Information/Complaint Received
Requiring an Investigation

Identify Appropriate Entity to Initiate Investigation

**Human Resources**
- Labor Relations
- Staff Sexual Harassment
- Staff Grievances
- Employment Issues
- Discrimination
- Hostile Work Environment

**Functional Unit Manager**
- Employee Conflict
- Employee Performance Issues
- Employee Misconduct (routine)
- All Operational issues
- Initial PREA Complaints

**State Police**
- Homicides
- Felony Assaults
- Deadly Use of Force
- Deaths
- Bomb Threats
- Sexual Assaults
- Official Misconduct
- Sex with an Inmate

**Office of Inspector General Investigations**
- Employee Misconduct (serious)
- Weapons, Drugs, Contraband Cases Involving Inmates
- Fugitive Apprehension
- Assist Outside Law Enforcement Agencies with Investigations
- Hearings Investigations

**Internal Audit**
- Purchase Schemes
- Sales Schemes
- Invoice Kickbacks
- Payroll Schemes
- Asset/Revenue (overstatement or understatement)
- Misuse of Inventory/Assets
- Internal Control

Investigation

Consult with other DOC Investigative Entities and/or Executive Staff, as necessary throughout process

Refer to Functional Unit Manager and/or Take appropriate Action

Consult

Take appropriate Action in Consultation with Human Resources

Investigation

Report Findings

Audit