

For office use only

Certified Cost:

\$ Tax Credit:

Tax Credit

Energy Incentives Program

Application for Small Premium Projects (SPP) Final Certificate

5.10 Outdoor LED

Informational Filing #:

1. <u>Project Owner Information</u>					
Project Owner Name:					
Federal Tax ID (<i>REQUIRED</i>)*:		SSN*:			
Contact Name:					
Mailing Address:					
City:	State:		Zip:		
Phone:		Email:			

2. Project Site Information

Project Site Address:					
City:	State: OR	Zip:		County:	
SIC or NAICS Code: SIC #: _			NAICS #:		
Total Square Footage Affected by Project:					
Natural Gas Utility:			Electrical Utility:		
Number of jobs created by the project Number of jobs eliminated by project					

3. <u>Project Description</u> - Please describe the <u>existing 100-400 watt HID fixtures that are being replaced</u>.

Lamp Type	Make/Model of Fixtures	Nominal Watts per Fixture	Number of Fixtures Installed	Hours of Operation per Year

*OAR 330-210-0030 authorizes the Oregon Department of Energy to use your federal tax identification or social security number as an identification number in maintaining internal records and may be shared with the Oregon Department of Revenue to establish the identity of an individual in order to administer state tax law.

4. Equipment & Incentive Information

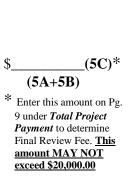
<u>Measure Description</u>: Replace Metal Halide and High Pressure Sodium Street Lighting, Parking lot lighting, and Service Station canopy lighting with new LED fixtures.

odel of res	Nominal Watts per Fixture	Hours of Operation (hr/year)	Incentive	Quantity	Total Incentive (Incentive x Quantity)
			\$90 per fixture		
			\$70 per fixture		
			\$60 per fixture		
			\$40 per fixture		
	1	1	1	<u>I</u>	Total Incentive:

\$____

MAY NOT Exceed \$7,000

5. <u>Project Payment Analysis</u> - Please list and describe all items associate the appropriate section.	d with the materials or labor within
Materials/Equipment (Paid amounts must match itemized receipts or invoices):	
☐ I have enclosed itemized invoices to verify amounts paid. (REQUIRED)	
List Materials/Equipment (allocate separate dollar amounts):	
Replace installed 350+ watt fixture with < 50% watt LED:	\$
Replace installed 200-350 watt fixture with < 120 watt LED:	\$
Replace installed 101-200 watt fixture with ≤ 85 watt LED:	\$
Replace installed 100 watt HID fixture with ≤ 60 watt LED:	\$
	TOTAL: \$(5A)
Labor:	
Replace installed 350+ watt fixture with < 50% watt LED:	\$
Replace installed 200-350 watt fixture with < 120 watt LED:	\$
Replace installed 101-200 watt fixture with ≤ 85 watt LED:	\$
Replace installed 100 watt HID fixture with ≤ 60 watt LED:	\$
	TOTAL:
	\$(5B)
Date the project is operational and all contractors, vendors, etc. are paid in full:	
Date the project was placed in service:	
	Total Paid:



7. <u>Pass-through Program Intention</u> – Project owners that intend to use the Pass-through option will be issued a <u>Certified Amount Letter</u> that indicates the final certified cost once this application for final certification is approved.

Refer to SPP Opportunity Announcement Section 3.2 for information on the Pass-through Program.

Does the Project Owner intend to use Pass-through? \Box Yes \Box No

- If 'YES', answer the questions below.
- If 'NO', the tax credit will be issued in the project owner's name. Continue on to page 5 of this application.
- 1. Has the Project Owner identified a Pass-through partner? □ Yes □ No
 - If 'YES', complete the separate Pass-through Program Attachment. (<u>http://www.oregon.gov/energy/</u>)
 - If 'NO', answer question 2 below.
- 2. Will the Project Owner request assistance finding a pass-through partner(s) from the Oregon Department of Energy? □ Yes □ No
 - If assistance finding a partner(s) is requested, the Department will place your application on "Hold" status until a partner(s) is secured. The Department will exercise due diligence to find a partner(s) but cannot guarantee that a partner(s) will be found.

