

# OREGON BOARD OF OPTOMETRY

January 4, 2008

## *Report to the Governor and Legislative Assembly*



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Executive Director

**Oregon Board of Optometry**  
***Report to the Governor and Legislative Assembly***  
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The last report to the Governor and Legislative Assembly was filed by the Oregon Board of Optometry on December 10, 2005 and included data through November 30, 2005. Due to legislative changes in ORS 182.472, this report is now filed covering the full previous biennium from July 1, 2005 through June 30, 2007.

**I. BACKGROUND**

Senate Bill 546 was passed by the 1997 Legislative Assembly and signed into law by Governor Kitzhaber on July 25, 1997. This bill created a pilot program to assess the practicality and cost efficiency of changing professional and occupational licensing boards to semi-independent status. There were five agencies involved in the program: State Board of Architect Examiners, State Landscape Architect Board, State Board of Examiners for Engineering and Land Surveying, State Board of Geologist Examiners, and Oregon Board of Optometry.

After considerable review, the 1999 Legislative Assembly passed SB 1127 which removed these five boards from the pilot program and made them permanently semi-independent along with three additional agencies: Board of Massage Therapists, Physical Therapist Licensing Board, and the Children's Trust Fund.

The 2001 Legislative Assembly passed laws making two more agencies semi-independent; the Landscape Contractors Board and the Appraiser Certification and Licensure Board.

The Oregon Wine Board, Oregon Tourism Commission and Oregon Patient Safety Commission became semi-independent agencies through legislation passed by the 2003 Legislative Assembly.

There are now a total of 14 semi-independent agencies, boards and commissions providing services in Oregon under this operating structure.

**II. AUDIT**

The Oregon Audits Division contracted for the financial audit of the semi-independent agencies with Merina & Company, LLP, certified public accountants and consultants from West Linn, Oregon. The last audit of the Oregon Board of Optometry was performed during May 2006. This financial audit is included as part of the Audits Division report dated August 31, 2006.

The Oregon Audits Division Report dated August 31, 2006 is attached to this report as Exhibit A. The audit report noted no reportable conditions, and there were no recommendations made in the management letter prepared by Merina & Company, LLP for improving internal control procedures of the Board.

The Board's next audit will be conducted by Merina & Company, LLP and is scheduled for January 2008. This audit will cover the 2005-2007 Biennium. The audit report will be included in the next Report to the Governor and Legislative Assembly in January 2010.

### III. BUDGET

- A. Public Hearing Process** - The Board approved its proposed 2005-07 Biennium Budget on April 1, 2005 and issued a Notice of Proposed Rulemaking on April 7, 2005. A letter was mailed on May 15, 2005 to all licensees and interested parties which included copies of the filings, notices, and proposed budget indicating a public hearing would be held on June 24, 2005. The public hearing was held on that date regarding the Board's 2005-07 Biennium Budget. The Oregon Board of Optometry approved OAR 852-05-005 adopting the 2005-07 Biennium Budget on June 24, 2005 after the public hearing. The permanent administrative rule was filed with the Secretary of State's office on June 29, 2005 to become effective July 1, 2005.

The Board approved its proposed 2007-09 Biennium Budget on February 9, 2007 and issued a Notice of Proposed Rulemaking on February 14, 2007. A letter was mailed on April 6, 2007 to all licensees and interested parties which included copies of the filings, notices, and proposed budget indicating a public hearing would be held on May 4, 2007. The public hearing was held on that date regarding the Board's 2007-09 Biennium Budget. The Oregon Board of Optometry approved OAR 852-05-005 adopting the 2007-09 Biennium Budget on May 4, 2007 after the public hearing. The permanent administrative rule was filed with the Secretary of State's office on May 21, 2007 to become effective July 1, 2007.

The Board's approved 2005-07 Biennium Budget projected expenditures of \$553,724 and revenues of \$538,925 (see Exhibit B). The Revenue and Budget Report of the Oregon Board of Optometry covering the 2005-2007 biennium, which discloses the actual revenue and expense compared to budget, is also enclosed as part of Exhibit B. A Cash Analysis is included as part of the budget package indicating the beginning and ending balances for each of the biennia (see Exhibit B).

- B. Fee Changes and Justification** - The projected revenue in the 2005-07 Biennium Budget was \$63,799 short of projected expenditures based upon 2003-2005 biennium fees. Since the Board members prefer to operate with a balanced budget, they decided to use only \$14,799 of reserves to cover the projected deficit in the 2005-07 Biennium Budget; new license fee increases for active status licensees were deemed necessary.

The major factors affecting the Board's adopted budget are as follows: (1) The trend of Inactive status licensees is definitely downward, and Active status licenses have basically been stable. Therefore, the Board's total licensing base continues a gradual decline because the number of Active status licensees is not increasing as fast as Inactive status licensees are declining. (2) On the expense side of the budget, personnel costs have continued to increase, particularly the costs associated with PERS and medical insurance, and the longevity of Board employees and the associated salary increases.

The projected revenue in the 2007-09 Biennium Budget was \$39,304 short of projected expenditures based upon 2005-2007 biennium fees. Since the Board members prefer to operate with a balanced budget, they decided to use only \$9,959 of reserves to cover the projected deficit in the 2005-07 Biennium Budget; new license fee increases for active and inactive status licensees were deemed necessary.

The major factors affecting the Board's adopted budget for the 2007-2009 biennium continue

to be the same as referenced above for the previous biennium.

The attached tables indicate the fees of the Oregon Board of Optometry and any increases for the 2005-2007 Biennium and the 2007-2009 Biennium (Exhibit B).

