and if needed, using assistive equipment.

(i) Assist: The individual requires assistance from another person with bathing, even with assistive devices. This may include hands-on assistance for part of the task, cueing during the activity or stand-by presence for the duration of the activity.

(ii) Full Assist: The individual requires at least one other person to provide bathing, even with assistive devices. This means hands-on assistance in all phases of the task.

(B) Personal Hygiene means the activities of shaving and caring for the mouth.

(i) Assist: The individual requires assistance from another person with personal hygiene, even with assistive devices. This may include hands-on assistance for part of the task, cueing during the activity or stand-by presence for the duration of the activity.

(ii) Full Assist: The individual cannot do personal hygiene, even with assistive devices, without the regular assistance of another person. This means hands-on assistance for all phases of the task.

(b) Cognition/Behavior means functions of the brain, which assist in orientation to person, place and/or time, decision-making, learning, memory, and behaviors, which may affect living arrangements and/or jeopardize safety of self or others. Evaluation of functional limitation

is based on eight components. To be considered Assist, the individual must require Assistance in at least three of the eight components. To be considered Full Assist, the individual must require Full Assistance in at least three of the components.

(A) Adaptation means response to major changes in relationship to the individual's environment, such as the possibility of a change in living situation, death of significant other, etc.

(i) Assist: The individual requires frequent reassurance with change. These occurrences are episodic, less than daily.

(ii) Full Assist: The individual requires constant support and reassurance or is unable to adapt to change. These occurrences are ongoing and daily.

(B) Awareness means accurate understanding of needs relating to health, safety, and welfare and how an individual's level of awareness affects others.

(i) Assist: The individual has difficulty understanding those needs, which must be met.

(ii) Full Assist: The individual does not have the capacity to understand those needs.

(C) Danger to Self or Others means behaviors, other than wandering, which may be a danger to the individual (including self injury), or to those around the individual.

(i) Assist: The individual is disruptive or aggressive in a non-physical way, agitated some of the time, or sexually inappropriate. These behaviors are challenging and the individual can generally be verbally redirected.

(ii) Full Assist: The individual is frequently disruptive or aggressive in a non-physical way, is agitated most of the time, or is dangerous, physically abusive, or sexually

aggressive. These behaviors are extreme and necessitate intervention beyond verbal redirection.

(D) Demands on Others means behaviors, other than wandering, which negatively impact and affect living arrangements, providers and/or other residents.

(i) Assist: The individual's habits and emotional states limit the types of living arrangements and companions, but can be modified with individualized routines, changes to the environment e.g. roommates and/or non client specific training for the caregiver.

(ii) Full Assist: The individual's habits and emotional states can be modified only with a 24-hour specialized care setting and/or a client specific behavioral care plan that all staff are trained to deliver.

(E) Judgement means ability to make decisions, and conduct activities that affect the ability to function independently. Poor judgement would result in negative consequences that jeopardize the health, safety, and welfare of the individual.

(i) Assist: The individual's judgement is occasionally poor and needs protection, monitoring and guidance to make decisions.

(ii) Full Assist: The individual always or frequently makes poor decisions requiring daily intervention by a care provider.

(F) Memory means ability to remember and appropriately use current information, which impacts health, safety and welfare.

(i) Assist: The individual has difficulty remembering and using current information and requires reminding or occasional directions.

(ii) Full Assist: The individual cannot remember or use information and/or requires regular directions.

(G) Orientation means accurate understanding of person, place, and time as it relates to the ability to function independently.

(i) Assist: The individual is frequently disoriented to person, place or time. These occurrences are episodic during the week; less than daily.

(ii) Full Assist: The individual is disoriented to person, place or time and such occurrences are daily.

(H) Wandering: Moving about aimlessly, or elopement, without relationship to needs or safety.

(i) Assist: The individual wanders within the home or facility, but does not jeopardize safety.

(ii) Full Assist: The individual wanders inside or out and jeopardizes safety.

(c) Dressing/Grooming: This is comprised of two elements. To be considered Assist, the individual must require Assistance in Dressing or Full Assistance in Grooming. To be considered Full Assist the individual must require Full Assistance in Dressing.

(A) Dressing means the activities of dressing and undressing.

(i) Assist: The individual requires assistance from another person to do parts of dressing and undressing, even with assistive devices. This may include hands-on assistance for part of the task, cueing during the activity, or stand-by presence for the duration of the activity.