8. <u>Other Incentives</u>*

List any utility, Energy Trust of Oregon, or other organization rebates or incentives (not loans) or any federal tax credits that you received (or expect to receive) for this project. List the name of the group and the amount received. If there are none, check the appropriate box.

The sum of all financial incentives and a federal tax credit and the Energy Incentives Program tax credit may <u>not</u> exceed 100% of the Final Certified Project Cost.

□ This project did not receive any incentives, please place \$0 in Total				
Type of Incentive:	Amount:			
Federal Business Energy Investment Tax Credit (ITC)	\$			
Federal Grants	\$			
Other Federal Tax Credits	\$			
Energy Trust of Oregon	\$			
Utility Incentives (investor or publicly owned)	\$			
Large Electric Consumer Self Directed Funds Incentives	\$			
Other Incentives	\$			
Total of Incentives:	\$			

* By initialing this statement, I authorize any incentivizing entity outside of ODOE to release all relevant information on this project to ODOE. This includes, but is not limited to, project information, incentives offered and received, and inspection results.

Project Owner Initials: ____

9. <u>**Project Owner Statement**</u> – All fields must be completed.

- I certify that the information in this application is correct and I am the owner of this energy project. I have complied with all conditions of the Opportunity Announcement. I grant the Oregon Department of Energy (ODOE) permission to inspect the project for compliance with tax credit requirements either before issuing Final Certification or during the years in which the tax credit is being claimed. I understand that failure to grant an inspection is grounds for revoking a Final Certificate.
- 2. I understand that ODOE approval and certification of my project is for tax credit purposes only. ODOE Does not guarantee or in any way ensure the performance of any equipment, the quality of any system, or the reliability of any dealer.
- 3. I will comply with the provision that the facility must operate in accordance with the representation mad in the Small Premium Projects Informational Filing.
- 4. I certify that the project complies with all local, state, and federal requirements and I obtained all necessary permits.
- 5. I understand that this tax credit application is a public record and that ODOE may be required by law to disclose information in this tax credit application to the public on request. I have marked any information that I request be kept confidential. I understand that marking information does not guarantee that it will be kept confidential and that the Director of ODOE will make any decisions regarding public disclosure of information contained in this application in accordance with the Oregon Public Records Law.
- 6. I understand that ODOE does not endorse any company that requests information on this application and does not sell information as a mailing list.
- 7. I understand that the sum of all financial incentives for this project and the tax credit amount cannot exceed the total project payment.
- 8. I verify that the project owner does not restrict membership, sales, or services on the basis of race, color, creed, religion, national origin, sexual preference, or gender.

Project Owner (Please print)		Informational Filing #:	
Name of project owner:			
Federal Tax ID:		SSN:	
Mailing Address:			
City:	State:	Zip:	
Phone:		Email:	

By signing this statement, I certify that I am the above named project owner or an owner, partner, member or shareholder of the above named project owner. I have read and agree with the terms and conditions of the Project Owner Statement above and have not altered it in any way.

Signature:	Title:
Print Name:	Date:

Tax Credit Recipient Statement – Photocopy this page for each tax credit recipient identified. Note that associated Pass-through fees will be assessed for each certificate issued, if Pass-through is utilized (See Page 4).

- 1. I understand that the Oregon Administrative Rules authorize ODOE to use my federal tax identification or social security number as an identification number in maintaining internal records and may be shared with the Oregon Department of Revenue to establish the identity of an individual in order to administer state tax law.
- 2. I understand that the tax credit recipient must comply with Oregon Department of Revenue requirements to document that the credit has been appropriately assigned, allocated or transferred, and claimed, and that compliance is subject to audit.
- 3. I understand that this tax credit application is a public record and that ODOE may be required by law to disclose information in this tax credit application to the public on request. I have marked any information that I request be kept confidential. I understand that marking information does not guarantee that it will be kept confidential and that the Director of ODOE will make any decisions regarding public disclosure of information contained in this application in accordance with Oregon Public Records Law.
- 4. I understand that ODOE does not endorse any company that requests information on this application and does not sell information as a mailing list.
- 5. The undersigned Tax Credit Recipient hereby releases the State of Oregon and its commissions, agencies, officers, employees, contractors, and agents, and agrees to defend and indemnify the foregoing from and against any claims, demands, or costs (including attorney and expert witness fees at trial and on appeal) arising from or in any way related to claims made by the Tax Credit Recipient or any parent or subsidiary of the Tax Credit Recipient and which are related in any way to ODOE's issuance or failure to issue any pre-certification or final certification to an applicant for an Energy Incentives Program tax credit. This release and indemnification does not affect the right of the undersigned to claim an Energy Incentives Program tax credit on an Oregon tax return under a final certification issued by ODOE and in accordance with applicable law.
- 6. I verify that the tax credit recipient does not restrict membership, sales, or services on the basis of race, color, creed, religion, national origin, sexual preference, or gender.
- 7. I have completed this form to the best of my knowledge.