#### **IV. ADMINISTRATIVE RULES**

The Board promulgates administrative rules under Chapter 852. During the 2005-2007 Biennium, 12 administrative rules were either adopted, amended, or repealed by the Board. These administrative rule revisions involved functions such as the Board's 2005-2007 Biennium Budget, CPR certification and re-certification, and AT and ATI certification. A complete list of these rules is attached as Exhibit C.

#### **IV. BOARD ACTIVITIES** (July 1, 2005 through June 30, 2007)

- A. Licensing and Continuing Optometric Education** - Licensees are required to annually renew their license to practice optometry for the license period established by the Board. License year renewal periods are based upon birth dates of licensees in order that expiration dates fall due each month of the year.

The Oregon Board of Optometry continues to offer licenses to practice optometry in the State of Oregon to new Doctor of Optometry candidates for licensure, and for annual renewal of practitioner licenses. During the biennium, the Board received 103 new applications for licensure and issued 98 new licenses to practice optometry in Oregon. The average time to issue a license (from the date an application is received until the license is issued) was 76 days for the reporting period. The amount of time varied from a low of five days to a high of 350 days. The variance occurs because candidates for licensure usually send in their application for licensure before they have even graduated from optometry school and before the Board has received the score results from their national board examinations from the NBEO (National Board of Examiners in Optometry). Once all required documentation is received by the Board, it is usually a matter of only a few days until the applicant is licensed.

The Board issued approximately 3479 licenses for the biennium. In addition, approximately 752 licenses for additional practice locations and 249 changes in practice locations licenses were issued. Approximately 44% of the optometric physicians in Oregon have multiple offices in which they practice. The Board issues a new license to an optometric physician any time the practitioner adds a new practice location or moves a practice location to another address. Forty-Five examinations of the Law and Administrative Rules were administered. (See Exhibit D)

The number of licensees practicing optometry in this state has remained fairly consistent even though the total number of licensees has been declining. The number of inactive licensees, those licensed but not practicing in Oregon, continues to decline every year. This decline is probably due to the fact that optometry boards in all 50 states now use all or most parts of the standardized tests of the National Boards (NBEO), which greatly facilitates the mobility of optometric physicians. This eliminates the need to maintain licenses in more than one state. The Board expects this trend to continue in the future.

In addition to new and renewal licenses, the Board began offering licensing through

endorsement in 1998 (ORS 683.220). This is an area that has generated a great deal of interest and the Board was successful in getting legislation passed (SB 1052) in the 2001 Legislature that facilitated the licensure by endorsement process. The Board issued 5 licenses by endorsement during the reporting period. However, licensure by endorsement also reduces the need for optometric physicians to maintain inactive licenses.

SB 45 passed in the 2001 Legislative Session allowing optometric physicians to use and prescribe nontopical therapeutic pharmaceutical agents. A Council on Optometric Nontopical Formulary was established consisting of three optometric physicians, three medical doctors and one pharmacist to evaluate and develop a list of nontopical drugs that optometric physicians can use and prescribe. The Board developed administrative rules and adopted a nontopical formulary on July 26, 2002. As of June 30, 2005, there were 336 optometric physicians in Oregon who received the training and certification from the Board to use, prescribe or administer nontopical therapeutic pharmaceutical agents; on June 30, 2007 there were 507 optometric physicians in Oregon who have received the advanced therapeutics certification. This represents 75 % of the active status licensees in Oregon. During the biennium, the Board certified 129 optometric physicians to use advanced therapeutics.

A meeting of the Council on Optometric Nontopical Formulary was held on October 26, 2005. The main objective of the Council meeting was to review the implementation of the nontopical formulary. The Council noted that optometric physicians certified by the Board to use the optometric nontopical formulary of drugs was quite extensive in its geographic coverage of the state. AT certified optometric physicians were practicing in all but five counties - - - Gilliam, Jefferson, Sherman, Wallowa, and Wheeler. In three of the five counties there were no optometric physicians practicing at all. The formulary council and the Board were both concerned with expanding the availability and use of nontopical drugs to more optometric physicians and, therefore, provide even wider access to Oregon citizens. The Council commended the Board on the implementation of the optometric nontopical formulary.

The Board moved from the impetus of that Formulary Council meeting and recommendation to develop proposed administrative rules that would add an additional advanced therapeutics certification. Two types of advanced therapeutic certifications were proposed: (1) An AT certification for those optometric physicians who wished to use advanced therapeutics but did not want to use injections, and (2) an ATI certification for those optometric physicians who desired using advanced therapeutics and be able to do injections. These administrative rules were implemented effective April 1, 2006. These administrative rule changes allowed the Board to certify during the biennium an additional 84 optometric physicians to use advanced therapeutics in their practices who would not have been certified under the previous requirements. As of June 30, 2007, there were 523 optometric physicians with advanced therapeutic certification who were practicing in all but three counties - - - Gilliam, Sherman, and Wheeler. In these three counties there are still no optometric physicians practicing (see Exhibit E).

The Board requires all active status licensees to complete not less than 18 hours of clinical optometric education courses per license year as a condition of renewal. In addition, effective January 1, 2005, the Board required one hour every other year in ethics or Oregon optometry law and administrative rules for license renewal. The Board presented a course on optometry law and administrative rules in May of 2007 at the Oregon Optometric Physician's Association Annual Convention which was open to all licensees for approved COE credit.

The Board also sponsored an ethics course at the 2006 annual convention.