(ii) Full Assist: The individual must be dressed and undressed by another person, with or without use of assistive devices. Hands-on assistance is required for every phase of dressing activity.

(B) Grooming means nail care and the activities of brushing

and combing hair.

(i) Assist: The individual requires help to do part of the task, even with special equipment.

(ii) Full Assist: The individual cannot do any part of the task, even with special equipment.

(d) Eating means the activity of feeding and eating, with special equipment, if regularly used.

(A) Assist: The individual requires another person to be immediately available during mealtime. This includes hands-on facilitation during the act of eating, hands-on assistance with special utensils, cueing during the course of the meal, monitoring to prevent choking or aspiration; or ensuring proper intake of food.

(B) Full Assist: The individual requires one-on-one assistance throughout the meal, for direct feeding (including IV or tube) or constant cueing or, to prevent choking or aspiration.

(e) Elimination: This is comprised of three components. To be considered Assist, the individual must require Assistance in at least one of the three components. To be considered Full Assist the individual must require Full Assist in any of the three components.

(A) Bladder means managing bladder care.

(i) Assist: The individual requires assistance from another person, for parts of the activity, even with special equipment or supplies, to manage dribbling, incontinence, catheter, or sheath changes

(ii) Full Assist: The individual is totally incontinent and requires another person for all phases of bladder care or catheter care.

(B) Bowel means managing bowel care.

(i) Assist: The individual requires assistance from another person to manage incontinence, ostomy care or suppository insertion, even with special equipment or supplies.

(ii) Full Assist: The individual is totally incontinent and requires another person to provide all phases of bowel care.

(C) Toileting means getting to and from the toilet (including bedpan, commode and urinal), cleansing after elimination and adjusting clothing.

(i) Assist: The individual requires assistance from another person to get to and from the toilet or any part of the task, even with special equipment and supplies.

(ii) Full Assist: The individual requires another person to manage all care.

(f) Mobility: This is comprised of two components, Ambulation and Transfer. In the Mobility cluster only, assistance is categorized into three levels. To be considered Minimal Assist, the individual must require Minimal Assistance in Ambulation. To be considered Substantial Assist, the individual must require Substantial Assistance with Ambulation or an Assist with Transfer. To be considered Full Assist, the individual must require Full Assistance with Ambulation or Transfer.

(A) Ambulation means the activity of moving around both inside and outside, using assistive devices, if needed.

(i) Minimal Assist: The individual can get around inside with assistive devices, if needed, without the assistance of another person, but requires assistance from another person when outside or in an unfamiliar environment.

(ii) Substantial Assist: The individual requires the occasional assistance of another person both outside and in a familiar environment, such as the home, even with



assistive devices.

(iii) Full Assist: The individual cannot get around, even with assistive devices, without ongoing assistance from another person.

(B) Transfer means the activity of moving to or from a chair, bed or wheelchair using assistive devices, if needed.

(i) Assist: The individual can transfer, with assistive devices if needed, only if assisted by another person. This includes hands-on help for weight-bearing individuals or stand-by presence for safety in transfer.

(ii) Full Assist: The individual cannot transfer even with special equipment, and is dependent on one or more other persons to perform the transfer. This includes hands-on transfer for non-weight bearing individuals.

(6) "Client Assessment and Planning System (CA/PS)" is a single entry data system used for completing a comprehensive and holistic client assessment, comprised of critical elements of the individual's physical, mental, and social functioning, including identification of risk factors and outcome measurements. The CA/PS calculates the individual's service priority status, level of care and service payment rates, and accommodates client participation in care planning.

(7) "Department" means the Department of Human Services, Seniors and People with Disabilities.

(8) "Functional Impairment" means a person's pattern of mental and physical limitations which, even in the best of environments, permanently or temporarily restrict his or her capability of functioning independently.

(9) "Home and Community Based Care Waiver Services" means services approved for Oregon by the Centers for Medicare and Medicaid Services for aged and physically disabled persons in accordance with Sections 1915(c) and 1115 of Title XIX of the Social Security Act.

(10) "Independent" means the individual does not meet the definition of

“Assist” or “Full Assist”.

(11) "Service Priority" means the order in which Department clients are found eligible for nursing home, HCB waivers, spousal pay program, and Oregon Project Independence.

Stat. Auth.: ORS 410

Stats. Implemented: ORS 410.070

#### **411-015-0010 Priority of Paid Services**

*(Temporary Effective 12/6/2002 – 6/3/2003)*

To meet service priority, an individual must be found eligible, through a CA/PS assessment, as meeting at least the requirements for Assist or Full Assist in activities of daily living, in the following order and as designated in OAR 411-015-0015.

