



Certified Cost:

\$

Tax Credit:

\$

Energy Incentives Program

Application for Small Premium Projects (SPP) Final Certificate

5.11 Premium Efficiency Electric Air Conditioning

Informational Filing #:

1. Project Owner Information

Project Owner Name:

Federal Tax ID (REQUIRED)*:

SSN*:

Contact Name:

Mailing Address:

City:

State:

Zip:

Phone:

Email:

2. Project Site Information

Project Site Address:

City:

State: OR

Zip:

County:

New Construction:

☐ Yes ☐ No

Principal Commercial Building Use:

☐ Office

☐ Retail

☐ Other (Specify): _____

Hours per Year

Building is Occupied:

_____ hrs/yr

Square Footage of

Conditioned Space:

_____ sq. ft

Existing AC Unit

☐ Split

Age (years)

Nominal Capacity

EER/SEER

Model #

Quantity
Replaced

☐ Package Unit

SIC or NAICS Code:

SIC #:

NAICS #:

Natural Gas Utility:

Electrical Utility:

Number of jobs created by the project: _____

Number of jobs eliminated by project: _____

Installed AC System

I acknowledge this system will operate more than 2,000 hr/yr

☐ Yes

☐ No

I acknowledge this system was installed per ANSI/ACCA Quality spec requirements and load calculations were performed. ☐ Yes ☐ No

*OAR 330-210-0030 authorizes the Oregon Department of Energy to use your federal tax identification or social security number as an identification number in maintaining internal records and may be shared with the Oregon Department of Revenue to establish the identity of an individual in order to administer state tax law.

AC Retrofit Option

Early retirement of operational AC equipment; in service for less than 20 years old, but greater than 5 that meet the Minimal Requirements listed below:

- 3. Installed Equipment Information** - Please fill out the Equipment Information section that pertains to HVAC system that is implemented (**Either AC Retrofit/Premium Efficiency**).



**YOU MUST INCLUDE A COPY OF
AHRI CERTIFICATE**
See www.ahridirectory.org



Unitary AC Unit	Size Range	System Configuration	A. Incentive for Retrofit/Replace AC Project (\$/Ton)	B. Number of nominal tons installed	C. Total Eligible Incentive (\$) A x B = C
Air Conditioners (Air Cooled)	<65,000 Btu/h	Split System	\$131.25		
		Single Package	\$102.20		
	≥65,000 Btu/h through <135,000 Btu/h	Split System and Single Package	\$135.80		
	≥135,000 Btu/h through <240,000 Btu/h	Split System and Single Package			
Air Conditioners (Water and Evaporatively Cooled)	<65,000 Btu/h	Split System and Single Package	\$157.20		
	≥65,000 Btu/h through <135,000 Btu/h	Split System and Single Package	\$119.15		
	≥135,000 Btu/h through <240,000 Btu/h	Split System and Single Package	\$158.70		
Air Cooled Heat Pump	<65,000 Btu/h	Split System	\$119.35		
		Single Package			
	≥65,000 Btu/h through <135,000 Btu/h	Split System and Single Package	\$153.65		
	135,000 Btu/h through <240,000 Btu/h	Split System and Single Package			
Water Source Heat Pump	<135,000 Btu/h	85° F Entering Water	\$158.90		

Total From
Column C

=

Premium Efficiency AC-New Construction Option

Installation of AHRI listed high efficiency commercial AC equipment that exceeds OEESC by meeting REACH requirements.

- 4. Installed Equipment Information** - Please fill out the Equipment Information section that pertains to the type of that is implemented (**Either AC Retrofit/Premium Efficiency**)



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Unitary AC Unit	Size Range	System Configuration	D. Incentive for New Construction AC Project (\$/Ton)	E. Number of nominal tons installed	F. Total Incentive Received (\$) D x E = F
Air Conditioners (Air Cooled)	<65,000 Btu/h	Split System	\$36.05		
		Single Package			
	≥65,000 Btu/h through <135,000 Btu/h	Split System and Single Package	\$30.10		
	≥135,000 Btu/h through <240,000 Btu/h	Split System and Single Package			
Air Conditioners (Water and Evaporatively Cooled)	<65,000 Btu/h	Split System and Single Package	\$62.00		
	≥65,000 Btu/h through <135,000 Btu/h	Split System and Single Package	\$53.00		
	≥135,000 Btu/h through <240,000 Btu/h	Split System and Single Package			
Air Cooled Heat Pump	<65,000 Btu/h	Split System	\$53.20		
		Single Package			
	≥65,000 Btu/h through <135,000 Btu/h	Split System and Single Package	\$47.95		
	135,000 Btu/h through <240,000 Btu/h	Split System and Single Package			
Water Source Heat Pump	<135,000 Btu/h	85° F Entering Water	\$53.20		

Total From
Column F

5. Project Payment Analysis - Please list and describe all items associated with the **materials** or **labor** within the appropriate section.

