

**OREGON DEPARTMENT OF HUMAN SERVICES  
AGING AND PEOPLE WITH DISABILITIES  
OREGON ADMINISTRATIVE RULES**

**CHAPTER 411  
DIVISION 14**

**OREGON PROJECT INDEPENDENCE-MEDICAID (OPI-M) ELIGIBILITY**

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Effective 11/22/2024

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**411-014-0000 Purpose**

*(Adopted 11/22/2024)*

The purpose of these rules is to define the eligibility requirements for the Oregon Project Independence-Medicaid (OPI-M) Program.

Stat. Auth.: [ORS 410.070](#)

Stats. Implemented: [ORS 410.070](#)

**411-014-0005 Definitions**

*(Adopted 11/22/2024)*

Unless the context indicates otherwise, the following definitions apply to the rules in OAR chapter 411, division 014:

(1) "Activities of Daily Living (ADL)" mean those personal functional activities required by an individual for continued well-being, which are essential for health and safety and defined in [OAR 411-015-0006](#). Activities include bathing and personal hygiene, cognition, dressing and grooming, eating, elimination, and mobility.

(2) "Adult" means any person at least 18 years of age.

(3) "Alternative Service Resources" means other possible resources for the provision of services to meet an individual's needs. Alternative service resources include, but are not limited to, natural supports, risk intervention services, Veterans programs or other community supports. Alternative service resources are not paid by Medicaid.

(4) "Area Agency on Aging (AAA)" means the Department designated agency charged with the responsibility to provide a comprehensive and

coordinated system of services to older adults and adults with disabilities in a planning and service area. The term Area Agency on Aging (AAA) is inclusive of both Type A and Type B AAAs as defined in [ORS 410.040 to 410.300](#).

(a) "Type A Area Agency on Aging" means an Area Agency on Aging for which either the local government or the area agency board does not agree to accept local administrative responsibility for Title XIX Medicaid except OPI-M; and that provides a service to adults.

(b) "Type B Area Agency on Aging" means an Area Agency on Aging:

(A) For which the local government agrees to accept local administrative responsibility for Title XIX Medicaid;

(B) That provides a service to older adults or to older adults and persons with disabilities who require services similar to those required by older adults; and

(C) Are one of two models of Type B AAAs – Type B Contract or Type B Transfer:

(i) Type B Contract- Staff are employed by the AAA and only administer Older Americans Act, Oregon Project Independence and Oregon Project Independence Medicaid services case management services.

(ii) Type B Transfer- Staff are employed by the AAA and administer all of the following programs: Medicaid, financial services, Supplemental Nutrition Assistance Program, adult protective services, regulatory programs, Older Americans Act, Oregon Project Independence and all Oregon Project Independence-Medicaid services.

(5) "Assistive Devices" means any category of durable medical equipment, mechanical apparatus, electrical appliance, instrument of technology, service animal, general household items, or furniture used to assist and enhance an individual's independence in performing any activity of daily living.

(6) "Client Assessment and Planning System (CA/PS)" means:

(a) The single-entry data system used for -

(A) Completing a comprehensive and holistic assessment;

(B) Surveying an individual's physical, mental, and social functioning; and

(C) Identifying risk factors, individual choices and preferences, and the status of service needs.

(b) The CA/PS documents the level of need and calculates the individual's service priority level in accordance with these rules and requires that the individual or the individual's representative participate in service planning.

(7) "Conflict-free Case Management" means that assessment and coordination of services are separate from the delivery of services, with the goal to limit any conscious or unconscious bias that a case manager or agency may have, and ultimately promote the individual's choice and independence.

(8) "Department" means the Oregon Department of Human Services (ODHS).

(9) "Developmental Disability" means a neurological condition as defined in [OAR 411-320-0020](#).

(10) "Disability" means people aged 18-59 meeting the criteria for disability as a basis of need in [OAR 461-125-0370](#).

(11) "Eligibility Case Manager" means an employee of the Department or Type B Transfer Area Agency on Aging who assesses the financial eligibility and service eligibility of individuals and determines Oregon Project Independence-Medicaid.

(12) "Family Caregiver Support Program (FCSP)" means the Title III E Older Americans Act funded program to assist family caregivers as described in the Family Caregiver Support Program Standards.

(13) "Functional Impairment" means an individual's pattern of mental and physical limitations that restricts the individual's ability to perform activities of daily living and instrumental activities of daily living without the assistance of another person.

