

VI. FINANCIAL REQUIREMENTS

A. STATUTORY REQUIREMENTS

1. ***Describe how your agency will allocate funds it receives through the allotment made under Section 111 of the Act, including any funds that you choose to consolidate under Section 202(a) of the Act, will be allocated among career and technical education at the secondary level, or career and technical education at the postsecondary and adult level, or both, including the rationale for such allocation. [Sec. 122(c)(6)(A); Sec. 202(c)]***
 - Oregon will allocate funds made under Sec. 111 as prescribed by the allotments in Sec. 112 of the Act.
 - Oregon will utilize a 10% Reserve Fund as permitted under Sec. 112(c) of the Act.
 - Of the allotment allocated to eligible recipients, 50% will be allocated to secondary recipients and 50% will be allocated to postsecondary recipients.
 - The 50/50 percentage split is based on the number of students participating in CTE.
 - The split has historically encouraged and sustained secondary-postsecondary partnerships in Oregon's 18 CTE regions.
 - Oregon will not allocate funds specifically for adult programs beyond what is allocated to postsecondary CTE programs.
 - Oregon will consolidate 100% of the state's Title II Tech Prep allocation with Title I Basic Grant allocation as permitted under Sec. 202(a) of the Act.
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2. ***Provide the specific dollar allocations made available by the eligible agency for career and technical education programs under Section 131(a)-(e) of the Act and how these allocations are distributed to local educational agencies, area career and technical education schools, and educational service agencies within the State. [Sec. 131(g); Sec. 202(c)]***
 - A 2007-2008 allocation spreadsheet, including Tech Prep Transition Year allocations, is included in Attachment 10.
 - Eligible recipients access funds either as an individual recipient meeting the minimum allocation threshold or as a member of a Consortium. Individual eligible recipients are schools districts and community colleges. Consortium eligible recipients are comprised of multiple school districts and in some cases, multiple schools districts and a community college. Oregon issues eligible recipient grant awards to individual grantees (N = 36) and consortium grantees (N = 15). Each sub-grant award is issued to a designated fiscal agent. Fiscal agents can be a local school district, a local community college, or an education service district.
 - Allocations are awarded by the Oregon Department of Education through official sub-grant award notifications as authorized by the State Board of Education.

3. Provide the specific dollar allocations made available by the eligible agency for career and technical education programs under Section 132(a) of the Act and how these allocations are distributed to postsecondary institutions within the State. [Section 122(c)(6)(A); Sec. 202(c)]

- A 2007-2008 allocation spreadsheet, including Tech Prep Transition Year allocations, is included in Attachment 10.
- Sub-grant awards will be issued to eligible postsecondary recipients either as an individual grantee or as fiscal agent for a consortium of eligible recipients. Ten of Oregon's 17 eligible postsecondary recipients receive their Basic Grant allocation award individually. The remaining seven eligible postsecondary recipients choose to participate as a member of a regional consortium.
- Allocations are awarded by the Oregon Department of Education through official sub-grant award notifications as authorized by the State Board of Education.

4. Describe how your agency will allocate any of those funds among any consortia that will be formed among secondary schools, and how funds will be allocated among the members of the consortia, including the rationale for such allocation. [Sec. 122(c)(6)(B); Sec. 202(c)]

- Funds to a consortium will be the total of the Basic Grant funding formula for each individual school district or community college participating in an Oregon-recognized consortium. In the case of a consortium with both secondary recipients and postsecondary recipients, the individual secondary and postsecondary formula calculations will be combined for the consortia grant award.
- Funds allocated within the consortia will be described and approved based on the submission of the consortia's annual program plan, budget narrative and spending workbook.
- Allocation of funds within the consortia will be based on the planning processes used by the members of the consortia, facilitated by the designated consortia coordinator and fiscal agent, and in compliance with the Act's provision for funds to consortium [Sec. 131(f)(2)].