The Board approves and maintains a database on courses that meet the requirements for continuing optometric education which is available to all practitioners. For the biennial reporting period, the Board reviewed and approved 164 courses that were determined to meet its requirements for continuing optometric education (see Exhibit D). In addition, the Board grants automatic approval for optometric continuing education courses approved by COPE (Council on Practitioner Education), which is a program run by ARBO (Association of Regulatory Board of Optometry). The Board has granted approval for all COPE course category continuing optometric education courses except Practice Management and Jurisprudence courses.

- B. Complaints and enforcement** - The Board has continued its responsibilities this biennium for handling consumer complaints and Board-initiated enforcement. The Board Activities Reports for the 24-month period indicates that there were 44 new consumer complaints. Fifty complaint cases were resolved and closed by the Board, including six with discipline. Discipline included one revocation, five cases of reprimand and the assessment of one civil penalty. The average number of days to complete complaint cases (from the date a complaint is received or Board initiated investigation begun until resolution of the case) was 150 days for the reporting period. It should be noted that the length of time to complete complaint cases is mainly an issue of the length of time between meetings of the Board. Most complaint cases will require two meetings of the Board to complete, with some requiring less and some more depending on the complexity of the case. The Board tries to balance the need to hold Board meetings and the associated cost and use of resources with the need to timely complete complaint cases. For this and other information concerning consumer complaints and enforcement, see the attached Board Activities Report, Exhibit D.
- C. Publications and outreach** - The Board's web site on the Internet is (<http://www.oregonobo.org>) which contains information for consumers, candidates for licensing, current licensees, third-party payers, credentialing companies and others. The other major tool for communication to licensees and other interested parties has been Board newsletters. There has been an emphasis to get licensee data on the web site for use by consumers, third-part payers, and credentialing companies. During the past biennium, the Board has continued its focus on getting more information on the web site, such as downloadable forms, newsletters, Board minutes, biennium budgets, financial reports, proposed administrative rules, meeting times, links to important sites, and other pertinent information.

The Oregon Board of Optometry and several other semi-independent agencies jointly developed a publication entitled Guidelines for Semi-Independent Government which was completed and printed in November 2006. This document was made available to all semi-independent agencies for their administrators/directors and board/council members. It also was distributed to the Governor's office, Legislative Fiscal office and the Joint Legislative Audit Committee for their review and use.

These Guidelines for Semi-Independent Government have been developed by the semi-independent agencies to assist anyone wanting a better understanding of semi-independent agencies and how they function. They are particularly intended to be used by current and new

administrators/directors and board members of semi-independent agencies.

## **VI. COMMENTS**

This Oregon Board of Optometry has been operating as a semi-independent agency for 10 ½ years. The results for the Oregon Board of Optometry continue to be very clearly favorable in their overall effect and the Board highly values its semi-independent status.

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**Oregon Board of Optometry  
2005-2007 Biennium Budget  
July 2005 through June 2007**

	Jul '05 - Jun 06	Jul '06 - Jun 07	TOTAL Jul '05 - Jun 07
<b>Income</b>			
<b>2200 · LICENSING FEES</b>			
0184 · Additional Office	15,600.00	15,600.00	31,200.00
1520 · Annual Renewal - Active	136,500.00	153,925.00	290,425.00
1521 · Annual Renewal - Inactive	47,250.00	46,350.00	93,600.00
1831 · Disciplinary	22,750.00	22,925.00	45,675.00
1846 · Continuing Education	13,000.00	13,100.00	26,100.00
2200 · LICENSING FEES - Other		0.00	0.00
<b>Total 2200 · LICENSING FEES</b>	<b>235,100.00</b>	<b>251,900.00</b>	<b>487,000.00</b>
<b>2300 · MISCELLANEOUS FEES</b>			
1765 · License Verifications	1,300.00	1,300.00	2,600.00
1774 · Miscellaneous Revenue	350.00	350.00	700.00
1792 · Interest Income	1,000.00	1,500.00	2,500.00
<b>Total 2300 · MISCELLANEOUS FEES</b>	<b>2,650.00</b>	<b>3,150.00</b>	<b>5,800.00</b>
<b>2400 · OTHER FEES</b>			
1225 · Wall Certificate	900.00	900.00	1,800.00
1292 · New License Application	7,800.00	8,100.00	15,900.00
1293 · TPA Certification Application	2,175.00	550.00	2,725.00
1294 · Endorsement Application	1,250.00	1,250.00	2,500.00
1295 · Jurisprudence Examination	1,500.00	1,500.00	3,000.00
1296 · Reactivation Application	200.00	200.00	400.00
1297 · Reinstatement Application	300.00	300.00	600.00
1810 · Late Payment	5,600.00	5,600.00	11,200.00
1811 · Civil Penalties	4,000.00	4,000.00	8,000.00
<b>Total 2400 · OTHER FEES</b>	<b>23,725.00</b>	<b>22,400.00</b>	<b>46,125.00</b>
<b>Total Income</b>	<b>261,475.00</b>	<b>277,450.00</b>	<b>538,925.00</b>
<b>Expense</b>			
<b>3100 · PAYROLL &amp; OPE</b>			
<b>3110 · PAYROLL</b>			
3111 · Employee Payroll	133,519.50	133,519.50	267,039.00
3120 · Board Payroll - Per Diem	3,375.00	3,375.00	6,750.00
3121 · Temporary Payroll	1,200.00	1,200.00	2,400.00
<b>Total 3110 · PAYROLL</b>	<b>138,094.50</b>	<b>138,094.50</b>	<b>276,189.00</b>
<b>3200 · OPE</b>			
3221 · Payroll Tax - FICA	8,562.00	8,562.00	17,124.00

