

OREGON GOVERNMENT ETHICS COMMISSION
MEETING MINUTES
April 28, 2023
9:00 a.m.

(File 1)

Vice Chair Shawn Lindsay called the meeting to order at 9:00 a.m. The meeting was held in-person and by video conference in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE Salem, Oregon. Vice Chair Shawn Lindsay, Commissioner Jonathan Thompson, and OGEC Counsel Sean Brady were present. Commissioners Dan Mason, Shenoa Payne, Richard Burke, Amber Hollister, and Alicia McAuley, as well as OGEC Counsel Janet Borth, were present via Teams. Staff present were Executive Director Ron Bersin, Compliance and Education Coordinator Susan Myers, Investigators Hillary Murrieta and Monica Walker, Agency Programs Administrator Becky Maison, Trainer Charlie Esparza, and Office Specialist Jessica Foster.

AGENDA ITEM 1, Comments from the Chair. Lindsay, filling in as chair, called roll and location of Commissioners. Commissioner Fiskum was absent.

AGENDA ITEM 2, Approval of minutes of the March 10, 2023 Commission meeting. The minutes were approved without correction.

Lindsay declared an actual conflict of interest for items 23-26. Payne declared an actual conflict of interest for item 11.

The Commission convened into executive session at 9:05 a.m. to consider Preliminary Reviews pursuant to ORS 244.260(4)(d), ORS 192.685(1), and to consider possible Own Motions pursuant to ORS 244.260(2)(b).

EXECUTIVE SESSION CONSENT CALENDAR

Reports of Preliminary Review
(All items removed from consent calendar for discussion.)

End of Executive Session Consent Calendar.

Own Motion Preliminary Reviews

(File 2)

AGENDA ITEM 27, Possible Own Motion preliminary review.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>

OTHER ITEMS

Reports of Preliminary Reviews (removed from consent calendar)

(File 3)

AGENDA ITEM 11, 23-024EHM, Laural O'Rourke, Board of Directors, Lane County School District 4J. Commissioner Payne repeated her disclosure of an actual conflict of interest. Laural O'Rourke was present via Teams. Murrieta summarized the case. Commission and staff discussed the matter. O'Rourke addressed the Commission. Commission discussed the matter further. Burke moved that the Commission find that there is a substantial, objective basis for believing that Laural O'Rourke may have violated ORS 244.025(1) and ORS 244.040(1) and that the Commission should investigate accordingly. Roll call was taken as follows: Thompson, aye; Mason, aye; Burke, aye; Hollister, aye; McAuley, aye; Lindsay, aye. Motion passed 6-0 with 1 abstention, Payne.

(File 4)

AGENDA ITEM 12, 23-027EMW, Tonya Graham, Mayor, City of Ashland. Tonya Graham was present via Teams. Walker summarized the case. Commission discussed the matter. Thompson moved that the Commission find that there is a substantial, objective basis for believing that Tonya Graham may have violated ORS 244.120(2) and that the Commission should investigate accordingly. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; Hollister, aye; McAuley, aye; Lindsay, aye. Motion passed 7-0.

(File 5)

AGENDA ITEM 13, 23-029EHM, Stephanie Simmons, Former Program Manager, Multnomah County Sustainability Initiative. Stephanie Simmons was not present. Murrieta summarized the case. Thompson moved that the Commission find that there is a substantial, objective basis for believing that Stephanie Simmons may have violated ORS 244.040(1) and ORS 244.120(1) and that the Commission should investigate accordingly. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; Hollister, aye; McAuley, aye; Lindsay, aye. Motion passed 7-0.

AGENDA ITEM 14, 23-030EHM, Annie Gilland, Mayor, City of Gervais. Annie Gilland was present via Teams. Murrieta summarized the case. Gilland addressed the Commission. Commission discussed the matter. Thompson moved that the Commission find that the complaint and information available during the preliminary review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of Oregon Government Ethics law has been committed by Annie Gilland or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; Hollister, aye; McAuley, aye; Lindsay, aye. Motion passed 7-0.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>

(File 6)

AGENDA ITEM 15, 23-032EMW, Renee Menkens, Member, CHIP Grant Review Committee, Oregon Health Authority. Menkens was present via Teams. Walker summarized the case. Menkens addressed the Commission. Commission discussed the matter. Thompson moved that the Commission find that there is a substantial, objective basis for believing that Renee Menkens may have violated ORS 244.040(1) and ORS 244.120(2) and that the Commission should investigate accordingly. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; Hollister, aye; McAuley, aye; Lindsay, aye. Motion passed 7-0.

(File 7)

AGENDA ITEM 16, 23-060SSM, Greg Sweek, Former Planning Commissioner, Morrow County. Sweek was present via phone. Myers summarized the case. Commission discussed the matter. Sweek addressed the Commission. Thompson moved that the Commission find that there is a substantial, objective basis for believing that Greg Sweek may have violated ORS 244.060 and that the Commission should investigate accordingly. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Hollister, aye; McAuley, aye; Lindsay, aye. Motion passed 6-0. Burke, absent temporarily.

AGENDA ITEM 23, 23-015EHM, Clark Balfour

AGENDA ITEM 24, 23-016EHM, Clark Dillingham

AGENDA ITEM 25, 23-017EHM, Randy Lauer

AGENDA ITEM 26, 23-018EHM, Robert Wyffels

Tualatin Valley Fire and Rescue Board of Directors. Lindsay repeated his disclosure of an actual conflict of interest and recused himself from the matter. Burke disclosed a friendship with Balfour but said he did not have a conflict of interest. Respondents waived confidentiality so their cases could be heard together. Murrieta summarized the cases. Lauer, Wyffels and Balfour were present via Teams, Dillingham was not present. The Commission discussed the matter. Respondents addressed the Commission.

AGENDA ITEM 23, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of provisions of Oregon Government Ethics law has been committed by Clark Balfour or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye. Motion passed 6-0 with 1 abstention, Lindsay.

AGENDA ITEM 24, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of provisions of Oregon Government ethics law has been committed by Clark Dillingham or warrant

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>

further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye. Motion passed 6-0 with 1 abstention, Lindsay.

AGENDA ITEM 25, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of provisions of Oregon Government Ethics law has been committed by Randy Lauer or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye. Motion passed 6-0 with 1 abstention, Lindsay.

AGENDA ITEM 26, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of provisions of Oregon Government Ethics law has been committed by Robert Wyffles or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye. Motion passed 6-0 with 1 abstention, Lindsay.

(File 8)

AGENDA ITEM 17, 23-007EMW, Gary DeSimone, Board of Director, Applegate Valley Fire District.

Walker summarized the case. DeSimone was not present. Commission discussed the matter. Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of provisions of Oregon Government Ethics law has been committed by Gary DeSimone or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Mason, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 7-0.

AGENDA ITEM 18, 23-009XMW, Heidi Wintermute

AGENDA ITEM 19, 23-010XMW, Grace Laman

AGENDA ITEM 20, 23-011XMW, Martiza Casarrubias

AGENDA ITEM 21, 23-012XMW, Jeanette Sampson

AGENDA ITEM 22, 23-013XMW, Jenna Rickenbach

Board of Directors, Astoria School District 1C. Walker summarized the cases together. None of the respondents were present. Commission discussed the matter.

Mason left the meeting at 10:45 a.m.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>

AGENDA ITEM 18, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of executive session provisions of Oregon Public Meetings has been committed by Heidi Wintermute or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 6-0.

AGENDA ITEM 19, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of executive session provisions of Oregon Public Meetings has been committed by Grace Laman or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 6-0.

AGENDA ITEM 20, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of executive session provisions of Oregon Public Meetings has been committed by Martiza Casarrubias or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 6-0.

AGENDA ITEM 21, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of executive session provisions of Oregon Public Meetings has been committed by Jeanette Sampson or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 6-0.

AGENDA ITEM 22, Thompson moved that the Commission find that the complaint and information available during the Preliminary Review appears to be insufficient to constitute a substantial, objective basis for believing that a violation of executive session provisions of Oregon Public Meetings has been committed by Jenna Rickenbach or warrant further review, and that this complaint be dismissed. Roll call was taken as follows: Thompson, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 6-0.

RECONVENE INTO REGULAR OPEN SESSION AT 10:50 A.M.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>

STIPULATED FINAL ORDERS

(File 9)

AGENDA ITEM 3, 22-133XSM, Trevor DeHart, Board of Directors, Yamhill County School District 29J. Payne declared an actual conflict of interest and recused herself from this matter. Myers summarized the case. DeHart was not present. Thompson moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the chairperson be authorized to sign it as such. Roll call was taken as follows: Thompson, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 5-0 with 1 abstention, Payne.

AGENDA ITEM 4, 22-135XSM, Brian Shannon, Board of Directors, Yamhill County School District 29J. Payne declared an actual conflict of interest and recused herself from this matter. Myers summarized the case. Shannon was not present. Thompson moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the chairperson be authorized to sign it as such. Roll call was taken as follows: Thompson, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 5-0 with 1 abstention, Payne.

AGENDA ITEM 5, 22-167EMW, Michael Deeds, Board of Directors, TEACH-NW. Walker summarized the case. Deeds was not present. Thompson moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the chairperson be authorized to sign it as such. Roll call was taken as follows: Thompson, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 6-0.

AGENDA ITEM 6, 22-235SHM, Kit Johnson, Planning Commissioner, Yamhill County. Murrieta summarized the case. Johnson was not present. Thompson moved that the Commission approve the proposed stipulated final order as the final order in this matter and that the chairperson be authorized to sign it as such. Roll call was taken as follows: Thompson, aye; Payne, aye; Burke, aye; McAuley, aye; Hollister, aye; Lindsay, aye. Motion passed 6-0.

Default Final Orders

None.

Reports of Investigations

None.

Advisory Opinions

None.

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>

CORRESPONDENCE RECEIVED RESPONSES

The Commission reviewed the following without comment unless otherwise noted:

Staff Opinions

(non-action, informational only items)

None.

Staff Advice

(non-action, informational only items)

AGENDA ITEM 7, 23-0561, John Murray, Oregon Prescription Drug Affordability Board, re: application of the conflict of interest provisions of Oregon Government Ethics law to a member of the Oregon Prescription Drug Affordability Board who owns pharmacies.

AGENDA ITEM 8, 23-1021, Gary Richardson, Board of Directors, Grants Pass School District #7, re: application of the conflict of interest and class exception provisions of Oregon Government Ethics law to a school board member whose daughter in law works for the district.

Other Correspondence

(non-action, informational only items)

None.

MISCELLANEOUS ITEMS

AGENDA ITEM 9, Trainers' Report. Esparza summarized training activities.

AGENDA ITEM 10, Executive Director's Report. Bersin reviewed the following:

- 2021-23 Budget has a \$298,182 surplus as of April 1, 2023.
 - Used surplus on monitor replacement and docking stations.
- 2023-25 Budget:
 - Passed House with 43 ayes, 17 excused.
 - Passed Senate with 24 ayes, 4 nays, 2 excused.
- SEI:
 - 2023: 5,688 have filed and 238 have not filed.
 - 2022: All filed.
- Legislative Concepts: SB207 (own motion authority for executive session):

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>

- Passed the Senate with 21 ayes, 8 nays, 1 excused.
- Passed the House with 37 ayes, 18 nays, 5 excused.
- SB 292: School Districts with less than 4,000 students would not need to file SEIs until 2026. Bill will have a fiscal impact on OGEC if passed.
- GovDelivery is now active.
- Lobbyist 2023 Q1 filings: 4 Lobbyists and 3 Client/Employers have not filed.
- June meeting has been moved to June 2 and will be a long meeting.

Chair Hollister adjourned the meeting at 11:15 a.m.

The next scheduled meeting date of the Oregon Government Ethics Commission is set for Friday, June 2, 2023, at 9:00 a.m. The meeting will be held in the 2nd Floor Conference Room of the Morrow Crane Building at 3218 Pringle Rd SE, in Salem, Oregon and via Microsoft Teams.

DRAFT

THESE MINUTES CONTAIN MATERIALS WHICH PARAPHRASE AND/OR SUMMARIZE STATEMENTS MADE DURING THIS MEETING. ONLY TEXT ENCLOSED IN QUOTATION MARKS REPORT A SPEAKER'S EXACT WORDS. FOR COMPLETE CONTENTS OF THE PROCEEDINGS, PLEASE REFER TO THE AUDIO RECORDINGS AT <https://www.oregon.gov/ogec/about-us/Pages/Meetings.aspx>



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

Lisa Taylor
198 Commercial St SE
Salem OR 97301

Re: Late filing penalties for Q1 2023

Dear Ms. Taylor:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$20 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2444
User Name: LisaKTaylor
Email: Lisa.Taylor@opds.state.or.us

Lisa Taylor
198 Commercial St SE
Salem, OR 97301

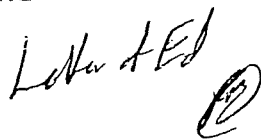
Q1 2023 Filing: 4/17/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$20.00

Activity Log - Q1 2023 to Date

01/06/23 05:03:03.030 - User Profile Created - User profile created for Lisa Taylor
01/06/23 05:03:05.520 - Lobbyist Profile Created - A new lobbyist profile was created.
01/06/23 05:03:05.520 - User Profile Created - A new user profile was created.
01/06/23 05:07:53.800 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
04/16/23 03:30:28.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:29.013 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 12:27:43.187 - User Failed to Authenticate -
04/17/23 12:27:50.747 - User Failed to Authenticate -
04/17/23 12:27:58.497 - User Successfully Authenticated -
04/17/23 12:30:15.020 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Leta H Ed


April 17, 2023

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

RE: Explanation of Late Filing

Dear Commissioners,

I am writing to request that my \$20.00 late filing penalty for 2023 Q1 be waived. This is my first time reporting as a lobbyist, and I was under the mistaken impression that the report my agency submits for lobbying costs was my report. I did not realize that I had to submit a separate report.

I corrected the oversight as soon as I was able to. I hope you will waive this penalty. It was genuinely a misunderstanding on my part, not an attempt to hide lobbying expenses, which were \$0.

Thank you,

Lisa Taylor
Government Relations Manager
Office of Public Defense Services
360.324.0275



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd. SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

Kazim Zaidi
c/o Oregon Health Authority
500 Summer St NE
Salem OR 97301

Re: Late filing penalties for Q1 2023

Dear Mr. Zaidi:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2418
User Name: Kazim.Zaidi
Email: kazim.zaidi2@oha.oregon.gov

Kazim Zaidi
Oregon Health Authority
Salem, OR 97302


Q1 2023 Filing:	4/18/2023
Q1 2023 Expended:	\$0.00
Q1 2023 Penalty:	\$30.00

Activity Log - Q1 2023 to Date

01/04/23 11:35:00.283 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
04/16/23 03:30:26.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:27.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:18.237 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 12:08:14.610 - User Successfully Authenticated -
04/18/23 12:16:01.313 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Zaidi Kazim <Kazim.Zaidi2@oha.oregon.gov>
Date: Tuesday, April 18, 2023 10:17 AM
To: HUNTER David * OGEC
Subject: Request to Waive Late Fees

Follow Up Flag: Follow up
Flag Status: Flagged

Dear Members of the OGEC,

I am writing to you to request that you waive the \$30 in late filing fees I accrued between April 15th and April 17th 2023.

I registered as a lobbyist shortly after joining the Oregon Health Authority in December 2022, however I incorrectly filled in the registration form. This was due to management turnover at OHA which meant I did not have clear instructions.

I filed my 1st quarter report on 12th April 2023, but due to the above-mentioned error, received fines totaling \$30 before the error could be rectified. Thanks to the assistance of David Hunter, I am now correctly registered and able to ensure that late/incorrect filing will not happen again.

Thank you for your patience and understanding in this matter.

Best

Zaidi Kazim



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

Maura C Roche
2504 NE 42nd Ave
Portland OR 97213

Re: Late filing penalties for Q1 2023

Dear Ms. Roche:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 292
User Name: Maura C. Roche
Email: maura@strategyworksnw.com

Maura Roche
2504 NE 42nd Ave
Portland, OR 97213

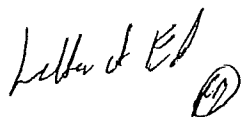
Q1 2023 Filing: 4/16/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$10.00

Activity Log - Q1 2023 to Date

03/08/23 04:17:06.870 - Admin User Reset Initiated - An admin user reset was initiated.
03/08/23 04:18:47.940 - Admin User Password Reset Completed - A user reset their password using an admin reset.
03/08/23 04:19:12.390 - User Successfully Authenticated -
03/08/23 04:32:23.723 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
04/16/23 03:30:10.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/16/23 10:47:23.647 - User Failed to Authenticate -
04/16/23 10:48:25.460 - User Failed to Authenticate -
04/16/23 10:48:40.703 - User Failed to Authenticate -
04/16/23 10:48:57.650 - User Failed to Authenticate -
04/16/23 10:51:31.767 - User Failed to Authenticate -
04/16/23 10:52:18.450 - User Successfully Authenticated -
04/16/23 10:53:43.443 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Maura Roche <maura@strategyworksnc.com>
Date: Sunday, April 16, 2023 8:59 AM
To: OGEC Mail * OGEC
Subject: late filing fee

My apologies for being a day late in filing. I was entering an incorrect password and then could not answer my second security question correctly (hope I can change that) and finally tried enough password combos I got in this morning. I think you will see from my prior history, I have generally been a timely filer.

Thank you for considering waiving my fine.

-Maura C. Roche



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

Zachary Lindahl
967 NE Orenco Station Loop #426
Hillsboro OR 97124

Re: Late filing penalties for Q1 2023

Dear Mr. Lindahl:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2286
User Name: ZacharyLindahI92
Email: zacharylindahI92@comcast.net

Zachary Lindahl
967 NE Orenco Station Loop #426
Hillsboro, OR 97124

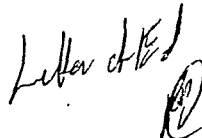
Q1 2023 Filing: 4/18/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$30.00

Activity Log - Q1 2023 to Date

01/04/23 11:59:36.847 - User Successfully Authenticated -
01/04/23 12:00:10.357 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
01/04/23 03:20:47.540 - User Successfully Authenticated -
01/04/23 04:56:50.977 - User Successfully Authenticated -
01/04/23 04:59:38.757 - User Successfully Authenticated -
01/06/23 03:33:26.530 - User Successfully Authenticated -
01/09/23 11:15:22.123 - User Successfully Authenticated -
01/11/23 06:28:44.850 - User Successfully Authenticated -
04/16/23 03:30:23.230 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:24.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:16.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 05:04:22.693 - Admin User Reset Initiated - An admin user reset was initiated.
04/18/23 05:04:56.523 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/18/23 05:06:05.517 - User Profile Updated - User profile updated for Zachary Lindahl
04/18/23 05:06:05.547 - User Profile Updated - The user's main profile was updated.
04/18/23 05:07:11.353 - User Successfully Authenticated -
04/18/23 05:07:55.987 - User Profile Updated - User profile updated for Zachary Lindahl
04/18/23 05:07:56.033 - User Profile Updated - The user's main profile was updated.
04/18/23 05:08:04.830 - Admin User Reset Initiated - An admin user reset was initiated.
04/18/23 05:09:20.137 - Admin User Reset Initiated - An admin user reset was initiated.
04/18/23 05:10:04.430 - User Failed to Authenticate -
04/18/23 05:10:08.153 - User Successfully Authenticated -
04/18/23 05:10:29.343 - User Profile Updated - User profile updated for Zachary Lindahl
04/18/23 05:10:29.403 - User Profile Updated - The user's main profile was updated.
04/18/23 05:10:39.363 - Admin User Reset Initiated - An admin user reset was initiated.
04/18/23 05:11:06.013 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/18/23 05:11:20.467 - User Failed to Authenticate -
04/18/23 05:11:34.073 - User Successfully Authenticated -
04/18/23 05:12:36.670 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Lindahl


HUNTER David * OGEC

From: Zachary Lindahl <Zacharylindahl92@comcast.net>
Date: Tuesday, April 18, 2023 3:20 PM
To: OGEC Mail * OGEC
Subject: Zach Lindahl Late Filing
Attachments: OGEC.docx

Good Afternoon,

My name is Zach Lindahl and I used to be a lobbyist for the Washington County Chamber of Commerce.

I left the chamber on January 14th and did not believe I had to do anything further with the OGEC after unregistering as a lobbyist on January 9th. I did not partake in any lobbyist activities in Q1 and I apologize for not knowing I needed to report anything. If I could have the late filing fees dropped that would be greatly appreciated. I have no history of filing late in the past and I hope you understand.

Please call or email me if you have any questions.

Zach Lindahl

360-931-9944



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 24, 2023

Rosetta Shatkin
216 Maple St
Florence OR 97439

Re: Late filing penalties for Q1 2023

Dear Ms. Shatkin:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$20 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 1958
User Name: rosetta.shatkin@oregon.gov
Email: rosetta.shatkin@oregon.gov

Rosetta Shatkin
216 Maple Street
Florence, OR 97439


Q1 2023 Filing:	4/17/2023
Q1 2023 Expended:	\$0.00
Q1 2023 Penalty:	\$20.00

Activity Log - Q1 2023 to Date

01/04/23 10:09:37.030 - User Failed to Authenticate -
01/04/23 10:09:53.317 - User Failed to Authenticate -
01/04/23 10:12:05.573 - User Successfully Authenticated -
01/04/23 10:13:32.987 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
04/06/23 05:16:47.960 - User Failed to Authenticate -
04/06/23 05:16:51.650 - User Failed to Authenticate -
04/16/23 03:30:18.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:20.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 02:23:27.633 - User Failed to Authenticate -
04/17/23 02:23:35.660 - User Failed to Authenticate -
04/17/23 02:23:51.073 - User Failed to Authenticate -
04/17/23 06:24:14.957 - User Failed to Authenticate -
04/17/23 06:26:08.687 - User Successfully Authenticated -
04/17/23 06:28:42.623 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023
04/18/23 11:07:38.277 - User Failed to Authenticate -
04/18/23 11:07:47.873 - User Successfully Authenticated -

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Shatkin Rosetta * OLCC
Int: Tuesday, April 18, 2023 9:43 AM
To: OGEC Mail * OGEC
Subject: Explanation and Request to waive late filing penalty

Good morning, Commissioners, thanks in advance for taking the time to read through my brief explanation and request to waive late filing fees (\$20).

I am the registered Government Ethics lobbyist for the Oregon Liquor and Cannabis Commission (OLCC). Although I was notified regarding the reporting window for the 2023 Q1 reporting period, and reminded again on 4/10/2023, unfortunately, my filing was completed and received on 04/17/2023, two days after the required date to submit a quarterly reporting 4/15/2023. As such, I accrued a penalty of \$20.00. This is my formal letter of explanation to the Commission regarding the late filing.

To be transparent, there is not much of an excuse or justification for the late filing other than an oversight on my part. As you are likely aware, the agency is under criminal investigation stemming from findings of ethics violation. The OLCC is also working closely with DOJ, state legislators, and others to provide the necessary resources to help with the investigation in a timely manner. Agency staff, including myself, have taken on responsibilities outside of our traditional roles, amid a challenging legislative session. As you will see from the records, this is the first time I have been late in filing.

Thanks again for your review and I look forward to hearing from you.

Rosie Shatkin, Director of Government Affairs
Oregon Liquor Cannabis Commission (OLCC)
9079 SE McLoughlin Blvd., Portland, OR 97222
O: (503) 872-5044 | C: (971) 284-1894 | E: rosetta.shatkin@oregon.gov

"The ultimate measure of a (wo)man is not where (s)he stands in moments of comfort and convenience, but where (s)he stands at times of challenge and controversy."



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 24, 2023

Tom Crawford
614 SE 18th Ave #1
Portland OR 97214

Re: Late filing penalties for Q1 2023

Dear Mr. Crawford:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2235
User Name: tdcrawford
Email: crawfordt@seiu503.org

Tom Crawford
614 SE 18th Ave #1
Portland, OR 97214


Q1 2023 Filing:	4/18/2023
Q1 2023 Expended:	\$0.00
Q1 2023 Penalty:	\$30.00

Activity Log - Q1 2023 to Date

01/03/23 01:46:56.013 - User Successfully Authenticated -
01/03/23 01:47:46.043 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
02/23/23 05:50:45.900 - User Successfully Authenticated -
04/16/23 03:30:23.063 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:24.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:16.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 04:40:59.457 - User Successfully Authenticated -
04/18/23 04:41:43.497 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter dtd


HUNTER David * OGEC

From: Thomas Crawford <t.crawford.writes@gmail.com>
nt: Wednesday, April 19, 2023 12:09 PM
To: OGEC Mail * OGEC
Subject: Appeal Penalty on Quarterly Report

To whom it may concern,

I hope this email finds you well. My name is Tom Crawford, and until February of this year, I worked as a lobbyist for SEIU Local 503. I transitioned out of the role in early February, at which time my email address, crawfordt@seiu503.org, was deactivated. As a result, I did not receive any alerts or notifications that this report would be due. Yesterday, I received a call letting me know that my report was past due and that I now owe \$30 as a result. I am writing to appeal this fee, given that I left my position early in the quarter, and no longer had access to the email that would have received OGEC reminders. If you have any additional questions, or require additional information, please do not hesitate to contact me. Thank you for your time and consideration.

Best,
Tom Crawford
He/Him/His
(503) 933-4070



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 24, 2023

Dominique Rossi
6007 SE Firwood St
Milwaukie OR 97222

Re: Late filing penalties for Q1 2023

Dear Ms. Rossi:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$40 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2392
User Name: drossi
Email: Dominique.A.Rossi@gmail.com

Dominique Rossi
6007 SE Firwood St
Milwaukie, OR 97222

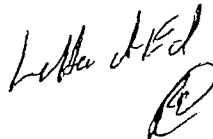
Q1 2023 Filing: 4/19/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$40.00

Activity Log - Q1 2023 to Date

01/03/23 08:33:46.263 - User Successfully Authenticated -
01/03/23 08:34:55.617 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
01/03/23 08:35:44.470 - User Successfully Authenticated -
04/16/23 03:30:24.703 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:27.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:18.097 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:14.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 11:50:50.597 - User Profile Updated - User profile updated for Dominique Rossi
04/19/23 11:50:50.660 - User Profile Updated - The user's main profile was updated.
04/19/23 12:03:29.543 - User Profile Updated - User profile updated for Dominique Rossi
04/19/23 12:03:29.577 - User Profile Updated - The user's main profile was updated.
04/19/23 12:09:45.847 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 12:14:23.380 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/23 12:14:42.800 - User Successfully Authenticated -
04/19/23 12:16:11.497 - User Profile Updated - User profile updated for Dominique Rossi
04/19/23 12:16:11.530 - User Profile Updated - The user's main profile was updated.
04/19/23 12:16:34.503 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 12:33:32.867 - User Profile Updated - User profile updated for Dominique Rossi
04/19/23 12:33:32.920 - User Profile Updated - The user's main profile was updated.
04/19/23 04:55:44.193 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/23 04:57:50.653 - User Successfully Authenticated -
04/19/23 04:58:39.900 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:



HUNTER David * OGEC

From: Dominique Rossi <dominique.a.rossi@gmail.com>
nt: Wednesday, April 19, 2023 3:13 PM
ro: OGEC Mail * OGEC
Subject: penalty appeal

Hello ,

I am following up on a conversation with Jessica Foster this morning. My understanding is the way to file a late fee penalty appeal is to email this account.

I have not conducted any lobbying for the City of Portland or any other client since mid- Dec 2022. However, as I was on vacation for the holidays- in fact out of the country and had my husband's identity stolen that holiday week, I did not file for the removal of the client aka City of Portland until Jan 3rd. No one informed me that I was still supposed to file for the quarter beginning in January. I believe the ethics commission reached out to my 2022 email and work phone- which had not been active since December. Given the circumstances, I hope the appeal will be granted and my \$40 late fee be waived.

To remedy the situation- I filed the report today, as soon as I was informed of the need. I was well aware of the deadline, in fact I helped Senators file their SEIs in my current role, I just honestly did not know that the 3 days difference (due to being out of the country and dealing with identity theft) would make me subject to the quarter's reporting requirement. Please confirm receipt and let me know if you need any additional information.

Thank you for your consideration.