	A. Est. Cost of Code Compliant Equipment	B. Installed Equipment	C. (B - A) Total
Materials/Equipment (costs must match itemized receipts or invoices): <input type="checkbox"/> I have enclosed itemized invoices to verify amounts paid. (REQUIRED) List Materials/Equipment: _____ _____ _____ _____	\$ _____ \$ _____ \$ _____ \$ _____	\$ _____ \$ _____ \$ _____ \$ _____	\$ _____ \$ _____ \$ _____ \$ _____
Labor (allocate separate dollar amounts): _____ _____ _____ _____	\$ _____ \$ _____ \$ _____ \$ _____	\$ _____ \$ _____ \$ _____ \$ _____	\$ _____ \$ _____ \$ _____ \$ _____
Date the project is operational and all contractors, vendors, etc. are paid in full: _____			
Date the project was placed in service: _____			

Total Paid:
(B - A)

\$ _____ **(5C)**
* Enter this amount on the last page under **Total Project Payment** to determine Final Review Fee. **This amount MAY NOT exceed \$20,000.00**

6. Project Payment Analysis, Cont.

Loan Contracts or Binding Agreements:

If your project was paid for with loan agreements or binding contracts, you must provide documentation from the lender that your loan/contract is not in default.

- ☐ **Yes** – I have a loan agreement or binding contract to pay for this project. I have included **required** documentation from the lender that my loan is current and not in default.

Amount of Loan: _____

Lender: _____

Contact: _____

Phone: _____

- ☐ **No** – I did not have a loan agreement or binding contract to pay for this project.

7. Pass-through Program Intention – Project owners that intend to use the Pass-through option will be issued a Certified Amount Letter that indicates the final certified cost once this application for final certification is approved.

Refer to ***SPP Opportunity Announcement Section 3.2*** for information on the Pass-through Program.

Does the Project Owner intend to use Pass-through?

☐ Yes ☐ No

- If 'YES', answer the questions below.
- If 'NO', the tax credit will be issued in the project owner's name. **Continue on to page 6 of this application.**

1. Has the Project Owner identified a Pass-through partner?

☐ Yes ☐ No

- If 'YES', complete the separate Pass-through Program Attachment.
([http://www.oregon.gov/energy/At-Work/Documents/EIP/General/2017/Pass Through Attachment.pdf](http://www.oregon.gov/energy/At-Work/Documents/EIP/General/2017/Pass%20Through%20Attachment.pdf))
- If 'NO', answer question 2 below.

2. Will the Project Owner request assistance finding a pass-through partner(s) from the Oregon Department of Energy?

☐ Yes ☐ No

- If assistance finding a partner(s) is requested, the Department will place your application on "Hold" status until a partner(s) is secured. The Department will exercise due diligence to find a partner(s) but cannot guarantee that a partner(s) will be found.

8. Other Incentives* - List any utility, Energy Trust of Oregon, or other organization rebates or incentives (not loans) or any federal tax credits that you received (or expect to receive) for this project. List the name of the group and the amount received. If there are none, check the appropriate box.

The sum of all financial incentives and a federal tax credit and the Energy Incentives Program tax credit may **not** exceed 100% of the Final Certified Project Cost.

☐ This project did not receive any incentives, please place \$0 in Total

Type of Incentive:	Amount:
Federal Business Energy Investment Tax Credit (ITC) <input type="checkbox"/> Tax Credit <input type="checkbox"/> Taking as a grant	\$ _____
Federal Grants	\$ _____
Other Federal Tax Credits	\$ _____
Energy Trust of Oregon	\$ _____
Utility Incentives (investor or publicly owned)	\$ _____
Large Electric Consumer Self Directed Funds Incentives	\$ _____
Other Incentives	\$ _____
Total of Incentives:	\$ _____

* By initialing this statement, I authorize any incentivizing entity outside of ODOE to release all relevant information on this project to ODOE. This includes, but is not limited to, project information, incentives offered or received, and inspection results.

