

# Enforcement Overview for Licensing and Recordkeeping

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# Cases with Violations Related to Licensing or Recordkeeping

| Year Case was Started | Cases with Sub 8 Violations | Cases with Sub 5 Violations* | Cases with Sub 13 Violations |
|-----------------------|-----------------------------|------------------------------|------------------------------|
| 2020                  | ~10                         | ~10                          | ~1                           |
| 2021                  | ~20                         | ~15                          | 0                            |
| 2022                  | ~15                         | ~20                          | 0                            |
| 2023                  | ~15                         | ~20                          | 0                            |
| 2024                  | ~15                         | ~10                          | ~1                           |
| 2025                  | ~15                         | ~15                          | 0                            |

\*Sub 5 violations cover insufficiencies in any of the recordkeeping requirements found in ORS 634, so dealer records as well as applicator records required.



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## ORS 634.372(8), or Sub 8

- A person may not, as a pesticide applicator or noncommercial pesticide applicator, work or engage in the application of any classes of pesticides without first obtaining and maintaining a pesticide applicator license or noncommercial applicator license, or apply pesticides that are not specifically authorized by such license.



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## ORS 634.372(13), or Sub 13

- A person may not apply any pesticide classified as a restricted-use or highly toxic pesticide to agricultural, horticultural or forest crops on land owned or leased by the person without first obtaining and maintaining a private applicator certificate.



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## ORS 634.372(5), or Sub 5

- A person may not refuse or neglect to prepare and maintain records required to be kept by the provisions of this chapter.



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- **603-057-0140**

- **Pesticide Dealer Records**

- (1) As provided in subsections (6) and (7) of ORS 634.322, a pesticide dealer shall prepare and maintain records of his sales of restricted-use and highly toxic pesticides for a period of three years. Such records shall include:
  - (a) The names and addresses of the purchasers of such pesticides, and the license or certificate numbers of the purchasers;
  - (b) The date of sale;
  - (c) The trade name (and the formulation if applicable) of such pesticides;
  - (d) The quantity of each sale of such pesticides.



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# Common Issues with Dealer Records

- No name of licensed purchaser recorded.
- No address of licensed purchaser recorded.
- No license number recorded.
- Incorrect licensed purchaser recorded.
- Incorrect license number recorded.
- No EPA registration number recorded for product sold and no additional information on the product sold to identify it.
- Incorrect EPA registration number recorded for product sold.
- Lack of units on quantity sold.
- Product sold to incorrectly licensed individuals.

# Common Issues with Applicator Supervision

- No licensed applicator supervising.
- No IST or APL license for the supervised.
- Lack of availability of supervising applicator (APL).
- Supervising applicator not onsite or further than 5 minutes away (IST).

- **634.146 Records required of operators; retention period; report to owner of treated field crops.** (1) Pesticide operators shall prepare and maintain records on forms approved by the State Department of Agriculture. Such records shall include:
  - (a) The name of the person for whom the pesticide was applied.
  - (b) The approximate location of the land or property on which the pesticide was applied.
  - (c) The date and approximate time of application.
  - (d) The person who supplied the pesticides.
  - (e) The trade name and the strength of such pesticides.
  - (f) The amount or concentration (pounds or gallons per acre of active ingredient or concentration per approximately 100 gallons).
  - (g) The specific property, crop or crops to which the pesticide was applied.
  - (h) The summary information of equipment, device or apparatus used and, if applied by aircraft, the Federal Aviation Administration number.
  - (i) The names of the pesticide applicator or pesticide trainees who did the actual application or spraying.



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# Common Issues with Applicator Records

- No supervised applicator license number recorded.
- Incorrect supervising applicator recorded.
- No IST or APL for CPA or PPA being supervised by another CPA or PPA.
- Incorrect categories of the supervising applicator for the application being made.
- Multiple applicators listed without clear identification of who is supervising.