Sincerely,
Dominique

--
Dominique Rossi

- www.linkedin.com/pub/dominique-rossi/13/4aa/453/



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 24, 2023

Patrick Allen
1101 Camino DeSalud NE
Albuquerque NM 87102

Re: Late filing penalties for Q1 2023

Dear Mr. Allen:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$40 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 1748
User Name: OR0046122
Email: patrick.allen@doh.nm.gov

Patrick Allen
500 Summer St. NE
Salem, OR 97301


Q1 2023 Filing: 4/19/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$40.00

Activity Log - Q1 2023 to Date

01/03/23 12:21:28.667 - User Successfully Authenticated -
01/03/23 02:43:12.650 - User Successfully Authenticated -
01/03/23 02:44:15.147 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
04/16/23 03:30:17.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:18.043 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:14.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:09.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 11:09:50.840 - User Successfully Authenticated -
04/19/23 01:55:41.057 - User Successfully Authenticated -
04/19/23 01:56:07.350 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023
04/19/23 02:38:33.987 - User Profile Updated - User profile updated for Patrick Allen
04/19/23 02:38:34.047 - User Profile Updated - The user's main profile was updated.
04/19/23 05:18:22.187 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 05:19:12.140 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 05:19:39.990 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/23 05:19:48.627 - User Successfully Authenticated -
04/19/23 05:20:16.197 - User Profile Updated - User profile updated for Patrick Allen
04/19/23 05:20:16.243 - User Profile Updated - The user's main profile was updated.
04/19/23 05:20:47.927 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 07:16:37.993 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/23 07:17:03.830 - User Successfully Authenticated -
04/20/23 11:47:40.923 - User Failed to Authenticate -
04/20/23 11:47:50.947 - User Successfully Authenticated -

Past Violations: Q1 2020, Pd Check; Q3 2021, Pd Check

Recommendation:

Indicate if Ed


Lobbyist Activity

Q3 2021

Lobbyist Code: 1748
User Name: OR0046122
Email: patrick.allen@doh.nm.gov

Patrick Allen
500 Summer St. NE
Salem, OR 97301

Q3 2021 Filing: 10/18/2021
Q3 2021 Expended: \$0.00
Q3 2021 Penalty: \$0.00

Activity Log - Q3 2021 to Date

07/13/21 06:23:49.753 - User Successfully Authenticated -
07/13/21 06:24:57.307 - Lobbyist Report Saved - Lobbyist Report Saved for Q2 2021
07/13/21 06:26:06.983 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2021
10/11/21 10:17:25.237 - User Successfully Authenticated -
10/16/21 03:30:06.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2021 Q3
10/17/21 03:30:06.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2021 Q3
10/17/21 03:49:37.667 - User Failed to Authenticate -
10/18/21 03:30:05.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2021 Q3
10/18/21 10:40:01.510 - Admin User Reset Initiated - An admin user reset was initiated.
10/18/21 01:49:10.810 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/18/21 01:49:31.000 - User Successfully Authenticated -
10/18/21 01:55:38.287 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2021
11/04/21 03:47:16.880 - User Failed to Authenticate -
11/04/21 03:48:02.183 - User Failed to Authenticate -
11/04/21 03:48:09.230 - User Failed to Authenticate -
11/04/21 10:38:09.507 - User Failed to Authenticate -
11/04/21 11:51:25.170 - User Failed to Authenticate -
11/04/21 12:01:09.913 - Admin User Reset Initiated - An admin user reset was initiated.
11/04/21 12:03:47.153 - Admin User Password Reset Completed - A user reset their password using an admin reset.
11/04/21 12:03:53.983 - User Successfully Authenticated -
12/16/21 12:00:32.537 - User Successfully Authenticated -
01/05/22 11:55:21.840 - Lobbyist/Client Registration Request Expired - The lobbyist/client registration came to end of term and expired.
01/14/22 01:38:28.997 - User Successfully Authenticated -
01/14/22 01:40:33.310 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2021
04/08/22 03:17:56.023 - User Successfully Authenticated -
04/11/22 12:57:56.687 - User Successfully Authenticated -
04/11/22 12:58:33.517 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2022
07/14/22 04:53:33.823 - User Successfully Authenticated -
07/14/22 04:54:13.580 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2022
10/11/22 12:16:03.833 - User Successfully Authenticated -
10/11/22 12:16:36.277 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2022
01/03/23 12:21:28.667 - User Successfully Authenticated -
01/03/23 02:43:12.650 - User Successfully Authenticated -
01/03/23 02:44:15.147 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
04/16/23 03:30:17.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:18.043 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:14.270 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:09.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 11:09:50.840 - User Successfully Authenticated -
04/19/23 01:55:41.057 - User Successfully Authenticated -
04/19/23 01:56:07.350 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023
04/19/23 02:38:33.987 - User Profile Updated - User profile updated for Patrick Allen
04/19/23 02:38:34.047 - User Profile Updated - The user's main profile was updated.
04/19/23 05:18:22.187 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 05:19:12.140 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 05:19:39.990 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/23 05:19:48.627 - User Successfully Authenticated -
04/19/23 05:20:16.197 - User Profile Updated - User profile updated for Patrick Allen
04/19/23 05:20:16.243 - User Profile Updated - The user's main profile was updated.
04/19/23 05:20:47.927 - Admin User Reset Initiated - An admin user reset was initiated.
04/19/23 07:16:37.993 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/19/23 07:17:03.830 - User Successfully Authenticated -
04/20/23 11:47:40.923 - User Failed to Authenticate -
04/20/23 11:47:50.947 - User Successfully Authenticated -

Lobbyist Activity

Q1 2020

Lobbyist Code: 1748
User Name: OR0046122
Email: patrick.allen@doh.nm.gov

Patrick Allen
500 Summer St. NE
Salem, OR 97301

Q1 2020 Filing: 4/16/2020
Q1 2020 Expended: \$0.00
Q1 2020 Penalty: \$0.00

Activity Log - Q1 2020 to Date

01/01/20 03:33:06.183 - Lobbyist/Client Registration Request Expired - The lobbyist/client registration came to end of term and expired.
01/02/20 10:06:35.900 - User Successfully Authenticated -
01/15/20 04:53:26.867 - User Successfully Authenticated -
01/15/20 04:53:50.460 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2019
04/03/20 10:46:26.453 - User Successfully Authenticated -
04/16/20 03:30:08.373 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2020 Q1
04/16/20 08:48:22.480 - User Successfully Authenticated -
04/16/20 08:50:06.980 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2020
04/17/20 01:48:45.200 - User Successfully Authenticated -
07/13/20 07:19:59.017 - User Successfully Authenticated -
07/13/20 07:20:51.787 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2020
09/02/20 03:53:04.833 - User Successfully Authenticated -
10/14/20 02:46:43.597 - User Failed to Authenticate -
10/14/20 02:46:57.350 - User Successfully Authenticated -
10/14/20 02:47:43.697 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2020
01/14/21 11:30:45.883 - User Successfully Authenticated -
01/14/21 11:31:08.230 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2020
02/09/21 04:12:43.487 - User Successfully Authenticated -
03/29/21 01:56:36.163 - User Successfully Authenticated -
04/13/21 05:25:39.900 - User Successfully Authenticated -
04/14/21 03:19:29.710 - User Successfully Authenticated -
04/15/21 06:07:01.240 - User Successfully Authenticated -
04/15/21 06:28:04.397 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2021
07/13/21 06:23:49.753 - User Successfully Authenticated -
07/13/21 06:24:57.307 - Lobbyist Report Saved - Lobbyist Report Saved for Q2 2021
07/13/21 06:26:06.983 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2021
10/11/21 10:17:25.237 - User Successfully Authenticated -
10/16/21 03:30:06.443 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2021 Q3
10/17/21 03:30:06.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2021 Q3
10/17/21 03:49:37.667 - User Failed to Authenticate -
10/18/21 03:30:05.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2021 Q3
10/18/21 10:40:01.510 - Admin User Reset Initiated - An admin user reset was initiated.
10/18/21 01:49:10.810 - Admin User Password Reset Completed - A user reset their password using an admin reset.
10/18/21 01:49:31.000 - User Successfully Authenticated -
10/18/21 01:55:38.287 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2021
11/04/21 03:47:16.880 - User Failed to Authenticate -
11/04/21 03:48:02.183 - User Failed to Authenticate -
11/04/21 03:48:09.230 - User Failed to Authenticate -
11/04/21 10:38:09.507 - User Failed to Authenticate -
11/04/21 11:51:25.170 - User Failed to Authenticate -
11/04/21 12:01:09.913 - Admin User Reset Initiated - An admin user reset was initiated.
11/04/21 12:03:47.153 - Admin User Password Reset Completed - A user reset their password using an admin reset.
11/04/21 12:03:53.983 - User Successfully Authenticated -
12/16/21 12:00:32.537 - User Successfully Authenticated -
01/05/22 11:55:21.840 - Lobbyist/Client Registration Request Expired - The lobbyist/client registration came to end of term and expired.
01/14/22 01:38:28.997 - User Successfully Authenticated -
01/14/22 01:40:33.310 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2021
04/08/22 03:17:56.023 - User Successfully Authenticated -
04/11/22 12:57:56.687 - User Successfully Authenticated -
04/11/22 12:58:33.517 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2022
07/14/22 04:53:33.823 - User Successfully Authenticated -
07/14/22 04:54:13.580 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2022
10/11/22 12:16:03.833 - User Successfully Authenticated -
10/11/22 12:16:36.277 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2022

HUNTER David * OGEC

From: Allen, Patrick, DOH <patrick.allen@doh.nm.gov>
Int: Thursday, April 20, 2023 12:35 PM
To: OGEC Mail * OGEC
Subject: Late Filing Waiver Request

Oregon Government Ethics Commission

I'm emailing to ask that you consider waiving a recent \$40 late filing fee for a quarterly lobbyist filing. I left my employment with the state of Oregon on January 3, and my filing was terminated. As it was the first working day of the quarter, I was unaware that a quarterly filing was due. Since my employment had terminated, I did not receive any of the notifications you sent regarding this filing. This is my third late filing. I paid the first two late fees as their tardiness was entirely my fault. I believe this circumstance involved a good faith effort to comply, and would ask that you waive the fee. Thank you.

Patrick Allen



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 24, 2023

Brian Monberg
1333 NW Eastman Parkway
Gresham OR 97030

Re: Late filing penalties for Q1 2023

Dear Mr. Monberg:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$90 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2112
User Name: brian.monberg
Email: brian.monberg@greshamoregon.gov

Q1 2023 Filing: 4/24/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$90.00


Brian Monberg
1333 NW Eastman Parkway
Gresham, OR 97030

Activity Log - Q1 2023 to Date

01/09/23 02:24:57.857 - User Successfully Authenticated -
01/09/23 02:26:02.923 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
04/03/23 11:57:52.753 - User Successfully Authenticated -
04/16/23 03:30:19.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:22.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:15.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:11.637 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/20/23 03:30:07.817 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/20/23 05:50:43.950 - User Profile Updated - User profile updated for Brian Monberg
04/20/23 05:50:43.987 - User Profile Updated - The user's main profile was updated.
04/21/23 03:30:09.837 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/22/23 03:30:08.770 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/23/23 03:30:09.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 03:30:10.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 11:44:46.997 - User Successfully Authenticated -
04/24/23 11:45:44.800 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter to Ed


HUNTER David * OGEC

From: Brian Monberg <brian.monberg@greshamoregon.gov>
Int: Monday, April 24, 2023 12:04 PM
To: OGEC Mail * OGEC
Subject: request for penalty waiver
Attachments: Receipt of Late Quarterly Report

Dear Oregon Government Ethics Commission,

I am writing to request a penalty waiver for my late filing of Q1 2023 filing. This email is my letter of explanation regarding the filing.

I would like to request a waiver of my \$90 penalty. My lobby account was terminated on January 9, 2023, and the Q1 2023 submission represents a few days of the year. I missed the email notifications due to a death in the family on April 3, and subsequent travel for funeral on April 12.

This penalty is my first violation as a lobbyist, and I would respectfully request your consideration for waiver of this fee.

Thank you for your consideration,
Brian Monberg

Brian Monberg
City of Gresham
Brian.Monberg@GreshamOregon.gov
(503) 949-6510



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 3, 2023

Nicole Brun-Cottan
4223 SE 67th Ave
Portland OR 97206

Re: Late filing penalties for Q1 2023

Dear Ms. Brun-Cottan:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$200 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2600
User Name: Nicole B Cottan
Email: nicole.bruncottan@gmail.com

Nicole Brun-Cottan
4223 SE 67th Ave
Portland, OR 97206

Q1 2023 Filing:	5/3/2023
Q1 2023 Expended:	\$0.00
Q1 2023 Penalty:	\$200.00

Activity Log - Q1 2023 to Date

04/24/23 01:27:33.553 - User Profile Created - User profile created for Nicole Brun Cottan
04/24/23 01:27:34.813 - Lobbyist Profile Created - A new lobbyist profile was created.
04/24/23 01:27:34.813 - User Profile Created - A new user profile was created.
04/25/23 01:05:15.753 - User Profile Updated - User profile updated for Nicole Brun-Cottan
04/25/23 01:05:15.787 - User Profile Updated - The user's main profile was updated.
04/26/23 03:30:08.137 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/27/23 03:30:07.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/28/23 03:30:09.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/29/23 03:30:09.413 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/30/23 03:30:07.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
05/01/23 03:30:07.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/02/23 03:30:08.040 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/02/23 12:49:55.307 - Admin User Reset Initiated - An admin user reset was initiated.
05/03/23 03:30:06.950 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/03/23 05:03:37.093 - Admin User Reset Initiated - An admin user reset was initiated.
05/03/23 05:04:27.247 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/03/23 05:05:21.467 - User Successfully Authenticated -
05/03/23 05:06:56.373 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter of Ed
(PP)

HUNTER David * OGEC

From: Nicole Brun-Cottan <nicole.bruncottan@gmail.com>
Sent: Wednesday, May 03, 2023 3:14 PM
To: HUNTER David * OGEC
Subject: Late Filing for Lobbyist Report

Hello David,

Thanks so much for your help getting my account sorted.

This is my first year operating as a lobbyist for my organization. Apparently, I did not correctly understand how I was supposed to set up the accounts, and how to properly differentiate between my lobbying efforts and those of our contract lobbyists, and I set up the account in such a way that I then could not file the correct report. I apologize. I am hopeful that the commission is willing to waive the fine for my first failed attempt at filing a lobbyist report. Thanks so much for your help getting me back on track.

Warm Regards,
Nicole Brun-Cottan

--

Nicole Brun-Cottan PT, DPT



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 4, 2023

Jude Stone
ACLU of Oregon
PO BOX 40585
Portland OR 97240

Re: Late filing penalties for Q3 2022

Dear Mr. Stone:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$5000 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q3 2022

Lobbyist Code: 2182
User Name: jstone11
Email: jude.m.stone@gmail.com

Q3 2022 Filing: -
Q3 2022 Expended: -
Q3 2022 Penalty: \$5,000.00

Jude Stone
3914 NE Santiam Passway
Salem, OR 97305

Activity Log - Q3 2022 to Date

07/15/22 06:25:01.537 - User Failed to Authenticate -
07/15/22 07:02:30.607 - User Failed to Authenticate -
07/15/22 07:02:40.840 - User Failed to Authenticate -
07/15/22 07:04:50.570 - User Successfully Authenticated -
07/15/22 07:05:49.467 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2022
10/16/22 03:30:19.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/17/22 03:30:19.890 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/18/22 03:30:12.327 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/19/22 03:30:09.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/20/22 03:30:08.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/21/22 03:30:09.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/22/22 03:30:09.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/23/22 03:30:09.330 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/24/22 03:30:09.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/25/22 03:30:08.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/26/22 03:30:09.627 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/27/22 03:30:07.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/28/22 03:30:08.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/29/22 03:30:09.160 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/30/22 03:30:08.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/31/22 03:30:08.243 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/01/22 03:30:06.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/02/22 03:30:06.610 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/03/22 03:30:07.137 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/04/22 03:30:06.547 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/05/22 03:30:08.107 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/06/22 02:30:07.447 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/07/22 03:30:06.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/08/22 03:30:07.280 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/09/22 03:30:07.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/10/22 03:30:07.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/11/22 03:30:07.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/12/22 03:30:08.160 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/13/22 03:30:07.747 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/14/22 03:30:09.433 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/15/22 03:30:08.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/16/22 03:30:08.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/17/22 03:30:05.900 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/18/22 03:30:09.590 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/19/22 03:30:07.527 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/20/22 03:30:08.677 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/21/22 03:30:07.353 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/22/22 03:30:07.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/23/22 03:30:07.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/24/22 03:30:06.573 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/25/22 03:30:07.043 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/26/22 03:30:06.787 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/27/22 03:30:08.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/28/22 03:30:06.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/29/22 03:30:07.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/30/22 03:30:08.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/01/22 03:30:07.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/02/22 03:30:07.970 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/03/22 03:30:06.410 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/04/22 03:30:07.540 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/05/22 03:30:06.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3

Lobbyist Activity

Q3 2022

Lobbyist Code: 2182
User Name: jstone11
Email: jude.m.stone@gmail.com


Q3 2022 Filing: -
Q3 2022 Expended: -
Q3 2022 Penalty: \$5,000.00

Jude Stone
3914 NE Santiam Passway
Salem , OR 97305

02/02/23 03:30:07.020 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
02/03/23 03:30:07.713 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
02/04/23 03:30:07.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
05/04/23 12:08:16.280 - Admin User Reset Initiated - An admin user reset was initiated.
05/04/23 12:10:01.647 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/04/23 12:11:00.490 - User Successfully Authenticated -
05/04/23 12:12:27.900 - User Profile Updated - User profile updated for Jude Stone
05/04/23 12:12:27.937 - User Profile Updated - The user's main profile was updated.
05/04/23 12:12:54.543 - Admin User Reset Initiated - An admin user reset was initiated.
05/04/23 12:15:48.023 - User Profile Updated - User profile updated for Jude Stone
05/04/23 12:15:48.067 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Jude al-Ghazal Stone <jghazalstone@aclu-or.org>
Int: Thursday, May 04, 2023 1:30 PM
To: OGEC Mail * OGEC
Subject: Fee Waiving Request - Jude Stone



Dear Oregon Government Ethics Commission,

I hope this email finds you well. I'm writing to petition that you waive the lobbyist fees that my account has incurred – I believe the amount is \$5,000 for failing to submit the 2022 Q3 filing. My account username is jstone11 and it's associated with the phone number 480-381-5386. I can provide additional account information if that's helpful.

I respectfully request that you waive all of the fees that I owe due to the circumstances around why they accrued. I created my account for my previous job when I worked for Oregon Students Public Interest Research Group (a sub-organization within the Public Interest Network). It was my first job out of college, and I had no experience with or exposure to the implications of creating the account, much less that I was personally liable for thousands of dollars of fees if I didn't file the appropriate paperwork regularly. I realize that it's my responsibility to fully look into the programs I enroll in, which I have since learned to do from this experience as well as others, but my manager at the time presented it to me as a casual database that we had to enter as a formality. I remained with that organization for less than a year, and after I had left, my old manager texted me one day and told me and a few other ex-employees that we needed to file a lobbying report within the next few hours or we would be fined. I did that, and when I followed up with him to ask what the long-term solution was since I no longer worked for them and didn't actively plan to continue lobbying in Oregon, he told me that we were covered from that point on because the organization "hadn't renewed our accounts." He had been working in politics in Oregon for over a decade at that point, so I trusted that he understood the system and that the matter was resolved. Now, I work for another organization (ACLU of Oregon) and I need to use my account again. I don't have access to the work email from my previous job, which was the email associated with my lobbying account, so I called to reset my account's email. To my great surprise, I learned that I owed \$5,000. I had never received any emails, phone calls, letters, or other forms of communication telling me to submit my filing, and now I wonder if communications were sent to my old work email or old address, neither of which I have access to anymore. Because my employer misrepresented the reality of the situation to me, my account has accrued an extremely expensive fine that I can't afford to pay. I will certainly use this as a learning moment to ensure that I research every program I enroll in and clarify with the program's organization directly what my personal responsibility is and how to unenroll if I need to – and I can assure you that I will not miss a filing deadline again. I apologize for this misunderstanding and ask that you please waive all of the fees on my account. Thank you so much for your time and consideration.

Sincerely,

Jude Stone
Pronouns: he, him, his

Associate
American Civil Liberties Union of Oregon
P.O. Box 40585 Portland, OR 97240
(480) 381 - 5386 | jghazalstone@aclu-or.org
aclu-or.org  

ACLU
Oregon



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 24, 2023

Federation of Oregon Parole and Probation Officers
PO BOX 948
Canby OR 97013

Re: Late filing penalty for Q1 2023

Dear Mr. Reiten:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$40 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code: 3851
User Name: OregonFOPPO
Email: FOPPOunion@gmail.com

Federation of Oregon Parole and Probation Officers
Attn: Nick Reiten
P.O. Box 948
Canby, OR 97013


Q1 2023 Filing: 4/19/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$40.00

Activity Log - Q1 2023 to Date

01/04/23 03:36:41.497 - User Successfully Authenticated -
01/04/23 03:36:42.793 - User Successfully Authenticated -
01/04/23 03:37:27.457 - Client Report Submitted - Client Report Submitted for Q4 2022
01/04/23 04:29:52.923 - User Successfully Authenticated -
01/04/23 04:29:56.853 - User Successfully Authenticated -
04/16/23 03:30:47.830 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:43.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:28.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:22.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 06:50:35.843 - User Successfully Authenticated -
04/19/23 06:50:38.167 - User Successfully Authenticated -
04/19/23 06:52:43.433 - Client Report Submitted - Client Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Oregon FOPPO <foppounion@gmail.com>
Int: Wednesday, April 19, 2023 5:01 PM
To: OGEC Mail * OGEC
Subject: Quarterly report late fee waiver request

Ethics Board,

I am petitioning to have our first late fee waived, for the Federation of Oregon Parole and Probation Officers. This was not intentional or malicious, my notifications to my email were turned off. This email address is only used to submit these quarterly reports and is not used outside of submission notifications. I will be diligent in checking the account frequently incase of such occurrence. We did not spend any funds during this quarter or have anything to report. Again, I apologize for the late submission of our report and request this one time waiver of the late fee.

Nick Reiten
FOPPO Chairman



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 24, 2023

Oregon Mobile Food Association
Attn: Leah Tucker
15071 SE Greenview Ave
Milwaukie OR 97267

Re: Late filing penalty for Q1 2023

Dear Ms. Tucker:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$30 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code: 4478
User Name: OMFALegislature
Email: leah@omfva.org

Oregon Mobile Food Association
Attn: Leah Tucker
15071 SE Greenview Ave
Milwaukie, OR 97267


Q1 2023 Filing:	4/18/2023
Q1 2023 Expended:	\$0.00
Q1 2023 Penalty:	\$30.00

Activity Log - Q1 2023 to Date

02/01/23 04:37:18.493 - User Profile Created - User profile created for Leah Tucker
02/01/23 04:37:18.707 - User Profile Created - A new user profile was created.
02/01/23 04:37:18.707 - Client Profile Created - The client profile was created.
04/16/23 03:30:55.703 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:51.697 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:35.050 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 06:16:39.023 - User Failed to Authenticate -
04/18/23 06:17:42.443 - User Failed to Authenticate -
04/18/23 06:17:47.200 - User Successfully Authenticated -
04/18/23 06:19:45.817 - Client Report Submitted - Client Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Leah Tucker <leah@omfva.org>
Int: Wednesday, April 19, 2023 9:46 AM
To: OGEC Mail * OGEC
Subject: Request to Waive Penalty Fees

To Whom It May Concern,

I recently assessed penalty fees on my Client/Employer account (User ID: OMFALegislature) and I would like to request those fees be waived.

Unfortunately, I am new to this process and was not really understanding that I needed both accounts that I had opened. I was under the impression that I only needed one so I only filed my report under the one account. It was explained to me by your team that I do need both accounts and that I need to file for both accounts. I have resolved the issue and filed my report. I will also file properly in July.

Thank you for your consideration.

Warmest regards,

Leah M. Tucker
Founder / Executive Director



Oregon Mobile Food Association
www.oregonmobilefood.org
(503) 893-2269
(503) 476-6213 mobile



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

LID PAC

Attn: Michael Shirtcliff

2728 NW Canyon Dr

Redmond OR 97756

Re: Late filing penalty for Q1 2023

Dear Mr. Shirtcliff:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$20 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code:	4500	Q1 2023 Filing:	4/17/2023
User Name:	LIDPac	Q1 2023 Expended:	\$5,000.00
Email:	rmshirt@gmail.com	Q1 2023 Penalty:	\$20.00

LID PAC (Low Income Dental)
Attn: Michael Shirtcliff
2728 NW Canyon Dr.
Redmon , OR 97756

Activity Log - Q1 2023 to Date

02/15/23 04:57:35.730 - User Profile Created - User profile created for Michael Shirtcliff
02/15/23 04:57:35.977 - Client Profile Created - The client profile was created.
02/15/23 04:57:35.977 - User Profile Created - A new user profile was created.
04/10/23 11:55:36.257 - User Failed to Authenticate -
04/10/23 11:56:04.430 - User Failed to Authenticate -
04/10/23 11:56:38.437 - User Failed to Authenticate -
04/10/23 12:26:32.473 - Admin User Reset Initiated - An admin user reset was initiated.
04/10/23 12:27:46.610 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/10/23 12:28:09.797 - User Successfully Authenticated -
04/10/23 12:36:25.323 - User Successfully Authenticated -
04/16/23 03:30:56.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:52.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 12:33:12.593 - Client Report Submitted - Client Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Let's do Ed
Ed

HUNTER David * OGEC

From: Jim Bunn <jimbunnshop@yahoo.com>
nt: Monday, April 17, 2023 11:35 AM
To: HUNTER David * OGEC
Subject: Request penalty be waived LID PAC

Follow Up Flag: Follow up
Flag Status: Flagged

David,

We are requesting that the penalty of \$20 for a late filing (April 17 vs Saturday April 15, 2023) be waived.

Dr Shirtcliff attempted to file the CLIENT report for LID PAC on 4/10/23 but because of a misunderstanding ended up filing a registration as an LOBBYIST instead.

Your help sorting out the problem and getting the CLIENT report filed today is greatly appreciated.

Thanks

Jim Bunn
Lobbyist for LID PAC



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

Health Share of Oregon
Attn: Melissa Bates
2121 SW Broadway STE 200
Portland OR 97201

Re: Late filing penalty for Q1 2023

Dear Mr. Shirtcliff:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$90 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code:	77	Q1 2023 Filing:	4/24/2023
User Name:	Health Share of Oregon	Q1 2023 Expended:	\$45,000.00
Email:	batesm@healthshareoregon.org	Q1 2023 Penalty:	\$90.00

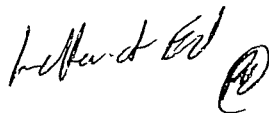
Health Share of Oregon
Attn: Melissa Bates
2121 SW Broadway Ste 200
Portland, OR 97201

Activity Log - Q1 2023 to Date

01/11/23 07:00:38.070 - User Successfully Authenticated -
01/11/23 07:04:07.827 - Client Report Submitted - Client Report Submitted for Q4 2022
02/17/23 02:28:30.760 - User Successfully Authenticated -
02/17/23 02:59:10.120 - User Successfully Authenticated -
02/17/23 03:13:35.477 - Name Change Requested - User with ID 115 requested a name change to Creedican, Sarah .
02/17/23 03:30:15.300 - User Password Reset - The user's password was reset from the "My Profile" page.
02/17/23 03:30:15.430 - User Profile Updated - User profile updated for Virginia Thompson
02/17/23 03:30:15.513 - User Profile Updated - The user's main profile was updated.
02/17/23 03:38:07.660 - User Password Reset - The user's password was reset from the "My Profile" page.
02/17/23 03:38:07.770 - User Profile Updated - User profile updated for Virginia Thompson
02/17/23 03:38:07.837 - User Profile Updated - The user's main profile was updated.
03/01/23 07:12:46.457 - User Successfully Authenticated -
03/01/23 07:18:22.130 - User Password Reset - The user's password was reset from the "My Profile" page.
03/01/23 07:18:22.290 - User Profile Updated - User profile updated for Virginia Thompson
03/01/23 07:18:22.350 - User Profile Updated - The user's main profile was updated.
04/16/23 03:30:35.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:33.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:22.740 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:16.993 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/20/23 03:30:11.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/20/23 10:14:02.803 - User Profile Updated - User profile updated for Virginia Thompson
04/20/23 10:14:02.840 - User Profile Updated - The user's main profile was updated.
04/20/23 12:57:19.863 - User Profile Updated - User profile updated for Virginia Thompson
04/20/23 12:57:19.947 - User Profile Updated - The user's main profile was updated.
04/21/23 03:30:13.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/22/23 03:30:12.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/23/23 03:30:11.773 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 03:30:13.617 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 03:40:04.593 - Admin User Reset Initiated - An admin user reset was initiated.
04/24/23 03:40:39.693 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/24/23 03:40:53.660 - User Successfully Authenticated -
04/24/23 03:42:06.940 - User Profile Updated - User profile updated for Virginia Thompson
04/24/23 03:42:07.017 - User Profile Updated - The user's main profile was updated.
04/24/23 03:42:22.137 - User Profile Updated - User profile updated for Melissa Bates
04/24/23 03:42:22.180 - User Profile Updated - The user's main profile was updated.
04/24/23 03:45:49.640 - Admin User Reset Initiated - An admin user reset was initiated.
04/24/23 03:47:01.410 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/24/23 03:48:44.053 - User Successfully Authenticated -
04/24/23 03:57:51.730 - Client Report Submitted - Client Report Submitted for Q1 2023
04/24/23 03:58:04.753 - User Profile Updated - User profile updated for Melissa Bates
04/24/23 03:58:04.817 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:



HUNTER David * OGEC

From: Melissa Bates <batesm@healthshareoregon.org>
Date: Tuesday, April 25, 2023 8:16 AM
To: OGEC Mail * OGEC
Subject: Request to appeal penalty fees

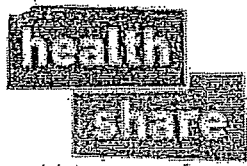
Greetings,

Health Share of Oregon would like to appeal the late filing fees accrued on the basis of employee turnover and the filing responsibilities were unknown to management up until recently. We have since updated our account and filed all necessary reports. Apologies for the delay and oversight. Thank you for your consideration on this matter.

Best,

Melissa C Bates
Office Manager & Executive Assistant to:
Mark Lewis, Chief Financial Officer
Graham Bouldin, Chief Quality Officer
Dr. PhyuSin Myint, Chief Equity, Diversity & Inclusion Officer

Direct: (503) 416-2181
Fax: 503-459-5749
Pronouns (she/her/hers)



Health Share of Oregon

Broadway Plaza Building
2121 SW Broadway, Suite 200
Portland, OR 97201

This email and any attachments are confidential and may be legally protected. It is intended solely for the addressee. Access to this email by anyone else, unless expressly approved by the sender or an authorized addressee, is unauthorized. If you are not the intended recipient, any disclosure, copying, distribution or any action omitted or taken in reliance on it, is prohibited and may be unlawful. If you believe that you have received this email in error, please notify the sender by reply email, permanently delete this e-mail and any attachments, and destroy all copies.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

City of McMinnville
Attn: Jody Christensen
231 NE 5th St
McMinnville OR 97128

Re: Late filing penalty for Q1 2023

Dear Ms. Christensen:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$90 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff

Client/Employer Activity

Q1 2023

Client Code: 4503
User Name: McMinnville
Email: jody.christensen@mcminnvilleoregon.gov

Q1 2023 Filing: 4/24/2023
Q1 2023 Expended: \$1,649.95
Q1 2023 Penalty: \$90.00


City of McMinnville
Attn: Jody Christensen
231 NE 5th St.
McMinnville, OR 97128

Activity Log - Q1 2023 to Date

02/21/23 11:24:18.170 - User Profile Created - User profile created for Jody Christensen
02/21/23 11:24:18.387 - User Profile Created - A new user profile was created.
02/21/23 11:24:18.387 - Client Profile Created - The client profile was created.
02/21/23 11:33:41.697 - User Successfully Authenticated -
02/21/23 02:15:33.523 - User Successfully Authenticated -
03/31/23 04:40:49.623 - User Successfully Authenticated -
04/03/23 11:40:50.837 - User Successfully Authenticated -
04/16/23 03:30:57.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:53.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:35.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:27.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/20/23 03:30:20.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/21/23 03:30:18.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/22/23 03:30:16.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/23/23 03:30:16.070 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 03:30:18.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 10:36:44.877 - User Successfully Authenticated -
04/24/23 10:45:53.870 - Client Report Submitted - Client Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Letter to Ed




April 24, 2023

Dear Oregon Ethics Commission,

The City of McMinnville is seeking your approval to waive our penalty for late filing. This is our first time participating in the lobbying reporting process and did not know to look for the confirmation number after submission.

4/1/2023 I received the notification to file on or before April 15, 2023.

4/3/2023 I filled out the online report and submitted it on April 3.

4/6-24/23 I was on vacation out of the country and did not have access to my email so did not receive the notification that the report had not been received.

4/24/23 Upon my return, I noted several emails stating that the report needed to be submitted. I contacted the Ethics office and discovered that the report had not been received. I resubmitted it and received a confirmation number (A38W6GF) the same day.

In advance, we appreciate your consideration.

Sincerely,

Jody Christensen
Special Projects Manager, City of McMinnville
C: 971.387.1800



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 27, 2023

Western University of Health Sciences
Attn: Alex Berger
309 E Second St
Pomona CA 91766

Re: Late filing penalty for Q1 2023

Dear Mr. Berger:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$110 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code: 4334
User Name: jkeating
Email: aberger@westernu.edu

Western University of Health Sciences
Attn: Alex Berger
309 E. Second St.
Pomona, CA 91766

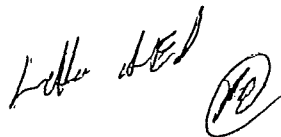
Q1 2023 Filing: 4/26/2023
Q1 2023 Expended: \$12,000.00
Q1 2023 Penalty: \$110.00

Activity Log - Q1 2023 to Date

01/06/23 12:11:13.250 - User Successfully Authenticated -
01/06/23 12:18:42.443 - User Successfully Authenticated -
01/06/23 12:21:11.507 - Client Report Submitted - Client Report Submitted for Q4 2022
04/16/23 03:30:53.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:47.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:31.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:24.277 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/20/23 03:30:16.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/21/23 03:30:17.467 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/22/23 03:30:15.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/23/23 03:30:14.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 03:30:16.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/25/23 03:30:14.767 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/26/23 03:30:12.093 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/26/23 02:16:35.563 - Admin User Reset Initiated - An admin user reset was initiated.
04/26/23 02:17:37.537 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/26/23 02:17:56.810 - User Failed to Authenticate -
04/26/23 02:18:00.483 - User Successfully Authenticated -
04/26/23 02:21:22.393 - User Profile Updated - User profile updated for Jeffery Keating
04/26/23 02:21:22.420 - User Profile Updated - The user's main profile was updated.
04/26/23 02:25:27.387 - User Profile Updated - User profile updated for Jeffery Keating
04/26/23 02:25:27.410 - User Profile Updated - The user's main profile was updated.
04/26/23 02:25:44.603 - User Profile Updated - User profile updated for Alex Berger
04/26/23 02:25:44.653 - User Profile Updated - The user's main profile was updated.
04/26/23 02:33:53.977 - Admin User Reset Initiated - An admin user reset was initiated.
04/26/23 03:10:10.270 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/26/23 03:10:25.500 - User Successfully Authenticated -
04/26/23 03:45:32.113 - Client Report Submitted - Client Report Submitted for Q1 2023

Past Violations: None

Recommendation:



HUNTER David * OGEC

From: Alex Berger <aberger@westernu.edu>
nt: Wednesday, April 26, 2023 2:24 PM
o: OGEC Mail * OGEC
Subject: FW: Receipt of Late Quarterly Report

Hello Oregon Government Ethics Commission,

Employee, Jeffrey Keating, recently departed WesternU unexpectedly and didn't pass along this ongoing reporting requirement that he was personally completing. Jessica Fisher from your office contacted me yesterday. She was very helpful in providing me access to the report today and I immediately filed the Q1 report.