(1) Requires Full Assistance in Mobility, Eating, Elimination, and Cognition.

(2) Requires Full Assistance in Mobility, Eating, and Cognition.

(3) Requires Full Assistance in Mobility, or Cognition, or Eating.

(4) Requires Full Assistance in Elimination.

(5) Requires Substantial Assistance with Mobility, Assistance with Elimination and Assistance with Eating.

(6) Requires Substantial Assistance with Mobility and Assistance with Eating.

(7) Requires Substantial Assistance with Mobility and Assistance with Elimination.

(8) Requires Minimal Assistance with Mobility and Assistance with Eating and Elimination.

(9) Requires Assistance with Eating and Elimination.

- (10) Requires Substantial Assistance with Mobility.
- (11) Requires Minimal Assistance with Mobility and Assistance with Elimination.
- (12) Requires Minimal Assistance with Mobility and Assistance with eating.
- (13) Requires Assistance with Elimination.
- (14) Requires Assistance with Eating.
- (15) Requires Minimal Assistance with Mobility.
- (16) Requires Full Assistance in Bathing or Dressing.
- (17) Requires Assistance in Bathing or Dressing.
- (18) Independent in the above levels but requires structured living for supervision for complex medical problems or a complex medication regimen.

Stat. Auth.: ORS 410

Stats. Implemented: ORS 410.070

#### **411-015-0015 Current Limitations**

*(Temporary Effective 3/20/2003 – 6/3/2003)*

The Department has the authority to establish by Administrative Rule, the priority level within which to manage its limited resources. The Department is currently able to serve:

- (1) Persons determined eligible for OSIPM or TANF if they are assessed on CA/PS in conjunction with the priority levels of OAR 411-015-0010: and
  - (a) Who are assessed as meeting at least one of the priority levels (1) through (14) will be served through March 31, 2003; and

(b) Who are assessed as meeting at least one of the priority levels (1) through (11) will be served from April 1, 2003 thereafter, or unless otherwise stated by future amendments to this rule.

(2) Persons eligible for Oregon Project Independence funded services if they meet at least one of the priority levels (1) through (18) of OAR 411-015-0010.

(3) Persons needing Risk Intervention Services in areas designated to provide such services. Persons with the greatest priority under OAR 411-015-0010 will be served first.

(4) (a) Persons sixty-five years of age or older determined eligible for Developmental Disability services or having a primary diagnosis of mental illness are eligible for nursing facility and community based care services if they meet Sections (1), (2), or (3) of this rule and are not in need of specialized mental health treatment services or other specialized Department residential program intervention as identified through the PASARR or mental health assessment process.

(b) Persons under sixty-five years of age determined eligible for developmental disability services or having a primary diagnosis of mental illness are not eligible for Department nursing facility services unless determined appropriate through the PASARR process.

(c) Persons under sixty-five years of age whose primary diagnosis and primary need for service is due to mental illness or have been determined eligible for developmental disability services are not eligible for Title XIX Home and Community Based Care Waivered Services paid for under the Department's 1915(c) Waiver.

Stat. Auth.: ORS 410.060, 410.070 & ORS 411

Stats. Implemented: ORS 410.070

### **411-015-0100 Eligibility for Nursing Facility or Community-Based Care Services**

*(Temporary Effective 2/1/2003 – 6/3/2003)*

(1) To be eligible for nursing facility services, Community-based care waiver services for aged and physically disabled, Independent Choices, Spousal Pay, or the Program of All-Inclusive Care for the Elderly (PACE), a person must:

(a) Be age 18 or older; and

(b) Be eligible for OSIPM or TANF; and

(c) Meet the functional impairment level within the service priority levels currently served by Seniors and People with Disabilities as outlined in OAR 411-015-0000 and the requirements in OAR 411-015-0015; or

(d) To be eligible to have services paid through the State Spousal Pay Program, the person must meet requirements as listed above in (a), (b), (c), and in addition, the requirements in OAR 411-030-0080.

(2) Persons who are age 17 or younger and reside in a nursing facility are eligible for nursing facility services only. They are not eligible to receive community-based care waiver services, including Spousal Pay or Independent Choices program services.

Stat. Auth.: ORS 410 & ORS 414.065

Stats. Implemented: ORS 410.070