**Oregon Board of Optometry  
2005-2007 Biennium Budget  
July 2005 through June 2007**

	<b>TOTAL</b>		
	<b>Jul '05 - Jun 06</b>	<b>Jul '06 - Jun 07</b>	<b>Jul '05 - Jun 07</b>
3222 · Payroll Tax - Medicare	2,002.50	2,002.50	4,005.00
3223 · Payroll Tax - Other	82.50	82.50	165.00
3263 · Payroll Benefit - Insurance	30,788.00	30,788.00	61,576.00
3265 · Payroll Benefit - PERS/Employee	8,083.00	8,083.00	16,166.00
3267 · Payroll Benefit - PERS/Board	19,615.00	19,615.00	39,230.00
3271 · Payroll Benefits Plan Expense	656.00	656.00	1,312.00
<b>Total 3200 · OPE</b>	<b>69,789.00</b>	<b>69,789.00</b>	<b>139,578.00</b>
3100 · PAYROLL & OPE - Other		0.00	0.00
<b>Total 3100 · PAYROLL &amp; OPE</b>	<b>207,883.50</b>	<b>207,883.50</b>	<b>415,767.00</b>
<b>6000 · SERVICES &amp; SUPPLIES</b>			
<b>6010 · IN-STATE TRAVEL</b>			
6026 · In State Meals & Lodging	1,125.00	1,125.00	2,250.00
6028 · Conference Meals & Lodging	625.00	625.00	1,250.00
6036 · In-State Ground Transportation	2,250.00	2,250.00	4,500.00
<b>Total 6010 · IN-STATE TRAVEL</b>	<b>4,000.00</b>	<b>4,000.00</b>	<b>8,000.00</b>
<b>6050 · OUT-OF-STATE TRAVEL</b>			
6051 · Out-of-State Meals & Lodging	212.50	212.50	425.00
6056 · Out-of-State Air Transportation	300.00	300.00	600.00
6061 · Out-of-State Ground Transportat	62.50	62.50	125.00
6066 · Out-of-State Travel - Miscellan	25.00	25.00	50.00
<b>Total 6050 · OUT-OF-STATE TRAVEL</b>	<b>600.00</b>	<b>600.00</b>	<b>1,200.00</b>
<b>6080 · OFFICE EXPENSE</b>			
6089 · Office Supplies	1,900.00	1,900.00	3,800.00
6111 · Printing and Reproduction	1,575.00	1,575.00	3,150.00
6120 · Postage	4,600.00	4,600.00	9,200.00
6125 · Office Services	125.00	125.00	250.00
6140 · Equipment Rentals	1,125.00	1,125.00	2,250.00
6145 · Dues and Subscriptions	1,075.00	1,075.00	2,150.00
6160 · Publicity and Publications	137.50	137.50	275.00
6197 · Data Processing Supplies	750.00	750.00	1,500.00
6216 · Data Processing Services	1,750.00	1,750.00	3,500.00
6560 · On-line Payroll Service	850.00	850.00	1,700.00
<b>Total 6080 · OFFICE EXPENSE</b>	<b>13,887.50</b>	<b>13,887.50</b>	<b>27,775.00</b>
6174 · TELECOMMUNICATIONS & TECHNOLO...	3,625.00	3,625.00	7,250.00
6220 · PROFESSIONAL DEVELOPMENT			

**Oregon Board of Optometry  
2005-2007 Biennium Budget  
July 2005 through June 2007**

	Jul '05 - Jun 06	Jul '06 - Jun 07	TOTAL Jul '05 - Jun 07
6250 · Prof. Devlpmt. - Inter-agency	375.00	375.00	750.00
<b>Total 6220 · PROFESSIONAL DEVELOPMENT</b>	<b>375.00</b>	<b>375.00</b>	<b>750.00</b>
6435 · PROFESSIONAL SERVICES	2,500.00	2,500.00	5,000.00
6440 · ATTORNEY GENERAL LEGAL FEES	15,800.00	15,800.00	31,600.00
6451 · AUDIT CHARGES	1,750.00	1,750.00	3,500.00
6456 · INSURANCE	2,900.00	2,900.00	5,800.00
6498 · INTRA-AGENCY CHARGES	250.00	250.00	500.00
6523 · OTHER SERVICES	125.00	125.00	250.00
6532 · BANK SERVICE CHARGES	360.00	360.00	720.00
6612 · FACILITIES RENT	20,306.00	20,306.00	40,612.00
6960 · EXPENDABLE PROPERTY (\$250-5000)	2,500.00	2,500.00	5,000.00
<b>Total 6000 · SERVICES &amp; SUPPLIES</b>	<b>68,978.50</b>	<b>68,978.50</b>	<b>137,957.00</b>
<b>Total Expense</b>	<b>276,862.00</b>	<b>276,862.00</b>	<b>553,724.00</b>
<b>Net Income</b>	<b>-15,387.00</b>	<b>588.00</b>	<b>-14,799.00</b>

**Oregon Board of Optometry**  
*2007-2009 BIENNIUM BUDGET SCHEDULE*

Date	Action
February 9, 2007	Proposed 2005-2007 Biennium Budget reviewed and approved by Board.
February 14, 2007	File Notice of Rulemaking Hearing with Secretary of State's office.
March 1, 2007	Notice published in Oregon Bulletin
April 6, 2007	Proposed budget and notice of hearing mailed to all licensees and interested parties.
May 4, 2007	Hold administrative rule hearing on proposed budget.
May 4, 2007	Board approves 2007-2009 Biennium Budget.
May 21, 2007	File 2007-2009 Biennium Budget with the Secretary of State's office.
July 1, 2007	2007-2009 Biennium Budget becomes effective.

