

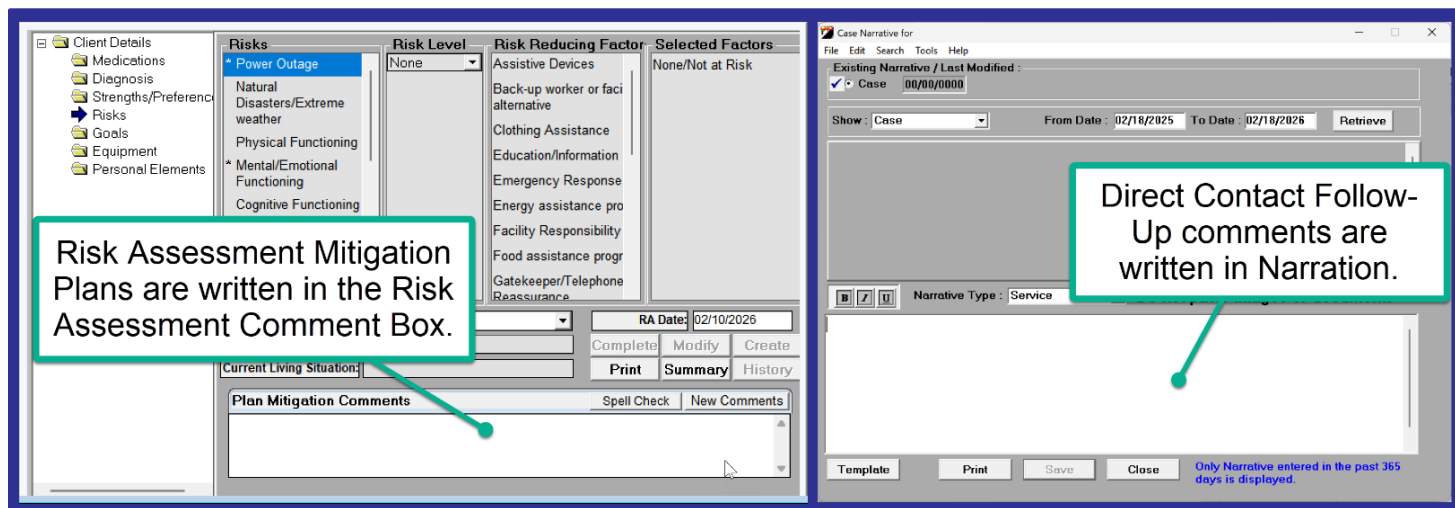
Risk Assessment Comment Examples

Updated: March 24, 2026

Overview

The following examples demonstrate how each component of the risk assessment mitigation plan comment requirements should be documented, including the backup plan. The examples follow the formatting used in the Risk Assessment Comment, Mitigation and Monitoring Templates.

Four examples are included, each with a hypothetical Risk Assessment comment and a case narration follow-up comment.



Example one

Marina is a person with quadriplegia and rarely leaves her home. She has a car and allows natural supports and her Homecare Worker (HCW) to use the car for needed shopping errands. Marina pays for gas, maintenance, and insurance. She has a new HCW who has begun to use the car for personal use and has kept the car travelling hundreds of miles to visit relatives over several weekends.

Risk assessment comment:

Risk concern: Marina is assessed as a high-risk in the “Income/Financial Issues” category because the unauthorized use of the car could likely result in financial loss in excess of \$2,000.

Risk mitigation plan: CM has discussed the issue with Marina, reported the concern to APS, and helped her hire a new HCW. Natural supports have sold the car at the consumer request. CM continues to monitor during risk-focused direct contacts.

Individual/CE rep understanding/acceptance of plan: Marina understands now the boundaries that were crossed and agrees and prefers her car to be sold and will communicate with APS and law enforcement when contacted. Marina is excited to have a new HCW.

Backup plan: Her son, Sergey (541-555-5870) has agreed to serve as a backup support if the HCW is unable to provide care.

Narration follow-up comment:

Contact date: 3/1/2026

Contact made with: Marina

Review of assessed risks and mitigation plan: After two months, CM determined that because of mitigation strategies, sale of the car, on-going monitoring, intervention of APS and the new HCW who is assisting Marina to communicate her boundaries, Marina is now a low risk in “Income/Financial Issues” as it is not likely to re occur in the next 90 days.

Individual/CE rep understanding/acceptance of plan: Marina agrees with the actions taken and is satisfied with the sale of the vehicle and the support provided by her new HCW.