Please consider waiving our penalty of \$110 based on our years of timely filings.

Thank you for your consideration.

Alex Berger
Assistant Vice President for Finance
Western University of Health Sciences
100 W. Second St., 4th Floor
Pomona, CA 91766
Office: (909) 706-3801 | Fax: (909) 469-5506

-----Original Message-----

From: ogec.notification@egov.com <ogec.notification@egov.com>
Sent: Wednesday, April 26, 2023 1:46 PM
To: Alex Berger <aberger@westernu.edu>
Cc: Joshua McFarlen <jmcfarlen@westernu.edu>
Subject: Receipt of Late Quarterly Report

[Some people who received this message don't often get email from ogec.notification@egov.com. Learn why this is important at <https://aka.ms/LearnAboutSenderIdentification>]

Dear Alex Berger at Western University of Health Sciences:

Thank you for filing your quarterly report as required. You were registered with the Oregon Government Ethics Commission in the role of Client. You were notified on 04/01/2023 that the reporting window for the 2023 Q1 reporting period was open and you were reminded again on 04/10/2023 and 04/15/2023.

Your late filing was received on 04/26/2023. Accordingly, you have accrued a penalty of \$110.00. Please remit the full amount by check or money order to the Oregon Government Ethics Commission at the above address by 05/26/2023.

If you choose, you may submit a letter of explanation to the Commission regarding the late filing. Your correspondence will be presented to the Commission for their review at the next Commission meeting. The Commission may elect at that time to waive some, all, or none of the penalty based upon your explanation and your prior filing history. You will be notified of what action the Commission has taken following the meeting. If you do submit a letter, please send it by mail to the address below, by fax to 503-373-1456, or by email to ogec.mail@oregon.gov.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 3, 2023

Cigar Association of America Inc
Attn: Dan Cotter
1310 G St NW STE 680
Washington DC 20005

Re: Late filing penalty for Q1 2023

Dear Mr. Cotter:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$30 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code: 4586
User Name: dancotter
Email: dcotter@cigarassociation.org

Q1 2023 Filing: 4/18/2023
Q1 2023 Expended: \$15,000.00
Q1 2023 Penalty: \$30.00

Cigar Association
Attn: Daniel Cotter
1310 G Street
Washington, DC 20005

Activity Log - Q1 2023 to Date

01/04/23 03:48:31.947 - User Profile Created - User profile created for Mudi Kpohraror
01/04/23 03:48:32.207 - User Profile Created - A new user profile was created.
01/04/23 03:48:32.207 - Client Profile Created - The client profile was created.
01/04/23 03:50:06.153 - User Successfully Authenticated -
01/04/23 03:55:54.520 - Client Profile Updated - The client profile was updated.
01/04/23 03:58:14.533 - User Successfully Authenticated -
01/04/23 04:18:36.363 - User Profile Updated - User profile updated for Mudi Kpohraror
01/04/23 04:18:36.507 - User Profile Updated - The user's main profile was updated.
01/04/23 04:22:59.703 - User Profile Updated - User profile updated for Mudi Kpohraror
01/04/23 04:22:59.777 - User Profile Updated - The user's main profile was updated.
01/05/23 07:06:40.857 - User Successfully Authenticated -
04/10/23 06:49:44.387 - User Successfully Authenticated -
04/10/23 06:50:07.730 - User Profile Updated - User profile updated for Daniel Cotter
04/10/23 06:50:07.770 - User Profile Updated - The user's main profile was updated.
04/10/23 06:50:23.077 - User Profile Updated - User profile updated for Daniel Cotter
04/10/23 06:50:23.107 - User Profile Updated - The user's main profile was updated.
04/10/23 12:04:41.827 - User Successfully Authenticated -
04/10/23 08:16:41.417 - User Successfully Authenticated -
04/11/23 11:25:13.893 - User Successfully Authenticated -
04/11/23 11:28:07.753 - User Profile Updated - User profile updated for Daniel Cotter
04/11/23 11:28:07.780 - User Profile Updated - The user's main profile was updated.
04/15/23 09:34:13.240 - User Successfully Authenticated -
04/16/23 03:30:53.950 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:49.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 10:02:48.820 - Client Profile Updated - The client profile was updated.
04/18/23 03:30:36.133 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 07:48:37.560 - User Successfully Authenticated -
04/18/23 07:51:37.400 - Client Report Submitted - Client Report Submitted for Q1 2023

Past Violations: None

Recommendation:

Lella [Signature]
[Signature]

HUNTER David * OGEC

From: Dan Cotter <dcotter@cigarassociation.org>
nt: Monday, May 01, 2023 11:57 AM
o: OGEC Mail * OGEC
Subject: Consideration for waiving a late fee penalty for our Q1 report

Hello David,

Thank you again for helping with this unique situation. I have been away from the office and getting back into things today.

But, in reference to writing a note about what happened and why the report was late, I have summarized the reason the following:

Dear Commission,

We apologize the for 2023 Q1 report being a few days late. There was a mixup with our new contract lobbyist and new employee working remotely with our state contract lobbyists. They inadvertently opened up a new account for Cigar Association and never notified our team back here in our main Washington, DC office. They were not aware that we already had an account with Oregon for years. So, when I received a notice for filing the report my current, older account would not let me file the report. I contacted OGEC and David was able to help me and mentioned that since there are no registrations tied to my account, I had not need to file a report. Subsequently, he discovered that a new account had been opened by mistake as noted above and our new lobbyist registration was listed here. By the time the mistake was corrected and I was able to submit a the Q1 report, it was a few days past the due date. We have never been late before so due to this unusual circumstance, we are asking for you to consider waiving the penalty.

Thank you again,
Dan Cotter

Dan Cotter
VP, Finance & Operations
Cigar Association of America, Inc.
Pipe Tobacco Council, Inc.

1310 G St. NW, Suite 680
Washington, DC 20005
(O) 202.223.8204 x2
(M) 703.994.0157



From: HUNTER David * OGEC <David.HUNTER@ogec.oregon.gov> **On Behalf Of** OGEC Mail * OGEC
nt: Tuesday, April 18, 2023 5:44 PM
o: Dan Cotter <dcotter@cigarassociation.org>
Subject: RE: Final Quarterly Report Reminder



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 8, 2023

John Akin
Jacksonville Architectural Review Board
450 Conestoga Circle
Jacksonville OR 97530

Re: 2023 SEI Penalty

Dear Mr. Akin:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



HUNTER David * OGEC

From: jack akin <emc@emcengineersscientists.com>
Sent: Monday, May 08, 2023 11:29 AM
To: OGEC Mail * OGEC
Subject: Penalty

Good morning. My name is John (Jack) A. Akin, and I am a volunteer commissioner in the Jacksonville, Oregon Historic Architectural Review Committee.

It seems I have mistaken the OGEC.notification@egov.cc email I have been receiving for one of the many contractual government-sourced requests for proposal that we receive, and so deleted it out-of-hand.

Consequently I have missed the due date for the SEI annual form I was supposed to submit. I am requesting that this penalty be forgiven.

Please accept my apologies for this mistake. I have taken steps to assure it doesn't happen again.

Best regards

Jack (John) Akin, MS, PE, IC, HMS, AI
EMC-Engineers/Scientists, LLC
Office: 541.474.9434 Cell: 541.261.9929
Fax: 541.727.5488
Email: emc@emcengineersscientists.com
Website: www.emcengineersscientists.com

SEI Activity 2023

SEI Code: 6670	2023 Filing: 5/8/2023
User Name: Harcakin	2023 Penalty: \$30.00
Email: emc@emcengineersscientists.com	

John (Jack) Akin
450 Conestoga Circle
Jacksonville, OR 97530

2023 Offices Held

JACKSONVILLE (City), HISTORIC ARCHITECTURE REVIEW COMMISSION - Appointed 07/18/17

Activity Log - 2023 to Date

05/06/23 03:30:18.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:10.960 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 12:31:32.193 - User Failed to Authenticate -
05/08/23 12:32:19.533 - User Failed to Authenticate -
05/08/23 12:32:48.160 - Admin User Reset Initiated - An admin user reset was initiated.
05/08/23 12:34:33.950 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/08/23 12:34:47.550 - User Profile Updated - User profile updated for John (Jack) Akin
05/08/23 12:34:47.583 - User Profile Updated - The user's main profile was updated.
05/08/23 12:34:58.673 - User Failed to Authenticate -
05/08/23 12:35:31.670 - User Failed to Authenticate -
05/08/23 01:12:27.083 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/08/23 01:16:51.370 - Admin User Reset Initiated - An admin user reset was initiated.
05/08/23 01:18:51.013 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/08/23 01:19:44.440 - User Successfully Authenticated -
05/08/23 01:25:46.993 - SEI Report Amended - SEI Report Amended for year 2022
05/08/23 01:28:11.353 - SEI Report Amended - SEI Report Amended for year 2021
05/08/23 01:30:21.400 - SEI Report Amended - SEI Report Amended for year 2020
05/08/23 01:32:10.083 - SEI Report Amended - SEI Report Amended for year 2019
05/08/23 01:33:46.870 - SEI Report Amended - SEI Report Amended for year 2018

Past Violations: None

Recommendation:

*Letter sent
PE*



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 8, 2023

Faith Powell
Richland City Council
PO BOX 411
Richland OR 97870

Re: 2023 SEI Penalty

Dear Ms. Powell:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 9866
User Name: ladybleu
Email: laadybleu57@gmail.com

2023 Filing: 5/8/2023
2023 Penalty: \$30.00

Faith Powell
PO Box 411
Richland, OR 97870

2023 Offices Held


RICHLAND (City), COUNCIL - Appointed 01/01/21

Activity Log - 2023 to Date

04/21/23 12:05:30.933 - User Failed to Authenticate -
04/21/23 12:07:15.267 - User Failed to Authenticate -
04/21/23 12:10:01.850 - User Successfully Authenticated -
05/06/23 03:30:20.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.240 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.843 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 11:58:04.843 - User Failed to Authenticate -
05/08/23 12:00:44.207 - User Successfully Authenticated -
05/08/23 12:32:02.143 - User Successfully Authenticated -
05/08/23 12:33:46.513 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter & Ed


HUNTER David * OGEC

From: Faith Wilson-Powell <laadybleu57@gmail.com>
nt: Monday, May 08, 2023 2:03 PM
To: OGEC Mail * OGEC

In regards to my late filing and why I should be exempt from the fees. I had a major stroke and am just now getting back to normal of some sort.
Please take this into consideration. Thank you.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 8, 2023

Elizabeth Lix
Powers Planning Commission
PO BOX 235
Powers OR 97466-0235

Re: 2023 SEI Penalty

Dear Ms. Lix:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 11382
User Name: elix650853@gmail.com
Email: elix650853@gmail.com

2023 Filing: 5/6/2023
2023 Penalty: \$10.00

Elizabeth Lix
PO Box 235
Powers, OR 974660235

2023 Offices Held


No data to report.

Activity Log - 2023 to Date

05/06/23 03:30:22.253 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/06/23 03:42:57.010 - User Successfully Authenticated -
05/06/23 03:51:49.097 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/06/23 06:39:36.093 - Seat Vacated - Seat Vacated

Past Violations: None

Recommendation:

Letter of Ed 

HUNTER David * OGEC

From: Elizabeth Lix <elix650853@gmail.com>
nt: Saturday, May 06, 2023 2:10 PM
To: OGEC Mail * OGEC
Subject: Statement of Economic Interest Receipt of Late Filing

My name is Elizabeth Lix. I resigned from the Planning Commission in Powers, Oregon, verbally to Stephanie Patterson before April 15th. Please forgive me for not realizing that was not enough.

I have as of today filled out the form, indicating my income is Social Security. I'm not sure how to pay the \$10.00. I am hoping you will forgive my debt.

Please let me know how to resolve this issue. Thank you.

Sincerely,
Elizabeth Lix



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 8, 2023

Andrew Herbert
City of Rivergrove Planning Commission
19379 Riverwood Lane
Lake Oswego OR 97035

Re: 2023 SEI Penalty

Dear Mr. Herbert:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code:	13360	2023 Filing:	5/8/2023
User Name:	Andrew Herbert	2023 Penalty:	\$30.00
Email:	herbie_74@yahoo.com		

Andrew Herbert
19379 Riverwood Lane
Lake Oswego, OR 97035

2023 Offices Held


RIVERGROVE (City), PLANNING COMMISSION - Appointed 05/02/22

Activity Log - 2023 to Date

05/01/23 10:52:40.120 - User Profile Created - User profile created for Andrew Herbert
05/01/23 10:52:40.537 - User Profile Created - A new user profile was created.
05/01/23 10:52:40.620 - SEI Assigned to Seat - SEI Assigned to Seat
05/01/23 06:12:10.517 - User Successfully Authenticated -
05/01/23 06:13:03.303 - User Profile Updated - User profile updated for Andrew Herbert
05/01/23 06:13:03.343 - User Profile Updated - The user's main profile was updated.
05/01/23 06:15:16.073 - User Profile Updated - User profile updated for Andrew Herbert
05/01/23 06:15:16.120 - User Profile Updated - The user's main profile was updated.
05/06/23 03:30:25.520 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:13.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:12.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 11:04:04.463 - User Profile Updated - User profile updated for Andrew Herbert
05/08/23 11:04:04.503 - User Profile Updated - The user's main profile was updated.
05/08/23 12:09:58.083 - User Successfully Authenticated -
05/08/23 12:18:14.290 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Andrew Herbert <herbie_74@yahoo.com>
Sent: Monday, May 08, 2023 10:23 AM
To: OGEC Mail * OGEC
Subject: SEI Filing Late Penalty

Please waive my late penalty fees. The commission had the wrong email address on file until late last month so I didn't have a user name and password. We were only able to figure out what went wrong over the weekend. I have now successfully filed.

Regards,
Andrew Herbert
City of Rivergrove Planning Commission Chair
Mobile: 503 330-3743



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 8, 2023

Jenica Cogdill
Sisters School District 6
1085 E Horseback Trail
Sisters OR 97759

Re: 2023 SEI Penalty

Dear Ms. Cogdill:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 12945
User Name: Jenica Codgill
Email: jenica.codgill@ssd6.org

2023 Filing: 5/8/2023
2023 Penalty: \$30.00

Jenica Codgill
1085 E Horseback Trail
Sisters, OR 97759

2023 Offices Held

SISTERS SD 6 (School District), Board Member - Appointed 01/01/23

Activity Log - 2023 to Date

03/14/23 12:43:00.257 - User Profile Created - User profile created for Jenica Codgill
03/14/23 12:43:00.490 - User Profile Created - A new user profile was created.
03/14/23 12:43:00.520 - SEI Assigned to Seat - SEI Assigned to Seat
03/14/23 12:43:46.293 - User Profile Updated - User profile updated for Jenica Codgill
03/14/23 12:43:46.337 - User Profile Updated - The user's main profile was updated.
05/06/23 03:30:24.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.553 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:12.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:58:00.373 - User Successfully Authenticated -
05/08/23 04:12:58.703 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter Attached

HUNTER David * OGEC

From: Jenica Cogdill <jenica.cogdill@ssd6.org>
Int: Monday, May 08, 2023 2:33 AM
To: OGEC Mail * OGEC
Subject: SEI filing late penalty

I am writing to request that the late fees associated with my SEI filing be waived due.

I had been unable to access my account until this evening. Our district has been without a board secretary so no one was quite sure who set up the account, what was wrong, or how to fix it. It was finally discovered that my account, which was set up on my behalf, misspelled my last name, which was prevented me from successfully logging in. Once I finally figured out the problem and got in, I was then unable to submit the file. After multiple attempts to complete and submit the form, I realized I needed to use my nickname rather than my legal first name, paired with the misspelled last name in order for the system to recognize the signature as the name on the account.

This has been an utterly frustrating experience that has dragged on for many weeks.

Jenica Cogdill
SSD6 Board Member

Disclaimer: This message may contain confidential student information, protected under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), Pub. L.104-191, and the Family Educational Rights and Privacy Act ("FERPA"), 20U.S.C. § 1232g; 34 CFR Part 99. If you received this message in error, please delete it and notify the sender.*



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 9, 2023

Rod Spikes
Elgin School Board Member
PO BOX 603
Elgin OR 97827

Re: 2023 SEI Penalty

Dear Mr. Spikes:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$40 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code:	12834	2023 Filing:	5/9/2023
User Name:	RSpikes	2023 Penalty:	\$40.00
Email:	evspikes@eonl.com		

Rod Spikes
PO Box 603
Elgin, OR 97827

2023 Offices Held


ELGIN SD 23 (School District), Board Member - Appointed 01/01/23

Activity Log - 2023 to Date

03/13/23 04:38:56.450 - User Profile Created - User profile created for Rod Spikes
03/13/23 04:38:56.657 - User Profile Created - A new user profile was created.
03/13/23 04:38:56.687 - SEI Assigned to Seat - SEI Assigned to Seat
05/06/23 03:30:23.163 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:12.223 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.860 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:53:46.667 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: evspikes@eoni.com
Sent: Tuesday, May 09, 2023 2:02 PM
To: OGEC Mail * OGEC
Subject: Penalty Waiver

Dear Commissioners:

I am requesting a waiver of the penalty amount for Statement of Economic Interest.

I do not have a personal e-mail, and my wife says she did not see the first notice. It is also possible that I was absent for the school board meeting which addressed the SEI issue.

As a school board member, I have chosen not to re-file for the position, so will be finished as of July 1.

My apologies for the late filing; it was not an intentional omission.

Respectfully yours,

Rod Spikes
Elgin School Board Member



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 10, 2023

Steve Smith
City of Malin Planning Commissioner
PO BOX 247
Malin OR 97632

Re: 2023 SEI Penalty

Dear Mr. Smith:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2023

SEI Code: 13371
User Name: Steve Smith
Email: sroydsmith@outlook.com

2023 Filing: 5/9/2023
2023 Penalty: \$10.00

Steve Smith
P.O. Box 247
Malin, OR 97632

2023 Offices Held

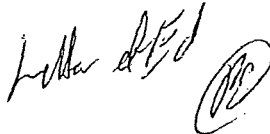
MALIN (City), PLANNING COMMISSION - Appointed 04/11/23

Activity Log - 2023 to Date

05/08/23 12:14:37.880 - User Profile Created - User profile created for Steve Smith
05/08/23 12:14:38.120 - User Profile Created - A new user profile was created.
05/08/23 12:14:38.150 - SEI Assigned to Seat - SEI Assigned to Seat
05/09/23 03:30:12.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 04:01:15.737 - User Successfully Authenticated -
05/09/23 04:05:00.090 - User Successfully Authenticated -
05/09/23 01:40:57.847 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Handwritten signature and initials in black ink, appearing to be "Linda" followed by initials "LSD" and a circular stamp or mark.

HUNTER David * OGEC

From: Stephen Smith <sRoydSmith@outlook.com>
Sent: Wednesday, May 10, 2023 12:23 PM
To: OGEC Mail * OGEC
Subject: Request to remove Penalty

Hello,

My name is Stephen R. Smith. I have been working with Becky Maison to get my statement of economic interest filed. It was late because I have been on vacation since late April and don't have access to a computer. I am requesting that all penalties be cleared from my account. I will file on time next year.

Thanks, Steve

Sent from my Samsung Galaxy Note 10.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 10, 2023

Rex Fuller
Board Member Days Creek SD 15
11381 Tiller Trail Hwy
Days Creek OR 97429

Re: 2023 SEI Penalty

Dear Mr. Fuller:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$40 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 13100
User Name: FullerRex
Email: rex.fuller@dayscreek.k12.or.us

2023 Filing: 5/9/2023
2023 Penalty: \$40.00

Rex Fuller
11381 Tiller Trail Hwy
Days Creek, OR 97429

2023 Offices Held

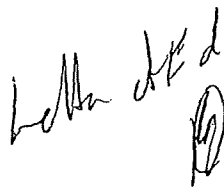
DAYS CREEK SD 15 (School District), Board Member - Appointed 01/01/23

Activity Log - 2023 to Date

03/14/23 05:56:29.370 - User Profile Created - User profile created for Rex Fuller
03/14/23 05:56:29.577 - User Profile Created - A new user profile was created.
03/14/23 05:56:29.593 - SEI Assigned to Seat - SEI Assigned to Seat
05/06/23 03:30:25.157 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:12.620 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:12.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:27:17.947 - User Failed to Authenticate -
05/09/23 03:27:36.303 - User Failed to Authenticate -
05/09/23 03:27:46.613 - User Failed to Authenticate -
05/09/23 03:27:59.613 - User Failed to Authenticate -
05/09/23 03:29:14.437 - User Failed to Authenticate -
05/09/23 03:29:32.173 - User Failed to Authenticate -
05/09/23 03:36:24.567 - User Failed to Authenticate -
05/09/23 03:36:56.417 - Admin User Reset Initiated - An admin user reset was initiated.
05/09/23 03:39:59.980 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/09/23 03:40:26.277 - User Successfully Authenticated -
05/09/23 04:17:57.310 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Handwritten signature and initials, possibly "Lester J. J. d" with a circled "d" below.

HUNTER David * OGEC

From: Rex Fuller <fuller1989@frontier.com>
Content: Tuesday, May 09, 2023 2:59 PM
To: OGEC Mail * OGEC
Subject: SEI
Attachments: Canc. SEI.JPG

To The Commission,

I would like to respectfully request a waiver on the late fee that my SEI account has been assigned. I am a board member at Days Creek Charter School. My term expires on June 30, 2023 and I did not file for re-election. On Tuesday, March 14, 2023 I received an email from your office (attached) regarding my assignment as a Statement of Economic Interest filer stating that the action had been reversed and my assignment had been removed. I called your office and was told that I would receive an email clarifying that this email was not correct of which I never received.

I planned to resign my position on the board if I was required to file the SEI. However, our Superintendent resigned and as the Chairman of the Board I felt that it would not be in the best interest of the School District for me to resign two months before my term was done as we are now in a search for his replacement.

When I started receiving emails saying that late fees were accumulating I decided to go ahead and file and received confirmation on May 9, 2023 that my report had been successfully filed. I received a confirmation email and in that email in asked that I remit \$40.00 to your office by June 08, 2023. It is my feeling that I should never have had to file the SEI according to the email that I received from your office on March 14th and I again ask, respectfully to have this fee waived. I regret that I was unable to attach the email directly from my email account so I printed it off and scanned it as a JPG file.

Thank you for your consideration,

Rex Fuller
18634 Tiller Trail Hwy.
Days Creek, OR 97429

fuller1989@frontier.com
541-825-3655



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 11, 2023

Joseph Pfau
Mt Angel City Council
955 Reagan St
Mt Angel OR 97362

Re: 2023 SEI Penalty

Dear Mr. Pfau:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$60 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2023

SEI Code: 12415
User Name: josephpfau
Email: jpfau83@gmail.com

2023 Filing: 5/11/2023
2023 Penalty: \$60.00

Joseph Pfau
955 Reagan St
Mt Angel, OR 97362

2023 Offices Held


MT ANGEL (City), COUNCIL - Appointed 01/03/23

Activity Log - 2023 to Date

03/06/23 03:35:25.970 - User Profile Created - User profile created for Joseph Pfau
03/06/23 03:35:26.317 - User Profile Created - A new user profile was created.
03/06/23 03:35:26.393 - SEI Assigned to Seat - SEI Assigned to Seat
03/06/23 03:36:01.210 - User Profile Updated - User profile updated for Joseph Pfau
03/06/23 03:36:01.280 - User Profile Updated - The user's main profile was updated.
03/10/23 04:23:21.067 - User Profile Updated - User profile updated for Joseph Pfau
03/10/23 04:23:21.130 - User Profile Updated - The user's main profile was updated.
05/06/23 03:30:22.647 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.073 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.840 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.530 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:09.247 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 02:02:39.840 - User Profile Updated - User profile updated for Joseph Pfau
05/10/23 02:02:39.880 - User Profile Updated - The user's main profile was updated.
05/10/23 02:11:16.830 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/23 02:12:03.273 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/23 02:12:19.803 - User Successfully Authenticated -
05/10/23 02:12:47.333 - User Profile Updated - User profile updated for Joseph Pfau
05/10/23 02:12:47.370 - User Profile Updated - The user's main profile was updated.
05/10/23 02:15:13.620 - Admin User Reset Initiated - An admin user reset was initiated.
05/11/23 03:30:10.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 08:58:52.647 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/11/23 08:59:47.003 - User Successfully Authenticated -
05/11/23 09:05:23.290 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Joseph Pfau <jpfau83@gmail.com>
ent: Thursday, May 11, 2023 7:13 AM
o: OGEC Mail * OGEC
Subject: Late Filing Fee Request - Joseph Pfau

Hello,

I was contacted yesterday by Jessica who informed me that I needed to file a Statement of Economic Interest form. This was the first I had heard of this as I was appointed to my position this January, had never held a public position before, and the email that the Commission was communicating to was incorrect so I was not receiving any of their communications before the phone call from Jessica.

Because of this I would like to request these fees be removed. I have completed the form, less than a day of learning of its requirement, and again, the Commission communications were not received due to a typo in the email address on file.

I can be reached at
971-221-9965
jpfau83@gmail.com
jpfau@mtangel.gov (note the "J", it was missing in the Commission's file for me)

Thank you.

-Joe Pfau



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 11, 2023

Chris Bullat
Wallowa Co. Planning Commission
PO BOX 996
Joseph OR 97846

Re: 2023 SEI Penalty

Dear Mr. Bullat:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$50 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 5023
User Name: Chris bullat
Email: cfbullat@yahoo.com

2023 Filing: 5/10/2023
2023 Penalty: \$50.00

Chris Bullat
P.O. Box 996
Joseph, OR 97846

2023 Offices Held


WALLOWA CO (County); PLANNING COMMISSION - Appointed 01/01/15

Activity Log - 2023 to Date

01/03/23 04:39:52.110 - User Failed to Authenticate -
01/08/23 05:41:02.443 - User Successfully Authenticated -
05/06/23 03:30:17.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:10.833 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:10.360 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.353 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 05:38:08.843 - User Failed to Authenticate -
05/10/23 05:46:16.120 - User Successfully Authenticated -
05/10/23 05:58:42.893 - User Profile Updated - User profile updated for Chris Bullat
05/10/23 05:58:42.920 - User Profile Updated - The user's main profile was updated.
05/10/23 06:01:50.440 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: chris bullat <cfbullat@gmail.com>
Sent: Wednesday, May 10, 2023 4:22 PM
To: OGEC Mail * OGEC
Subject: penalty

I am requesting that the penalty for late file be drop. This is the first time in many years I have been late to file. I will not be late with any future filling.

Thank-You for your consideration

Chris Bullat

Planning Commissioner Wallowa County



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 11, 2023

Lacey Risdal
Creswell SD Board Member
27 Village Dr
Creswell OR 97426

Re: 2023 SEI Penalty

Dear Ms. Risdal:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$40 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 12452
User Name: laceyrisdal
Email: lrisdal@creswell.k12.or.us

2023 Filing: 5/9/2023
2023 Penalty: \$40.00

Lacey Risdal
27 Village Drive
Creswell, OR 97426

2023 Offices Held


CRESWELL SD 40 (School District), Board Member - Appointed 01/01/23

Activity Log - 2023 to Date

03/06/23 06:11:19.900 - User Profile Created - User profile created for Lacey Risdal
03/06/23 06:11:20.133 - User Profile Created - A new user profile was created.
03/06/23 06:11:20.147 - SEI Assigned to Seat - SEI Assigned to Seat
03/06/23 06:11:42.803 - User Profile Updated - User profile updated for Lacey Risdal
03/06/23 06:11:42.867 - User Profile Updated - The user's main profile was updated.
03/07/23 09:50:26.247 - User Successfully Authenticated -
03/10/23 04:25:57.250 - User Profile Updated - User profile updated for Lacey Risdal
03/10/23 04:25:57.337 - User Profile Updated - The user's main profile was updated.
03/22/23 06:28:04.420 - User Failed to Authenticate -
03/22/23 06:31:30.980 - User Successfully Authenticated -
03/22/23 06:32:23.863 - User Password Reset - The user's password was reset from the "My Profile" page.
03/22/23 06:32:24.007 - User Profile Updated - User profile updated for Lacey Risdal
03/22/23 06:32:24.060 - User Profile Updated - The user's main profile was updated.
03/22/23 06:34:55.330 - User Security Questions Reset - The user's security questions were reset from the "My Profile" page.
03/22/23 06:34:55.400 - User Profile Updated - User profile updated for Lacey Risdal
03/22/23 06:34:55.453 - User Profile Updated - The user's main profile was updated.
03/22/23 06:42:25.853 - SEI Report Draft Saved - SEI Report Draft Saved for year 2023
05/06/23 03:30:22.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 09:05:02.450 - User Failed to Authenticate -
05/08/23 09:05:52.570 - User Failed to Authenticate -
05/08/23 09:24:27.140 - User Failed to Authenticate -
05/08/23 09:25:36.023 - User Successfully Authenticated -
05/09/23 03:30:11.607 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 05:36:02.777 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/10/23 11:57:30.243 - User Successfully Authenticated -
05/10/23 11:58:12.143 - User Profile Updated - User profile updated for Lacey Risdal
05/10/23 11:58:12.157 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:

Lacey & Ed


HUNTER David * OGEC

From: Lacey Risdal <Irisdal@creswell.k12.or.us>
Sent: Tuesday, May 09, 2023 3:42 PM
To: OGEC Mail * OGEC
Subject: Re: electronics filing for SEI

Good afternoon,

I'm writing to request a one-time penalty fee waiver, for my late filing of the Statement of Economic Interest. This filing is new to school boards this year and I had attempted to file and submit my SEI on March 22nd, and I'm not exactly sure where I went wrong, but I did reach out for help and a wonderful gentleman was able to see my original attempt and get it moved past the pending stage to the filed stage. So it is now filed. I appreciate your consideration of my request for a one-time fee waiver for this statement.

Thank you,

Lacey Risdal
Creswell School Board

On Mon, May 8, 2023 at 7:10 PM Lacey Risdal <Irisdal@creswell.k12.or.us> wrote:

Good evening,

My name is Lacey Risdal. I'm on the Creswell School Board. I received an email about my SEI not being filed. But I got into a system and answered the questions and thought I had filed it appropriately. Now it says I have a fines accruing. I'd like to speak with someone to figure out how to fix this. I'm hoping that someone can see that I was in the system and trying to complete this task on-time, before April 15. If I have fines accruing, I will have to resign this volunteer position. I'm a single parent trying to volunteer for a small school district. I don't mind complying with the law, but for the first year, and for apparently not an easy-to walk through system, I need a little help. I had followed prompts and thought it was relatively simple. But apparently I have messed up somewhere. Please call me at 541-954-3287 at your earliest convenience and I can figure out my best course of action moving forward.