Oregon Board of Optometry  
2007-2009 Biennium Budget  
July 2007 through June 2009

	TOTAL		
	Jul '07 - Jun 08	Jul '08 - Jun 09	Jul '07 - Jun 09
<b>Revenue</b>			
<b>2200 · LICENSING FEES</b>			
0186 · Multiple Office	7,650.00	8,100.00	15,750.00
0184 · Additional Office	9,225.00	9,450.00	18,675.00
1520 · Annual Renewal - Active	159,165.00	160,380.00	319,545.00
1521 · Annual Renewal - Inactive	53,900.00	53,900.00	107,800.00
1831 · Disciplinary	22,925.00	23,100.00	46,025.00
1846 · Continuing Education	13,100.00	13,200.00	26,300.00
<b>Total 2200 · LICENSING FEES</b>	<b>265,965.00</b>	<b>268,130.00</b>	<b>534,095.00</b>
<b>2300 · MISCELLANEOUS FEES</b>			
1765 · License Verifications	1,600.00	1,600.00	3,200.00
1774 · Miscellaneous Revenue	500.00	500.00	1,000.00
1794 · Investment Income	3,500.00	3,500.00	7,000.00
<b>Total 2300 · MISCELLANEOUS FEES</b>	<b>5,600.00</b>	<b>5,600.00</b>	<b>11,200.00</b>
<b>2400 · OTHER FEES</b>			
1225 · Wall Certificate	1,350.00	1,350.00	2,700.00
1292 · New License Application	10,000.00	10,000.00	20,000.00
1293 · TPA Certification Application	5,625.00	5,625.00	11,250.00
1294 · Endorsement Application	900.00	900.00	1,800.00
1295 · Jurisprudence Examination	2,250.00	2,250.00	4,500.00
1296 · Reactivation Application	800.00	800.00	1,600.00
1297 · Reinstatement Application	400.00	400.00	800.00
1810 · Late Payment	6,000.00	6,000.00	12,000.00
1811 · Civil Penalties	4,000.00	4,000.00	8,000.00
<b>Total 2400 · OTHER FEES</b>	<b>31,325.00</b>	<b>31,325.00</b>	<b>62,650.00</b>
<b>Total Revenue</b>	<b>302,890.00</b>	<b>305,055.00</b>	<b>607,945.00</b>
<b>Expense</b>			
<b>3100 · PAYROLL &amp; OPE</b>			
<b>3110 · PAYROLL</b>			
3111 · Employee Payroll	160,198.00	160,198.00	320,396.00
3120 · Board Payroll - Per Diem	2,100.00	2,100.00	4,200.00
3121 · Temporary Payroll	1,200.00	1,200.00	2,400.00
<b>Total 3110 · PAYROLL</b>	<b>163,498.00</b>	<b>163,498.00</b>	<b>326,996.00</b>
<b>3200 · OPE</b>			
3221 · Payroll Tax - FICA	10,137.00	10,137.00	20,274.00
3222 · Payroll Tax - Medicare	2,370.50	2,370.50	4,741.00
3263 · Payroll Benefit - Insurance	34,186.50	34,186.50	68,373.00
3265 · Payroll Benefit - PERS/Employee	9,684.00	9,684.00	19,368.00
3267 · Payroll Benefit - PERS/Board	20,497.50	20,497.50	40,995.00
3269 · Payroll Tax - Workers Comp Bd.	65.00	65.00	130.00
3271 · Payroll Benefits Plan Expense	691.00	691.00	1,382.00
<b>Total 3200 · OPE</b>	<b>77,631.50</b>	<b>77,631.50</b>	<b>155,263.00</b>
<b>Total 3100 · PAYROLL &amp; OPE</b>	<b>241,129.50</b>	<b>241,129.50</b>	<b>482,259.00</b>

Oregon Board of Optometry  
2007-2009 Biennium Budget  
July 2007 through June 2009