Review of current backup plan: Backup plan was reviewed with Marina. Sergey (son) (541-555-5870) continues to be available to provide backup support if the HCW is unable to provide care.

Risk assessment updated as appropriate: “Income/Financial Issues” category has been marked as low risk due to resolution of concern.

Example two

Roberto requires monitoring, redirecting and support daily for meal preparation and managing his diabetic needs. He is frequently confused because of the onset of dementia. Last week, he left the stove on and used the microwave with metal inside resulting in the fire that damaged his kitchen walls. His family is concerned that he will leave the gas on from the stove or start another fire.

Risk assessment comment:

Risk Concern: Roberto is assessed with high risks in “Cognitive Functioning” and “Behavioral Issues.” He is likely to experience substantial injury or loss within the next 30 days without careful mitigation and monitoring.

Risk Assessment Comment Examples

Risk mitigation plan: CM will continue to monitor during risk-focused direct contacts and is working with family members who have turned off the gas and unplugged the microwave. CM has helped set up home delivered meals for Roberto and his HCW also prepares some meals offsite. CM has contacted a veteran's group that has repaired Roberto's home.

Individual/CE rep understanding/acceptance of plan: Roberto's authorized rep (Julio) has discussed and agreed with the mitigation plan that will be in place and will continue to communicate and report concerns.

Backup plan: Roberto's client representative (Julio 503-480-7635) will provide backup support if HCW is unavailable.

Narration follow up comment:

Contact date: 4/5/26

Contact made with: Julio (Client Representative), and Roberto.

Review of assessed risks and mitigation plan: With the assistance of HCW and family who have continued to closely monitor his situation and stay in touch with this worker, it is still likely Roberto may experience recurrence within the next 90 days. Case Manager will continue to monitor.

Individual/CE rep understanding/acceptance of plan: Roberto is still following this plan, and mentions he really enjoys the home delivered meals.

Review of current backup plan: The backup plan was reviewed and updated. Roberto's sister, Sophia, now serves as backup support (541-587-9876).

Risk assessment updated as appropriate: The risk assessment has been updated to medium risk due to the mitigation plan being followed.

Example three

Susie's home exhibits severe hoarding. CM and HCWs from her In-Home Care Agency (IHCA) are barely able to sit or move about the residence. There is a strong odor, mold on the walls, and piles of dirty dishes and clothes. Susie has plugged holes in the walls due to rodent infestation. The sink drains are clogged, floors are covered with dirt, and garbage is spread throughout the house. Susie does not have sufficient resources to repair or clean the home and is content to live there.

Risk assessment comment:

Risk concern: Susie is assessed as a high-risk in "Behavioral Issues" and "Safety/Cleanliness of Residence" due to the condition of her home. Susie is likely to experience substantial injury or loss within the next 30 days without careful mitigation and monitoring.

Risk mitigation plan: CM will continue risk-focused direct contacts and will facilitate chore services through Ancillary Services. Natural supports are identified and assisting Susie with household duties.

Individual/CE rep understanding/acceptance of plan: Susie has acknowledged the need for intervention and is agreeable to chore services if approved.

Backup plan: If the scheduled HCW is unable to provide care, Lupine In-Home Care Agency (503-555-7865) will arrange for a backup HCW.

Narration follow-up comment:

Contact date: 2/20/26

Contact made with: Susie

Review of assessed risks and mitigation plan Susie has received the chore services by Quick e Clean and the HCW and natural supports are maintaining the home to mitigate recurrence within the next 90 days.

Individual/CE rep understanding/acceptance of plan: Susie is very happy with the outcome, and expresses she hopes the condition of the home can stay this way.

Review of current backup plan: Backup plan continues to be Lupine In-Home Care Agency (503-555-7865) arranging for a backup HCW if needed.

Risk assessment updated as appropriate: Susie is now assessed as a low risk.

Example four – limited risk assessment for community-based care (CBC)

Min-Jae lives in an Adult Foster Home (AFH) where staff assist with medication management, meals, and daily care. He has limited mobility and requires support transferring from his bed to his wheelchair. During the past month, staff reported that he has attempted to transfer independently without assistance several times, resulting in one fall that caused bruising. The AFH provider is concerned that he may attempt to transfer again without staff present.

Risk assessment comment:

Min-Jae is assessed as a high risk in the “Physical Functioning” category due to attempts to transfer independently without staff assistance and falling on one

occasion. The AFH assumes responsibility for all identified risks and will provide a backup plan.

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