Lacey Risdal



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 11, 2023

Kathleen Rutherford
Hospital Facility Authority-Klamath Falls
2210 N Eldorado Ave
Klamath Falls OR 97601

Re: 2023 SEI Penalty

Dear Ms. Rutherford:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$50 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 7395
User Name: krutherford
Email: krutherford13@gmail.com

2023 Filing: 5/10/2023
2023 Penalty: \$50.00

Kathleen Rutherford
2210 N Eldorado Avenue
Klamath Falls, OR 97601

2023 Offices Held

HOSPITAL FACILITY AUTHORITY - KLAMATH FALLS (State), HOSPITAL FACILITY AUTHORITY - KLAMATH FALLS - Appointed
03/04/19

Activity Log - 2023 to Date

05/06/23 03:30:19.007 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.037 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:10.563 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 12:04:19.143 - User Profile Updated - User profile updated for Kathleen Rutherford
05/10/23 12:04:19.190 - User Profile Updated - The user's main profile was updated.
05/10/23 12:16:13.650 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/23 12:17:05.160 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/23 12:17:26.387 - User Successfully Authenticated -
05/10/23 12:17:57.377 - User Password Reset - The user's password was reset from the "My Profile" page.
05/10/23 12:17:57.840 - User Profile Updated - User profile updated for Kathleen Rutherford
05/10/23 12:17:57.987 - User Profile Updated - The user's main profile was updated.
05/10/23 12:25:17.803 - Admin User Reset Initiated - An admin user reset was initiated.
05/10/23 01:46:37.800 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/10/23 01:48:28.187 - User Successfully Authenticated -
05/10/23 01:55:27.173 - SEI Report Draft Saved - SEI Report Draft Saved for year 2023
05/10/23 01:56:57.927 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Kathleen Rutherford
Ed
PR

HUNTER David * OGEC

From: Kathleen Rutherford <krutherford13@gmail.com>
Sent: Wednesday, May 10, 2023 12:00 PM
To: HUNTER David * OGEC
Subject: Re: OGEC Late SEI Filing

Oregon Government Ethics Commission,

My filing for the ethics commission was late this year because I changed jobs, so I didn't receive the email reminder to file. I am requesting that you please waive the \$50 late fee that has accrued. As soon as I was reminded to do the filing, I completed it the same day.

Sincerely,

Kathleen Rutherford

On Wed, May 10, 2023 at 10:25 AM HUNTER David * OGEC <David.HUNTER@ogec.oregon.gov> wrote:

Hi Kathleen,

The next email you receive will be a password reset. This link is valid for 24 hours.

Click the link in the email and change the password then verify.

Click RESET and you will be directed to a log in page to enter user name: krutherford and the new password.

Click Log in. Then Choose a Role, click Statement of Economic Interest (SEI) Filer indicated by the check mark at the top of the tile.

This will land you on the Reports page. Click the blue button at the lower left -File a New Report.

This will be your report to complete, submit, sign and file.

As discussed on the phone, please send me an email, addressed to the Commission and provide a short explanation as to why the filing was late and ask the Commission to please waive the \$50 late filing accrual.

Let me know if you have any questions or need further support.

Best Regards...

David R Hunter

Oregon Government Ethics Commission



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 11, 2023

Loren Behrman
City of Banks Planning Commission
37500 NW Mountaindale Road
Banks OR 97106

Re: 2023 SEI Penalty

Dear Ms. Behrman:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$60 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 10586
User Name: loren@behrmanfarms.com
Email: loren@behrmanfarms.com

2023 Filing: 5/11/2023
2023 Penalty: \$60.00

Loren Behrman
37500 NW Mountindale Road
Banks, OR 97106

2023 Offices Held

BANKS (City), PLANNING COMMISSION - Appointed 10/14/21

Activity Log - 2023 to Date

05/06/23 03:30:21.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.120 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:10.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 01:43:48.430 - User Failed to Authenticate -
05/11/23 01:43:59.613 - User Failed to Authenticate -
05/11/23 01:44:12.330 - User Failed to Authenticate -
05/11/23 01:44:19.887 - User Failed to Authenticate -
05/11/23 01:44:27.443 - User Successfully Authenticated -
05/11/23 01:45:32.333 - User Password Reset - The user's password was reset from the "My Profile" page.
05/11/23 01:45:32.427 - User Profile Updated - The user's main profile was updated.
05/11/23 01:45:32.437 - User Profile Updated - User profile updated for Loren Behrman
05/11/23 01:48:15.543 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Loren A Ed

Loren Behrman

37500 NW Mountaindale Rd
Banks, OR 97106
503-789-8329

Oregon Ethics Commission
3218 Pringle Rd, SE, #220
Salem, OR 97302-1544

May 11, 2023

To whom it may concern,

I am writing this letter today to explain my tardiness in filing my SEI with your group, and to accept this mistake and correct it in the future.

In the past I have served on State Ag Commissions and various Washington County transportation advisory committees and have never had to file an SEI. After being asked to volunteer to serve on the Banks Planning Commission I was walked through the process by city staff of creating an account and filing for the first time. I was completely oblivious that this was meant to be an annual affair.

I was glad to be contacted by a staff member of the OGEC and walked through the process of filing again but see that I have accrued late fees. I apologize for the tardiness and guarantee that this will not be an issue in the future, but wonder if there is any way of waiving the late fees for a first-time renewal?

Warm Regards,

Loren Behrman
Member of Banks Planning Commission

Loren@behrmanfarms.com



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 11, 2023

Matt Armstrong
City of Sumpter City Recorder
PO BOX 68
Sumpter OR 97877

Re: 2023 SEI Penalty

Dear Mr. Armstrong:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$60 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 12056
User Name: marmstrong82
Email: cityofsumpteror@gmail.com

2023 Filing: 5/11/2023
2023 Penalty: \$60.00

Matt Armstrong
PO Box 68
Sumpter, OR 97877

2023 Offices Held

SUMPTER (City), CITY RECORDER - Appointed 01/19/23

Activity Log - 2023 to Date

02/14/23 03:47:19.473 - User Profile Created - User profile created for Matt Armstrong
02/14/23 03:47:19.670 - User Profile Created - A new user profile was created.
02/14/23 03:47:19.700 - SEI Assigned to Seat - SEI Assigned to Seat
05/06/23 03:30:22.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.947 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.700 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.433 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:09.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.383 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 11:56:52.703 - User Failed to Authenticate -
05/11/23 11:59:33.097 - User Profile Updated - User profile updated for Matt Armstrong
05/11/23 11:59:33.153 - User Profile Updated - The user's main profile was updated.
05/11/23 12:09:57.357 - User Successfully Authenticated -
05/11/23 12:27:26.120 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:



HUNTER David * OGEC

From: City of Sumpter <cityofsumpteror@gmail.com>
Sent: Thursday, May 11, 2023 11:24 AM
To: OGEC Mail * OGEC
Subject: Ethics Filing Fines

To Whom it May Concern:

My name is Matt Armstrong, I have been the City Recorder for Sumpter Oregon since January 19.

As of today, I have been fined for not filing with you folks: something that I was not aware of [unfortunately] (this is my first time working in government).

This morning Jessica called to tell me I did not file and that I was incurring fines for not filing. I checked the website using my User and password. There was a Tab on the page with a log of daily emails being sent to cityofsumpteror@gmail.com informing me that I did not file by the expiration date. I was not getting those emails, so I did not know of my late filing.

I respectfully request that the fines be waived.

Please let me know if you need any other information from me.

Best Regards,

Matt

--
*Thank you and have a great day,
City of Sumpter*

"PUBLIC RECORDS LAW DISCLOSURE

This e-mail is a public record of the City of Sumpter and is subject to public disclosure unless exempt from disclosure under Oregon Public Records Law. This email is subject to the State Retention Schedule."



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 12, 2023

John Barnett
Board Member Siuslaw SD 97J
PO BOX 791
Florence OR 97439

Re: 2023 SEI Penalty

Dear Mr. Barnett:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$70 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 12941
User Name: John Barnett
Email: johnb@cbcoast.com

2023 Filing: 5/12/2023
2023 Penalty: \$70.00

John Barnett
PO Box 791
Florence, OR 97439

2023 Offices Held

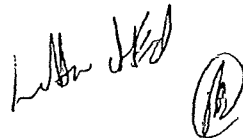
SIUSLAW SD 97J (School District), Board Member - Appointed 01/01/23

Activity Log - 2023 to Date

03/14/23 12:36:54.540 - User Profile Created - User profile created for John Barnett
03/14/23 12:36:54.767 - User Profile Created - A new user profile was created.
03/14/23 12:36:54.797 - SEI Assigned to Seat - SEI Assigned to Seat
03/14/23 12:37:24.083 - User Profile Updated - User profile updated for John Barnett
03/14/23 12:37:24.153 - User Profile Updated - The user's main profile was updated.
05/06/23 03:30:24.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.537 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:12.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:09.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:09.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 10:16:19.883 - Admin User Reset Initiated - An admin user reset was initiated.
05/12/23 10:16:38.477 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/12/23 10:16:59.040 - User Successfully Authenticated -
05/12/23 10:22:36.507 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Handwritten signatures and initials, including a circular stamp with the letter 'B' inside.

HUNTER David * OGEC

From: John Barnett Coldwell Banker Coast Real Estate <johnb@cbcoast.com>
Content: Friday, May 12, 2023 8:32 AM
Subject: OGEC Mail * OGEC
Board Member, SIUSLAW SD 97J (penalties or sanctions)

Hello,

My Name is John Barnett a School Board Member with the Siuslaw School District and I am respectfully asking you waive the \$70.00 Penalties/sanctions in regard to my late filing of my Ethics Commission Documents.

This was the first year we have ever been required to do this and I was very unclear of the process. I was waiting on an email with a verification code and I was not aware our district secretary had already set up our account and I did not see where I have been sent the necessary login information to fill out the necessary Ethics Commission Documentation.

The gentleman I spoke to at the Ethics Commission office was very helpful in getting me logged in and resetting my password. I have filled out the necessary documentation and I have a good understanding on how to do this next year.

Thank you,

John M. Barnett
www.cbcoast.com
johnb@cbcoast.com
541-997-7777 Office
1-729-8089 Cell
541-997-7159 Fax

Confidentiality: This message is for the sole use of the intended recipient. It may contain confidential information and/or attachments. If you are not the intended recipient, you may not disclose, copy or disseminate this information. If you are not the intended recipient please contact the sender by e-mail immediately, and destroy all copies of original message including all attachments. Thank you. ***Upon our first meeting please read:*** 1.) Oregon Real Estate Agency Disclosure Pamphlet 2.) Oregon Buyer & Seller Advisories



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Rocky Burgess
Council, City of Elgin
PO BOX 854
Elgin OR 97827-0854

Re: 2023 SEI Penalty

Dear Mr. Burgess:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 3241
User Name: Rburgess
Email: councilor1@cityofelginor.org

2023 Filing: 5/8/2023
2023 Penalty: \$30.00

Rocky Burgess
PO Box 854
Elgin, OR 97827-0854

2023 Offices Held


ELGIN (City), COUNCIL - Appointed 01/13/15

Activity Log - 2023 to Date

05/06/23 03:30:16.513 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:10.710 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.110 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 12:55:48.250 - User Successfully Authenticated -
05/08/23 01:05:39.003 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/16/23 01:00:20.377 - User Successfully Authenticated -

Past Violations: None

Recommendation:

 Follow & Ed

Rocky Burgess
PO Box 854
Elgin, OR 97827
sburgess@eoni.com

May 16, 2023

Oregon Government Ethics Commission
Salem, Oregon

Dear Ethics Commission

I am writing to request a waiver of the \$30.00 penalty assessed to me for the late filing of my Statement of Economic Interest (SEI) for 2022. I successfully filed the SEI on May 8, 2023 which I realize was past the April 15 deadline.

My wife and I have taken care of her elderly mother for a number of years. In late December her health failed significantly, deteriorated quickly and ended with her passing in March. This was a primary focus for my wife and I in addition to work and resulted in us not checking e-mails often and with my focus on my family rather than my volunteer service as an Elgin City Councilor. Due to this, I did not see the reminders until my lateness was brought to my attention by our City Recorder on May 8. At that time, I immediately filed the report.

I am asking that you waive the penalty fee due to these circumstances and due to the fact that I have never filed late before. Your consideration of my request would be greatly appreciated.

Respectfully,

Rocky Burgess
(541)786-2417



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Joe Casanova
Planning Commission, King City
133357 SW Shakespeare St
King City OR 97224

Re: 2023 SEI Penalty

Dear Mr. Casanova:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$100 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2023

SEI Code: 10504
User Name: jcasanovaKingCity
Email: jcasanova@cl.king-city.or.us

2023 Filing: 5/15/2023
2023 Penalty: \$100.00

Joe Casanova
13357 SW Shakespeare Street
King City, OR 97224

2023 Offices Held


KING CITY (City), PLANNING COMMISSION - Appointed 02/18/21

Activity Log - 2023 to Date

05/06/23 03:30:21.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.460 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 01:57:16.537 - User Profile Updated - User profile updated for Joe Casanova
05/08/23 01:57:16.600 - User Profile Updated - The user's main profile was updated.
05/09/23 03:30:10.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.117 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:08.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:08.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:10.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:09.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 05:29:18.173 - User Failed to Authenticate -
05/15/23 05:29:38.567 - User Failed to Authenticate -
05/15/23 05:35:21.613 - User Successfully Authenticated -
05/15/23 05:41:51.703 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter of Ed


HUNTER David * OGEC

From: Joe Casanova <jcasanova@ci.king-city.or.us>
Date: Monday, May 15, 2023 4:31 PM
To: OGEC Mail * OGEC
Subject: Request to waive Late Filing Fees - Joe Casanova

Dear Commission,

I am a Planning Commissioner for King City and was late with my 2023 filing of the "Statement of Economic Interest."

I want to express my apologies for the late submission – the reason being that I was overseas , hiking in Central Scotland and did not take my laptop with me.

I proactively notified King City of my departure on April 25 as I would miss a Planning Commissioners meeting scheduled for April 26.

I returned on May 11 and after catching up on sleep and dealing with the time changes , started today taking care of official matters. The OGEC filing was on my priority list and now completed.

I am asking for your understanding and requesting that you waive the accrued late filing fees of \$100.

Sincerely

Joe Casanova

Planning Commissioner – King City



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Amy Schlusser
Board Member, Environmental Quality Commission
2525 SE 34th Ave
Portland OR 97202

Re: 2023 SEI Penalty

Dear Ms. Schlusser:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$100 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code:	10801	2023 Filing:	5/15/2023
User Name:	Amy Schlusser	2023 Penalty:	\$100.00
Email:	amy.schlusser@deq.oregon.gov		

Amy Schlusser
2525 SE 34th Ave
Portland, OR 97202

2023 Offices Held

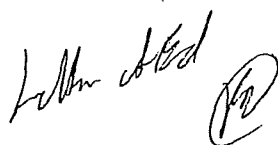
ENVIRONMENTAL QUALITY COMM (State), ENVIRONMENTAL QUALITY COMM - Appointed 11/19/21

Activity Log - 2023 to Date

05/06/23 03:30:21.577 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.540 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.213 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.027 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.870 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 12:10:44.843 - User Profile Updated - User profile updated for Amy Schlusser
05/10/23 12:10:44.880 - User Profile Updated - The user's main profile was updated.
05/11/23 03:30:10.150 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:08.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:08.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:10.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:09.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 05:23:13.127 - Admin User Reset Initiated - An admin user reset was initiated.
05/15/23 05:24:27.803 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/15/23 05:25:34.163 - User Successfully Authenticated -
05/15/23 05:26:18.553 - User Password Reset - The user's password was reset from the "My Profile" page.
05/15/23 05:26:18.690 - User Profile Updated - User profile updated for Amy Schlusser
05/15/23 05:26:18.727 - User Profile Updated - The user's main profile was updated.
05/15/23 05:31:29.377 - Admin User Reset Initiated - An admin user reset was initiated.
05/15/23 05:41:41.413 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/15/23 05:50:21.813 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/15/23 05:52:44.757 - User Profile Updated - User profile updated for Amy Schlusser
05/15/23 05:52:44.797 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:



HUNTER David * OGEC

From: SCHLUSSER Amy * DEQ
Int: Monday, May 15, 2023 4:21 PM
To: OGEC Mail * OGEC
Subject: Statement of Economic Interest late fees

Dear Commissioners,

I am a member of the Environmental Quality Commission, and I inadvertently filed my Statement of Economic Interest (SEI) after the due date. Though I never received a notice to file an SEI this year, I attempted to file my SEI two times prior to the deadline, but was unable to log in to the system. I only recently learned that all notifications were being sent to an expired email address, rather than to my DEQ email address. I am very grateful for the prompt assistance of OGEC staff person David Hunter, who helped me file my SEI and update my contact information.

I apologize for the late filing and for failing to update my contact information to include my DEQ email address. I respectfully ask the Commission to consider waiving the late fees I have incurred.

Sincerely,
Amy Schlusser
Oregon Environmental Quality Commission



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Lisa Lindner
Councilor, City of Monroe
807 Commercial St
Monroe OR 97456

Re: 2023 SEI Penalty

Dear Ms. Lindner:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$60 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 10068
User Name: LisaLindner
Email: lisa.lindner@ci.monroe.or.us

2023 Filing: 5/11/2023
2023 Penalty: \$60.00

Lisa Lindner
807 Commercial St
Monroe, OR 97456

2023 Offices Held


MONROE (City), COUNCIL - Appointed 01/11/21

Activity Log - 2023 to Date

05/06/23 03:30:20.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.873 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:10.780 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.023 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 11:48:34.623 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Lisa Lindner


HUNTER David * OGEC

From: Lisa Lindner <lisa.lindner@ci.monroe.or.os>
nt: Monday, May 15, 2023 2:53 PM
o: OGEC Mail * OGEC
Subject: Explanation for late Economic Interest Filing

Dear Oregon Government Ethics Commissioners,

Please accept this letter of explanation for my tardy filing of this year's Statement of Economic Interest document.

This last February, my grandfather -- whom I have always been close with -- fell very ill. He lived in Danville, California and I traveled three separate times for 4-7 days each time between then and now: one time to sign paperwork to put him into in-home hospice care due to multiple organ failure; a second time to say goodbye to him (he caught COVID and Pneumonia while in hospice); a third time to meet family members at his house after he passed to distribute his and my late grandmother's furnishings, clean the house, etc.

During this same period, my team at work lost two colleagues, putting our productivity at approximately 60% while we tried to assist with an organization-wide project that impacts every employee of the institution. (I work remotely as a Human Resources Consultant for the University of Washington, which employs 45,000 workers.) My mind has been focused on not drowning at work, or grief.

I have missed many Monroe City Council related meetings in the last three months due to these personal and professional events. I have tried to attend via Zoom when possible and have participated in weekend town halls and open houses for other projects our city has underway if I'm in town; however, I have spent less time in Monroe this Spring than I have since purchasing my home here in 2019, and it has been difficult for me to remember to file this document.

Please accept my apologies. Thank you for considering the reason for my statement's tardiness.

Very kind regards,

Lisa Lindner
City Council Member, Monroe, Oregon

Sent from my iPhone



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Steve Maher
Board Member, McDermitt School District 51
PO BOX 435
McDermitt NV 89421

Re: 2023 SEI Penalty

Dear Mr. Maher:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$100 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 13046
User Name: Steve Maher
Email: amoritamaher@yahoo.com

2023 Filing: 5/15/2023
2023 Penalty: \$100.00

Steve Maher
PO BOX 435
MCDERMITT, NV 89421

2023 Offices Held

MCDERMITT SD 51 (School District), Board Member - Appointed 01/01/23

Activity Log - 2023 to Date

03/14/23 05:12:35.107 - User Profile Created - User profile created for Steve Maher
03/14/23 05:12:35.357 - User Profile Created - A new user profile was created.
03/14/23 05:12:35.377 - SEI Assigned to Seat - SEI Assigned to Seat
05/06/23 03:30:24.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.580 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:12.400 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.967 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:09.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.780 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:09.587 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:09.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:10.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:10.597 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 12:04:38.917 - Admin User Reset Initiated - An admin user reset was initiated.
05/15/23 12:07:04.783 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/15/23 12:08:05.307 - User Successfully Authenticated -
05/15/23 04:06:52.317 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

Letter filed


HUNTER David * OGEC

From: amorita maher <amoritamaher@yahoo.com>
Content: Monday, May 15, 2023 2:31 PM
To: OGEC Mail * OGEC
Subject: Late Penalty

David:

I just filed Steve's Oregon Government Ethics Commission Report and noticed that I have a late fee of \$100.00. Because we live in and ranch in Oregon and have a farm in Nevada, I receive countless emails from government offices in both states. The email address was not familiar to me, so I had been deleting until I realized what it was. I hope that you will consider waiving this fee, since I was not familiar with the procedure or the address. I will be more mindful of both going forward.

Amorita Maher, for Steve Maher



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Joseph Carswell
Councilor, City of Lafayette
1132 Joel's Place
Lafayette OR 97127

Re: 2023 SEI Penalty

Dear Mr. Carswell:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$110 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 12665
User Name: Joseph Carswell
Email: joseph_carswell@comcast.net

2023 Filing: 5/16/2023
2023 Penalty: \$110.00

Joseph Carswell
1132 Joel's Place
Lafayette, OR 97127

2023 Offices Held

LAFAYETTE (City), COUNCIL - Appointed 01/01/23

Activity Log - 2023 to Date

03/10/23 10:51:22.470 - User Profile Created - User profile created for Joseph Carswell
03/10/23 10:51:22.690 - User Profile Created - A new user profile was created.
03/10/23 10:51:22.703 - SEI Assigned to Seat - SEI Assigned to Seat
05/06/23 03:30:22.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.260 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:12.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.747 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:09.420 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.653 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 05:26:59.480 - User Profile Updated - User profile updated for Joseph Carswell
05/11/23 05:26:59.510 - User Profile Updated - The user's main profile was updated.
05/12/23 03:30:09.427 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:08.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:10.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:10.473 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/16/23 03:30:10.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/16/23 01:40:22.543 - Admin User Reset Initiated - An admin user reset was initiated.
05/16/23 01:40:58.493 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/16/23 01:41:13.253 - User Successfully Authenticated -
05/16/23 01:42:17.523 - User Profile Updated - User profile updated for Joseph Carswell
05/16/23 01:42:17.570 - User Profile Updated - The user's main profile was updated.
05/16/23 01:43:05.010 - Admin User Reset Initiated - An admin user reset was initiated.
05/16/23 01:45:12.117 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/16/23 01:45:17.153 - User Successfully Authenticated -
05/16/23 01:52:19.807 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/16/23 02:04:10.413 - User Profile Updated - User profile updated for Joseph Carswell
05/16/23 02:04:10.467 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:

Letter attached



HUNTER David * OGEC

From: Joe Carswell <jcarswell@ci.lafayette.or.us>
Content: Tuesday, May 16, 2023 12:03 PM
From: OGEC Mail * OGEC
Subject: first time filing

Good day!

I hope things are going well. I was having some trouble getting signed in and filing however one of your kind representatives assisted in getting me squared away and now I have finished. From what I was understanding the email address that was on file for me was wrong and I was not getting the warning messages. I was hoping that you could assist with the late fee I have acquired with the knowledge that this won't happen again. Some things were really confusing and this is my first go at this. Please let me know what I need to do and if I need to make some payments?

I appreciate your time

Joseph W Carswell

City Councilor
City of Lafayette
P.O. Box 55
Lafayette, OR 97127
C 971.281.0119
jcarswell@ci.lafayette.or.us



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Michael DeRusha
Planning Commission, City of Winston
201 NW Douglas Blvd
Winston OR 97496

Re: 2023 SEI Penalty

Dear Mr. DeRusha:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$110 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 12369
User Name: MichaelDeRusha
Email: dognose0@yahoo.com

2023 Filing: 5/16/2023
2023 Penalty: \$110.00

Michael DeRusha
201 NW Douglas Blvd
Winston, OR 97496

2023 Offices Held

WINSTON (City), PLANNING COMMISSION - Appointed 02/22/22

Activity Log - 2023 to Date

03/06/23 12:50:06.940 - User Profile Created - User profile created for Michael DeRusha
03/06/23 12:50:07.193 - User Profile Created - A new user profile was created.
03/06/23 12:50:07.223 - SEI Assigned to Seat - SEI Assigned to Seat
03/08/23 04:09:40.353 - User Successfully Authenticated -
03/08/23 04:10:01.693 - User Profile Updated - User profile updated for Michael DeRusha
03/08/23 04:10:01.767 - User Profile Updated - The user's main profile was updated.
04/05/23 01:52:55.573 - User Profile Updated - User profile updated for Michael DeRusha
04/05/23 01:52:55.640 - User Profile Updated - The user's main profile was updated.
04/05/23 02:10:49.613 - SEI Report Filed - SEI Report Filed for year 2022
04/05/23 02:11:41.640 - User Profile Updated - User profile updated for Michael DeRusha
04/05/23 02:11:41.700 - User Profile Updated - The user's main profile was updated.
05/06/23 03:30:22.570 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:12.010 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.497 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:09.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:09.257 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:08.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:10.503 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:10.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/16/23 03:30:10.127 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/16/23 10:40:43.363 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/16/23 11:13:05.753 - User Profile Updated - User profile updated for Michael DeRusha
05/16/23 11:13:05.790 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:

Letter of Ed
(P)

HUNTER David * OGEC

From: Michael DeRusha <dognose0@yahoo.com>
Content: Tuesday, May 16, 2023 9:16 AM
To: OGEC Mail * OGEC
Subject: Computer error

Follow Up Flag: Follow up
Flag Status: Completed

I called the Oregon Government Ethics Commission office on April 5th 2023 and filed my report with Charlie Esparza. I received an email from my Jurisdictional Contact on May 4th 2023 stating I still had not filed my SEI. I contacted the office again and spoke with Jessica Foster who said that she saw the date of the SEI filing and everything was ok. She sent me the following email.

Good morning Mike,

We got your voicemail and I can confirm that your SEI was filed on 4/5/23 at 12:10pm. There are other commission members who have not completed their filing at this time, so it's likely that your JC just put out a general email to all members to remind them. At this time there is nothing further that you are required to file.

Take care until next year!

On April 16th 2023 Jessica called me and explained that due to the date I was seated 2/22/2022, I had been required to file an SEI in 2022 and 2023 and that the one I filed with Charlie on 4/5/2023 satisfied the 2022 filing requirement but not 2023. She said it was when they were looking into the account further to see why it was assessing fees is when they discovered it had not been filed. We filed it over the phone and she recommended I reach out to the commission to ask for my late fees to be waived due to the confusion.

Best wishes for health and happiness!

Jessica Foster

Office Specialist 2

Oregon Government Ethics Commission

3218 Pringle Road SE, Suite 220

Salem, OR 97302-1544

503-378-5105

<https://www.oregon.gov/ogec>

please take our customer service survey!



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 16, 2023

Dave Rickel
Commissioner, Port of Port Orford
545 West 10th St
Port Orford OR 97465

Re: 2023 SEI Penalty

Dear Mr. Rickel:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$50 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2023

SEI Code: 11090
User Name: Dave Rickel
Email: daverickel@portorford.org

2023 Filing: 5/10/2023
2023 Penalty: \$50.00

Dave Rickel
545 West 10th st.
Port Orford , OR 97465

2023 Offices Held

PORT OF PORT ORFORD (State), COMMISSION - Appointed 07/27/21

Activity Log - 2023 to Date

05/03/23 04:11:49.317 - User Failed to Authenticate -
05/03/23 04:12:03.463 - User Failed to Authenticate -
05/06/23 03:30:21.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.310 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:11.090 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 11:17:56.713 - User Profile Updated - User profile updated for Dave Rickel
05/10/23 11:17:56.753 - User Profile Updated - The user's main profile was updated.
05/10/23 03:19:34.283 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/16/23 04:31:14.173 - Admin User Reset Initiated - An admin user reset was initiated.
05/16/23 04:32:01.817 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/16/23 04:32:30.753 - User Successfully Authenticated -
05/16/23 04:32:56.560 - User Password Reset - The user's password was reset from the "My Profile" page.
05/16/23 04:32:56.617 - User Profile Updated - User profile updated for Dave Rickel
05/16/23 04:32:56.653 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:

Letter Attached


HUNTER David * OGEC

From: Dave Rickel <daverickel@yahoo.com>
nt: Tuesday, May 16, 2023 2:42 PM
o: OGEC Mail * OGEC
Subject: Att: Commission:

I David C. Rickel, Port Commisioner of the Port of PortOrford, Would like to have my \$50 fine waved, my e-mail was hacked.

Thank You

David C.Rickel

5/16/2023



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 18, 2023

Stephanie Kilmer
Council, City of Coos Bay
757 S Wasson
Coos Bay OR 97420

Re: 2023 SEI Penalty

Dear Ms. Kilmer:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$130 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 5297
User Name: stephaniekilmer32651
Email: stephanie.kilmer65@gmail.com

2023 Filing: 5/18/2023
2023 Penalty: \$130.00

Stephanie Kilmer
757 S. Wasson
Coos Bay, OR 97420

2023 Offices Held

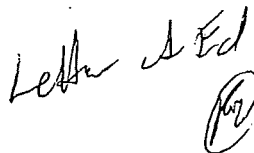
COOS BAY (City), COUNCIL - Appointed 11/15/16

Activity Log - 2023 to Date

05/06/23 03:30:17.610 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:10.850 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.300 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:10.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.370 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:09.743 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:08.643 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:08.103 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:09.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:09.630 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/16/23 03:30:09.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/17/23 03:30:08.403 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/18/23 03:30:08.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/18/23 10:53:00.997 - User Profile Updated - User profile updated for Stephanie Kilmer
05/18/23 10:53:01.043 - User Profile Updated - The user's main profile was updated.
05/18/23 10:56:39.573 - Admin User Reset Initiated - An admin user reset was initiated.
05/18/23 10:57:16.090 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/18/23 10:57:52.657 - User Successfully Authenticated -
05/18/23 10:58:48.913 - User Profile Updated - User profile updated for Stephanie Kilmer
05/18/23 10:58:48.960 - User Profile Updated - The user's main profile was updated.
05/18/23 10:59:18.150 - Admin User Reset Initiated - An admin user reset was initiated.
05/18/23 11:01:06.173 - User Profile Updated - User profile updated for Stephanie Kilmer
05/18/23 11:01:06.207 - User Profile Updated - The user's main profile was updated.
05/18/23 11:01:45.090 - Admin User Password Reset Completed - A user reset their password using an admin reset.
05/18/23 11:01:54.670 - User Successfully Authenticated -
05/18/23 11:09:29.237 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/18/23 11:23:27.577 - User Successfully Authenticated -
05/18/23 11:24:19.383 - User Security Questions Reset - The user's security questions were reset from the "My Profile" page.
05/18/23 11:24:19.450 - User Profile Updated - User profile updated for Stephanie Kilmer
05/18/23 11:24:19.463 - User Profile Updated - The user's main profile was updated.

Past Violations: None

Recommendation:



HUNTER David * OGEC

From: Stephanie Kilmer <stephanie.kilmer65@gmail.com>
Date: Thursday, May 18, 2023 9:51 AM
To: OGEC Mail * OGEC
Subject: Accrued Penalty for Late SEI Filing Appeal

Good Morning,

I am writing to request that you consider waiving the Accrued Penalty Fees for the late filing of the Annual Verified Statement of Economic Interest. I am no longer able to access the email notifications via stephanie@kdock929.com as I have left the company and was not able to keep that email. The company still uses the email, so there was no notification back to the agency that the email was no longer valid for me.

If you look at the history of filings since I was elected, I am normally prompt. I apologize for the delay and I am hoping that you will be able to waive all of the fees or at least reduce the amount due.

I take my job as a city councilor very seriously and understand these filings are necessary. I have updated my information and appreciate the call from Jessica that alerted me to this matter.

Sincerely,

Stephanie Kilmer
757 S. Wasson Street
Coos Bay OR 97420
(541) 294-2276
stephanie.kilmer65@gmail.com

Alternate email: skilmer@coosbayor.gov
Alternate Phone: 541-290-0425 (Rob)



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 18, 2023

Anne Kelly Madden
19625 Ridgewood Dr
Bens OR 97703

Re: 2023 SEI Penalty

Dear Ms. Madden:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$130 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity
2023

SEI Code:	10499	2023 Filing:	5/18/2023
User Name:	Anne Kelly Madden	2023 Penalty:	\$130.00
Email:	rickandkelly@bendbroadband.com		

Anne Madden
19625 Ridgewood Dr
Bend, OR 97703

2023 Offices Held

DESCHUTES CO (County), HISTORIC LANDMARKS COMMISSION - Appointed 04/02/21

Activity Log - 2023 to Date

05/06/23 03:30:21.167 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:11.430 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:11.060 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:10.920 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.793 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:10.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:08.973 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:08.453 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:10.183 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:09.957 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/16/23 03:30:09.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/17/23 03:30:08.673 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/18/23 03:30:09.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/18/23 02:27:28.280 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:



HUNTER David * OGEC

From: rickandkelly@bendbroadband.com
Date: Thursday, May 18, 2023 12:43 PM
To: OGEC Mail * OGEC
Subject: Ethics filing mistake on your part.