(14) "Health and Safety" means the essential actions necessary to meet an individual's health care, food, shelter, clothing, personal hygiene, and other care needs without which serious physical injury or illness is likely to occur that would result in hospitalization, death or permanent disability.

(15) "Home" or "In-Home" means a setting that exhibits the characteristics described in [OAR 411-030-0033](#).

(16) "Implementation Phase" means the initial period where the Department will prioritize individuals at-risk of institutionalization who have been unable to access Oregon Project Independence or whose needs are not being met by Oregon Project Independence. The implementation phase will conclude no later than February 28, 2025.

(17) "Individual" means an older adult or an adult with a disability applying for or eligible for services. The term "individual" is synonymous with "consumer" or "client".

(18) "Instrumental Activities of Daily Living (IADL)" means those activities, other than activities of daily living, required by an individual to continue independent living. The definitions and parameters for assessing needs in IADL are identified in [OAR 411-015-0007](#).

(19) "Intellectual Disability" means significantly sub average general intellectual functioning as defined in [OAR 411-320-0020](#).

(20) "Medicare Savings Programs" means the Qualified Medicare Beneficiary (QMB) and Supplemental Low Income Medicare Beneficiary Programs (SMB & SLMB) in [OAR chapter 461](#).

(21) "Mental or Emotional Disorder" means:

(a) A schizophrenic, mood, paranoid, panic, or other anxiety disorder;

(b) A somatoform, personality, dissociative, factitious, eating, sleeping, impulse control, or adjustment disorder; or

(c) Other psychotic disorders as defined by the American Psychiatric Association in the Diagnostic and Statistical Manual.

(22) "Natural Supports" or "Natural Support System" means resources and supports (e.g., relatives, friends, significant others, neighbors, roommates, or the community) who are willing to voluntarily provide services to an individual without the expectation of compensation. Natural Supports are identified in collaboration with the individual and the potential natural support. The Natural Support is required to have or be able to readily acquire the skills, knowledge, and ability to provide the needed services and supports.

(23) "Oregon Project Independence- Medicaid (OPI-M)" means the services approved and funded by the Centers for Medicare and Medicaid Services (CMS) for eligible individuals in accordance with the 1115 demonstration waiver for the Oregon Project Independence-Medicaid program and including the services defined in these rules.

(24) "Older Adult" means any person at least 60 years of age.

(25) "Oregon Supplemental Income Program Medical" (OSIPM) means medical coverage for individuals who are 65 years of age or older, who are blind, or who have a disability as described in [OAR chapter 461](#).

(26) "Program consultation" means presenting service options, resources, and alternatives to the individual to assist the individual in making informed choices and decisions about programs. This includes options available through Medicaid long term services and support, OPI-M or other state funded programs.

(27) "Representative" is the person appointed by the individual or a person with longstanding involvement in assuring the individual's health, safety and welfare. For the purposes of these rules, representative also includes guardians, legal representatives and designated representatives.

(28) "Services Case Manager" means an employee of a AAA or the Department who is providing OPI-M conflict-free case management. The

Services Case Manager provides person-centered service planning for and with eligible individuals. This includes developing, authorizing and implementing an individual's service plan and monitoring service provision as described in [OAR chapter 411, division 016](#).

(29) "Substance Use Disorder" means disorders related to the taking of a drug or toxin, including alcohol.

(a) Substance use disorders include:

(A) Substance dependency and substance abuse;

(B) Alcohol dependency and alcohol abuse; and

(C) Substance induced disorders and alcohol induced disorders as defined by the American Psychiatric Association in the Diagnostic and Statistical Manual.

(b) Substance use disorders are not considered physical disabilities. Dementia or other long term physical or health impairments resulting from substance abuse may be considered physical disabilities.

(30) "Title XIX Medicaid" means a joint federal and state funded program for medical assistance established by Title XIX of the Social Security Act as amended and administered in Oregon.

(31) "These Rules" means the rules in OAR chapter 411, division 014.

Stat. Auth.: [ORS 410.070](#)

Stats. Implemented: [ORS 410.060](#), [410.070](#), [414.065](#)

### **411-014-0020 Eligibility and Limitations for Oregon Project Independence- Medicaid**

*(Adopted 11/22/2024)*

(1) To be eligible for Oregon Project Independence- Medicaid services, an individual must:

(a) Be age 18 or older;

(b) If aged 18-59, meet the criteria for disability in [OAR 461-125-0370](#) used for the OSIPM;

(c) Meet financial eligibility criteria in OAR chapter 411, division 014 and the OSIPM requirements for individuals in non-standard living arrangements in [OAR chapter 461](#), all divisions unless otherwise covered in [OAR 411-014-0025](#);

(d) Meet the functional impairment level within the service priority levels (1) to (18) as defined in [OAR 411-015-0010](#); and

(e) Reside in an In-home setting as defined in [OAR 411-030-0033](#) and not reside in any of the settings in [OAR 411-030-0040\(7\)](#).