	<u>Jul '07 - Jun 08</u>	<u>Jul '08 - Jun 09</u>	<u>TOTAL</u> <u>Jul '07 - Jun 09</u>
<b>6000 · SERVICES &amp; SUPPLIES</b>			
<b>6010 · IN-STATE TRAVEL</b>			
6026 · In State Meals & Lodging	1,125.00	1,125.00	2,250.00
6028 · Conference Meals & Lodging	625.00	625.00	1,250.00
6036 · In-State Ground Transportation	2,250.00	2,250.00	4,500.00
<b>Total 6010 · IN-STATE TRAVEL</b>	<u>4,000.00</u>	<u>4,000.00</u>	<u>8,000.00</u>
<b>6050 · OUT-OF-STATE TRAVEL</b>			
6051 · Out-of-State Meals & Lodging	212.50	212.50	425.00
6056 · Out-of-State Air Transportation	300.00	300.00	600.00
6061 · Out-of-State Ground Transportat	62.50	62.50	125.00
6066 · Out-of-State Travel - Miscellan	25.00	25.00	50.00
<b>Total 6050 · OUT-OF-STATE TRAVEL</b>	<u>600.00</u>	<u>600.00</u>	<u>1,200.00</u>
<b>6080 · OFFICE EXPENSE</b>			
6089 · Office Supplies	1,975.00	1,975.00	3,950.00
6111 · Printing and Reproduction	2,450.00	2,450.00	4,900.00
6120 · Postage	5,000.00	5,000.00	10,000.00
6125 · Office Services	125.00	125.00	250.00
6140 · Equipment Rentals	750.00	750.00	1,500.00
6145 · Dues and Subscriptions	1,075.00	1,075.00	2,150.00
6160 · Publicity and Publications	137.50	137.50	275.00
6197 · Data Processing Supplies	750.00	750.00	1,500.00
6216 · Data Processing Services	2,500.00	2,500.00	5,000.00
6560 · On-line Payroll Service	867.50	867.50	1,735.00
<b>Total 6080 · OFFICE EXPENSE</b>	<u>15,630.00</u>	<u>15,630.00</u>	<u>31,260.00</u>
<b>6174 · TELECOMMUNICATIONS &amp; TECHNOLOGY</b>	3,000.00	3,000.00	6,000.00
<b>6220 · PROFESSIONAL DEVELOPMENT</b>			
6250 · Prof. Devlpmt. - Inter-agency	375.00	375.00	750.00
<b>Total 6220 · PROFESSIONAL DEVELOPMENT</b>	<u>375.00</u>	<u>375.00</u>	<u>750.00</u>
<b>6435 · PROFESSIONAL SERVICES</b>	2,500.00	2,500.00	5,000.00
<b>6440 · ATTORNEY GENERAL LEGAL FEES</b>	10,000.00	10,000.00	20,000.00
<b>6451 · AUDIT CHARGES</b>	3,000.00	3,000.00	6,000.00
<b>6456 · INSURANCE</b>	2,900.00	2,900.00	5,800.00
<b>6498 · INTRA-AGENCY CHARGES</b>	250.00	250.00	500.00
<b>6523 · OTHER SERVICES</b>	125.00	125.00	250.00
<b>6532 · BANK SERVICE CHARGES</b>	437.50	437.50	875.00
<b>6612 · FACILITIES RENT</b>	22,505.00	22,505.00	45,010.00
<b>6960 · EXPENDABLE PROPERTY (\$250-5000)</b>	2,500.00	2,500.00	5,000.00
<b>Total 6000 · SERVICES &amp; SUPPLIES</b>	<u>67,822.50</u>	<u>67,822.50</u>	<u>135,645.00</u>
<b>Total Expense</b>	<u>308,952.00</u>	<u>308,952.00</u>	<u>617,904.00</u>
<b>Revenue over/under Expense</b>	<u>-6,062.00</u>	<u>-3,897.00</u>	<u>-9,959.00</u>

# Oregon Board of Optometry Biennium Budgets

## Fund Analysis

<b>Source</b>	<b>2003-2005 Biennium Actual</b>	<b>2005-2007 Biennium Actual</b>	<b>2007-2009 Biennium Approved</b>
Beginning Fund Balance	124,801	131,607	192,634
Total Revenue	477,391	559,440	607,945
Total Funds Available	602,192	691,047	800,579
Total Expenditures	470,585	498,413	617,904
Ending Fund Balance	131,607	192,634	182,675

## Oregon Board of Optometry Fees

Fees	2005-2007 Biennium	Fee Increase	2007-2009 Biennium
Annual Renewal - Active License	\$235	\$8	\$243
Annual renewal - Inactive License	\$90	\$8	\$98
Continuing Education Renewal Fee	\$20	\$0	\$20
Disciplinary Renewal Fee	\$35	\$0	\$35
Additional Office License	\$40	\$5	\$45
Multiple Office License	\$80	\$10	\$90
Application for Examination & Licensure	\$150	\$50	\$200
Application for Endorsement Examination & Licensure	\$250	\$50	\$300
Application for TPA Certification	\$75	\$0	\$75
Law and Administrative Rules Examination	\$75	\$0	\$75
Reactivation of License	\$100	\$0	\$100
Reinstatement of License	\$100	\$0	\$100
Wall Display Certificate	\$20	\$10	\$30
License Verification	\$20	\$0	\$20
Law and Administrative Rules Booklet	\$25	\$0	\$25
List of Licensees	\$25 - \$50	\$0	\$25 - \$50
Failure to notify the Board of practice locations	\$50 - \$200	\$0	\$50 - \$200
Late renewal application, late payment, late continuing optometric education	\$50 - \$200	\$0	\$50 - \$200

Oregon Board of Optometry  
 Adopted, Amended, Repealed Administrative Rules  
 July 1, 2005 through June 30, 2007  
 Master List

OAD #	Effective Date	Board Adopted	Board Amended	Board Repealed	Filed with SOS	Description
852-005-0005	7/1/05		6/24/05		6/29/05	Establishes the Board's budget for 2005-2007 biennium
852-010-0080	7/1/05		6/24/05		6/29/05	Establishes the Board's schedule of fees
852-050-0006	7/1/05		6/24/05		6/29/05	Established the Board's renewal fees
852-010-025, 027, 028	12/8/05		12/2/05		12/8/05	Delete these sections and renumber in Division 060
852-060-025, 027, 028	12/8/05	12/2/05			12/8/05	Moved from Division 010 and renamed. Amend rules to reflect legislative changes regarding disciplinary actions
852-060-0075	3/8/06		3/8/06		3 /8/06	Revise rule regarding discovery to correspond with changes made in 2005 Legislative session
852-020-0070	4/1/06		3/17/06		3/20/06	Delete reference to DPA; Add licensure requirement for Non-topical pharmaceutical agents
852-050-0006, 0012, 0014	4/1/06		3/17/06		3/20/06	Delete reference to 852-080 subsection regarding CPR certification requirements
852-080-0030, 0040	4/1/06		3/17/06		3/20/06	Delete requirements for DPA certification. Revise requirements for AT certification; Add requirements for ATI certification
852-010-0080	7/1/06		6/24/05		3/20/06	Implement changes to Schedule of Fees adopted for 2005-07 biennium
852-050-0006	7/1/06		6/24/05		3/20/06	Implement changes to renewal fee adopted for 2005-07 biennium
851-080-0040	8/2/06		6/2/06		8 /2/06	Remove reference to American Heart Association in requirements for renewal of CPR for AT or ATI certification