DEAR OGEC: My name is Anne Kelly Madden. I live in Bend Oregon. I resigned from the Deschutes County Historic Landmarks Commission May 1, 2023.

Since April, I received emails from your office indicating I needed to file a yearly report. I could not get into the system to file the report, as my name is Anne Kelly Madden and you had me listed differently and I couldn't get in the site. This happened last year as well.

I also sent back several emails each time telling your office I am no longer on the commission. Never heard a word. But I figured since I am not on the commission anymore and that I never heard back from your office, that all was well. I didn't know the email your office sent was automated and not read.

Then today I got a call from Jessica at your office who told me I accruing a fine and so far had to pay \$130.00. Really?

I would like for you to please waive my penalties, I don't feel that I should have to pay. I volunteered on the commission for 11 years.

I also think on your automated emails you should let the recipients know they are automated and not to reply as the replies aren't read.

Please let me know if you have questions or concerns. You can also contact the Community Development Department of Deschutes County and talk to Nick Lelak if you have questions about my volunteer service.

Thank you so much. I hope you look into this matter and waive my fee.

Sincerely, A. Kelly Madden



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 18, 2023

Rachel Weinstein
City of Condon, Planning Commission
PO BOX 412
Condon OR 97823-0412

Re: 2023 SEI Penalty

Dear Ms. Weinstein:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$120 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity

2023

SEI Code: 6644
User Name: Rachel Weinstein
Email: rachelweinstein5@gmail.com

2023 Filing: 5/17/2023
2023 Penalty: \$120.00

Rachel Weinstein
PO Box 412
Condon, OR 978230412

2023 Offices Held

CONDON (City), PLANNING COMMISSION - Appointed 11/01/17

Activity Log - 2023 to Date

04/17/23 01:34:43.587 - User Successfully Authenticated -
04/17/23 04:53:02.227 - User Successfully Authenticated -
04/17/23 05:31:53.013 - SEI Report Amended - SEI Report Amended for year 2021
05/06/23 03:30:18.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/07/23 03:30:10.927 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.483 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/09/23 03:30:10.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/10/23 03:30:08.450 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/11/23 03:30:09.820 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/12/23 03:30:08.737 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/13/23 03:30:08.197 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/14/23 03:30:09.930 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/15/23 03:30:09.720 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/16/23 03:30:09.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/17/23 03:30:08.470 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/17/23 06:00:09.410 - User Profile Updated - User profile updated for Rachel Weinstein
05/17/23 06:00:09.447 - User Profile Updated - The user's main profile was updated.
05/17/23 06:07:07.870 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:



Handwritten signature and initials, possibly "Lester H. H." and a circled "R".

HUNTER David * OGEC

From: Rachel Weinstein <rachelweinstein5@gmail.com>
Sent: Wednesday, May 17, 2023 5:16 PM
To: HUNTER David * OGEC
Subject: Oregon Government Ethics Committee filing

Oregon Government Ethics Commission
3218 Pringle Rd. SE, #220
Salem, OR 97302-1544

Dear Mr. Hunter,

First of all, I want to thank you for your help this afternoon in getting my 2023 Oregon Ethics Committee filing completed. I am writing in clarification and explanation of my late Oregon Government Ethics Committee fees from 2021 and 2023. I understand that my first fine was for \$10.00 in 2021. I honestly don't remember exactly what mistake I made that year. At that time I was unemployed after being furloughed from my Gilliam County position due to COVID-19. This year I thought I had filed, albeit late, on April 17, 2023. I was recovering from COVID-19 at the time and didn't realize until that day I had missed the April 15th filing deadline. I did call the Oregon Government Ethics Commission office that day to alert them of my tardy filing. I inadvertently - it's like ignorance, no excuse - filed 2023 as an amendment of my 2021 filing. I am respectfully asking for the Commission to consider waiving, or reducing, my current fines. I would appreciate any consideration the Commission may provide me in this matter.

Respectfully,

Rachel Weinstein
Ethics Filing for the City of Condon Planning Commission Volunteer Seat.
503-724-0110



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 19, 2023

Stephanie Bulger
President, Lane Community College
4000 E 30th Ave
Eugene OR 97405

Re: 2023 SEI Penalty

Dear Ms. Bulger:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2023

SEI Code: 13387
User Name: stephaniebulger
Email: bulgers@lanecc.edu

2023 Filing: 5/19/2023
2023 Penalty: \$10.00

Stephanie Bulger
4000 E. 30th Avenue
Eugene, OR 97405

2023 Offices Held

COMMUNITY COLLEGE (Educational), LANE COMM COLL - PRESIDENT - Appointed 07/01/22

Activity Log - 2023 to Date

05/18/23 01:03:09.980 - User Profile Created - User profile created for Stephanie Bulger
05/18/23 01:03:10.183 - User Profile Created - A new user profile was created.
05/18/23 01:03:10.230 - SEI Assigned to Seat - SEI Assigned to Seat
05/19/23 03:30:09.080 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/19/23 09:29:26.677 - User Successfully Authenticated -
05/19/23 09:35:37.640 - Late SEI Report Filed - SEI Report Filed Late for year 2023

Past Violations: None

Recommendation:

hdkm STEB 

HUNTER David * OGEC

From: Stephanie Bulger <president@lanecc.edu>
nt: Friday, May 19, 2023 9:10 AM
To: HUNTER David * OGEC
Subject: Explanation for Late Filing

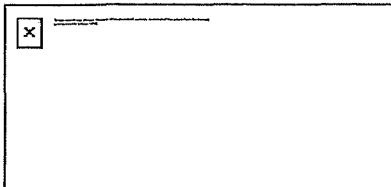
Dear Oregon Government Ethics Commission,

I am writing to provide an explanation for late filing of the Statement of Economic Interest. My contact information was not updated in the Commission's system due to multiple leadership changes in the Finance and Operations Division at Lane Community College and my appointment as President of Lane Community College on July 1, 2022. Unfortunately, my onboarding did not include the requirements of ORS 244.050. Thus, I was not aware of the filing requirements and deadlines in Oregon. I appreciate the Commission for reaching out to me with information about the filing requirements. I registered immediately and completed the filing within a day of receiving an email providing information on where and how to file. Thank you for your consideration in this matter. Lane Community College will include filing requirements of ORS 244.050 going forward.

Sincerely,
Stephanie Bulger

Stephanie Bulger, Ph.D.
President
Pronouns: she, her, hers

Lane Co
mmunity College
president@lanecc.edu
541.463.5200
4000 E. 30th Avenue Eugene, OR 97405





Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 25, 2023

Greer Klepacki
6204 NW Willow St
Portland OR 97213

Re: Late filing penalties for Q4 2022 and Q1 2023

Dear Mr. Klepacki:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$4,080 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2422
 User Name: greerklepacki
 Email: greer@ourchildrenregon.org

Q1 2023 Filing: 4/18/2023
 Q1 2023 Expended: \$0.00
 Q1 2023 Penalty: \$30.00

Greer Klepacki
 6204 NE Willow St
 Portland, OR 97213

- 03/13/23 03:30:08.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/14/23 03:30:08.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/15/23 03:30:08.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/16/23 03:30:09.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/17/23 03:30:08.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/18/23 03:30:08.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/19/23 03:30:09.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/20/23 03:30:09.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/21/23 03:30:08.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/22/23 03:30:09.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/23/23 03:30:08.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/24/23 03:30:08.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/25/23 03:30:09.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/26/23 03:30:07.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/27/23 03:30:09.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/28/23 03:30:08.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/29/23 03:30:07.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/30/23 03:30:09.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 03/31/23 03:30:07.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/01/23 03:43:36.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/02/23 03:30:08.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/03/23 03:30:06.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/04/23 03:30:10.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/05/23 03:30:08.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/06/23 03:30:07.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/07/23 03:30:08.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/08/23 03:30:10.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/09/23 03:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/10/23 03:30:08.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/11/23 03:30:11.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/12/23 03:30:09.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/13/23 03:30:08.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/14/23 03:30:09.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/15/23 03:30:08.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/16/23 03:30:09.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/16/23 03:30:26.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
- 04/17/23 03:30:10.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/17/23 03:30:28.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
- 04/18/23 03:30:09.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
- 04/18/23 03:30:18.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
- 04/18/23 05:36:58.557 - User Successfully Authenticated -
- 04/18/23 05:40:47.997 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023
- 04/18/23 05:41:22.610 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022

Past Violations: Q4 2022

Recommendation:

Letter Ed Q4 2022
\$30.00 Q1 2023

Lobbyist Activity

Q4 2022

Lobbyist Code: 2422
User Name: greer Klepacki
Email: greer@ourchildrenregon.org

Q4 2022 Filing: 4/18/2023
Q4 2022 Expended: \$0.00
Q4 2022 Penalty: \$4,050.00

Greer Klepacki
6204 NE Willow St
Portland, OR 97213

Activity Log - Q4 2022 to Date

12/22/22 04:33:38.470 - User Profile Created - User profile created for Greer Klepacki
12/22/22 04:33:40.640 - User Profile Created - A new user profile was created.
12/22/22 04:33:40.640 - Lobbyist Profile Created - A new lobbyist profile was created.
12/22/22 04:38:40.767 - Lobbyist Client Registration Accepted - The lobbyist/client registration was accepted.
01/16/23 03:30:27.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/17/23 03:30:28.447 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/18/23 03:30:16.020 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/19/23 03:30:12.147 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/20/23 03:30:12.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/21/23 03:30:13.170 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/22/23 03:30:09.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/23/23 03:30:13.490 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/24/23 03:30:10.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/25/23 03:30:12.657 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/26/23 03:30:09.067 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/27/23 03:30:11.903 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/28/23 03:30:09.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/29/23 03:30:11.510 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/30/23 03:30:10.297 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q4
01/31/23 03:30:13.383 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/01/23 03:30:09.257 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/02/23 03:30:10.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/03/23 03:30:10.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/04/23 03:30:11.343 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/05/23 03:30:09.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/06/23 03:30:09.710 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/07/23 03:30:07.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/08/23 03:30:07.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/09/23 03:30:07.390 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/10/23 03:30:07.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/11/23 03:30:09.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/12/23 03:30:09.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/13/23 03:30:08.407 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/14/23 03:30:08.470 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/15/23 03:30:08.417 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/16/23 03:30:09.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/17/23 03:30:08.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/18/23 03:30:09.073 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/19/23 03:30:12.117 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/20/23 03:30:08.793 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/21/23 03:30:08.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/22/23 03:30:07.993 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/23/23 03:30:08.373 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/24/23 03:30:08.483 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/25/23 03:30:10.853 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/26/23 03:30:08.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/27/23 03:30:09.467 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
02/28/23 03:30:10.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/01/23 03:30:09.177 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/02/23 03:30:07.773 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/03/23 03:30:09.680 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/04/23 03:30:10.683 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/05/23 03:30:08.847 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/06/23 03:30:08.197 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/07/23 03:30:08.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/08/23 03:30:07.997 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4

Lobbyist Activity

Q4 2022

Lobbyist Code: 2422
User Name: greerклеpacki
Email: greer@ourchildrenregon.org

Greer Klepacki
6204 NE Willow St
Portland, OR 97213

Q4 2022 Filing: 4/18/2023
Q4 2022 Expended: \$0.00
Q4 2022 Penalty: \$4,050.00

03/09/23 03:30:08.420 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/10/23 03:30:09.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/11/23 03:30:09.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/12/23 04:30:08.737 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/13/23 03:30:08.740 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/14/23 03:30:08.513 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/15/23 03:30:08.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/16/23 03:30:09.937 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/17/23 03:30:08.953 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/18/23 03:30:08.530 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/19/23 03:30:09.037 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/20/23 03:30:09.367 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/21/23 03:30:08.690 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/22/23 03:30:09.270 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/23/23 03:30:08.290 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/24/23 03:30:08.703 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/25/23 03:30:09.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/26/23 03:30:07.537 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/27/23 03:30:09.347 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/28/23 03:30:08.630 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/29/23 03:30:09.960 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/30/23 03:30:09.220 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
03/31/23 03:30:07.283 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/01/23 03:43:36.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/02/23 03:30:08.200 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/03/23 03:30:06.857 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/04/23 03:30:10.097 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/05/23 03:30:08.240 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/06/23 03:30:07.837 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/07/23 03:30:08.897 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/08/23 03:30:10.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/09/23 03:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/10/23 03:30:08.640 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/11/23 03:30:11.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/12/23 03:30:09.783 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/13/23 03:30:08.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/14/23 03:30:09.507 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/15/23 03:30:08.907 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/16/23 03:30:09.743 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/16/23 03:30:26.753 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:10.833 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/17/23 03:30:28.057 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:09.850 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/18/23 03:30:18.913 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 05:36:58.557 - User Successfully Authenticated -
04/18/23 05:40:47.997 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023
04/18/23 05:41:22.610 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022

HUNTER David * OGEC

From: Greer Klepacki <greer@ourchildrenoregon.org>
Sent: Tuesday, April 18, 2023 4:14 PM
To: OGEC Mail * OGEC
Cc: Linda Dixon; Jenifer Wagley; Ivy Major-McDowall
Subject: Greer Klepacki Oregon Lobbyist Fines

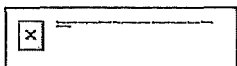
Good afternoon Oregon Government Ethics Commission,
My name is Greer Klepacki, and I am a first-time registered lobbyist in the state of Oregon under my employer, Our Children Oregon. I received a call today from your office stating that I was delinquent \$4,080, as I had not filed my lobby reports for the past two quarters.

I am emailing you today to have these fees waived for two reasons. One, I am a first time lobbyist, and am new to the process. I was told upon registering that I would receive reminders to file, so I would not have to remember deadlines on my own calendar. However, I have not received any reminder or update emails from the Ethics Commission about filing these reports on time that other people who are registered lobbyists receive, such as my director, who I've CC'd here.

As a result of these two things, both of my reports were not filed until I received this phone call today, which prompted me to take swift action and file my reports immediately. Had I been notified earlier, I know I would have taken the same fast action to avoid any fees at all.

I ask that you waive these fees and that we work together to ensure I get email communication moving forward about reporting deadlines so I can avoid accruing fines in the future.

Please feel free to reach out with any questions via this email or my phone number listed below.
Thank you for your time and consideration in this matter.



Greer Klepacki
Policy and Advocacy Coordinator

Our Children Oregon
p: 503 318 0919
e: greer@ourchildrenoregon.org
w: www.ourchildrenoregon.org
pronouns: he/him/his





Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 12, 2023

Andrea Paluso
Family Forward Oregon
6028 SE 32nd Ave
Portland OR 97202

Re: Late filing penalties for Q3, Q4 2022 and Q1 2023

Dear Ms. Paluso:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$10,700 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q2 2022

Lobbyist Code: 860
User Name: AndreaFFO
Email: andrea@familyforward.org

Q2 2022 Filing: 7/18/2022
Q2 2022 Expended: \$0.00
Q2 2022 Penalty: \$0.00

Andrea Paluso
2420 NE Sandy Blvd, Ste 116
Portland, OR 97232

Activity Log - Q2 2022 to Date

04/11/22 12:28:55.020 - User Successfully Authenticated -
04/11/22 12:30:02.170 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2022
07/16/22 03:30:10.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q2
07/17/22 03:30:09.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q2
07/18/22 03:30:08.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q2
07/18/22 06:50:40.273 - User Successfully Authenticated -
07/18/22 06:51:24.233 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2022

Past Violations: 2022 Q2 \$30 paid by check, 2022 Q3 not filed-max penalty \$5000,
2022 Q4 not filed-max penalty \$5000, 2023 Q1 -late filing penalty \$700

Recommendation:

No recommendation, Commission can decide

Lobbyist Activity

Q1 2023

Lobbyist Code: 860
User Name: AndreaFFO
Email: andrea@familyforward.org

Q1 2023 Filing: 5/11/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$700.00

Andrea Paluso
2420 NE Sandy Blvd. Ste 116
Portland, OR 97232

05/11/23 03:30:06.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/11/23 05:33:05.307 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2022
05/11/23 05:33:51.007 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
05/11/23 05:34:32.893 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Lobbyist Activity

Q4 2022

Lobbyist Code: 860
User Name: AndreaFFO
Email: andrea@familyforward.org

Q4 2022 Filing: 5/11/2023
Q4 2022 Expended: \$0.00
Q4 2022 Penalty: \$5,000.00

Andrea Paluso
2420 NE Sandy Blvd. Ste 116
Portland, OR 97232

04/30/23 03:30:05.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
04/30/23 03:30:07.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
05/01/23 03:30:05.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
05/01/23 03:30:07.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/02/23 03:30:05.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
05/02/23 03:30:08.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/03/23 03:30:05.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
05/03/23 03:30:07.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/04/23 03:30:06.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
05/04/23 03:30:09.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/05/23 03:30:06.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
05/05/23 03:30:08.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/06/23 03:30:08.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
05/06/23 03:30:12.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/07/23 03:30:07.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
05/07/23 03:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/08/23 03:30:08.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/09/23 03:30:07.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/10/23 03:30:06.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/11/23 03:30:06.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
05/11/23 05:33:05.307 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2022
05/11/23 05:33:51.007 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
05/11/23 05:34:32.893 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Lobbyist Activity

Q3 2022

Lobbyist Code: 860
User Name: AndreaFFO
Email: andrea@familyforward.org

Q3 2022 Filing: 5/11/2023
Q3 2022 Expended: \$0.00
Q3 2022 Penalty: \$5,000.00

Andrea Paluso
2420 NE Sandy Blvd. Ste 116
Portland, OR 97232

Activity Log - Q3 2022 to Date

07/16/22 03:30:10.500 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q2
07/17/22 03:30:09.823 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q2
07/18/22 03:30:08.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q2
07/18/22 06:50:40.273 - User Successfully Authenticated -
07/18/22 06:51:24.233 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q2 2022
10/16/22 03:30:09.917 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/17/22 03:30:09.363 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/18/22 03:30:08.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/19/22 03:30:06.200 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/20/22 03:30:06.283 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/21/22 03:30:07.180 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/22/22 03:30:07.990 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/23/22 03:30:07.717 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/24/22 03:30:08.287 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/25/22 03:30:06.727 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/26/22 03:30:07.573 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/27/22 03:30:06.953 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/28/22 03:30:06.533 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/29/22 03:30:08.177 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/30/22 03:30:06.523 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2022 Q3
10/31/22 03:30:06.810 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/01/22 03:30:06.460 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/02/22 03:30:06.110 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/03/22 03:30:06.087 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/04/22 03:30:05.580 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/05/22 03:30:07.247 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/06/22 02:30:06.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/07/22 03:30:06.153 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/08/22 03:30:06.167 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/09/22 03:30:05.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/10/22 03:30:05.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/11/22 03:30:06.543 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/12/22 03:30:06.560 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/13/22 03:30:06.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/14/22 03:30:08.103 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/15/22 03:30:07.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/16/22 03:30:06.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/17/22 03:30:05.413 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/18/22 03:30:08.213 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/19/22 03:30:06.510 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/20/22 03:30:07.830 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/21/22 03:30:06.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/22/22 03:30:06.133 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/23/22 03:30:05.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/24/22 03:30:06.083 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/25/22 03:30:06.523 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/26/22 03:30:05.777 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/27/22 03:30:07.697 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/28/22 03:30:06.350 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/29/22 03:30:06.517 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
11/30/22 03:30:07.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/01/22 03:30:06.957 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/02/22 03:30:07.110 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/03/22 03:30:05.893 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/04/22 03:30:06.673 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3
12/05/22 03:30:05.727 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q3

Lobbyist Activity

Q3 2022

Lobbyist Code: 860
 User Name: AndreaFFO
 Email: andrea@familyforward.org

Q3 2022 Filing: 5/11/2023
 Q3 2022 Expended: \$0.00
 Q3 2022 Penalty: \$5,000.00

Andrea Paluso
 2420 NE Sandy Blvd. Ste 116
 Portland, OR 97232

04/27/23 03:30:08.560 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/28/23 03:30:06.820 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 04/28/23 03:30:09.437 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/29/23 03:30:06.843 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 04/29/23 03:30:10.087 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/30/23 03:30:05.490 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 04/30/23 03:30:07.813 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 05/01/23 03:30:05.337 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 05/01/23 03:30:07.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/02/23 03:30:05.823 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 05/02/23 03:30:08.230 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/03/23 03:30:05.917 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 05/03/23 03:30:07.593 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/04/23 03:30:06.607 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 05/04/23 03:30:09.700 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/05/23 03:30:06.817 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 05/05/23 03:30:08.653 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/06/23 03:30:08.617 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 05/06/23 03:30:12.143 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/07/23 03:30:07.123 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2022 Q4
 05/07/23 03:30:08.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/08/23 03:30:08.307 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/09/23 03:30:07.323 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/10/23 03:30:06.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/11/23 03:30:06.670 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/11/23 05:33:05.307 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q3 2022
 05/11/23 05:33:51.007 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
 05/11/23 05:34:32.893 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

May 11, 2023

Oregon Government Ethics Commission,

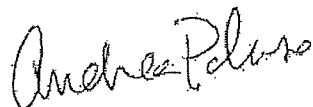
I was a registered lobbyist with Family Forward Oregon (FFO) during my employment with them, but left my job with them in Q2 of 2022 and since that time have not been engaged in lobby activity in Oregon.

During my tenure at FFO, their Operations Manager was responsible for filing my lobby reports. The staff member responsible for that role transitioned from that role in early 2022 and it was taken on by a new person. In discussion with your team this week, I learned that while it appears that while some of my 2022 filings were submitted late, they were eventually submitted and a late fee was paid by the organization.

However, I have also learned that nothing was filed for me since Q2 of 2022, and that I was also not unregistered as a lobbyist, despite having left my past employment. I have also learned that multiple attempts were made to reach me through my past employer, but I did not receive any alerts about late filings or that I had not been unregistered as a lobbyist for them until this week, on May 10, 2023.

I apologize for these failures in communication on their part, and am hoping it is possible to waive the fees that have been assessed to my account.

Thank you for your consideration in this matter,



Andrea Paluso
6028 SE 32nd Ave
Portland, OR 97202
917.428.1668



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 23, 2023

Danielle Alexander
PO BOX 15146
Portland OR 97293

Re: Late filing penalties for Q1 2023

Dear Ms. Alexander:

The written explanation of why your Lobbyist expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$1,250 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Lobbyist Activity

Q1 2023

Lobbyist Code: 2130
 User Name: daniellealexander@familyforward.org
 Email: daniellealexander@familyforward.org

Q1 2023 Filing: 5/22/2023
 Q1 2023 Expended: \$0.00
 Q1 2023 Penalty: \$1,250.00

Danielle Alexander
 PO Box 15146
 Portland, OR 97293

Activity Log - Q1 2023 to Date

01/04/23 04:51:59.253 - User Successfully Authenticated -
 01/04/23 04:52:49.180 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q4 2022
 04/16/23 03:30:20.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/17/23 03:30:22.543 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/18/23 03:30:15.640 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/19/23 03:30:12.273 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/20/23 03:30:08.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/20/23 05:53:59.053 - User Profile Updated - User profile updated for Danielle Alexander
 04/20/23 05:53:59.103 - User Profile Updated - The user's main profile was updated.
 04/21/23 03:30:09.977 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/22/23 03:30:09.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/23/23 03:30:09.480 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/24/23 03:30:11.663 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/25/23 03:30:09.217 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/26/23 03:30:08.853 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/27/23 03:30:09.267 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/28/23 03:30:10.130 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/29/23 03:30:10.777 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 04/30/23 03:30:08.457 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
 05/01/23 03:30:08.800 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/02/23 03:30:08.840 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/03/23 03:30:07.750 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/04/23 03:30:10.327 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/05/23 03:30:09.313 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/06/23 03:30:12.913 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/07/23 03:30:08.637 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/08/23 03:30:08.983 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/09/23 03:30:08.027 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/10/23 03:30:06.657 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/11/23 03:30:07.033 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/12/23 03:30:05.943 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/13/23 03:30:06.797 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/13/23 10:10:28.947 - User Failed to Authenticate -
 05/13/23 10:10:31.180 - User Failed to Authenticate -
 05/14/23 03:30:07.180 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/15/23 03:30:07.760 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/16/23 03:30:06.707 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/17/23 03:30:06.017 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/18/23 03:30:06.720 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/19/23 03:30:06.827 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/20/23 03:30:06.977 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/21/23 03:30:05.497 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/22/23 03:30:05.393 - Automated Late Fee Applied - Late fee of amount \$50.00 applied for reporting requirement 2023 Q1
 05/22/23 05:53:26.000 - Lobbyist Report Submitted - Lobbyist Report Submitted for Q1 2023

Past Violations: 2021 Q2 paid \$10 by check, 2021 Q4 paid \$20 by check,
 2022 Q1 paid \$30 by check

Recommendation:

4th violation 50% \$1,250.00

HUNTER David * OGEC

From: Danielle Alexander <danielle.alexander1@gmail.com>
Sent: Monday, May 22, 2023 4:12 PM
To: OGEC Mail * OGEC
Subject: Re: Request for waiver of fees

Yes, sure thing! Here is the updated letter:

To Whom It May Concern:

I have recently been informed by your colleague David Hunter that I have accumulated \$1,250 in late fees for not filing lobbying reports for Family Forward Oregon. I am so sorry for my oversight in not removing myself as a lobbyist for this organization. My last day of employment with them was 2/28/23.

A small nonprofit like Family Forward, who works to advocate policies to advance racial, gender, and economic justice for moms and caregivers, would be very negatively impacted by an \$1,250 fee (especially as I didn't have any lobbying hours to report). I hope that you can waive or reduce these fees and accept my sincere apologies for not understanding how Oregon's lobbying reporting system works. As shared with your colleague Mr. Hunter, I was actually the Communications Director for the organization and rarely needed to report hours. I am not a trained or professional lobbyist.

Please feel free to contact me at the information provided below with any questions.

Danielle

--

Danielle Alexander (she/her)

call/text: 971-221-5363



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

April 27, 2023

Oregon Watershed Enhancement Board
Attn: Miriam Scharer
775 Summer St NE STE 360
Salem OR 97301

Re: Late filing penalty for Q1 2023

Dear Ms. Scharer:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$100 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code: 1530
User Name: OWEB
Email: miriam.scharer@oweb.oregon.gov

Q1 2023 Filing: 4/25/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$100.00


Oregon Watershed Enhancement Board
Attn: Miriam Scharer
775 Summer Street NE Sulte 360
Salem, OR 97301

Activity Log - Q1 2023 to Date

01/05/23 12:44:07.317 - User Successfully Authenticated -
01/05/23 12:44:40.973 - Client Report Submitted - Client Report Submitted for Q4 2022
02/14/23 02:57:26.040 - User Successfully Authenticated -
02/14/23 03:06:53.057 - User Successfully Authenticated -
02/14/23 03:13:25.347 - User Successfully Authenticated -
02/14/23 03:13:27.523 - User Successfully Authenticated -
04/16/23 03:30:41.950 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:38.377 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:25.857 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:19.690 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/20/23 03:30:12.827 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/21/23 03:30:14.933 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/21/23 05:38:40.900 - User Profile Updated - User profile updated for April Mack
04/21/23 05:38:40.940 - User Profile Updated - The user's main profile was updated.
04/22/23 03:30:13.333 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/23/23 03:30:12.417 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 03:30:13.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/25/23 03:30:11.313 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/25/23 01:29:33.053 - Admin User Reset Initiated - An admin user reset was initiated.
04/25/23 01:30:06.543 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/25/23 01:30:25.177 - User Successfully Authenticated -
04/25/23 01:33:43.187 - User Profile Updated - User profile updated for April Mack
04/25/23 01:33:43.250 - User Profile Updated - The user's main profile was updated.
04/25/23 01:34:04.587 - User Profile Updated - User profile updated for Miriam Scharer
04/25/23 01:34:04.627 - User Profile Updated - The user's main profile was updated.
04/25/23 01:48:24.823 - User Profile Updated - User profile updated for Miriam Scharer
04/25/23 01:48:24.860 - User Profile Updated - The user's main profile was updated.
04/25/23 02:18:02.520 - User Profile Updated - User profile updated for Miriam Scharer
04/25/23 02:18:02.553 - User Profile Updated - The user's main profile was updated.
04/25/23 03:29:29.507 - User Successfully Authenticated -
04/25/23 06:17:38.560 - User Successfully Authenticated -
04/25/23 06:18:35.987 - Client Report Submitted - Client Report Submitted for Q1 2023
04/25/23 06:30:42.113 - User Successfully Authenticated -
04/25/23 06:32:42.683 - User Profile Updated - User profile updated for Miriam Scharer
04/25/23 06:32:42.723 - User Profile Updated - The user's main profile was updated.
04/26/23 12:47:03.580 - User Successfully Authenticated -
04/26/23 12:47:37.653 - User Profile Updated - User profile updated for Miriam Scharer
04/26/23 12:47:37.697 - User Profile Updated - The user's main profile was updated.

Past Violations: Q1 2020, Letter of Education

Recommendation:

As per 2nd Violation


HUNTER David * OGEC

From: SCHARER Miriam * OWEB
nt: Wednesday, April 26, 2023 7:24 AM
o: OGEC Mail * OGEC
Cc: BETTINARDI Nicole * OWEB
Subject: OWEB Delinquent filing fee waiver request

To Whom it May Concern;

OWEB has incurred a late filing penalty of \$100 (10 days at \$10/day) for our delay in filing the quarterly lobbying report. We are requesting a waiver or reduction of this fee as a courtesy due to a staffing transition.

OWEB's executive assistant, April Mack, resigned from her position in late March and the new Executive assistant, Nicole Bettinardi, started in her new role on April 1. Unfortunately, there was not an opportunity for the two individuals to have crossover on the position functions and the reporting requirements to the Ethics Commission, among other key tasks. The first 3 weeks of Nicole's tenure in the position was focused around gaining access to systems she was aware of needing, supporting our executive team on preparations for, and presentation of, the Budget Hearing for the agency to the Joint Committee on Natural Resources, and a significant and very full quarterly board meeting held April 25th & 26th. It has indeed been a whirlwind for Nicole and others during the past 3 weeks and unfortunately this task and reporting requirement was not known nor were notifications received that the report was due and then past due.

It was only due to a call from staff at OGEC that we were made aware of our delay in filing. We appreciate greatly the contact from Jessica Foster to alert us to the issue and work with me and Nicole to gain access to the reporting system. As soon as access was granted we submitted the filing for Quarter 1 of 2023 – no lobbying activities to report. Additionally, we are working with Jessica to update our contact information and log in credentials which will ensure notifications are received at the agency as well as timely filings moving forward.

We respectfully request a waiver of the 10 days of penalty fees totaling \$100.

Please let me know the outcome of this request so that we can ensure our account balance does not become delinquent.

