



Preparing for ESEA Monitoring: 2026-2027

Titles I-A, I-D, II-A, IV-A, V-B, Equitable Services,
Foster Care and McKinney-Vento

Foundations of ESEA Monitoring

Building Relationships – Moving at the Speed of Trust

Technical Assistance – Let's Help Get to Yes

Ensuring Allowable & Effective Use of Funds

Determining Risk

The Uniform Grant Guidance requires each state to assess risk for monitoring on an annual basis

The ODE uses data from all monitored programs to calculate risk

Risk is determined on a percentage of points available to equitably assess risk between districts

ESEA Continuous Monitoring Tiers

Tier 1 Universal Monitoring

- District completes and submits UMT for program(s) for which they receive funding
- ODE reviews and scores responses
- May result in findings and corrective action plan
- District may be elevated to Tier 2 monitoring

Tier 2 Focused Monitoring

- Virtual entrance meeting
- Districts submits documentation
- ODE reviews submissions
- Virtual exit meeting
- ODE issues a full report
- May result in findings and corrective action plan
- District may be elevated to Tier 3 monitoring

Tier 3 Intensive or Enhanced Monitoring

- Districts are selected by:
 - Whistle blower complaints
 - Severe violation of students' civil rights
 - High risk identified during Tier 2 monitoring

Monitoring Timeline

Universal Monitoring Tool (UMT) submissions are due

October – November

ODE provides UMT feedback and notifies districts of Tier 2 monitoring

December

ODE reviews submitted documentation and exit meetings begin

February

November

ODE reviews UMT submissions

January

Tier 2 districts attend Entrance Meetings and upload documentation

February-May

Tier 2 districts attend Exit Meetings and reach compliance



Tier 1 Monitoring

What is the purpose of the Universal Monitoring Tool (UMT)?

- Snapshot of implementation of ESEA programs
- Identify areas of potential risk
- Recognize strengths and needs
- Assess compliance
- Determine whether deeper review is warranted

Universal UMT Requirements

Even districts that decline funds must complete the UMT for McKinney-Vento and Foster Care

All districts that accept funds must complete at least one Equitable Services prompt



UMT Tour

Universal Monitoring Tool

2026-2027 ESEA Monitoring Cycle: Tier 1 Universal Monitoring Tool (UMT) The UMT is to be completed and submitted by all districts who have been selected for ESEA consolidated monitoring during the 2026-27 school year.

- The form must be completed and submitted by **November 2, 2026 by 6pm PT.**
- It is recommended to first complete the [Universal Monitoring Tool template](#) with your district team, and then enter your responses into this form.

Please refer to the Oregon Department of Education's [ESEA Federal Monitoring webpage](#) for more resources and monitoring training tools.

When you submit this form, it will not automatically collect your details like name and email address unless you provide it yourself.

* Required

District Contact & Basic Information

District Name *

Select your answer

Name of District Contact Completing the Form *

Enter your answer

Email of District Contact Completing the Form *

Please enter an email

Next

Universal Monitoring Tool

* Required

Foster Care: Document Upload

Please [click this link to upload](#)

- 1) Records Request Form
- 2) Enrollment Policy
- 3) Meal Policy

Please use the following naming convention: 26-27 - FC [Name of District] - [Records Request Form or Enrollment Policy or Meal Policy]

To certify that all responses given are true and correct, please sign your district's name. *