(2) During the implementation phase of Oregon Project Independence-Medicaid, the Department will prioritize individuals at-risk of institutionalization who have been unable to access Oregon Project Independence or whose needs are not being met by Oregon Project Independence.

(3) Individuals under 60 years of age with a diagnosis of an intellectual or developmental disability are not eligible for OPI-M.

(4) Individuals under 60 years of age who have a diagnosis of mental or emotional disorder or substance use disorder are not eligible for OPI-M unless all of the following are met:

(a) The individual has a medical, non-psychiatric diagnosis or physical disability;

(b) The individual's need for services is based on their medical, non-psychiatric diagnosis, or physical disability; and

(c) The individual provides supporting documentation demonstrating that their need for services is based on the medical, non-psychiatric diagnosis, or physical disability. The Department authorizes documentation sources through approved and published policy transmittals.



(5) Individuals receiving any of the following programs or services through the Department are not eligible for OPI-M services:

(a) 1915(i), 1915(j), or 1915(k) state plan services as defined in Oregon's Medicaid State Plan including but not limited to:

(A) Spousal Pay services as defined in [OAR 411-030-0080](#);

(B) The Independent Choices Program defined in [OAR 411-030-0100](#); and

(C) Shift services as defined in [OAR 411-030-0068](#).

(b) Waivered services under any Oregon 1915(c) waiver;

(c) State Plan Personal Care Services as defined in [OAR chapter 411, division 034](#);

(d) Healthier Oregon Program as defined in [OAR 461-135-1080](#);

(e) Oregon Project Independence as defined in [OAR chapter 411, division 032](#) or any duplicative Older Americans Act funded services;

(6) Individuals receiving the OSIPM under the following may be eligible to receive OPI-M services if they meet all other OPI-M program eligibility criteria:

(a) [OAR 461-135-0010\(2\)](#) Assumed, Continuous and Protected Eligibility: OSIPM, QMB;

(b) [OAR 461-135-0830](#) Eligibility for Disabled Adult Children; OSIPM;

(c) [OAR 461-135-0780](#) Pickle Amendment Clients; OSIPM.

(7) Individuals may receive both the Supplemental Nutrition Assistance Program and OPI-M.

(8) OPI-M services are not intended to replace natural support systems. Paid support is provided if a natural support is unwilling or unable to

provide identified services or to supplement unmet needs that the natural support cannot meet.

Stat. Auth.: [ORS 410.070](#)

Stats. Implemented: [ORS 410.060](#), [410.070](#), [414.065](#)

#### **411-014-0025 Financial Eligibility for OPI-M**

*(Adopted 11/22/2024)*

(1) The income and resource methodology for OSIPM outlined in [OAR chapter 461](#) is used to determine financial eligibility for the OPI-M except as follows:

(a) In OPI-M an individual must have adjusted income (see [OAR 461-001-0000](#)) that is equal to or less than 400 percent of the federal poverty level for a family of one and may not be exceeded through qualifying trusts as specified in [OAR 461-145-0540\(9\)\(c\)](#).

(b) For an individual, the resource limit is calculated using the basic statutory daily nursing facility rate as described in [OAR 411-070-0075\(1\)](#) multiplied by 30.4 (average days per month) multiplied by six (6) months.

(c) At initial eligibility, the resources of the spouse (see [OAR 461-001-0000](#)) are considered and the provisions of [OAR 461-160-0580\(2\)\(f\)\(A\)](#) apply, except that the individual's resource limit is calculated in accordance with subsection (b) of this section.

(d) Individuals are subject to the rules regarding both of the following:

(A) The transfer of assets as set forth in [OAR 461-140-0210 to 461-140-0300](#) except in [OAR 461-140-0250 \(2\)\(a\)\(A\)\(ii\)](#) the resource limit is the current OPI-M resource limit in [OAR 411-014-0025\(1\)\(b\)](#), not the OSIPM resource limit.

(B) The home equity value limits as set forth in [OAR 461-145-0220](#).