With appreciation,

Miriam

Miriam Scharer | Fiscal Officer

Oregon Watershed Enhancement Board

775 Summer St NE Suite 360

Salem, Oregon 97301-1290

PLEASE NOTE MY NEW EMAIL ADDRESS: miriam.scharer@oweb.oregon.gov

CELL: 503.877.0555

Website: <https://www.oregon.gov/OWEB/pages/index.aspx>





Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 4, 2023

Central City Concern
Attn: Jennifer Stein
232 NW 6th Ave
Portland OR 97206-3609

Re: Late filing penalty for Q1 2023

Dear Ms. Stein:

The written explanation of why the Client Q1 2023 expenditure report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023, at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for \$120 penalty will be extended to coincide with the June 2nd meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



Client/Employer Activity

Q1 2023

Client Code: 43
User Name: CentralCityConcern
Email: jennifer.stein@cccconcern.org

Q1 2023 Filing: 4/27/2023
Q1 2023 Expended: \$0.00
Q1 2023 Penalty: \$120.00

Central City Concern
Attn: Jennifer Stein
Central City Concern 232 NW 6th Ave
Portland, OR 972093609


Activity Log - Q1 2023 to Date

01/06/23 04:37:41.040 - User Successfully Authenticated -
01/06/23 04:40:29.363 - Client Report Submitted - Client Report Submitted for Q4 2022
02/08/23 12:56:13.680 - User Successfully Authenticated -
04/16/23 03:30:34.567 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/17/23 03:30:33.123 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/18/23 03:30:22.113 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 03:30:16.323 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/19/23 02:03:49.120 - User Profile Updated - User profile updated for Mercedes Elizalde
04/19/23 02:03:49.167 - User Profile Updated - The user's main profile was updated.
04/20/23 03:30:11.730 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/21/23 03:30:13.350 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/21/23 05:17:15.587 - User Profile Updated - User profile updated for Mercedes Elizalde
04/21/23 05:17:15.633 - User Profile Updated - The user's main profile was updated.
04/22/23 03:30:11.910 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/23/23 03:30:11.633 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/24/23 03:30:13.477 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/25/23 03:30:11.140 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/26/23 03:30:10.847 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/26/23 03:33:21.580 - Admin User Reset Initiated - An admin user reset was initiated.
04/26/23 03:33:55.810 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/26/23 03:34:11.200 - User Successfully Authenticated -
04/26/23 03:36:40.620 - User Profile Updated - User profile updated for Mercedes Elizalde
04/26/23 03:36:40.673 - User Profile Updated - The user's main profile was updated.
04/26/23 03:37:14.937 - User Profile Updated - User profile updated for Mercedes Elizalde
04/26/23 03:37:14.967 - User Profile Updated - The user's main profile was updated.
04/26/23 03:38:29.000 - User Profile Updated - User profile updated for Jennifer Stein
04/26/23 03:38:29.020 - User Profile Updated - The user's main profile was updated.
04/26/23 03:42:26.940 - Admin User Reset Initiated - An admin user reset was initiated.
04/26/23 03:52:02.680 - Admin User Password Reset Completed - A user reset their password using an admin reset.
04/26/23 03:52:15.977 - User Successfully Authenticated -
04/26/23 03:52:52.657 - Name Change Requested - User with ID 82 requested a name change to Stein, Jennifer .
04/26/23 03:53:32.053 - Name Change Requested - User with ID 82 requested a name change to CentralCityConcern, Reporting

04/26/23 03:54:13.493 - User Profile Updated - User profile updated for Jennifer Stein
04/26/23 03:54:13.520 - User Profile Updated - The user's main profile was updated.
04/26/23 04:53:36.010 - User Successfully Authenticated -
04/27/23 03:30:10.750 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023 Q1
04/27/23 05:01:59.500 - User Successfully Authenticated -
04/27/23 05:02:37.673 - Client Report Submitted - Client Report Submitted for Q1 2023

PAST VIOLATIONS: 2016 Q2 PAID BY CHECK

RECOMMENDATION:

2nd Violation \$50 

HUNTER David * OGEC

From: Brooke Goldberg <Brooke.Goldberg@ccconcern.org>
nt: Thursday, May 04, 2023 12:51 PM
o: OGEC Mail * OGEC
Cc: Jennifer Stein; Juliana Lukasik
Subject: Fine reduction request

To whom it may concern,

I am requesting a fine reduction (or complete fine forgiveness, if possible) from our current fine amount of \$120. We have had some organizational turnover (I only joined the organization in February), and an admin on sabbatical (this meant no one had access to the organizational account), resulting in misunderstanding and confusion over the reporting requirements. I am grateful for the phone call from Jessica, bringing it to our attention so we could regain access to the account and complete the reporting. Additionally, we had no lobbying expenditures to report, either for myself as our Public Policy Direct, nor from our organization as a whole (the delayed report). I recognize that we have a previous fine on our record, and have been told that there is no forgiveness after the first event, but that was in 2016 and none of the people tracking this responsibility were here at that time. Thank you in advance for your time and consideration.

Brooke Goldberg
Pronouns: She/Her(s)
Public Policy Director
503.548.7646



Disclaimer

The information contained in this message may be legally privileged and confidential and is intended only for the use of the designated recipient. Any review, dissemination, distribution, or copying of this message by anyone other than the intended recipient is prohibited. If the reader has received this communication in error, please notify the sender of this message and destroy the original message. Central City Concern recognizes that encrypted e-mail is insecure and does not guarantee confidentiality. The confidentiality of replies to this message cannot be guaranteed unless the replies are encrypted.

If this email contains information related to the diagnosis, referral, and/or treatment of substance dependence or abuse: This information has been disclosed to you from records protected by federal confidentiality rules (42 CFR part 2). The federal rules prohibit you from making any further disclosure of information in this record that identifies a patient as having or having had a substance use disorder either directly, by reference to publicly available information, or through verification of such identification by another person unless further disclosure is expressly permitted by the written consent of the individual whose information is being disclosed or as otherwise permitted by 42 CFR part 2. A general authorization for the release of medical or other information is NOT sufficient for this purpose (see § 2.31). The federal rules restrict any use of the information to investigate or prosecute with regard to a crime any patient with a substance use disorder, except as provided at §§ 2.12(c)(5) and 2.65.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 9, 2023

Edie O'Neil
Jefferson Planning Commission
1399 Hazel St
Jefferson OR 97352

Re: 2023 SEI Penalty

Dear Ms. O'Neil:

The written explanation of why your 2023 Statement of Economic Interest report was filed late has been received and will be submitted to the Oregon Government Ethics Commission (Commission) for consideration at a regular meeting. The next meeting will be held on Friday, June 2, 2023 at 9:00 a.m. The Commission will consider the matter at that time and then waive all, some, or no part of the penalty based on the explanation. Commission meetings are open to the public and you are welcome to attend. The meeting will be held in the Morrow Crane Building at 3218 Pringle Road, SE, Room 220, Salem, Oregon 97302.

The due date for the \$30 penalty will be extended to coincide with the June 2 meeting date. You will be notified of the outcome and will be given additional instructions regarding the penalty, if any, in writing after the meeting. You may feel free to contact this office if you have any questions concerning this matter.

Sincerely,

Commission Staff



SEI Activity 2023

SEI Code: 4227
User Name: onelle
Email: onelledie@gmail.com

2023 Filing: 5/8/2023
2023 Penalty: \$30.00

Edie O'Neil
1399 Hazel St.
Jefferson, OR 97352

2023 Offices Held


JEFFERSON (City), PLANNING COMMISSION - Appointed 03/24/16

Activity Log - 2023 to Date

05/06/23 03:30:16.907 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/06/23 04:14:12.610 - User Successfully Authenticated -
05/06/23 04:14:14.207 - User Successfully Authenticated -
05/06/23 04:22:47.123 - SEI Report Draft Saved - SEI Report Draft Saved for year 2023
05/06/23 04:24:20.430 - SEI Report Draft Saved - SEI Report Draft Saved for year 2023
05/06/23 04:24:39.407 - User Successfully Authenticated -
05/07/23 03:30:10.757 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:30:10.190 - Automated Late Fee Applied - Late fee of amount \$10.00 applied for reporting requirement 2023
05/08/23 03:00:01.520 - User Successfully Authenticated -
05/08/23 03:03:44.777 - SEI Report Draft Saved - SEI Report Draft Saved for year 2023
05/08/23 03:06:17.050 - Late SEI Report Filed - SEI Report Filed Late for year 2023
05/08/23 03:07:23.353 - User Profile Updated - User profile updated for Edie O'Neil
05/08/23 03:07:23.403 - User Profile Updated - The user's main profile was updated.

Past Violations: 2019 Penalty Reduced -DFO, 2020 Reduction Paid \$100 -DFO

Recommendation:

\$30⁰⁰ Hand viol km


HUNTER David * OGEC

From: Edie O'Neil <oneiledie@gmail.com>
Int: Tuesday, May 09, 2023 9:36 AM
To: OGEC Mail * OGEC
Subject: SEI Penalties

Good Morning,

I am writing today regarding my fines for the SEI filing. I tried multiple times to submit my filing, but the system would not accept my signature no matter how I submitted it. I called on Monday, May 8, and spoke with Hillary, who was able to assist me in submitting it successfully. I am asking that my fine be waived as this was no fault of my own.

Thank you for your time.

PS
Hillary is awesome!!!

Edie M O'Neil

BEFORE THE OREGON GOVERNMENT ETHICS COMMISSION

In the Matter of)
)
Janet Sarai Llerandi-Gonzalez)
)
_____)
)

STIPULATED FINAL ORDER
CASE NO. 21-202ELC

1. **PURPOSE:** The purpose of this stipulated final order is to release, settle and compromise any and all claims, allegations and charges that have been asserted by the Oregon Government Ethics Commission (Commission) in the above-referenced case against Janet Sarai Llerandi-Gonzalez.

2. **JURISDICTION:** Janet Sarai Llerandi-Gonzalez was sworn in as a member of the Board of Directors (Board) for the Deschutes County School District No. 1 (District) on July 13, 2021. She resigned from this position on September 10, 2022. During this period, Ms. Llerandi-Gonzalez was a public official subject to the jurisdiction of the Commission pursuant to ORS Chapter 244.

3. **STIPULATED FACTS:**
 - A. Ms. Llerandi-Gonzalez was elected to the District Board on May 18, 2021, but she was not sworn in as a Board Member until July 13, 2021. Prior to May 18, 2021, Ms. Llerandi-Gonzalez was a candidate. Once elected, she ceased being a candidate, but she did not become a public official until she was sworn in on July 13, 2021.

 - B. ORS 244.025(1) provides that a public official, a candidate, or their relatives or household members, may not solicit or receive any gift(s) with an aggregate value in excess of \$50 during a calendar year from a source that could reasonably be known to have a legislative or administrative interest. A legislative or administrative interest is an economic interest, distinct from that of the general public, in any matter subject to the public official's decision or vote, or that of the candidate if elected. [ORS 244.020(10)].

- C. ORS 244.040(1) prohibits a public official from using or attempting to use their official position or office to obtain a financial gain or avoid a financial detriment for the public official, their relatives or household members, or for any business with which they or their relatives or household members are associated, if the financial gain or avoidance of detriment would not otherwise be available but for the public official's holding their official position.
- D. The complaint in this case alleged that Ms. Llerandi-Gonzalez may have improperly solicited gifts or donations on multiple occasions. Two of the gift solicitations occurred on June 10, 2021 and July 4, 2021. As Ms. Llerandi-Gonzalez was neither a candidate nor a public official on the dates of these two solicitations, the provisions in ORS Chapter 244, including the gift prohibitions in ORS 244.025(1), did not apply.
- E. The third solicitation was a GoFundMe created by Ms. Llerandi-Gonzalez on August 2, 2021, when she was a public official. Entitled "Displaced Trans Queer POC Youth," the GoFundMe sought donations to help a 20 year old trans student who had been kicked out of her parents' house. Between August 2nd, when the GoFundMe was created, and August 17th, when the funds were transferred, the GoFundMe collected \$1,535 in donations. After collecting its administrative fees, GoFundMe transferred \$1,482.36 to the bank account for Mecca Bend, a non-profit 501(c)(3) organization.
- F. Ms. Llerandi-Gonzalez was the President and a paid employee of Mecca Bend. As such, Mecca Bend qualifies as a business with which Janet Llerandi-Gonzalez was associated, per ORS 244.020(2) and ORS 244.020(3)(a).

- G. Ms. Llerandi-Gonzalez explained that she transferred the GoFundMe funds to Mecca Bend's bank account because the trans student did not have a bank account and that the funds were subsequently paid to the trans student in cash. Even if the money was eventually transferred to the trans student, the funds were solicited by Janet Llerandi-Gonzalez in a GoFundMe that she created and were transferred to the bank account of a business with which she is associated. She was a public official at the time all of this occurred.
- H. Ms. Llerandi-Gonzalez posted a link to the GoFundMe on her personal Facebook page. This Facebook page not only identified Ms. Llerandi-Gonzalez as a District Board member, but it included numerous posts referencing her role as a board member and her position on District issues.
- I. The \$1,535 in GoFundMe donations were from 27 people. Two of the donations were anonymous: one for \$25 and one for \$100. Of the remaining 25 donors, at least two were District employees, one donating \$25 and the other donating \$100. As District employees, these two donors had a legislative or administrative interest in Janet Llerandi-Gonzalez's actions as a public official. The anonymous donors could also have had such a legislative or administrative interest. At least two of the donations from these District employees and the anonymous sources exceeded the \$50 gift limit.
- J. By soliciting donations to the GoFundMe, accepting donations from sources that could reasonably be known to have a legislative or administrative interest in her actions as a public official, and then transferring the funds to a business with which she was associated, Janet Llerandi-Gonzalez violated ORS 244.025(1).
- K. By identifying herself as a District Board member and referencing District business on her personal Facebook page and then using that page to solicit donations to the GoFundMe, Janet Llerandi-Gonzalez violated ORS 244.040(1).

- L. ORS 244.350 authorizes the Commission to assess civil penalties of up to \$5,000 for each violation of ORS 244.025(1) set forth in paragraph 3(J) and each violation of ORS 244.040(1) set forth in paragraph 3(K).
- M. ORS 244.360 authorizes the Commission to order, in addition to the civil penalty imposed under ORS 244.350, that Janet Llerandi-Gonzalez pay a civil penalty equal to twice the amount realized as a result of these violations.
- N. The Commission contends that results of the investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 244.025(1) and one violation of ORS 244.040(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On October 22, 2021, the Commission considered information in the preliminary review phase of Case No. 21-202ELC and acted to find cause to initiate an investigation of this matter. Janet Llerandi-Gonzalez has indicated that she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. As authorized by ORS 244.350(5), Janet Llerandi-Gonzalez will receive a letter of education in lieu of a civil penalty in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Janet Llerandi-Gonzalez within the scope of the above-referenced proceedings.
- D. Janet Llerandi-Gonzalez will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in reviewing and entering into this agreement.

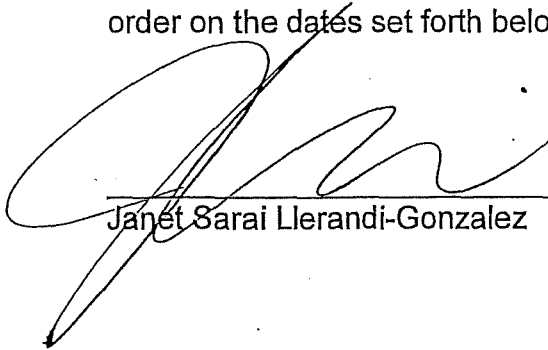
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of these matters and shall be binding upon all parties.

By signing this agreement, Janet Llerandi-Gonzalez agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on these matters shall become part of the record.

By signing this agreement, Janet Llerandi-Gonzalez agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Janet Sarai Llerandi-Gonzalez

5/1/2023

Date

Amber Hollister, Chairperson
Oregon Government Ethics Commission

Date

- C. OAR 199-040-0030(1) states that “in order to afford to the chief executive officer of any public body, a public officer, employee, staff member or individual agent the opportunity to request an open hearing under ORS 192.660(2)(b) or (i), the public official must receive written notice of the meeting no less than one business day or 24 hours, whichever is greater, in advance of the meeting.”
- D. OAR 199-040-0030(2) provides that the written notice must include: (a) identification of the governing body before which the matter will be considered; (b) the time, date and location of the meeting; (c) the purpose for which the governing body proposes to convene the executive session; and (d) information on how the public official may request an open hearing.
- E. Prior to its executive session on November 9, 2021, the Board did not satisfy the notice requirements in OAR 199-040-0030. In pertinent part, the Board did not provide a singular written notice that included all of the information required by OAR 199-040-0030(2).
- F. Because the Board did not provide the requisite written notice to Superintendent Morelock, the executive session discussion in the Board’s November 9th executive session was not authorized by ORS 192.660(2)(b).
- G. By participating in an executive session where the Board discussed matters that were not authorized by the statutory provision cited, Dave Brown violated ORS 192.660(2).
- H. ORS 244.350(2)(a) authorizes the Commission to impose civil penalties of up to \$1,000 for each violation of ORS 192.660 set forth in paragraph 3(G).
- I. The Commission contends that the results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing,

would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 192.660(2).

- J. Dave Brown contends the results of the investigation, if submitted through exhibits and testimony at a contested case hearing, would not establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 192.660. He contends that at all times he was acting under advice of counsel from Willamette ESD and did not willfully or intentionally violate ORS 192.660(2); however, in order to conclude this matter, Dave Brown has agreed to the terms and conditions in this order.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On August 19, 2022, the Commission considered information in the preliminary review phase of Case No. 22-131XSM and acted to find cause to initiate an investigation of this matter. Dave Brown has indicated that he wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. As authorized by ORS 244.350(5), Dave Brown will receive a letter of education in lieu of a civil penalty in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, allegations and charges that have been asserted by the Commission in the above-referenced case against Dave Brown.
- D. Dave Brown will initiate no claims, litigation, or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in reviewing and entering this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.


By signing this agreement, Dave Brown agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Dave Brown agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Dave Brown



Date

Amber Hollister, Chairperson
Oregon Government Ethics Commission

Date

- C. OAR 199-040-0030(1) states that “in order to afford to the chief executive officer of any public body, a public officer, employee, staff member or individual agent the opportunity to request an open hearing under ORS 192.660(2)(b) or (i), the public official must receive written notice of the meeting no less than one business day or 24 hours, whichever is greater, in advance of the meeting.”
- D. OAR 199-040-0030(2) provides that the written notice must include: (a) identification of the governing body before which the matter will be considered; (b) the time, date and location of the meeting; (c) the purpose for which the governing body proposes to convene the executive session; and (d) information on how the public official may request an open hearing.
- E. Prior to its executive session on November 9, 2021, the Board did not satisfy the notice requirements in OAR 199-040-0030. In pertinent part, the Board did not provide a singular written notice that included all of the information required by OAR 199-040-0030(2).
- F. Because the Board did not provide the requisite written notice to Superintendent Morelock, the executive session discussion in the Board's November 9th executive session was not authorized by ORS 192.660(2)(b).
- G. By participating in an executive session where the Board discussed matters that were not authorized by the statutory provision cited, Renee Powell violated ORS 192.660(2).
- H. ORS 244.350(2)(a) authorizes the Commission to impose civil penalties of up to \$1,000 for each violation of ORS 192.660 set forth in paragraph 3(G).
- I. The Commission contends that the results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing,

would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 192.660(2).

- J. Renee Powell contends the results of the investigation, if submitted through exhibits and testimony at a contested case hearing, would not establish a preponderance of evidence in support of a post-hearing order to find a violation of ORS 192.660. She contends that at all times she was acting under advice of counsel from Willamette ESD and did not willfully or intentionally violate ORS 192.660(2); however, in order to conclude this matter, Renee Powell has agreed to the terms and conditions in this order.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On August 19, 2022, the Commission considered information in the preliminary review phase of Case No. 22-131XSM and acted to find cause to initiate an investigation of this matter. Renee Powell has indicated that she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the investigative phase.
- B. As authorized by ORS 244.350(5), Renee Powell will receive a letter of education in lieu of a civil penalty in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, allegations and charges that have been asserted by the Commission in the above-referenced case against Renee Powell.
- D. Renee Powell will initiate no claims, litigation, or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in reviewing and entering this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

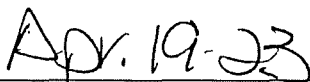
By signing this agreement, Renee Powell agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Renee Powell agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Renee Powell



Date

Amber Hollister, Chairperson
Oregon Government Ethics Commission

Date

- C. In addition to serving as a Morrow County Planning Commissioner through the end of 2022, Greg Sweek is employed by the Columbia River Enterprise Zone (CREZ) as the Board Manager. CREZ is an intergovernmental entity created through intergovernmental agreements among Morrow County, the Port of Morrow, and, in some instances, the City of Boardman.
- D. In his 2019, 2020, 2021, and 2022 SEIs, for sources of income under Question 2, Greg Sweek listed his Morrow County retirement income, but he did not list any income from CREZ. For his 2019, 2020, and 2022 SEIs, it does not appear that the income he received from CREZ exceeded 10% of his annual household income. Therefore, he was not required to list this source of income on those SEIs.
- E. For his 2021 SEI, the income received from CREZ in 2020 was \$14,800. As this income exceeded 10% of his total household income for that year, he was required to report this source of income on his 2021 SEI, but he did not do so.
- F. On April 10, 2023, Greg Sweek filed an amendment to his 2021 SEI to correct his omission of CREZ as a source of income.
- G. The actions described in paragraph (3)(E) constitute one violation of ORS 244.060.
- H. ORS 244.350 authorizes the Commission to impose a civil penalty of up to \$5,000 for each violation of ORS 244.060.
- I. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 244.060.

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On April 28, 2023, the Commission considered information in the preliminary review phase of Case No. 23-060SSM and acted to find cause to open an investigation of this matter. Greg Sweek has indicated that he wishes to conclude this matter by agreeing to the terms and conditions in this stipulated final order without completing the investigative phase.
- B. As authorized by ORS 244.350(5), Greg Sweek will receive a letter of education in lieu of a civil penalty in order to settle and compromise this matter.
- C. The Commission releases, settles and compromises any and all claims, allegations and charges which have been asserted by the Commission in the above-referenced case against Greg Sweek.
- D. Greg Sweek will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in reviewing and entering this agreement.

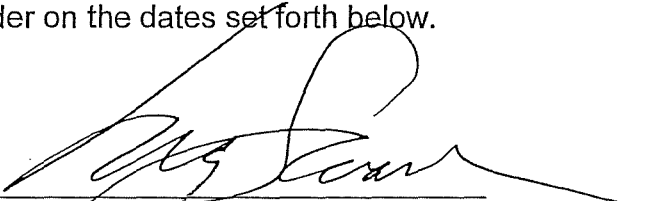
6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Greg Sweek agrees to waive his right to a contested case hearing as provided in ORS Chapter 183 and ORS 244.370. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Greg Sweek agrees to waive his right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Greg Sweek

5-5-23

Date

Amber Hollister, Chairperson
Oregon Government Ethics Commission

Date

official authorization to lobby shall be signed by an official of each person that employs the lobbyist or in whose interest the lobbyist appears or works." This official authorization by the lobbyist's client is completed through EFS.

- D. When a lobbyist registers in EFS to lobby on behalf of a client, the system sends the lobbyist an e-mail message indicating that to complete the registration process, their client/employer must accept their registration request. The e-mail to the lobbyist states: "Your registration will not be valid until that acceptance is made. You will receive an email notification when your client/employer completes that step. If the client fails to respond within 10 days of the request, the registration will be canceled."
- E. EFS then sends an e-mail invitation asking the client to confirm that the lobbyist is authorized to lobby on their behalf. This e-mail informs the client that if they fail to respond to the request by a specific date (10 days after the registration), the registration request will be canceled.
- F. Iris Maria Chavez was registered in EFS to lobby on behalf of her client, Sightline Institute, for 2020-2021. That registration expired at the end of 2021.
- G. In late 2021, Iris Maria Chavez registered in EFS to lobby on behalf of Sightline Institute for 2022-2023. The EFS invitation was sent to Sightline Institute on December 15, 2021. Sightline Institute did not respond to the EFS invitation within the 10-day window. As a result, the EFS registration for Iris Maria Chavez to lobby on behalf of Sightline Institute was cancelled, meaning she was not registered to lobby for Sightline Institute in 2022-2023.
- H. During 2022, Iris Maria Chavez was paid to lobby by Sightline Institute and she did, in fact, engage in lobbying on their behalf. Ms. Chavez

acknowledges that she did not verify if her registration was successful or realize that Sightline Institute had not completed the authorization process and that her registration had been cancelled.

- I. As she was actively registered for other clients, Iris Maria Chavez filed her lobbyist quarterly expenditure reports in 2022. Her client Sightline Institute, however, could not and did not file its quarterly expenditure reports, since Ms. Chavez was not actively registered in EFS to lobby on their behalf.
- J. Iris Maria Chavez successfully registered in EFS to lobby on behalf of Sightline Institute on March 6, 2023. Sightline Institute received the EFS invitation and confirmed her registration on March 6, 2023.
- K. By lobbying on behalf of Sightline Institute for all four quarters of 2022 and part of the first quarter of 2023, without being registered in EFS, Iris Maria Chavez violated ORS 171.740(1).
- L. The actions described in paragraphs 3(H) and 3(K) constitute one violation of ORS 171.740(1).
- M. ORS 171.992(1) authorizes the Commission to assess civil penalties of up to \$5,000.00 for each violation of ORS 171.740 set forth in paragraph 3(L).
- N. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 171.740(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On April 6, 2023, Iris Maria Chavez filed a self-reported complaint, initiating a case in preliminary review. Ms. Chavez has indicated that she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the preliminary review phase.
- B. In lieu of civil penalty, Iris Maria Chavez will receive a letter of education, as authorized by ORS 171.992(4). Additionally, her client Sightline Institute will receive a letter of advice.
- C. Commission staff will coordinate with Iris Maria Chavez and her client Sightline Institute to get the missing quarterly expenditure reports filed.
- D. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Iris Maria Chavez within the scope of the above-referenced proceedings.
- E. Iris Maria Chavez will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Iris Maria Chavez agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 171.778. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Iris Maria Chavez agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.



Iris Maria Chavez

05/22/2023

Date

Amber Hollister, Chairperson
Oregon Government Ethics Commission

Date

- C. ORS 171.735(4) provides that ORS 171.740 does not apply to a person who does not spend more than \$100 on lobbying during a calendar quarter or more than 24 hours lobbying during a calendar quarter.
- D. Rachel Fischer began lobbying in Oregon on behalf of NMMA in early March 2023. MultiState Associates collected the information needed to process her registration, but due to a clerical error, it did not register Rachel Fischer in EFS until April 13, 2023.
- E. During the first quarter of 2023, Rachel Fischer was lobbying in Oregon on behalf of NMMA and met the registration thresholds in ORS 171.735(4). Ms. Fischer did not realize that MultiState Associates had not completed the registration process and that she was not actively registered to lobby in Oregon.
- F. Because she was not registered in EFS for the first quarter of 2023, Rachel Fischer could not and did not file her first quarter 2023 lobbyist quarterly expenditure report, as required by ORS 171.745. Additionally, her client/employer NMMA could not and did not file its first quarter 2023 quarterly expenditure report, as required by ORS 171.750.
- G. By lobbying in excess of 24 hours in the first quarter of 2023, without successfully registering in EFS, Rachel Fischer violated ORS 171.740(1).
- H. The actions described in paragraphs 3(D) and 3(E) constitute one violation of ORS 171.740(1).
- I. ORS 171.992(1) authorizes the Commission to assess civil penalties of up to \$5,000.00 for each violation of ORS 171.740 set forth in paragraph 3(H).

- J. The results of the Commission investigation, if submitted through exhibits and testimony at a contested case hearing, would establish a preponderance of evidence in support of a post-hearing order to find one violation of ORS 171.740(1).

4. TERMS OF SETTLEMENT:

The parties agree as follows:

- A. On April 17, 2023, Carrie Castro of MultiState Associates filed a self-reported complaint for Rachel Fischer, initiating a case in preliminary review. Ms. Fischer has indicated that she wishes to conclude this matter by agreeing to the terms and conditions in this order without completing the preliminary review phase.
- B. In lieu of civil penalty, Rachel Fischer will receive a letter of education, as authorized by ORS 171.992(4).
- C. Commission staff will coordinate with Rachel Fischer and her client/employer NMMA to get the missing quarterly expenditure reports filed.
- D. The Commission releases, settles and compromises any and all claims, which have been or could be asserted against Rachel Fischer within the scope of the above-referenced proceedings.
- E. Rachel Fischer will initiate no claims, litigation or other action against the Commission as a result of these proceedings.

5. REVIEW BY COUNSEL:

All of the parties hereto acknowledge that this agreement has been entered into by their own free will and with full understanding of the contents herein. Each of the parties further acknowledges that each has had the opportunity to seek the advice of counsel in comparing and reviewing this agreement.

6. EFFECT:

This agreement is subject to the final approval of the Commission. Once approved, this agreement shall be the final disposition of the matter and shall be binding upon all parties.

By signing this agreement, Rachel Fischer agrees to waive her right to a contested case hearing as provided in ORS Chapter 183 and ORS 171.778. This order shall be the final order and all information in the Commission files on this matter shall become part of the record.

By signing this agreement, Rachel Fischer agrees to waive her right to obtain judicial review of this order as provided in ORS 183.482.

IN WITNESS WHEREOF, the parties have entered into and signed this stipulated final order on the dates set forth below.

Rachel Fischer
Rachel Fischer

5/15/2023
Date

Amber Hollister, Chairperson
Oregon Government Ethics Commission

Date

OREGON GOVERNMENT ETHICS COMMISSION

INVESTIGATION

CASE NO: 21-041ELC

DATE: May 23, 2023

RESPONDENT: ADAMS, Angela, former Office Manager for the Linn County Planning and Building Department

COMPLAINANT: Oregon Government Ethics Commission

RECOMMENDED ACTION: Make a Preliminary Finding of Violations of ORS 244.040(1) and ORS 244.120(1)

1 **SYNOPSIS:** Angela Adams was employed as the Office Manager for the Linn County
2 Planning and Building Department (LCPBD). In her official position as a manager for the
3 LCPBD, Ms. Adams had access to cash payments made to the LCPBD. Following a
4 financial audit of the LCPBD and a subsequent criminal investigation by the Linn County
5 Sheriff's Office, Ms. Adams was arrested on a 12-count felony indictment for two counts
6 of theft in the first degree and 10 counts of aggravated theft in the first degree, out of Linn
7 County Circuit Court, in court case number 21CR04826. According to the indictment, Ms.
8 Adams' actions date back to 2015 and the amount she embezzled totaled \$237,159.79.

9
10 Information is sufficient to indicate that Ms. Adams violated ORS 244.040(1), in that she
11 used her official position as an LCPBD Office Manager for financial gain, by embezzling
12 funds from the LCPBD,. Additionally, information is sufficient to indicate that Ms. Adams
13 violated ORS 244.120(1) when she failed to provide written notification of her conflict of
14 interest to her appointing authority when she embezzled funds from LCPBD.

15 ///

1 **RELEVANT STATUTES:** The following Oregon Revised Statutes are applicable to the
2 issues addressed herein:

3
4 **ORS 244.020 Definitions**

5 (1) "Actual conflict of interest" means any action or any decision or recommendation by a
6 person acting in a capacity as a public official, the effect of which would be to the private
7 pecuniary benefit or detriment of the person or the person's relative or any business with
8 which the person or a relative of the person is associated unless the pecuniary benefit or
9 detriment arises out of circumstances described in subsection (13) of this section.

10
11 (13) "Potential conflict of interest" means any action or any decision or recommendation
12 by a person acting in a capacity as a public official, the effect of which could be to the
13 private pecuniary benefit or detriment of the person or the person's relative, or a business
14 with which the person or the person's relative is associated * * *.

15
16 (15) "Public official" means the First Partner and any person who, when an alleged
17 violation of this chapter occurs, is serving the State of Oregon or any of its political
18 subdivisions or any other public body as defined in ORS 174.109 as an elected official,
19 appointed official, employee or agent, irrespective of whether the person is compensated
20 for the services.

21
22 **ORS 244.040 Prohibited use of official position or office; exceptions; other**
23 **prohibited actions.** (1) Except as provided in subsection (2) of this section, a public
24 official may not use or attempt to use official position or office to obtain financial gain or
25 avoidance of financial detriment for the public official, a relative or member of the
26 household of the public official, or any business with which the public official or a relative
27 or member of the household of the public official is associated, if the financial gain or
28 avoidance of financial detriment would not otherwise be available but for the public
29 official's holding of the official position or office.