Tax Credit Recipient (All fields must	be completed. Plea	ase print clearly.)	Informational Filing #:
Name of tax credit recipient(s):			
Recipient SSN or Tax ID #:		Tax Credit Share	2:%
The tax credit recipient's tax year is a	Calendar Year	☐ Fiscal Year of:	
Recipient Mailing Address:			
City:	State:		Zip:
Recipient Phone:		Recipient Email:	

By signing this statement, I certify that I am the above named project owner, or an owner, partner, member or shareholder of the above named project owner*. I have read and agree with the terms and conditions of the Tax Credit Recipient Statement above and have not altered it in any way. I certify that I am an individual or a corporation that has an Oregon tax liability (a Pass-through tax credit may **not** be issued to a partnership, an LLC, or an LLP filing taxes as a partnership).

Signature of Tax Credit Recipient:	Title:
Print Name of Tax Credit Recipient:	Date:
Signature of Tax Credit Recipient: Print Name of Tax Credit Recipient:	Title: Date:

* Tax credits <u>not</u> issued to the project owner or owners, partners, members or shareholders of the project owner must use the Pass-through option to obtain a tax credit. Information will be shared with the Oregon Department of Revenue to administer state tax law. Contact ODOE for more information.



Energy Incentives Program

Please refer to this checklist before submitting application to ODOE to ensure all requirements have been satisfied. An application is considered incomplete if <u>any</u> part of the application is left blank, is omitted, or is not included.



ALL INCOMPLETE APPLICATIONS WILL BE REJECTED AND RETURNED TO APPLICANT AND WILL NOT BE PROCESSED.



The Application is **COMPLETE**.

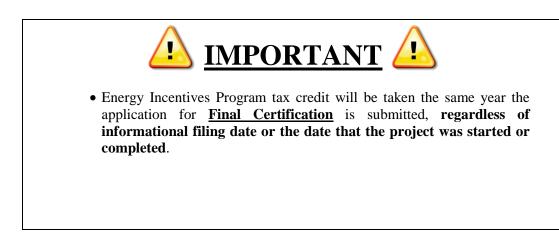
- □ <u>All</u> spaces/sections completed
- □ All appropriate attachments/documents to supplement technical appendices

Installation/Construction of the project is complete.

□ Included documentation confirms the installed equipment and labor for the project is complete and paid for in full.

Final informational filing fee included.

All boxes MUST be checked in order for Application to be considered <u>COMPLETE</u>



Please do NOT print this page double sided. The credit card information will be destroyed once the payment is processed.

Final Review Fee

- 1. Payment **MUST** be received with application or you will not be eligible for a credit
- 2. Application will be **INCOMPLETE** if this payment is not submitted with application.
- 3. Payments considered "Received" when a signed check is included or credit card information is filled in and signed by the cardholder.
- 4. If payment is unable to be processed or is declined, the application will be considered INCOMPLETE

Small Premium Projects Final Review Fee = 0.55%	of Total Project Payment
Total Project Payment $(5C)$ *: x 0.0055 =	\$
* See Project Payment Analysis (Section 5, p.	Final Review Fee g. 3).
Payment Method:	
Mail completed application and application fee to:	
Oregon Department of Energy EIP Small Premium Projects 550 Capitol St. NE, 1 st Floor Salem, OR 97301	
 Check: Please make check payable to Oregon Department of Energy OR Visa MasterCard Discover 	e rgy and include with form.
ALL FIELDS REQUIRED TO PROCESS	
Credit Card Number: Ex	piration Date:
Name of cardholder as shown on credit card:	
Security Code (3 digits on back of card):	
Billing Address for the card:	Zip Code:
Email Address for receipt:	
Cardholder Signature:	