(2) No payments or benefits paid under OPI-M shall be subject to the estate recovery program, including, without limitation, under [OARs 461-](#)

[135-0835](#), [461-135-0837](#), and [461-135-0845](#). Trustees of Medicaid-qualifying trusts ([OARs 461-145-0540\(10\)\(a\)](#), [461-145-0540\(10\)\(c\)](#), or [461-145-0540\(11\)](#)) need not repay these benefits under those trusts' required payback clauses. Notwithstanding the exclusion of OPI-M services from estate recovery, an individual must still comply with [OAR 461-145-0022](#) Annuities: OSIPM.

(3) OPI-M recipients are not subject to a liability as described in [OAR 461-160-0620](#).

(4) Periodic redeterminations of eligibility required in [OAR 461-115-0430](#) are required every 24 months for people receiving OPI-M services.

(5) Notwithstanding [OAR 411-014-0025\(4\)](#) if one of the following circumstances occur during an individual's period of continuous OPI-M eligibility, OPI-M eligibility may be redetermined or terminated:

- (a) The individual becomes pregnant or otherwise eligible for Medicaid or CHIP;
- (b) The individual is no longer an Oregon resident;
- (c) The individual requests termination of eligibility;
- (d) The individual dies; or
- (e) The agency determines that eligibility was erroneously granted at the most recent determination, redetermination, or renewal of eligibility because of agency error or fraud, abuse, or perjury attributed to the individual.

Stat. Auth.: [ORS 409.050](#), [410.060](#), [410.070](#), [411.070](#), [411.404](#), [411.816](#), [412.049](#), [413.085](#), [414.685](#)

Stats Implemented: [ORS 409.010](#), [410.060](#), [410.070](#), [411.070](#), [411.404](#), [411.816](#), [412.049](#), [413.085](#), [414.685](#)

### **411-014-0030 Scope of OPI-M Eligibility Case Management** (Adopted 11/22/2024)

(1) Eligibility Case Managers are responsible for:

- (a) Eligibility related, consultation, and referral tasks including:
  - (A) Service eligibility assessments and service eligibility determinations as described [OAR 411-014-0040](#);
    - (i) Initial eligibility determinations must be conducted within 45 days of the date of request;
    - (ii) Redeterminations must be completed every two years.
  - (B) Initial financial eligibility determinations and re-determinations as required by [OAR 461-135-0010\(3\)](#) including income and asset verifications and notice of eligibility.
  - (C) Verifying all other eligibility requirements in [OAR 411-014-0020](#) for OPI-M are met;
  - (D) Program consultation, presenting options, resources, and alternatives to an individual to assist the individual in making informed choices about program selection; and
  - (E) Referrals for program coordination and communication with the Services Case Manager when OPI-M is chosen by the individual.
- (b) Assisting individuals to make informed decisions by providing complete and unbiased information.
- (c) Person-centered planning processes and ensuring the processes:
  - (A) Are driven by the individual;
  - (B) Include people chosen by the individual;
  - (C) Consider the program options that best meet the identified needs;
  - (D) Reflect the cultural considerations of the individual; and

(E) Use the language, format, and presentation methods appropriate for effective communication according to the needs and abilities of the individual and, as applicable, representative of the individual.

(d) Informing individuals of grievance and hearing rights and follow grievance and hearing processes.

(2) Type B transfer AAA staff serving as OPI-M Eligibility Case Managers may also serve as Services Case Managers.

(3) ODHS Eligibility case managers must respond to OPI-M communication from AAAs within five business days. Responses to communication from eligible individuals and their representatives must also occur within five business days.

Stat. Auth.: [ORS 410.070](#)

Stats. Implemented: [ORS 410.060](#), [410.070](#), [414.065](#)

#### **411-014-0035 Qualified Case Manager**

*(Adopted 11/22/2024)*

Eligibility case managers must meet the qualifications in [OAR 411-028-0040](#).

Stat. Auth.: [ORS 410.070](#)

Stats. Implemented: [ORS 410.060](#), [410.070](#), [414.065](#)

#### **411-014-0040 Assessments**

*(Adopted 11/22/2024)*

(1) Assessments must be conducted by eligibility case managers and in accordance with [OAR 411-015-0008](#) except that the service eligibility assessment and determination is made every 24-months in accordance with the continuous eligibility standard in OPI-M.

(2) The Services Case Manager may attend the assessment conducted by the Eligibility Case Manager if the individual approves.

Stat. Auth.: [ORS 410.070](#)

Stats. Implemented: [ORS 410.060](#), [410.070](#), [414.065](#)