30 ///

31 ///

1 **ORS 244.120 Methods of handling conflicts; Legislative Assembly; judges;**
2 **appointed officials; other elected officials or members of boards.** (1) Except as
3 provided in subsection (2) of this section, when met with an actual or potential conflict of
4 interest, a public official shall: * * * (c) If the public official is any other appointed official
5 subject to this chapter, notify in writing the person who appointed the public official to
6 office of the nature of the conflict, and request that the appointing authority dispose of the
7 matter giving rise to the conflict. Upon receipt of the request, the appointing authority shall
8 designate within a reasonable time an alternate to dispose of the matter, or shall direct
9 the official to dispose of the matter in a manner specified by the appointing authority.

10
11 **ORS 244.260: Complaint and adjudicatory process**

12 (1) * * * (b) If at any time the commission has reason to believe that there has been a
13 violation of a provision of this chapter or of a rule adopted by the commission under this
14 chapter, the commission may proceed under this section on its own motion as if the
15 commission had received a complaint.

16
17 **ORS 244.350 Civil penalties; letter of reprimand or explanation.** (1) The Oregon
18 Government Ethics Commission may impose civil penalties not to exceed: (a) Except as
19 provided in paragraphs (b) and (c) of this subsection, \$5,000 for violation of any provision
20 of this chapter or any resolution adopted under ORS 244.160. * * * (c) \$10,000 for willfully
21 violating ORS 244.040. * * * * *

22
23 **ORS 244.360 Additional civil penalty equal to twice amount of financial benefit.** In
24 addition to civil penalties imposed under ORS 244.350, if a public official has financially
25 benefited the public official or any other person by violating any provision of this chapter,
26 the Oregon Government Ethics Commission may impose upon the public official a civil
27 penalty in an amount equal to twice the amount the public official or other person realized
28 as a result of the violation.

29
30 **ORS 244.390 Status of penalties and sanctions; consideration of other penalties**
31 **imposed.** (1) A penalty or sanction imposed by the Oregon Government Ethics

1 Commission under this chapter is in addition to and not in lieu of any other penalty or
2 sanction that may be imposed according to law.

3
4 (2) Before making a finding that there is cause to undertake an investigation under ORS
5 244.260 and before imposing a civil penalty under ORS 244.350 or 244.360, the
6 commission shall consider the public interest and any other penalty or sanction that has
7 been or may be imposed on the public official as a result of the same conduct that is the
8 subject of action by the commission under ORS 244.260. * * *

9
10 **INVESTIGATION:** The Oregon Government Ethics Commission (Commission) initiated
11 a preliminary review on its own motion on March 12, 2021, based on information in a
12 news report published on the Corvallis Gazette-Times website on January 19, 2021, titled
13 “Albany Woman Charged with embezzling Linn County Funds.” (#PR1). The Commission
14 found cause to investigate on April 30, 2021, after considering the information presented
15 in the preliminary review report. Due to the pending criminal case, the investigation was
16 suspended until the conclusion of Ms. Adams’ criminal case. The Commission reopened
17 the case on January 5, 2023. The focus of the investigation was to determine whether
18 there is sufficient evidence to indicate that Angela Adams violated ORS 244.040 by using
19 her official position to obtain a prohibited financial benefit for herself that would not
20 otherwise have been available but for holding her official position. Angela Adams was
21 notified of the Commission’s actions in this matter and invited to provide any information
22 that would assist the Commission in conducting this investigation. To date, Ms. Adams
23 has not provided the Commission with a response. Under the four-year limitations period
24 in ORS 244.260(10), only those actions occurring on or after March 12, 2017, are within
25 the jurisdiction of the Commission.

26
27 Angela Adams was employed as an Office Manager for the LCPBD, located at 300 4th
28 Avenue SW, Suite 114, in Albany, Oregon, beginning in June 2007, through November
29 2020.

30 ///

31 ///

1 On January 4, 2023, Ms. Adams accepted a plea offer and pleaded guilty to 3 of the 12
2 charges; however, only one of the convictions, Count 12: Theft in the First Degree, falls
3 within the Commission's four-year jurisdiction. (#INV1)

4
5 The count reads:

6
7 The defendant, on or between July 19, 2020 and November 9, 2020, in Linn
8 County, Oregon, did unlawfully and knowingly commit theft by deception of
9 money, of the value of \$1,000 or more, the property of Linn County... (#INV2)

10
11 The Amended Judgment states Ms. Adams pleaded guilty to "Count number 12, Theft in
12 the First Degree, 164.055, Felony Class C, committed on or between 07/19/2020 and
13 11/09/2020. Conviction is based upon a Guilty Plea on 01/04/2023." (#INV1)

14
15 *Linn County Sheriff's Police Report*

16 Linn County Sheriff's Office (LCSO) provided Commission staff with a copy of the report
17 for LCSO case/incident number 20-04045, the police report involving Angela Adams.
18 According to the police report, Ms. Adams would deposit cash payments into her Oregon
19 State Credit Union (OSCU) checking and savings accounts. In pertinent part, the police
20 report states:

21
22 On 04/28/21 at about 1000 hours, I completed a spreadsheet documenting
23 the deposits made into Angela Adams' [OSCU] accounts ending in * * * and.
24 * * * I listed the monthly total deposit amounts for her checking and savings
25 accounts. I also listed the monthly cash deposit amounts out of the monthly
26 totals. * * *

27
28 Angela's OSCU account ending in * * * shows monthly deposits for her Linn
29 County payroll check from June 2007 through November 2020. * * *

30
31 * * * The total cash deposits in this account from January 2011 through
32 November 2020 was \$170,621.28.

1 Angela's OSCU account ending in * * * shows deposits beginning in
2 November 2018 through November 2020. There were some large check
3 deposits and Venmo transfers to this amount, but there were no payroll
4 deposits. The total amount of cash deposits made to this account between
5 November 2018 and November 2020 was \$37,200.00. * * *

6
7 Any cash deposits made into Angela's OSCU accounts were in addition to
8 her payroll deposits. There are also numerous checks deposited into
9 Angela's accounts from unknown sources. When I interviewed Angela on
10 11/10/20, she told me her only employment was with Linn County, except for
11 approximately \$100.00 per month she makes from selling Mary Kay beauty
12 products. Angela's income does not explain the cash deposits made into her
13 OSCU accounts. (#INV3)

14
15 Ms. Adams appeared in person in the Linn County Circuit Court on January 4, 2023, and
16 pleaded guilty to two counts of aggravated theft and one count of theft in the first degree;
17 only the count of theft in the first degree falls within our four-year jurisdiction. (#INV1)

18
19 Ms. Adams was subsequently ordered, on January 4, 2023, to pay restitution in the
20 amount of \$237,159.79 and sentenced to the following:

- 21
22 • Count 2 – Aggravated Theft in the First Degree – 24 months of supervised
23 probation.
24 • Count 3 – Aggravated Theft in the First Degree – 24 months in prison and 24
25 months post-prison supervision.
26 • Count 12 – Theft in the First Degree – 13 months in prison and 12 months post-
27 prison supervision. (#INV1)

28
29 **CONCLUSIONS:** Angela Adams was an Office Manager for the Linn County Planning
30 and Building Department (LCPBD) when the events relevant to this case occurred. She
31 was a public official as defined in ORS 244.020(15) and therefore required to comply with
32 the Oregon Government Ethics law.

1 ORS 244.040(1) prohibits a public official from using or attempting to use the official's
2 position to obtain a financial benefit or avoid a financial detriment for the official, a relative
3 or household member, or a business with which any of them are associated, if the
4 financial benefit or avoidance of detriment would not otherwise be available but for the
5 public official's holding the official position.

6
7 ORS 244.020(1) and (13) define a conflict of interest as any action, decision or
8 recommendation taken by a public official that would or could financially impact that public
9 official, their relative, or a business with which the official or their relative is associated. If
10 the official action would result in a certain financial impact, it is an actual conflict of
11 interest, per ORS 244.020(1). If the official action could result in a financial impact, it is a
12 potential conflict of interest, per ORS 244.020(13).

13
14 When met with a conflict of interest, an appointed public official, such as Ms. Adams, must
15 provide written notification to their appointing authority of the nature of their conflict of
16 interest and request that appointing authority dispose of the matter giving rise to the
17 conflict of interest. [ORS 244.120(1)(c)].

18
19 In this case, Ms. Adams used her official position for her personal financial gain by
20 embezzling funds from her employer, the LCPBD. Ms. Adams used her position with
21 LCPBD to deposit cash payments, initially paid to LCPBD, to her own personal bank
22 account. She was only able to obtain cash payments made to the LCPBD based on her
23 official position as an Office Manager. Thus, her access and the ability to embezzle funds
24 was only available to her but for her position as a public official as an LCPBD employee.
25 Further, Ms. Adams entered a guilty plea in Linn County Circuit Court that included
26 admitting that she unlawfully and knowingly committed theft by deception of money, which
27 had a value of \$1,000 or more, between July 19, 2020, and November 9, 2020. Thus, Ms.
28 Adams violated ORS 244.040(1) when she took actions, in her official position, which
29 resulted in a personal financial benefit.

30 ///

31 ///

1 The acts in Count 12 to which Ms. Adams pleaded guilty occurred less than four years
2 before the date of the Commission's own motion to investigate, which was made on March
3 12, 2021, and therefore, within the limitations period in ORS 244.260(10).

4
5 While embezzling the money, Ms. Adams was also met with an actual conflict of interest
6 as she was committing acts that would provide her a financial benefit. Ms. Adams did not
7 provide written notification of her conflict of interest to her appointing authority each time
8 she embezzled funds from the LCPBD. As such, Ms. Adams violated ORS 244.120(1)
9 when she unlawfully and knowingly committed theft by deception of money, which had a
10 value of \$1,000 or more, between July 19, 2020, and November 9, 2020, and failed to
11 notify LCPBD of her conflict of interest.

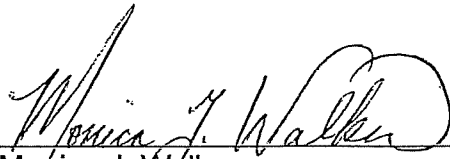
12
13 In conclusion, there is a preponderance of evidence to establish that Angela Adams
14 violated ORS 244.040(1) by using her official position as an Office Manager for the Linn
15 County Planning and Building Department to obtain a prohibited financial benefit for
16 herself by embezzling cash payments from the LCPBD. The opportunity for the
17 embezzlement would not have been available to Ms. Adams but for holding her public
18 position as a LCPBD employee. Additionally, there is a preponderance of evidence to
19 establish that Angela Adams violated ORS 244.120(1)(c) by failing to notify in writing her
20 appointing authority when she was presented with a conflict of interest.

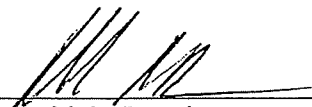
21
22 **RECOMMENDATIONS:** The Oregon Government Ethics Commission should make
23 preliminary findings that Angela Adams violated the prohibited use of office and conflict
24 of interest provisions in Oregon Government Ethics Law, resulting in one violation of ORS
25 244.040(1) and one violation of ORS 244.120(1)(c), and order that the case be moved to
26 a contested case proceeding or that a negotiated settlement be entered. [Motion 10]

27 ///
28 ///
29 ///
30 ///
31 ///

1 **ASSOCIATED DOCUMENTS:**

- 2 #PR1 Newspaper article, Corvallis Gazette-Times website, dated 1/19/2021
3 #INV1 Angela Adams Amended Judgment, dated 1/30/2023
4 #INV2 Angela Adams' indictment with Linn County Circuit Court, dated 1/27/2021
5 #INV3 LCSO police report case number 20-04045

PREPARED BY  5/23/23
Monica J. Walker Date
Investigator

APPROVED BY  5/23/2023
Ronald A. Bersin Date
Executive Director

REVIEWED BY Via Email 5/23/23
Sean T. Brady Date
Assistant Attorney General

WALKER Monica * OGEC

From: WALKER Monica * OGEC
It: Wednesday, April 19, 2023 2:29 PM
To: Laura Fine
Subject: RE: Oregon Government Ethics Commission/ Angela Renee Adams - CASE #21-041ELC

Follow Up Flag: Follow up
Flag Status: Completed

Dear Ms. Fine~

Thank you for providing such a timely response to my email sent this morning. I just want to clarify that the proposed stipulated final order is not a part of the restitution ordered by the Linn County Judge. The proposal sent to you this morning is part of a separate administrative action on the part of the State of Oregon Government Ethics Commission for violations of Oregon Government Ethics laws.

We cannot agree to remove the financial penalty. As set forth in the proposed stipulated final order, because Ms. Adams is already subject to restitution per the Court action, the Commission is waiving its right to seek forfeiture, under ORS 244.360. Additionally, with the stipulated final order, the Commission would be agreeing to a lower civil penalty, less than the maximum statutory penalty authorized. If the Commission proceeds with a default final order, the civil penalty will be the maximum allowed by law, as set forth in ORS 244.350. In this case, the maximum civil penalty would be either \$10,000 or \$15,000 (if the Commission found the violation of ORS 244.040 was willful).

As stated in my email from this morning, if an agreement can be reached and Ms. Adams signs/returns the proposed stipulated final order before May 17, 2023, we will be able to add it to the agenda for the Ethics Commission meeting scheduled for June 2nd. If Ms. Adams is not interested in negotiating a settlement or entering into a stipulated final order, we will instead prepare an investigative report to present to the Ethics Commission at its June 2nd meeting. If the Commission makes findings of violation, at that point, on behalf of your client, you can request a contested case hearing. If you do not request a contested case hearing, we will proceed with submitting a default final order for the Commission's approval.

Sincerely,



Monica J. Walker, Investigator/Compliance Specialist
Oregon Government Ethics Commission
3218 Pringle Road SE, Suite #220, Salem, OR 97302

☎ 503-378-2011
☎ 971-801-4722
✉ monica.walker@ogec.oregon.gov
🌐 <https://www.oregon.gov/ogec>



[Please take our customer service survey!](#)

From: Laura Fine <attorneylaurafine@gmail.com>
Sent: Wednesday, April 19, 2023 12:30 PM
To: WALKER Monica * OGEC <Monica.WALKER@ogec.oregon.gov>
Subject: Re: Oregon Government Ethics Commission/ Angela Renee Adams - CASE #21-041ELC

Greetings:

Ms. Adams is ordered to pay the County full restitution for her thefts, and in fact has paid almost \$100,000 already. She has **no income available to her now or in the future. She is in prison for three years and will be released unemployable.**

She cannot pay ANY civil penalty and a civil penalty on top of restitution is unconscionable.

We will not sign an agreement to pay any more money to the County than is already ordered by the Judge.

If you amend the agreement to remove the financial penalty, I will assist you in finalizing the matter.

Regards,

Laura Fine

On Wed, Apr 19, 2023 at 10:24 AM WALKER Monica * OGEC <Monica.WALKER@ogec.oregon.gov> wrote:

Good Morning Ms. Fine~

Thank you for speaking with me over the phone to verify your continued representation of Angela Renee Adams and confirming your acceptance of service on her behalf. To reiterate, my name is Monica J. Walker and I am the investigator that has been re-assigned to your client, Angela Adams' case – which was formerly assigned to Investigator, Lisa Christon, of whom you may have been familiar with from Municipal Court (Eugene). Per our conversation, attached to this email are copies of a cover letter and the proposed stipulated final order regarding your client, Angela Adams, in the above-referenced case. The originals of these documents are also being sent to you by regular mail.

As the letter explains, the proposed stipulated final order is an offer to settle this matter without completing the investigation. You/Ms. Adams are welcome to submit a counter-proposal or to suggest edits or additional language. In summary, the proposed stipulated final order recommends issuing a reduced civil penalty in the amount of \$6000 as determined by our Executive Director, Ronald A. Bersin and the Commissions established penalty matrix.

If we are able to come to an agreement and Ms. Adams signs and returns the attached stipulated final order before May 17, 2023, we will be able to add it to the agenda for the Ethics Commission meeting scheduled for June 2nd. Alternatively, if you/Ms. Adams are not interested in negotiating a settlement or entering into a stipulated final order, please let me know. I will then prepare an investigative report to present to the Ethics Commission at its June 2nd meeting.

If you have any questions regarding this matter, please do not hesitate to call me or send me an email. My contact information is listed below.

Best regards,



Monica J. Walker, Investigator/Compliance Specialist

Oregon Government Ethics Commission

3218 Pringle Road SE, Suite #220, Salem, OR 97302

☎ 503-378-2011

📞 971-801-4722

✉ monica.walker@ogec.oregon.gov

🌐 <https://www.oregon.gov/ogec>



[Please take our customer service survey!](#)

From: Laura Fine <attorneylaurafine@gmail.com>

Sent: Wednesday, October 27, 2021 1:54 PM

To: CHRISTON Lisa * OGEC <Lisa.CHRISTON@ogec.oregon.gov>

Subject: Re: Oregon Government Ethics Commission/ Angela Renee Adams

Send me your picture and I will immediately remember you!!!!!!!!!!!!

Regards,

#61 – Removed from Agenda



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 1, 2023

Sent via e-mail and USPS

Andrea Chiapella
Department of Administrative Services
Executive Building
155 Cottage Street NE
Salem, Oregon 97301-3972

RE: Advice 23-1331

Dear Ms. Chiapella:

This letter of advice is provided in response to your request, which presented a question regarding application of the gift clause in Oregon Government Ethics law. This analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances presented.

The Mavericks League, an independent baseball league, is sponsoring a "State of Oregon Employee Appreciation Night" at the Keizer Volcanoes Stadium on Friday, May 12, 2023. The Mavericks League is offering discounted tickets for the baseball game to all State employees and their family members. The tickets are being offered to State employees at \$5 each for lower box seats, which is an \$11 discount from the standard price of \$16 per seat.

In most circumstances, when a public official is offered something of economic value at no cost or at a discount, and it is not offered to others, who are not public officials, on the same terms and conditions, it is considered a gift, as defined in ORS 244.020(7)(a). In this situation, the Mavericks League tickets are being offered at a discount and offered only to State employees. Thus, these discounted tickets would qualify as a gift.

Per ORS 244.025(1), a public official may not accept a gift or gifts valued in excess of \$50 in a calendar year from a source that could be reasonably known to have a legislative or administrative interest in the public official's decisions or votes. ORS 244.020(10) defines a legislative or administrative interest as an economic interest, distinct from that of the general public, in the public official's decisions or votes.

In this situation, each public official who accepts the discounted tickets would need to consider whether they have accepted any other gifts from the Mavericks League within this calendar year and whether the combined value of those gifts, including these discounted tickets, exceeds \$50. If the combined value of all the gifts does not exceed \$50, then the public official may accept the discounted tickets without



Andrea Chiapella
Advice No. 23-1331
Page 2

violating ORS 244.025(1). The analysis would be the same for the public official's relatives, but they are considered separately; the value of the gifts offered to the relatives is not combined with the value of the gifts offered to the public official.

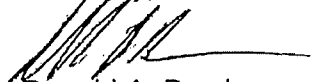
If a public official determines that accepting these discounted tickets would make the total aggregate value of the gifts received from the Mavericks League exceed \$50 in this calendar year, then the next step in the gift clause analysis is to determine if the Mavericks League could reasonably be known to have a legislative or administrative interest in that public official's decisions or votes. If a public official is in a position to make decisions or votes that could have an economic impact (distinct from that on the general public) on the Mavericks League, then the Mavericks League could have a legislative or administrative interest in that public official's decisions or votes, meaning that the public official is limited to accepting gifts from the Mavericks League valued at \$50 or less in a calendar year. On the other hand, for those public officials who are not in positions where they make decisions or votes that could economically impact the Mavericks League, they may accept gifts from the Mavericks League regardless of value.

In this situation, it appears that the value of the discounted tickets is \$11, less than the \$50 gift limit. It also seems likely that for most State employees being offered the discounted tickets, the Mavericks League would not have a legislative or administrative interest in their decisions or votes. Thus, those State employees could accept the gifts/discounted tickets without violating ORS 244.025(1).

ORS 244.040(1) prohibits public officials from using or attempting to use their positions to obtain a personal financial gain or avoid a financial detriment for themselves, their relatives or household members, or their businesses, if that financial gain/avoidance of detriment would not otherwise be available but for holding their official positions. Here, it does not appear that State employees are using their positions to obtain the discounted tickets, and the Mavericks League offers similar discounts to other groups. For example, Seniors age 62+ receive the same discount. Thus, it does not appear that State employees would violate ORS 244.040(1) by accepting the discounted tickets.

If you have any additional questions regarding the application of the gift clause or any other provision of Oregon Government Ethics law, please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/sm

*****DISCLAIMER*****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 3, 2023

Sent via e-mail and USPS

Luke Shepard, City Manager
City of Rockaway Beach
P.O. Box 5
Rockaway Beach, Oregon 97136

RE: Advice 23-134I

Dear Mr. Shepard:

This letter of advice is provided in response to your request received on April 21, 2023, which presented several questions regarding the Rockaway Beach City Council, and whether Rockaway Beach city councilors who own short-term rentals (STRs) within Rockaway Beach's jurisdiction, would be met with an actual conflict of interest were they to participate in discussion regarding raising fees charged for the licensing of STRs. This analysis and advice are being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances you have presented.

Questions

In your request, you indicated that one or more city councilors owns or operates an STR within the Rockaway Beach jurisdiction, and city council intends to discuss increasing fees charged for the licensing of STRs. You asked the following questions:

1. Would a city councilor who owns an STR be faced with an actual conflict of interest were they to participate in discussion on the possible fee increase?
2. What is the best way for a city councilor to address this at a workshop (discussion only) and a regular meeting (decision time)?
3. What avenues are available to a city councilor with an actual conflict of interest who may wish to provide testimony on the subject?
4. Do you have any advice on elected officials expressing their opinions on the topic outside of meetings through social or other media?

Relevant Statutes

A conflict of interest is defined as any action, decision, or recommendation that a public official makes in their official capacity, the effect of which would be or could be to the private financial benefit or detriment of the public official, a relative, or a business with which the public official or their relative is associated. An actual conflict of interest occurs when the effect of the official action, decision, or recommendation would have a certain financial impact. A potential conflict of interest occurs when the effect of the official action, decision, or recommendation could have a financial impact. [ORS 244.020(1) and (13)].



Luke Shepard
Advice 23-1341
May 3, 2023
Page 2

When an elected public official is met with either an actual or a potential conflict of interest, the official must publicly announce the nature of the conflict of interest on each occasion on which the official is met with the conflict of interest. If it is a potential conflict of interest, following the public announcement, the public official may continue to participate in any discussion, debate or vote on the issue. [ORS 244.120(2)(a)]. If it is an actual conflict of interest, following the public announcement, the public official must refrain from participating as a public official in any discussion, debate, or vote on the issue. [ORS 244.120(2)(b)(A)]. If the public official's vote is necessary to meet a minimum number of votes requirement, after making the public announcement of their actual conflict of interest, the official may be eligible to vote on the matter but may not participate in any discussion or debate on the issue out of which the actual conflict of interest arises. [ORS 244.120(2)(b)(B)].

Finally, ORS 244.040(1) prohibits a public official from using or attempting to use their office or position to obtain a financial gain or avoidance of financial detriment for themselves, their relatives or household members, or any businesses with which any of them are associated, if that financial gain or avoidance of detriment would not otherwise be available but for the public official holding their office or position.

Answers and Analysis

The first question you asked was whether a city councilor who owns a short-term rental (STR) in Rockaway Beach would be presented with an actual conflict of interest were they to participate in discussion on whether to raise fees charged for the licensing of STRs.

1. Yes, a city councilor would be faced with an actual conflict of interest. In this case, a city councilor who owns a short-term rental (STR) would be directly financially impacted by the possibility of the licensing fees increasing; thus, they would be presented with an actual conflict of interest that would require them to publicly announce the nature of the conflict of interest, and they would need to refrain from participating as a public official in any discussion, debate, or vote on the matter.
2. Additionally, you asked the best way for a city councilor to announce their conflict of interest at a workshop and then at a regular meeting. If the city council has the work session first and then votes right after, the city councilor with the conflict of interest would only need to announce the conflict of interest once, prior to the inception of the discussion or debate; however, should the workshop and regular meeting be at a separate date or time, then the city councilor would need to announce the conflict of interest prior to each occasion where the matter is discussed or voted on.
3. You asked what avenues are available to a city councilor with an actual conflict of interest who may wish to provide testimony on the subject. Of note, when someone becomes a public official, they do not lose their First Amendment right to free speech, and they are still entitled to provide testimony as a private citizen. Should a city councilor, who is conflicted, wish to provide testimony on the subject, they could provide written testimony to the city council, in their capacity as a private citizen; this needs to be clearly demonstrated in their written testimony. Further, when the matter is discussed during the city council meeting, the city councilor who

Luke Shepard
Advice 23-1341
May 3, 2023
Page 3

is conflicted still needs to publicly announce the nature of their conflict of interest and must refrain from participating as a public official in discussion, debate, and may not vote.

4. A city councilor with an actual conflict of interest may express their opinions on the matter, but doing so in their official capacity is not allowable. Because the line between private citizen and public official can be blurred on social media, a city councilor who is faced with an actual conflict of interest and expresses their opinion on the matter on social media risks a violation of ORS 244.040(1) and ORS 244.120(2). Should we receive a complaint on the matter, we would open a case.

Finally, the prohibited use of office statute provides that a public official may not use or attempt to use their official position for financial gain or avoidance of financial detriment for themselves, their relatives, members of their household, or a business with which they are associated, if that financial gain or avoidance of detriment would not otherwise be available but for holding of the official position. This prohibition applies regardless of whether the public official has announced a conflict of interest. [ORS 244.040(8)]. In the scenario you described, if the public official were to participate in the discussion on the STRs and increased fees in their official capacity, this would be a prohibited use of office, since the possible increase in fees would have a certain and direct financial impact on the public official. Again, should the public official wish to provide testimony on the matter giving rise to the conflict, it is important that they are acting in their capacity as a private citizen, and not as a public official; this needs to be demonstrated and established clearly.

If you have any additional questions regarding the application of Oregon Government Ethics law, please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/hm

*****DISCLAIMER*****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 8, 2023

Sent via USPS and email

Senator Floyd Prozanski
Oregon Legislative Assembly
900 Court St. NE, S-413
Salem, Oregon 97301

Re: Advice Number 23-1491

Dear Senator Prozanski:

Thank you for reaching out to the Oregon Government Ethics Commission (Commission) with your inquiry regarding the acceptance of an offer from Albert Young, Vice Consul at the Taipei Economic & Cultural Office (TECO) in Seattle for Senator Manning to join a delegation of legislators from Oregon and Washington on a trip to Taiwan in September 2023. The following analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances presented.

We understand from the information provided that Senator Manning has been invited by TECO to join a delegation of Oregon and Washington legislators (five from each state) on a trip to Taiwan from September 17th to September 23rd. The delegation, led by Washington State Lieutenant Governor Denny Heck, will be meeting with Taiwan's President, Premier, and Foreign Minister. There will also be engagements with other Taiwanese agencies, including its Congress, Economic Ministry, Food and Drug Administration, Agricultural Ministry, and others. The Taiwanese government will be paying for the expenses (airfare, ground transportation, room and board, and trip-related entertainment).

You have asked whether it is permissible under Oregon Government Ethics law for Senator Manning to accept the offer from TECO and the Taiwanese government to cover his expenses for this trip to Taiwan.

Under most circumstances when a public official is offered food, lodging and travel expenses at no cost to the public official, it would be a gift as defined in ORS 244.020(7)(a), and therefore subject to the gift limitations set forth in ORS 244.025. There are exceptions, however, when a public official may accept certain items, such as paid expenses for food, lodging and travel. In pertinent part, ORS 244.020(7)(b)(H) allows



Sen. Floyd Prozanski
Advice Number 23-1491
May 8, 2023
Page 2

acceptance of the payment of reasonable expenses for food, travel or lodging expenses provided to a public official, and a relative, household member, or staff member accompanying that public official, when the public official is representing state government on an officially sanctioned trade-promotion or fact-finding mission or in officially designated negotiations or economic development activities.

In order for such paid expenses to qualify for this gift exception, the following criteria must be met. First, the public official must be representing state government, a local government or a special government body. In this situation, you would be part of the delegation in your official capacity as a representative of the Oregon Legislative Assembly and therefore would be representing state government.

Second, the purpose of the activity or trip must be for trade-promotion or a fact-finding mission, or for negotiations or economic development activities, where receipt of expenses is approved in advance. The Commission has adopted Administrative Rules that clarify these terms. A “fact finding mission or trip” is “any activity related to a cultural or educational purpose, or any activity aimed at providing intergovernmental assistance, such as for the purpose of international aid or sharing best practices, or developing intergovernmental relationships directly related to the public official’s duties. The sponsor of a fact finding mission should be directly and immediately associated with the event or the location being visited.” [OAR 199-005-0001(2)]. “Trade promotion” means “an activity for the purpose of encouraging or developing commerce or the buying and selling of goods and services.” [OAR 199-005-0020(3)(c)]. “Economic Development Activities” are “activities undertaken for the purpose of strengthening, expanding, or enhancing the economy, or activities that provide community development or cultural enhancement. [OAR 199-005-0020(3)(d)].

Based on the information that provided, the trip to Taiwan would appear to qualify as a fact finding mission, as it appears to be aimed at developing intergovernmental relationships. It would also appear to qualify as economic development activities, as the trip appears to be intended to strengthen and enhance cultural and economic ties between Oregon and Taiwan.

Third, the activities must be “officially sanctioned or officially designated.” The Commission’s Administrative Rule clarifies:

“Officially sanctioned or officially designated” means written approval by a state or local public body or by a person authorized by the public body to provide that approval. When the activity is officially designated as negotiations or economic activity, the written notice will include approval for the public official to accept the payment of reasonable expenses. [OAR 199-005-0020(3)(b)].

Sen. Floyd Prozanski
Advice Number 23-1491
May 8, 2023
Page 3

The Administrative Rule goes on to clarify that for members of the legislative assembly, the written approval must be provided by the President of the Senate, Speaker of the House, the designated majority or minority leaders of either chamber or appointed committees of the Legislative Assembly, unless the public body determines otherwise. [OAR 199-005-0020(3)(b)(C)]. In this situation, you would need to follow the procedure(s) required by your leadership in the Legislative Assembly to ensure that the activities are officially sanctioned or officially designated.

Since Senator Manning will be representing state government and the activities qualify as a fact-finding mission or economic development activities, and assuming that they will be officially sanctioned or officially designated, it appears that the payment of his travel, lodging and food expenses for participation in the delegation to Taiwan would meet the criteria for the gift exception in ORS 244.020(7)(b)(H). He could, therefore, accept the invitation from TECO Seattle and the offer of payment for the trip's expenses by the Taiwanese government.

Finally, as a public official required to file an Annual Verified Statement of Economic Interest (SEI), Senator Manning would be required to report the aggregate value of these paid expenses on his 2024 SEI. ORS 244.060(6) requires public officials to identify all expenses with an aggregate value exceeding \$50 when participating in an event described in ORS 244.020(7)(b)(H). Per this requirement, TECO Seattle and the Taiwanese government, the sources of the paid expenses, should provide him with a written statement of the expenses they provided.

If you or Senator Manning have any additional questions or need further clarification, please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

cc: Senator James Manning Jr. (by email)

RAB/sm

*****DISCLAIMER*****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 11, 2023

Sent via USPS and email

Cheryl Myers, Interim Secretary of State
Oregon Secretary of State's Office
900 Court St. NE, Capitol Room 136
Salem, Oregon 97301

Re: Advice Number 23-1501

Dear Interim Secretary Myers:

Thank you for your inquiry. The advice and analysis that follows is offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics laws may apply to the circumstances presented.

We understand that you have been invited to attend the Celebrate Trade Gala on May 18th as a guest of Honorary Consul Greg Caldwell. You would be attending this event in your official capacity. The Celebrate Trade Gala is held at the Portland Art Museum. It recognizes significant contributions of Oregon's trade-sector companies, raises funds for the Oregon Consular Corps Scholarship Fund, and presents awards to businesses and individuals. You have asked whether this offer would fall under one of the gift exceptions in ORS 244.020(7)(b), notably the (E) exception.