# OREGON BOARD OF OPTOMETRY

## BOARD ACTIVITIES REPORT

FISCAL YEAR: JULY 2005 - JUNE 2006

ACTIVITY:	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	YTD
ACTIVE LICENSEES	658	661	658	658	655	656	656	655	652	651	656	661	
ACTIVE ATI										377	381	390	
ACTIVE AT	344	348	350	350	362	361	367	367	368	4	9	17	
ACTIVE T	310	309	305	306	291	294	289	288	284	270	266	254	
ACTIVE DPA (ONLY)	4	4	3	2	2	1							
INACTIVE/MILITARY LICENSEES	562	563	563	562	563	564	562	559	560	561	558	560	
INACTIVE/MILITARY ATI										14	14	16	
INACTIVE/MILITARY AT	10	10	11	11	13	12	13	14	14	0	0	0	
INACTIVE/MILITARY T	317	318	317	316	320	322	322	320	324	325	323	323	
INACTIVE/MILITARY DPA (ONLY)	202	202	202	202	199	199	196	195	192	192	191	191	
INACTIVE/MILITARY NO T/DPA	33	33	33	33	31	31	31	30	30	30	30	30	
TOTAL LICENSEES	1220	1224	1221	1220	1218	1220	1218	1214	1212	1212	1214	1221	
APPLICATIONS FOR LICENSE RECEIVED	5	5	3	2	6	0	1	2	7	3	5	15	54
NEW LICENSES ISSUED	14	8	3	0	2	3	4	0	1	2	5	9	51
BY EXAMINATION	14	8	1	0	2	3	4	0	1	1	4	8	46
BY ENDORSEMENT	0	0	0	0	0	0	0	0	0	1	1	1	3
REACTIVATIONS/REINSTATEMENTS OF LICENSE	0	0	3	0	0	4	0	0	7	0	0	1	15
ATI CERTIFICATION							0	0	0	7	3	3	13
AT CERTIFICATIONS	2	2	2	3	9	0	5	2	0	4	2	2	33
T CERTIFICATIONS	0	0	0	0	1	1	0	0	1	0	0	0	3
LICENSE VERIFICATIONS COMPLETED	9	7	9	6	6	3	11	11	11	4	7	10	94
CE COURSES APPROVED/ENTERED	1	2	5	5	4	8	13	19	5	5	7	9	83
BOARD MEETING DAYS	1	0	0	1	0	1	1	0	1	0	0	1	6

**OREGON BOARD OF OPTOMETRY**  
**BOARD ACTIVITIES REPORT**

FISCAL YEAR: JULY 2005 - JUNE 2006

ACTIVITY:	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	YTD
COMPLAINTS:													
CONSUMER INQUIRIES HANDLED BY STAFF	7	5	5	7	6	2	2	3	3	9	3	5	57
NEW FORMAL COMPLAINTS RECEIVED BY STAFF	2	0	2	2	2	3	0	3	3	3	1	1	22
FORMAL COMPLAINTS REVIEWED BY BOARD	0	0	13	0	0	19	0	0	16	0	0	11	59
MONITORED CASES REVIEWED BY BOARD	2	0	6	0	0	2	0	0	2	0	0	2	14
COMPLAINTS CARRIED FROM PREVIOUS MONTH	15	17	17	12	14	16	11	11	14	7	10	11	
NEW COMPLAINTS REVIEWED BY BOARD	0	0	2	0	0	9	0	0	5	0	0	4	20
COMPLAINTS RESOLVED AND CLOSED BY BOARD	0	0	7	0	0	8	0	0	10	0	0	2	27
DISCIPLINARY ACTION	0	0	4	0	0	0	0	0	0	0	0	0	4
REVOCATION	0	0	0	0	0	0	0	0	0	0	0	0	0
SUSPENSION	0	0	0	0	0	0	0	0	0	0	0	0	0
LIMITED LICENSE	0	0	0	0	0	0	0	0	0	0	0	0	0
REPRIMAND	0	0	4	0	0	0	0	0	0	0	0	0	4
CIVIL PENALTY	0	0	0	0	0	0	0	0	0	0	0	0	0
NO DISCIPLINARY ACTION	0	0	3	0	0	8	0	0	10	0	0	2	23
COMPLAINTS REMAINING OPEN	17	17	12	14	16	11	11	14	7	10	11	10	