Select your answer

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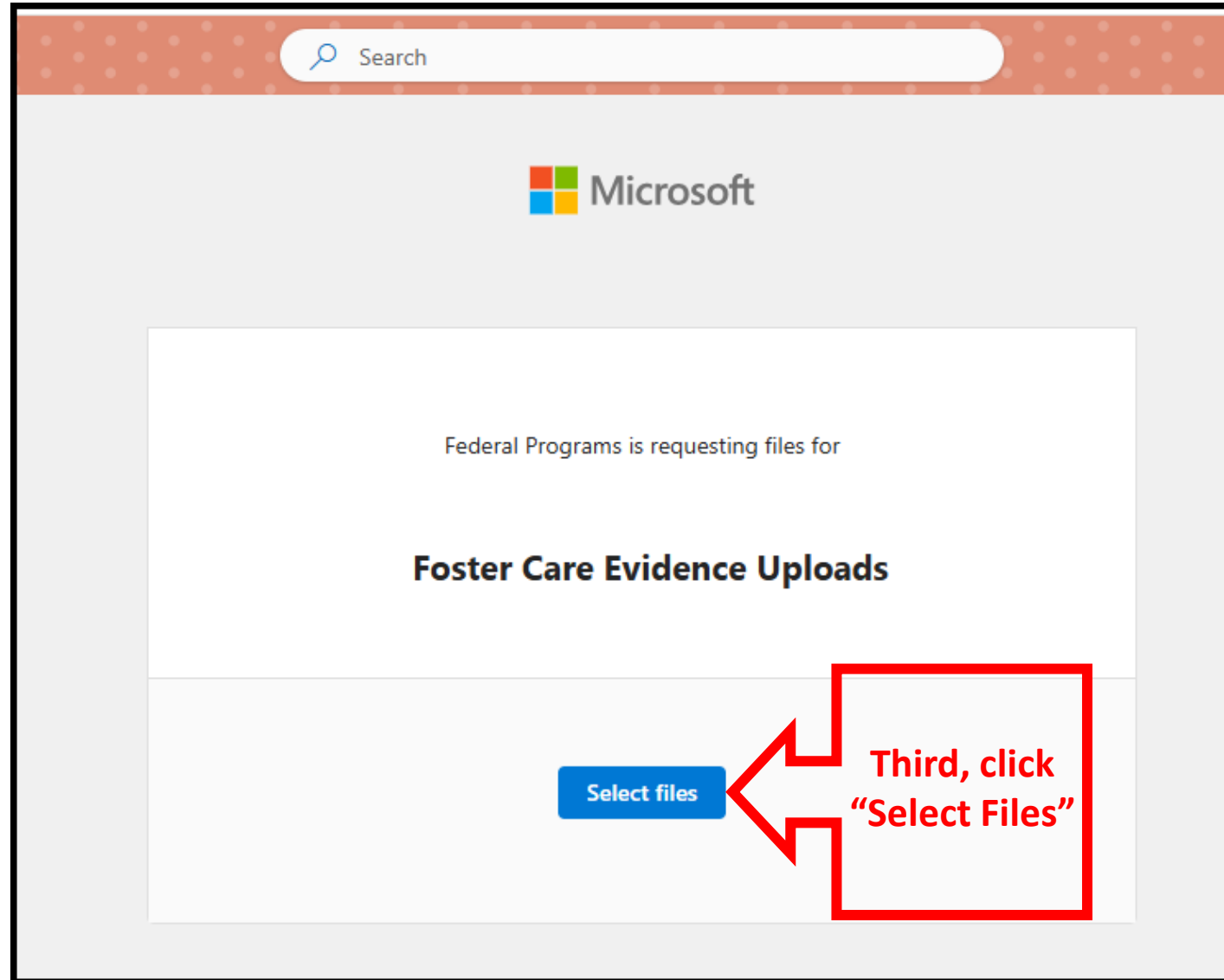
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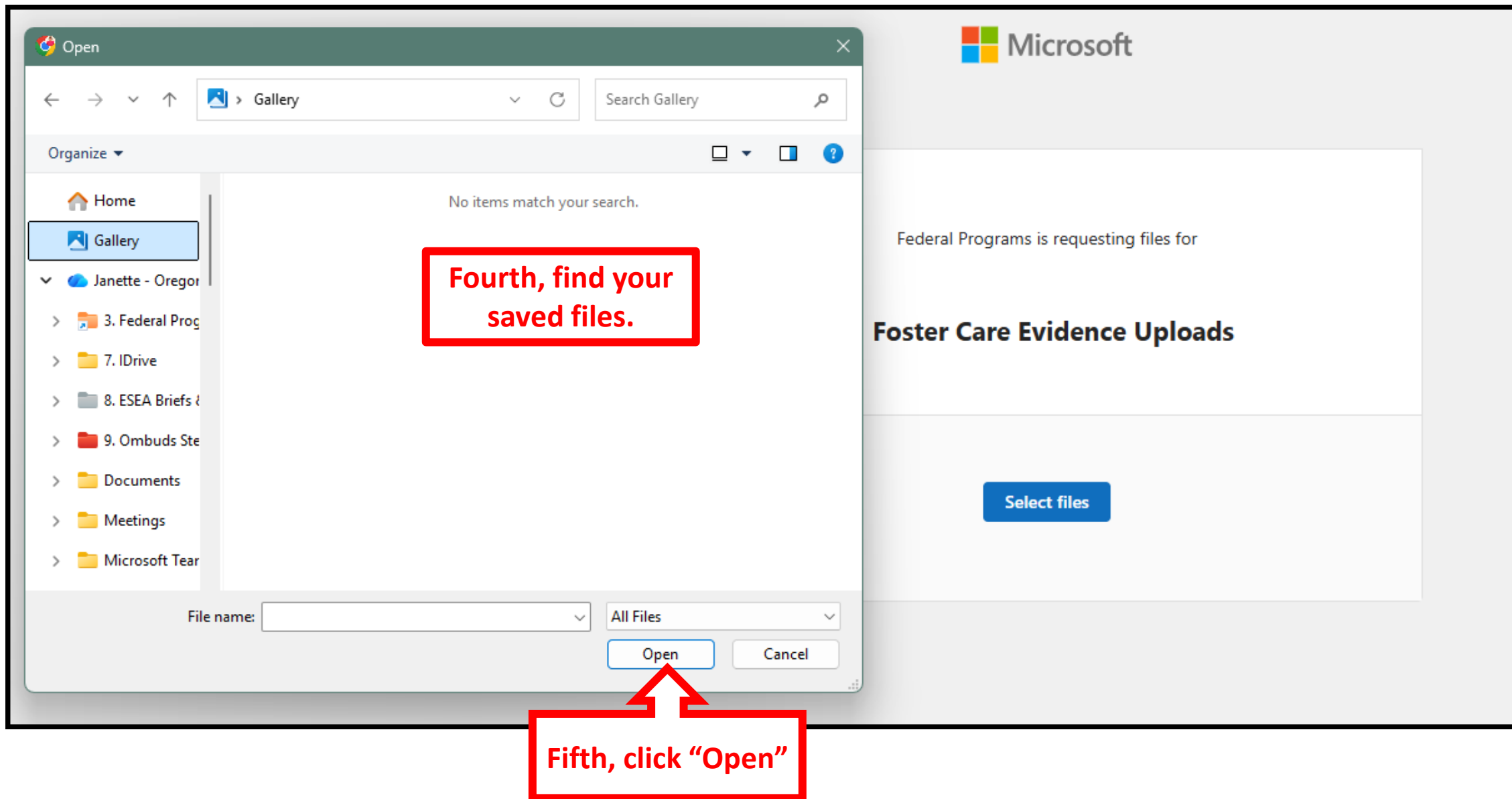
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Eighth, click
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Universal Monitoring Tool