Public officials are limited to accepting gifts of no more than \$50 in a calendar year from any source that could reasonably be known to have a legislative or administrative interest in their decisions or votes. [ORS 244.025(1)]. Ordinarily, an invitation to attend an event like this would constitute a gift, as defined in ORS 244.020(7)(a). The question is whether the invitation to attend this event would fall under one of the gift exceptions in ORS 244.020(7)(b).

As you note, ORS 244.020(7)(b)(E) provides that a gift does not include the cost of admission or food and beverage consumed by the public official (or a relative, household member or staff member accompanying the public official) at a reception, meal or meeting held by an organization when the public official is representing state government, a local government, or a special government body. OGEC has adopted Administrative Rules that the terms "meeting" and "reception." [see OAR 199-005-0001(4) and (8)].



Interim Secretary Cheryl Myers
Advice Number 23-1501
May 11, 2023
Page 2

We have also adopted an Administrative Rule, OAR 199-005-0015, that lists a number of factors that may indicate whether paid expenses qualify under this exception. These factors include:

1. A large number of people or groups are invited.
2. The invitations or programs are sent in advance.
3. The event is publicized.
4. The reception, meal, or meeting is open to the public.
5. Written materials such as a printed program are available.

Based on the information provided and that available on the Oregon Consular Corps website, it appears that the Celebrate Trade Gala event would qualify under this list of factors. A large number of people and groups are invited, and tickets were sold to members of the public. The event is well-publicized and invitations sent in advance. While we do not know whether there will be written materials, such as a printed program, based on the information on the event's website, that appears likely. Thus, the event would appear to qualify under these factors, and because you are attending this event in your official capacity, you could accept the offer of paid expenses for the event under the ORS 244.020(7)(b)(E) exception.

If you have any additional questions or need further clarification, please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/sm

*****DISCLAIMER*****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 22, 2023

Sent via USPS and email

Senator Cedric Hayden
38809 Old Pengra Road
Fall Creek, Oregon 97438

Re: Advice Number 23-1581

Dear Senator Hayden:

Thank you for reaching out to the Oregon Government Ethics Commission (Commission) with your inquiry regarding the application of the laws in ORS Chapter 244 to the solicitation or receipt of donations to a legal expense fund that is not established pursuant to ORS 244.205 through ORS 244.221. The following analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances presented.

Information Presented

We understand from the information provided that you have filed an Oregon Legislature Rule 27 complaint and a BOLI discrimination complaint, and that you seek to file a federal lawsuit against the Oregon Legislative Assembly. The complaints and possible litigation are based on your claims of being subject to a hostile work environment, discrimination on the basis of sincerely held religious observances and family leave violations, and unequal treatment with regard to leave required for your federal employment. You clarify that in these claims, you are not seeking monetary damages other than reimbursement of attorneys' fees and costs, as well as token compensatory damages of \$1 and punitive damages, both of which, if awarded, will be donated to a 501(c)(3) charity.

The purpose for your advice request is that you are seeking guidance for how to raise funds to pay for your legal representation for these claims within the confines of ORS Chapter 244. You would like to solicit funds from supporters to defray the costs of this expensive litigation, but you are cognizant of the prohibitions in the gift clause in ORS 244.025(1) and the prohibited use of office provisions in ORS 244.040(1).

The Legal Expense Trust Fund provisions in ORS 244.205 through ORS 244.221 allow public officials to establish legal expense trust funds, subject to authorization by the Commission, to pay for legal expenses incurred in specified legal proceedings. As you note, these statutory provisions have very specific requirements and limited uses and they do not apply to the fund that you are proposing.



Sen. Cedric Hayden
Advice Number 23-1581
May 22, 2023
Page 2

Your proposal is to establish a private fund, separate from your own personal funds or political action funds, to pay for your legal expenses for pursuing these claims against the Legislative Assembly. This fund would be managed by a third-party manager, without you being a signatory on the fund's account. Donations to the fund would be limited to no more than \$50 from any single individual contributor in a calendar year, and no anonymous donations would be accepted. Donations to the fund would be used to reimburse legal expenses, including those you have already incurred, and to pay for the administrative costs of raising the funds, any costs related to the third-party management of the fund, and any costs for the use of fundraising platforms such as GoFundMe, PayPal, or GiveSendGo. Any funds received in excess of your legal expenses or attorneys' fees granted in successful litigation would be donated within a reasonable time after final judgment. Your advice request emphasizes that neither you, nor your relatives or members of your household, nor any business with which you are associated, would act as the third-party fund manager or receive any payments related to the management of the fund.

Questions Presented

In your request, you have asked for advice on whether the plan proposed for this fund, as described above, would be permitted under the laws in ORS Chapter 244, and if so, whether the fund would be subject to any caps on how much could be raised by or from a single contributor in a calendar year. If the proposal might run afoul of these statutes, you have asked for suggestions as to modifications or lawful alternatives that would allow you to create such a fund.

By separate email, you asked two additional questions:

1. Whether the fund would be subject to any tax expenses as an independent entity created for the purposes raising funds for legal expenses, and if so, whether the funds raised can be used to cover those tax-related matters with the IRS or the state Department of Revenue.
2. Whether a member of your direct family who is a resident of Oregon (parent, father-in-law, spouse, or sibling) can make a payment on your behalf to an attorney for any of the legal expenses you incur in pursuing your claims, in an amount greater than the gift limitations or any other limitations set forth in statute.

Advice and Analysis

Initially, we need to clarify that the advice and analysis that follows is limited to application of the Government Ethics laws in ORS Chapter 244 to the circumstances described in your request. Other state and federal laws and regulations may impact your proposal and we are not giving you any advice on these other laws; this advice and analysis is strictly

Sen. Cedric Hayden
Advice Number 23-1581
May 22, 2023
Page 3

limited to ORS Chapter 244. Thus, your tax question will not be addressed in this advice. As noted above, the fund you describe is not authorized by ORS 244.205 through ORS 244.221. To the extent you are seeking to establish a legal expense trust fund in compliance with ORS 244.205 to ORS 244.221, the proposal you describe would not qualify under these provisions.

Turning to the gift provisions in ORS Chapter 244, as you know, the gift clause in ORS 244.025(1) provides that a public official, or their relative or household member, may not solicit or receive, directly or indirectly, any gifts with an aggregate value in excess of \$50 in a calendar year from a source that could reasonably be known to have a legislative or administrative interest. There are some important definitions here:

- A "gift" is something of economic value given to a public official, or to their relatives or household members, without valuable consideration of equivalent value or at a discount, and that is not extended to others who are not public officials (or their relatives or household members) on the same terms and conditions. [ORS 244.020(7)(a)].
- A "legislative or administrative interest" is an economic interest, distinct from that of the general public, in any matter subject to the decision or vote of the public official acting in their official capacity. [ORS 244.020(10)]. Given your role as a State Senator, it appears likely that anyone who lives in or who does or could do business in or with the State of Oregon would have a legislative or administrative interest in your decisions and votes.
- A "relative" includes your spouse, and the parent, stepparent, child, sibling, stepsibling, son-in-law or daughter-in-law of you or your spouse. [ORS 244.020(16)(a) and (b)]. All of the individuals you identify in your request – your parent, spouse, father-in-law, sibling – qualify as your relatives.

Please note that compliance with the gift provisions is an exception to the use of position prohibitions in ORS 244.040.

Together, these statutes mean that neither you nor your relatives or household members may solicit or receive, directly or indirectly, any gifts valued at more than \$50 aggregate in a calendar year per source. You note in your proposal that no anonymous gifts would be accepted. This is important because when a gift is offered, the public official (or their relatives or household members) must determine not only the value of the gift, but also whether they have accepted any other gifts from that same source during the calendar year, and if so, whether the value of the previous gifts, combined with the value of the gift now being offered, exceeds \$50. If the value of the prior gifts plus the value of the new

Sen. Cedric Hayden
Advice Number 23-1581
May 22, 2023
Page 4

gift being offered exceeds \$50 in the calendar year, and the source has a legislative or administrative interest, the gift cannot be accepted.

You asked if a relative could make a payment, greater than the \$50 gift limit, to an attorney on your behalf. There is an exception to the definition of gift in ORS 244.020(7)(b)(B) allowing one to accept gifts from relatives or household members regardless of value. So your relatives could pay any amount to you directly or to an attorney on your behalf, and it would not qualify as a gift. Keep in mind, however, that your relatives are also subject to the gift clause in ORS 244.025(1). While your relatives can give you gifts of unlimited value, they cannot solicit or receive gifts from others valued at more than \$50 per year from any one source.

It is also important to note that you are the intended beneficiary of any and all of the gifts solicited and collected by you and your relatives. Therefore, the language in ORS 244.025 related to soliciting or receiving a gift "indirectly" is relevant to your proposal. As stated above, the aggregate gift limit that you may receive directly or indirectly from a single source is \$50 per year. This means that a relative may not solicit on your behalf a gift valued at \$50 from a person to whom you have already solicited a \$50 gift. Additionally, if the person who provided a \$50 gift to your relative for your legal trust fund had already provided you with a \$50 gift in that calendar year, this would violate ORS 244.025. In other words, the same person could not give \$50 to you, \$50 to your spouse, and \$50 to your sibling for the purpose of depositing money into the legal trust fund that is to benefit you (or any other reason for your benefit). This would violate ORS 244.025 as you would be indirectly soliciting and receiving a gift with a value of greater than \$50 from a single source.

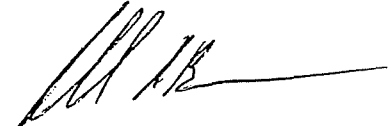
The other difficulty with your proposal comes with having a third-party solicit the gifts/donations, manage the fund, and then transfer the money to you or use it to pay for your legal expenses. Assuming the third-party is not a public official in their own right, they would not be subject to the gift clause in ORS 244.025 and could solicit or accept gifts of any value for their own purposes. The problem arises when this third-party solicits funds for you, transfers funds to you, or spends funds on your behalf. It is at that point when the funds are subject to the restrictions ORS 244.025. If the third-party on your behalf solicits or receives a gift that exceeds \$50, you would be in violation of ORS 244.025 by indirectly soliciting or receiving a gift. Similarly, once the third-party transfers the money to you or spends money on your behalf, the source of that gift (as defined in OAR 199-005-0030(2)) would be the third-party. That means you could only accept a gift of no more than \$50 from this third-party, which would appear to defeat the purpose of the proposed fund.

Sen. Cedric Hayden
Advice Number 23-158I
May 22, 2023
Page 5

In sum, for your proposal to work within the confines of the gift clause, you and your relatives or household members could solicit or accept gifts so long as any one gift solicited or received, directly or indirectly, does not exceed \$50 per person per calendar year, as provided in ORS 244.025(1) and more fully described above. Assuming you complied with these provisions, you could spend the gifts on your legal expenses, without implicating any of the remaining provisions in ORS Chapter 244.

If you have any additional questions or need further clarification, please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/sm

*****DISCLAIMER*****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 22, 2023

Sent via USPS and email

Jennifer Lewis-Goff
Oregon Dental Association
8699 SW Sun Place
Wilsonville, Oregon 97070

Re: Advice Number 23-1711

Dear Ms. Lewis-Goff:

Thank you for reaching out to the Oregon Government Ethics Commission (Commission) with your inquiry regarding the application of the laws in ORS Chapter 244 to a legislator serving on the Board of Trustees for the Oregon Dental Association and gifts provided as part of the usual and customary practice at an ODA Board retreat. The following analysis and advice is being offered under the authority provided in ORS 244.284 as guidance on how the current provisions of Oregon Government Ethics law may apply to the specific circumstances presented.

A member of the ODA's Board of Trustees is also an elected member of the Oregon Legislative Assembly. This Trustee/Legislator participates in ODA activities in his role as an ODA Trustee. On an upcoming long weekend, ODA and its partner group, Moda Health, will be holding a joint board retreat for ODA's Board of Trustees and Moda Health's Board of Directors. This joint board retreat will include both business activities and recreational activities, such as golf. The Trustee/Legislator is invited to participate in these activities and will receive the same invitation/items as all other ODA Trustees. All ODA Trustees will receive overnight lodging and a round of golf, paid by Moda Health. All food for the joint board retreat will be provided and paid for by both ODA and Moda Health.

You have asked for clarification on when it is acceptable for ODA and its partners to pay for items for the Trustee/Legislator. In pertinent part, you have asked whether there are there any concerns with the Trustee/Legislator participating in the joint board retreat and accepting the paid lodging, food and entertainment from ODA and Moda Health.

Initially, we need to clarify that the gift provisions in ORS Chapter 244 apply to public officials who solicit or receive gifts. They do not apply to the persons or entities that offer gifts. Thus, this advice will focus on how the gift provisions in ORS Chapter 244 apply to the Trustee/Legislator who is being offered the paid lodging, food, and entertainment, rather than to the persons or entities offering these gifts.



Jennifer Lewis-Goff
Advice Number 23-1711
May 22, 2023
Page 2

ORS 244.025(1) provides that a public official, or their relative or household member, may not solicit or receive, directly or indirectly, any gifts with an aggregate value in excess of \$50 in a calendar year from a source that could reasonably be known to have a legislative or administrative interest. A “legislative or administrative interest” is an economic interest, distinct from that of the general public, in any matter subject to the decision or vote of the public official acting in their official capacity. [ORS 244.020(10)]. As the Trustee/Legislator is a member of the Legislative Assembly, it seems likely that both ODA and Moda Health would have a legislative or administrative interest in his decisions and votes.

Ordinarily the provision of such food, lodging and entertainment to a public official would qualify as a gift, as defined in ORS 244.020(7)(a). There are, however, exceptions to the definition of gift in ORS 244.020(7)(b). If something falls within one of these exceptions, then it does not qualify as a gift and would not be subject to ORS 244.025(1). One of those exceptions, ORS 244.020(7)(b)(O), provides that a gift does not include:

Anything of economic value offered to or solicited or received by a public official * * * (i) as part of the usual and customary practice of the person’s private business, or the person’s employment or position as a volunteer with a private business, corporation, partnership, proprietorship, firm, enterprise, franchise, association, organization, not-for-profit corporation or other legal entity operated for economic value; and (ii) that bears no relationship to the public official’s * * * holding of * * * the official position or public office.

Thus, so long as both parts of this exception are satisfied – part of the usual and customary practice of the business/profession and bears no relationship to the holding of the position or office – then the items of economic value being offered would not qualify as a gift, meaning they could be accepted without violating ORS 244.025(1).

The Commission has adopted an Administrative Rule, OAR 199-005-0027, to provide further clarity for this exception. First, it clarifies that “usual and customary practice” means an offer that is part of a historical or established custom,” something that is part of a long-standing tradition such that it is an ordinary or expected practice in the business or profession. Second, the Administrative Rule provides two examples, both of which are applicable to the circumstances you have described.

Example A

A pharmacist is elected and becomes a member of the Oregon Legislative Assembly. In the past, as with other pharmacists, the pharmacist and her spouse were invited to dinners hosted by representatives of pharmaceutical manufacturers to discuss products and services. The pharmacist, now a legislator, and her spouse would be able to continue the usual and customary practice of accepting

Jennifer Lewis-Goff
Advice Number 23-1711
May 22, 2023
Page 3

or soliciting these paid expenses for meals received in the practice of her private employment as long as the offer or solicitation bears no relationship to the position held in the Oregon Legislative Assembly and is made to other pharmacists who are not public officials. [OAR 199-005-0027(2)(a)].

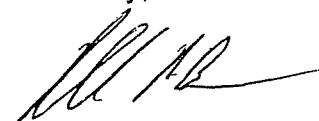
Example B

A member of the board of directors for a local chapter of a not-for-profit corporation is elected to the city council. For the past 15 years the local chapter has provided all board members and their spouses paid food, lodging and travel expenses to attend an annual leadership retreat. The board member, now a city councilor, and his spouse would be able to continue with the other board members in the usual and customary practice of accepting or soliciting these paid expenses for food, lodging and travel expenses in the conduct of his volunteer duties as long as the offer or solicitation bears no relationship to the position held as a city councilor and is made to other board members who are not public officials. [OAR 199-005-0027(2)(b)].

Applying the gift exception in ORS 244.020(7)(b)(O), and as illustrated in these examples, it appears that the Trustee/Legislator could accept the offer of paid lodging, food and entertainment at the joint board retreat. The offer appears to be part of the usual and customary practice for the business/profession and the offer is made to other trustees, who are not public officials, attending the joint board retreat. It also appears that the offer bears no relationship to the Trustee/Legislator's position in the Legislative Assembly. Please note, however, that if matters discussed at the joint board retreat included anything that could reasonably be related to or come before the Legislative Assembly, then the exception would no longer apply.

If you have any additional questions or need further clarification, please feel free to contact me directly.

Sincerely,



Ronald A. Bersin
Executive Director

RAB/sm

*****DISCLAIMER*****

This staff advice is provided under the authority given in ORS 244.284(1). This opinion offers guidance on how Oregon Government Ethics law may apply to the specific facts described in your request. This opinion is based on my understanding and analysis of the specific circumstances you described and should not be applied to circumstances that differ from those discussed in this request.

MEMORANDUM

DATE: May 22, 2023

TO: Ronald A. Bersin
Executive Director 

FROM: Susan Myers
Compliance and Education Coordinator

SUBJECT: Request for Extension of Time for Commission Advisory Opinion No. 23-170A

The purpose of this memorandum is to request that the Commission grant an extension of time for completion of Commission Advisory Opinion No. 23-170A.

Commission Advisory Opinion No. 23-170A was requested by Oregon Supreme Court Justice Christopher L. Garrett. The request for a Commission Advisory Opinion was received on May 3, 2023. Per ORS 244.280(2), the timeline for completion of a Commission Advisory Opinion is 60 days, meaning that this Opinion would need to be completed and approved by the Commission no later than July 1, 2023.


The Commission Advisory Opinion could not be completed in time for the Commission's June 2nd meeting. The following meeting is scheduled for July 14th, thirteen days after the 60 day deadline.

ORS 244.280(2) provides that the Commission, "by a vote of the majority of the members of the commission, may extend the 60-day deadline by one period not to exceed 60 days."

We are requesting that the Commission vote to extend the deadline for completion of Commission Advisory Opinion No. 23-170A by one period not to exceed 60 days. We anticipate that the Opinion would be presented to the Commission at its July 14, 2023 meeting.

MEMORANDUM

DATE: May 22, 2023

TO: Ronald A. Bersin 
Executive Director

FROM: Susan Myers
Compliance and Education Coordinator

SUBJECT: Request for Extension of Time for Commission Advisory Opinion No. 23-173A

The purpose of this memorandum is to request that the Commission grant an extension of time for completion of Commission Advisory Opinion No. 23-173A.

Commission Advisory Opinion No. 23-173A was requested by Port of Morrow Commissioners Jerry Healy and Marvin Padberg. The request for a Commission Advisory Opinion was received on April 11, 2023. Per ORS 244.280(2), the timeline for completion of a Commission Advisory Opinion is 60 days, meaning that this Opinion would need to be completed and approved by the Commission no later than June 9, 2023.

The Commission Advisory Opinion could not be completed in time for the Commission's June 2nd meeting. The following meeting is scheduled for July 14th.

ORS 244.280(2) provides that the Commission, "by a vote of the majority of the members of the commission, may extend the 60-day deadline by one period not to exceed 60 days."

We are requesting that the Commission vote to extend the deadline for completion of Commission Advisory Opinion No. 23-173A by one period not to exceed 60 days. We anticipate that the Opinion would be presented to the Commission at its July 14, 2023 meeting.



Oregon

Tina Kotek, Governor

Government Ethics Commission

3218 Pringle Rd SE, Ste 220

Salem, OR 97302-1680

Telephone: 503-378-5105

Fax: 503-373-1456

E-mail: mail@ogec.oregon.gov

Website: www.oregon.gov/ogec

May 1, 2023

TO: Ethics Commission Members
Amber Hollister, Chair
Shawn Lindsay
David Fiskum
Alicia McAuley
Daniel Mason
Sheona Payne
Richard Burke
Jonathan Thompson

FROM: Ron Bersin
Executive Director

SUBJECT: Meeting Schedule for 2024

The following is a list of scheduled meeting dates for 2024. The dates we have proposed allow the investigators the greatest amount of time to complete their investigations within the 135-day (lobby cases) and 180-day investigative periods, and to avoid holidays.

Friday, January 5, 2024
Friday, February 9, 2024
Friday, March 22, 2024
Friday, May 10, 2024
Friday, June 28, 2024
Friday, August 2, 2024
Friday, September 13, 2024
Friday, November 1, 2024
Friday, December 13, 2024

We understand that you all have very busy lives, and it is our hope that you all can be at every meeting, but it may be difficult to accommodate everyone's schedule. Please remember that if you are not able to join any of the meetings in person, we can arrange for you to participate by telephone or virtually.

The schedule will be an agenda item at the June 2, 2023 meeting.

Thank you.





We administer Ethics Laws to serve the people of Oregon.

VISION

We will impartially and effectively administer and enforce Oregon's government ethics laws for the benefit of Oregon's citizens. We will emphasize education in achieving our mission.

KEY GOALS

OREGONIANS ARE SERVED BY ETHICAL & EDUCATED PUBLIC OFFICIALS

- OGEC is working toward building a diverse workforce of talented individuals.
- Give public officials and lobbyists the support they need to follow Oregon statutes.

VALUES

- Focusing on education and training.
- Striving to make ethical choices the standard for all public officials.
- Championing government transparency.
- Building racial equity into the foundation of OGEC.
- Investing in sustainable operations for a better climate future.
- Serving Oregon constituents.

OGEC RESOURCES ARE AVAILABLE & USED EFFICIENTLY, EFFECTIVELY & TRANSPARENTLY

- New agency projects, programs and initiatives are delivered on time and on budget.
- Agency operations and projects are performed ethically and transparently.
- Uphold and demonstrate a commitment to sustainability and energy efficiency in agency operations.

OGEC USES TECHNOLOGY & INNOVATIVE TOOLS TO DRIVE SUCCESS

- Modernize OGEC's training program to ensure public officials understand their obligations under relevant parts of ORS 244, ORS 192, and ORS 171.
- Leverage technology that connects agencies, citizens, and government to improve efficiencies for the people of Oregon.

Trainers' Report

June 2, 2023

Trainings Completed

April 28, 2023 – June 2, 2023

Topic(s)	Public Body	Location
ORS 244	Metro Employees	Online
ORS 244	St. Helens Hosted (Session 3 of 3)	Online

Monthly Webinars		May	June
ORS 244	New Employee	Canceled	
ORS 244	Use of Office/Conflicts of Interest	Online	
ORS 244	Gifts	Online	
ORS 192	Executive Sessions	Online	
ORS 171	Lobby Law	Canceled	
ORS 244	Jurisdictional Contact	Online	

Training Highlights

More than 800 individuals trained this year (more than all of 2020 or 2021)

Curriculum Updates

- Curriculum review of webinars
- Development on a new Executive Sessions training that will be available online / on-demand

Newsletter

- Next newsletter is coming out this month (June)

Training Staff

Charlie Esparza 503-378-8066

charles.esparza@ogec.oregon.gov

Stephanie Heffner 503-378-6802

stephanie.heffner@ogec.oregon.gov

Executive Director's Report

June 2, 2023

- 2021-23 Budget
 - Surplus of \$282,866.06 as of May 1, 2023.
 - Purchased new monitor/docking stations, with built-in camera for Teams/Zoom meetings.
 - Purchased new computer for David.
 - Purchased paper and other office supplies that we will need next biennium.
- 2023-25 Budget
 - 2023-25 budget signed by Governor.
- 2023 Compliance
 - Increase in complaints received: 2021 (249), 2022 (278), 2023 through 5/23 (165)
 - Increase in cases opened: 2021 (103), 2022 (151), 2023 through 5/23 (94)
- SEI
 - Down to 47 SEI non-filers for 2023 as of 05/23/2023.
 - 30 school board members have not filed as of 05/23/2023.
- Legislative Concepts
 - SB 207 signed by the Governor.
 - Continue to monitor bills (see list) including SB 292, which contains a fiscal of over \$33,000 to make changes to EFS. Also, HB 2038 (\$157,600) and HB 2805 (\$1,365,307).
- Other
 - Continue to use GovDelivery/Granicus for newsletters.
 - Working with DAS Real Estate to lease additional space in building for new position.
 - Agency continues to use all resources to prevent the spread of COVID-19 to its employees. State offices are open since May 1, 2022. Employees continue to telework.
 - Ordered laptop and monitor for new position (Training & Development Specialist 2).
 - Q1 2023: 1 lobbyist and 3 clients have not filed as of 05/23/2023.

OREGON GOVERNMENT ETHICS COMMISSION
 AY23 CASH FLOW
 AS OF APRIL 2023 ACTUALS

	Appn 30000 - Admin - PCA 41501			
	Actuals To Date	Actuals + Projected	2021-2023 LAB	Variance
Beginning Cash Balance	849,224.75	849,224.75	715,787.00 (49,100.00)	
REVENUE				
0415 ADMINISTRATIVE AND SERVICES CHARGES	3,065,326.25	3,065,326.25	3,050,688.00	(14,638.25)
0505 FINES AND FORFEITS			30,000.00	30,000.00
0975 OTHER REVENUE	200.00	200.00		(200.00)
Total Revenue	3,065,526.25	3,065,526.25	3,747,375.00	15,161.75
TRANSFERS				
2010 TRANSFER OUT TO OTHER FUNDS				0.00
1107 TRANSFER IN FROM DEPT OF ADMIN SVCS			(30,000.00)	(30,000.00)
Total Transfers			(30,000.00)	(30,000.00)
Total Available Revenues			3,717,375.00	
PERSONAL SERVICES				
3110 CLASS/UNCLASS SALARY & PER DIEM	1,174,472.86	1,284,230.86	1,386,990.00	102,759.14
3160 TEMPORARY APPOINTMENTS			505.00	505.00
3170 OVERTIME PAYMENTS	14.42	14.42		(14.42)
3190 ALL OTHER DIFFERENTIAL	200.96	200.96		(200.96)
3210 ERB ASSESSMENT	403.20	441.60	522.00	80.40
3220 PUBLIC EMPLOYEES' RETIREMENT SYSTEM	200,469.54	221,207.89	234,399.00	13,191.11
3221 PENSION BOND CONTRIBUTION	59,803.88	65,393.35	72,611.00	7,217.65
3230 SOCIAL SECURITY TAX	88,133.36	96,501.62	106,143.00	9,641.38
3241 PAID LEAVE OREGON-EMPLOYER	1,093.49	1,531.90		(1,531.90)
3250 WORKERS' COMPENSATION ASSESSMENT	316.47	348.01	414.00	55.99
3260 MASS TRANSIT	7,002.17	7,660.72	8,325.00	664.28
3270 FLEXIBLE BENEFITS	247,864.96	274,166.78	344,088.00	69,901.22
3465 RECON ADJUSTMENT			(9,997.00)	(9,997.00)
Total Personal Services	1,779,775.31	1,951,718.11	2,144,000.00	192,281.89
SERVICES AND SUPPLIES				
4100 INSTATE TRAVEL	3,327.85	4,027.85	20,297.00	16,269.15
4125 OUT-OF-STATE TRAVEL	1,282.46	1,282.46		(1,282.46)
4150 EMPLOYEE TRAINING	7,515.18	9,315.18	7,665.00	(1,650.18)
4175 OFFICE EXPENSES	8,545.32	9,745.32	21,560.00	11,814.68
4200 TELECOMM/TECH SVC AND SUPPLIES	29,157.06	31,357.06	20,270.00	(11,087.06)
4225 STATE GOVERNMENT SERVICE CHARGES	70,718.62	70,719.12	68,792.00	(1,927.12)
4250 DATA PROCESSING	65,008.68	90,724.68	110,682.00	19,957.32
4275 PUBLICITY & PUBLICATIONS	1,575.45	1,575.45		(1,575.45)
4300 PROFESSIONAL SERVICES	26,890.70	28,090.70	10,429.00	(17,661.70)
4315 IT PROFESSIONAL SERVICES	329,336.00	344,036.00	342,040.00	(1,996.00)
4325 ATTORNEY GENERAL LEGAL FEES	157,148.20	177,148.20	210,621.00	33,472.80
4375 EMPLOYEE RECRUITMENT & DEVELOPMENT	83.40	83.40	2,689.00	2,605.60
4400 DUES AND SUBSCRIPTIONS	934.00	934.00	487.00	(447.00)
4425 FACILITIES RENT & TAXES	93,252.31	101,364.89	102,303.00	938.11
4575 AGENCY PROGRAM RELATED SVCS & SUPP	1,260.17	1,420.17		(1,420.17)
4650 OTHER SERVICES AND SUPPLIES	180,979.68	183,579.68	242,764.00	59,184.32
4700 EXPENDABLE PROPERTY \$250-\$5000	1,665.06	1,665.06	8,236.00	6,570.94
4715 IT EXPENDABLE PROPERTY	15,035.01	21,161.61		(21,161.61)
Total Services and Supplies	993,715.15	1,078,230.83	1,168,835.00	90,604.17
5900 OTHER CAPITAL OUTLAY				
TOTAL EXPENDITURES	2,773,490.46	3,029,948.94	3,312,835.00	282,886.06
Ending Cash Balance*	1,141,260.54	884,802.06		

*Outstanding Revenue Invoices not taken into consideration, See Appn Tabs

	Appn 70000 - GF - PCA 00501			
	Actuals To Date	Actuals + Projected	2021-2023 LAB	Variance
Beginning Cash Balance	768,977.72	768,977.72		
REVENUE				
0415 ADMINISTRATIVE AND SERVICES CHARGES				0.00
0505 FINES AND FORFEITS	90,362.09	97,062.09	30,000.00	(67,062.09)
0975 OTHER REVENUE				0.00
Total Revenue	90,362.09	97,062.09	30,000.00	(67,062.09)
TRANSFERS				
2010 TRANSFER OUT TO OTHER FUNDS				0.00
1107 TRANSFER IN FROM DEPT OF ADMIN SVCS				0.00
Total Transfers				0.00
Total Available Revenues				
PERSONAL SERVICES				
3110 CLASS/UNCLASS SALARY & PER DIEM				0.00
3160 TEMPORARY APPOINTMENTS				0.00
3170 OVERTIME PAYMENTS				0.00
3190 ALL OTHER DIFFERENTIAL				0.00
3210 ERB ASSESSMENT				0.00
3220 PUBLIC EMPLOYEES' RETIREMENT SYSTEM				0.00
3221 PENSION BOND CONTRIBUTION				0.00
3230 SOCIAL SECURITY TAX				0.00
3241 PAID LEAVE OREGON-EMPLOYER				0.00
3250 WORKERS' COMPENSATION ASSESSMENT				0.00
3260 MASS TRANSIT				0.00
3270 FLEXIBLE BENEFITS				0.00
3465 RECON ADJUSTMENT				0.00
Total Personal Services				0.00
SERVICES AND SUPPLIES				
4100 INSTATE TRAVEL				0.00
4125 OUT-OF-STATE TRAVEL				0.00
4150 EMPLOYEE TRAINING				0.00
4175 OFFICE EXPENSES				0.00
4200 TELECOMM/TECH SVC AND SUPPLIES				0.00
4225 STATE GOVERNMENT SERVICE CHARGES				0.00
4250 DATA PROCESSING				0.00
4275 PUBLICITY & PUBLICATIONS				0.00
4300 PROFESSIONAL SERVICES				0.00
4315 IT PROFESSIONAL SERVICES				0.00
4325 ATTORNEY GENERAL LEGAL FEES				0.00
4375 EMPLOYEE RECRUITMENT & DEVELOPMENT				0.00
4400 DUES AND SUBSCRIPTIONS				0.00
4425 FACILITIES RENT & TAXES				0.00
4575 AGENCY PROGRAM RELATED SVCS & SUPP				0.00
4650 OTHER SERVICES AND SUPPLIES				0.00
4700 EXPENDABLE PROPERTY \$250-\$5000				0.00
4715 IT EXPENDABLE PROPERTY				0.00
Total Services and Supplies				0.00
5900 OTHER CAPITAL OUTLAY				
TOTAL EXPENDITURES				0.00
Ending Cash Balance*	859,339.81	866,039.81		

-306-