Project Owner Initials: _____

9. Project Owner Statement – All fields must be completed.

1. I certify that the information in this application is correct and I am the owner of this energy project. I have complied with all conditions of the Opportunity Announcement. I grant the Oregon Department of Energy (ODOE) permission to inspect the project for compliance with tax credit requirements either before issuing Final Certification or during the years in which the tax credit is being claimed. I understand that failure to grant an inspection is grounds for revoking a Final Certificate.
2. I understand that ODOE approval and certification of my project is for tax credit purposes only. ODOE Does not guarantee or in any way ensure the performance of any equipment, the quality of any system, or the reliability of any dealer.
3. I will comply with the provision that the facility must operate in accordance with the representation mad in the Small Premium Projects Informational Filing.
4. I certify that the project complies with all local, state, and federal requirements and I obtained all necessary permits.
5. I understand that this tax credit application is a public record and that ODOE may be required by law to disclose information in this tax credit application to the public on request. I have marked any information that I request be kept confidential. I understand that marking information does not guarantee that it will be kept confidential and that the Director of ODOE will make any decisions regarding public disclosure of information contained in this application in accordance with the Oregon Public Records Law.
6. I understand that ODOE does not endorse any company that requests information on this application and does not sell information as a mailing list.
7. I understand that the sum of all financial incentives for this project and the tax credit amount cannot exceed the total project payment.
8. I verify that the project owner does not restrict membership, sales, or services on the basis of race, color, creed, religion, national origin, sexual preference, or gender.

Project Owner (Please print)		Informational Filing #:	
Name of project owner:			
Federal Tax ID:		SSN:	
Mailing Address:			
City:		State:	Zip:
Phone:		Email:	

By signing this statement, I certify that I am the above named project owner or an owner, partner, member or shareholder of the above named project owner. I have read and agree with the terms and conditions of the Project Owner Statement above and have not altered it in any way.

Signature: _____ Title: _____

Print Name: _____ Date: _____

Tax Credit Recipient Statement – Photocopy this page for each tax credit recipient identified. Note that associated Pass-through fees will be assessed for each certificate issued, if Pass-through is utilized (See Page 5).

1. I understand that the Oregon Administrative Rules authorize ODOE to use my federal tax identification or social security number as an identification number in maintaining internal records and may be shared with the Oregon Department of Revenue to establish the identity of an individual in order to administer state tax law.
2. I understand that the tax credit recipient must comply with Oregon Department of Revenue requirements to document that the credit has been appropriately assigned, allocated or transferred, and claimed, and that compliance is subject to audit.
3. I understand that this tax credit application is a public record and that ODOE may be required by law to disclose information in this tax credit application to the public on request. I have marked any information that I request be kept confidential. I understand that marking information does not guarantee that it will be kept confidential and that the Director of ODOE will make any decisions regarding public disclosure of information contained in this application in accordance with Oregon Public Records Law.
4. I understand that ODOE does not endorse any company that requests information on this application and does not sell information as a mailing list.
5. The undersigned Tax Credit Recipient hereby releases the State of Oregon and its commissions, agencies, officers, employees, contractors, and agents, and agrees to defend and indemnify the foregoing from and against any claims, demands, or costs (including attorney and expert witness fees at trial and on appeal) arising from or in any way related to claims made by the Tax Credit Recipient or any parent or subsidiary of the Tax Credit Recipient and which are related in any way to ODOE's issuance or failure to issue any pre-certification or final certification to an applicant for an Energy Incentives Program tax credit, or the inability to obtain an Energy Incentives Program tax credit. This release and indemnification does not affect the right of the undersigned to claim an Energy Incentives Program tax credit on an Oregon tax return under a final certification issued by ODOE and in accordance with applicable law.
6. I verify that the tax credit recipient does not restrict membership, sales, or services on the basis of race, color, creed, religion, national origin, sexual preference, or gender.
7. I have completed this form to the best of my knowledge.

Tax Credit Recipient (All fields must be completed. Please print clearly.)