* Required

Foster Care: Document Upload

Please [click this link to upload](#) the following 3 files:

- 1) Records Request Form
- 2) Enrollment Policy
- 3) Meal Policy

Please use the following naming convention: 26-27 - FC [Name of District] - [Records Request Form or Enrollment Policy or Meal Policy]

To certify that all responses given in the Foster Care section of this form are true and accurate, please select your district's name. *

Select your answer



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Tier 2 Monitoring

Virtual Collaboration

Entrance and exit meetings will be scheduled through a Microsoft Bookings Page by following the steps below:

1. Click the scheduling link emailed to your district
2. One person in the district schedules the meeting
3. District contact forwards the meeting invite to other district staff
 - Superintendent, Business Manager, Federal Programs, Foster Care POC, McKinney-Vento POC

These meetings will take place on Microsoft Teams.

Submitting Materials for Tier 2



Districts will receive a link in their Tier 2 notification letter to their district secure OneDrive folder.

Use this link to upload required materials.



Foster Care

ESEA and Foster Care

ESSA includes provisions that promote educational stability for children experiencing foster care so they can continue their education without disruption, maintain important relationships, and have the opportunity to achieve college and career readiness

All districts must have policies in place that ensure students experiencing foster care have equal access and opportunity

Materials to Submit: Foster Care

- *Completed Foster Care Monitoring Narrative*
- Foster Care Point of Contact
 - *Scope of Role/Job Description*
 - *Evidence of training of staff*
- School of Origin Transportation
 - *District Transportation Plan*
 - *ODHS Transportation Request Form*
- Forms & Policies
 - *ODHS School Notification Form*
 - *Enrollment policy (including FC language)*
 - *Meal policy*
 - *District record request form*



Foster Care Monitoring Narrative

District provides written responses to questions regarding:

- Transportation
- Designated Liaison and Training
- Internal and External Collaboration

Resources

- [Monitoring Submission Items 101 - Google Slides](#)
- [Foster Care Monitoring Narrative](#)
- [Foster Care Monitoring Resources](#)





McKinney-Vento

ESEA and McKinney-Vento

The McKinney-Vento Act is designed to address the challenges that children and youth navigating housing instability face in enrolling, attending, and succeeding in school

All districts must have policies in place that ensure students experiencing houselessness have equal access and opportunity this includes an assigned MV liaison.