5. Describe how your agency will allocate any of those funds among any consortia that will be formed among postsecondary institutions, and how funds will be allocated among the members of the consortia, including the rationale for such allocations. [Section 122(c)(6)(B); Sec. 202(c)]

- Currently, Oregon has one consortium formed specifically for postsecondary institutions.
- Funds to postsecondary consortia will be allocated using the same postsecondary formula distribution.
- Allocation of funds within the consortium will be based on the planning processes used by the members of the consortium, facilitated by the designated consortium coordinator and fiscal agent, and in compliance with the Act's provision for funds to consortia. [Sec. 131(f)(2)].

6. ***Describe how you will adjust the data used to make the allocations to reflect any change in school district boundaries that may have occurred since the population and/or enrollment data was collected, and include local educational agencies without geographical boundaries, such as charter schools and secondary schools funded by the Bureau of Indian Affairs. [Sec. 131(a)(3)]***

- Data used to identify eligible recipients and make allocations is updated annually through the annual CTE program data collection systems used by the secondary and postsecondary sectors.
 - Oregon's Annual CTE Program Review cycle is used to maintain an accurate database of eligible recipients and any changes to school district boundaries, secondary small school configurations, and charter schools.
 - Oregon has no secondary schools funded by the Bureau of Indian Affairs.
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7. ***Provide a description of any proposed alternative allocation formula(s) requiring approval by the Secretary as described in Section 131(b) or 132(b) of the Act. At a minimum, you must provide an allocation run for eligible recipients using the required elements outlined in Section 131(a) and/or Section 132(a)(2) of the Act, together with an allocation run using the proposed alternative formula(s). Also you must include a demonstration that the alternative secondary formula more effectively targets funds on the basis of poverty, as described in Section 131(b)(1) of the Act; and/or, in the case of an alternative postsecondary formula, a demonstration that the formula described in Section 132(a)(2) of the Act does not result in a distribution of funds to eligible recipients that have the highest numbers of economically disadvantaged individuals and that an alternative formula would result in such a distribution.***

- No alternative allocation formulas are being considered at this time.
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B. Other Department Requirements

1. ***Submit a detailed project budget, using the forms provided in Part B of this guide.***

- Part B budget forms are not included in the public review documents.

2. ***Provide a listing of allocations made to consortia (secondary and postsecondary) from funds available under Sections 112(a) and (c) of the Act.***

- A 2007-2008 allocation spreadsheet, including Tech Prep Transition Year allocations, is included in Attachment 10.

3. ***Describe the secondary and postsecondary formulas used to allocate funds available under Section 112(a) of the Act, as required by Section 131(a) and 132(a) of the Act.***

- Oregon will allocate funds by using the secondary and postsecondary formulas as described in Sec. 131(a) and 132(a) of the Act.

4. Describe the competitive basis or formula to be used to award Reserve Funds under Section 112(c) of the Act.

- Oregon will award Reserve Funds by formula.
- Reserve fund formula distribution will be made to Oregon's 18 eligible regional consortia.
- Each eligible regional consortium will receive a uniform base level of funding (\$15,000).
- Remaining Reserve Funds will be distributed through a formula based 50% on the number of secondary CTE concentrators who complete high school (3S1) and 50% on the number of postsecondary CTE concentrators who complete a certificate or degree (3P1 and Oregon Key Performance Measure #12).
- As data is collected on the effectiveness of the region's Programs of Study, other formula distribution factors may be considered in future years and described in the state's annual plan updates.

5. Describe the procedures used to rank and determine eligible recipients seeking funding under Section 112(c) of the Act.

- By identifying regional consortia as eligible recipients, Oregon has assured the three criteria listed in Sec. 112(c) are met.

6. Include a description of the procedures used to determine eligible recipients in rural and sparsely populated areas under Section 131(c)(2) or 132(a)(4) of the Act.

- Eligible recipients in rural and sparsely populated areas must meet the same eligibility criteria as any other Oregon eligible recipient, e.g. maintenance of at least one approved Program of Study, submission of annual program updates and submission of student performance data.
- Eligible recipients in rural and sparsely populated areas with a formula allocation of less than \$15,000 will participate in a consortium for access to funding.
- Oregon's most rural and sparsely populated regional consortia [Lake County] has a consortium allocation of less than \$15,000 and will be awarded their consortium allocation using the waiver provision of the Act [Sec. 131(c)(2)].