Informational Filing #:

Name of tax credit recipient(s):

Recipient SSN or Tax ID #:

Tax Credit Share: _____%

The tax credit recipient's tax year is a ☐ Calendar Year ☐ Fiscal Year of: _____

Recipient Mailing Address:

City:

State:

Zip:

Recipient Phone:

Recipient Email:

By signing this statement, I certify that I am the above named project owner, or an owner, partner, member or shareholder of the above named project owner*. I have read and agree with the terms and conditions of the Tax Credit Recipient Statement above and have not altered it in any way. I certify that I am an individual or a corporation that has an Oregon tax liability (a Pass-through tax credit may **not** be issued to a partnership, an LLC, or an LLP filing taxes as a partnership).

Signature of Tax Credit Recipient: _____ Title: _____

Print Name of Tax Credit Recipient: _____ Date: _____

Prefix First Name MI Last Name Suffix

Signature of Tax Credit Recipient: _____ Title: _____

Print Name of Tax Credit Recipient: _____ Date: _____

* Tax credits **not** issued to the project owner or owners, partners, members or shareholders of the project owner must use the Pass-through option to obtain a tax credit. Information will be shared with the Oregon Department of Revenue to administer state tax law. Contact ODOE for more information.

Energy Incentives Program

Please refer to this checklist before submitting application to ODOE to ensure all requirements have been satisfied. An application is considered incomplete if any part of the application is left blank, is omitted, or is not included.



**ALL INCOMPLETE APPLICATION WILL BE
REJECTED AND RETURNED TO APPLICANT
AND WILL NOT BE PROCESSED.**



- ☐ The Application is **COMPLETE**.
 - ☐ All spaces/sections completed
 - ☐ All appropriate attachments/documents to supplement technical appendices

- ☐ Installation/Construction of the project is complete.
 - ☐ Included documentation confirms the installed equipment and labor for the project is complete and paid for in full.

- ☐ Final Information Filing Fee included.

All boxes MUST be checked in order for Application to be considered COMPLETE



IMPORTANT



- Energy Incentives Program tax credit will be taken the same year the application for **Final Certification** is submitted, **regardless of informational filing date or the date that the project was started or completed.**

Additional Required Technical Documentation (All required for application completeness)

1. Load calculations complying with OEESC 503.21 were completed for this project. A copy of the load calculations has been included with this application.

☐ *I have included a copy of the required load calculations.*

2. Itemized invoices/paid receipts are included with application and all allocated costs listed on the application match exactly the invoices or receipts.

☐ *I have included invoices/paid receipts that match **exactly** the project costs for which I claimed in this application.*

3. A copy of the HVAC plans showing thermal zoning of the installed HVAC system that were submitted for permit *OR* a line diagram of the HVAC zoning.

☐ *I have included HVAC plans.*

4. Eligible equipment meets Oregon REACH code Tier specifications. Equipment performance will be verified with AHRI certification or a manufacturer tech sheet that verifies the appropriate energy efficiency rating and confirms the rating was tested at AHRI conditions. Equipment size and capacity must be based on AHRI design conditions.

☐ *I have included AHRI certificate.*

☐ *I have included manufacturer's technical data confirming the unit(s) performance at AHRI conditions.*

Please do NOT print this page double sided.
The credit card information will be destroyed once the payment is processed.

Final Review Fee

1. Payment **MUST** be received with application or you will not be eligible for a credit
2. Application will be **INCOMPLETE** if this payment is not submitted with application.
3. Payments considered "Received" when a signed check is included or credit card information is filled in and signed by the cardholder.
4. If payment is unable to be processed or is declined, the application will be considered **INCOMPLETE**



Small Premium Projects Final Review Fee= 0.55% of Total Project Payment

Total Project Payment (5C)*: _____ x 0.0055 = \$

Final Review Fee

* See **Project Payment Analysis** (Section 5, pg. 4).

Payment Method:

Mail completed application and application fee to:

**Oregon Department of Energy
EIP Small Premium Projects
550 Capitol St. NE, 1st Floor
Salem, OR 97301**

☐ **Check:** Please make check payable to **Oregon Department of Energy** and include with form.

OR

☐ **Visa** ☐ **MasterCard** ☐ **Discover**

ALL FIELDS REQUIRED TO PROCESS

Credit Card Number: _____ Expiration Date: _____

Name of cardholder as shown on credit card: _____

Security Code (3 digits on back of card): _____

Billing Address for the card: _____ Zip Code: _____
Address City State

Email Address for receipt: _____

Cardholder Signature: _____ Date: _____

Credit card information will be destroyed once the payment is processed.