**OREGON BOARD OF OPTOMETRY**  
**BOARD ACTIVITIES REPORT**  
**FISCAL YEAR: JULY 2006 - JUNE 2007**

ACTIVITY:	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	YTD
ACTIVE LICENSEES	671	674	674	674	671	672	670	667	665	664	666	677	
ACTIVE ATI	393	396	393	399	406	414	416	420	422	421	423	435	
ACTIVE AT	18	22	27	39	42	45	52	53	57	61	69	72	
ACTIVE T	260	256	254	236	223	213	202	194	186	182	174	170	
INACTIVE/MILITARY LICENSEES	558	553	551	551	552	550	548	548	549	548	545	546	
INACTIVE/MILITARY ATI	17	17	18	18	20	20	21	22	22	22	22	23	
INACTIVE/MILITARY AT	2	2	2	2	2	3	4	4	4	5	5	5	
INACTIVE/MILITARY T	319	316	316	317	316	314	310	311	313	314	313	313	
INACTIVE/MILITARY DPA (ONLY)	190	188	185	184	184	183	183	181	180	178	177	177	
INACTIVE/MILITARY NO T/DPA	30	30	30	30	30	30	30	30	30	29	28	28	
TOTAL LICENSEES	1229	1227	1225	1225	1223	1222	1218	1215	1214	1212	1211	1223	
APPLICATIONS FOR LICENSE RECEIVED	4	1	4	4	1	3	1	1	3	2	9	16	49
NEW LICENSES ISSUED	12	3	2	4	0	2	0	3	1	1	3	16	47
BY EXAMINATION	12	3	2	4	0	2	0	3	1	1	2	14	44
BY ENDORSEMENT	0	0	0	0	0	0	0	0	0	0	1	1	2
REACTIVATIONS/REINSTATEMENTS OF LICENSE	0	0	6	0	0	0	0	4	0	0	1	0	11
ATI CERTIFICATION	2	2	1	3	9	7	1	2	1	1	1	2	32
AT CERTIFICATIONS	3	4	3	9	5	4	6	2	3	5	6	1	51
T CERTIFICATIONS	0	0	0	0	0	0	0	0	0	0	0	0	0
LICENSE VERIFICATIONS COMPLETED	9	20	6	3	7	7	10	2	6	10	2	3	85
CE COURSES APPROVED/ENTERED	3	7	10	2	5	4	9	15	10	6	3	7	81
BOARD MEETING DAYS	0	0	1	0	0	0	0	1	0	0	1	0	3

**OREGON BOARD OF OPTOMETRY**  
**BOARD ACTIVITIES REPORT**  
**FISCAL YEAR: JULY 2006 - JUNE 2007**

ACTIVITY:	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	YTD
COMPLAINTS:													
CONSUMER INQUIRIES HANDLED BY STAFF	6	3	2	3	4	3	7	4	8	3	3	5	51
NEW FORMAL COMPLAINTS RECEIVED BY STAFF	2	2	3	1	1	0	2	1	4	0	4	2	22
FORMAL COMPLAINTS REVIEWED BY BOARD	0	0	15	0	0	0	0	12	0	0	9	0	36
MONITORED CASES REVIEWED BY BOARD	0	0	2	0	0	0	0	1	0	0	0	0	3
COMPLAINTS CARRIED FROM PREVIOUS MONTH	10	12	14	8	9	10	10	12	5	9	9	8	8
NEW COMPLAINTS REVIEWED BY BOARD	0	0	6	0	0	0	0	7	0	0	3	0	16
COMPLAINTS RESOLVED AND CLOSED BY BOARD	0	0	9	1	0	0	0	8	0	0	5	0	23
DISCIPLINARY ACTION	0	0	1	1	0	0	0	0	0	0	0	0	2
REVOCATION	0	0	0	1	0	0	0	0	0	0	0	0	1
SUSPENSION	0	0	0	0	0	0	0	0	0	0	0	0	0
LIMITED LICENSE	0	0	0	0	0	0	0	0	0	0	0	0	0
REPRIMAND	0	0	1	0	0	0	0	0	0	0	0	0	1
CIVIL PENALTY	0	0	1	0	0	0	0	0	0	0	0	0	1
NO DISCIPLINARY ACTION	0	0	8	0	0	0	0	8	0	0	5	0	21
COMPLAINTS REMAINING OPEN	12	14	8	9	10	10	12	5	9	9	8	10	10

**OREGON BOARD OF OPTOMETRY**  
**OPTOMETRIC PHYSICIANS BY COUNTY (June 30, 2007)**

COUNTY	TOTAL LICENSED	T CERTIFIED	AT CERTIFIED	ATI CERTIFIED	ADDITIONAL OFFICE LOCATIONS
BAKER	2	0	0	2	0
BENTON	6	0	1	5	6
CLACKAMAS	32	7	2	23	20
CLATSOP	7	3	1	3	3
COLUMBIA	7	1	0	6	4
COOS	6	0	0	6	2
CROOK	0	0	0	0	6
CURRY	6	3	0	3	2
DESCHUTES	31	6	6	19	12
DOUGLAS	16	5	2	9	6
GILLIAM	0	0	0	0	0
GRANT	1	0	0	1	8
HARNEY	3	0	2	1	1
HOOD RIVER	4	0	0	4	1
JACKSON	38	12	2	24	2
JEFFERSON	2	1	1	0	1
JOSEPHINE	10	0	1	9	13
KLAMATH	6	0	2	4	1
LAKE	1	0	0	1	0
LANE	55	7	6	42	26
LINCOLN	9	3	1	5	3
LINN	15	2	1	12	12
MALHEUR	5	2	1	2	1
MARION	43	4	3	36	19
MORROW	0	0	0	0	1
MULTNOMAH	131	28	20	83	64
POLK	4	1	2	1	3
SHERMAN	0	0	0	0	0
TILLAMOOK	5	1	1	3	1
UMATILLA	13	2	0	11	1
UNION	3	0	0	3	1
WALLOWA	2	1	0	1	0
WASCO	5	1	0	4	2
WASHINGTON	171	27	27	117	73
WHEELER	0	0	0	0	0
YAMHILL	5	4	0	1	0
TOTALS	644	121	82	441	295