Materials to Submit: McKinney-Vento

- ✓ District Policy
 - LEA Board minutes with adoption date (OSBA Sample Policy or equivalent)
- ✓ Training
 - Evidence of training of liaison and staff
- ✓ Identification & Tracking
 - Intake forms and protocols used for identification, tracking of attendance and academic progress
- ✓ FAFSA documentation
 - Forms/descriptions of procedures
- ✓ McKinney-Vento Monitoring Narrative

McKinney-Vento Monitoring Narrative

District provides written responses to questions regarding:

- Designated Liaison
- Liaison & District Training
- School of Origin Transportation
- Dispute Resolution and Appeals
- Public Notification of Rights
- Coordination with Service Providers

Resources

- [McKinney-Vento Monitoring Narrative](#)
- [McKinney-Vento Program Resources](#)
- [2026-27 Training & Technical Assistance Schedule](#)





Equitable Services

Equitable Services Submissions

Only for districts that have participating private schools

- Schedule a Meeting with the Ombuds to complete the Equitable Services Narrative
- Detailed expenditure reports for each Title program with participating private schools which show date, vendor, item description and amounts
- If services are provided through a third-party contract, a copy of the executed contract
- For Title I-A only: documentation of the progress made in meeting participating students' academic needs

Resources

- [Equitable Services Monitoring Narrative](#)
- [Ombuds Scheduling Page for Completing the Narrative](#)



Common Compliance

Materials to Submit: Expenditures

Detailed expenditure reports from your district's accounting system from the previous school year

Reports should show:

- Each Title program being monitored
- Date
- Vendor
- Brief item description
- Amounts

Separate reports by program



Materials to Submit: Inventory

Listing of equipment and unopened supplies, including those items purchased for equitable services

Inventory documentation should be updated when new purchases are made

Resources

- [ESSA Quick Reference Brief – Inventory](#)
- [Inventory Template](#)



Materials to Submit: Time & Effort

Payroll Distribution Report showing staff paid with federal funds in the previous year

Three samples of **individual documentation** from the district level that includes:

- percentage of time spent by title,
- affirmation by staff or supervisor, and
- after the fact documentation of activity.

Resources

- [Time and Effort Sample Form](#)
- [ESSA Quick Reference Brief – Time and Effort](#)



Submission Summary: Common Compliance

- Detailed Expenditure Reports
- Inventory
- Time and Effort Documentation





Title I-A

Materials to Submit: School Plans

For each school selected:

- A copy of the most current Schoolwide (SWP) or Targeted Assistance (TAS) plan
- A copy of what is shared with families at the annual meeting (e.g.; PPT/agenda)

Resource:

- [ODE Website: School-Wide and Targeted Assistance Planning](#)
- [Annual Meeting PPT template](#)

Materials to Submit: Family Engagement

Copy of the Family/School Compact

Completed [Family Engagement Monitoring Response Form](#)

Evidence of where families can find information about the Title I-A program and their rights (e.g.; website link)

Resources:

- [Compact Checklist](#)
- [Family Engagement Monitoring Response Form](#)
- [Building Parent Capacity Log](#)

Submission Summary: Title I-A

Each Title I-A School Selected must submit:

- School Level Plan
- Annual Meeting Information
- Copy of student/family/school compact
- Family Engagement Monitoring Response Form



Your monitoring lead will help determine which schools are selected.



Title II-A & Title IV-A

Materials to Submit: Title II-A

Narrative description of:

- Program Implementation
- Program Evaluation
- Systems for Professional Growth and Improvement

Resource:

- [Title II-A Narrative Response Form](#)

Materials to Submit: Title IV-A

Narrative description of:

- Consultation of Community Members
- Evaluation of Programs
- Coordination with Community Programs
- Internet Safety

Resource:

- [Title IV-A Monitoring Response Form](#)



Title I-D

Materials to Submit



Program Plan Evaluation



Formal Agreements

Materials to Submit: Program Plan Evaluation



Who was at the table?

What did our data say about how students are doing?

What adjustments need to be made for the following year?

A plan evaluation must be done with each program funded with Title I-D.

Materials to Submit: Formal Agreements



Some districts enter into formal agreements with partners or facilities to implement the Title I-D, subpart 2 program.

Any formal agreements involving Title I-D funds should be submitted during monitoring.



Conclusion

Corrective Action

If a district has findings in one or more programs, a Corrective Action Plan (CAP) must be submitted.

Information about the CAP process will be shared after program review, and via email. Instructions on when and how to submit a corrective action plan will be shared at that time.

Additional Information Requests During Monitoring

As part of the review, ODE may request additional information or documentation when needed to clarify a response, assess compliance, or complete the monitoring process.

Tools and Resources

[Requirements & Documentation Guide](#)

[UMT Online Form for Submission](#)

[UMT Template](#)

[Submission List](#)

[ESEA Monitoring Webpage](#)



Questions and Support



For questions about the monitoring process email

federalprogram@ode.oregon.gov

For questions about your district's implementation of federal programs, please reach out to the program contact on the next slide.

Consolidated ESEA Monitoring Staff



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