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ARCHIVES DIVISION
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NOTICE OF PROPOSED RULEMAKING
INCLUDING STATEMENT OF NEED & FISCAL IMPACT

CHAPTER 309
OREGON HEALTH AUTHORITY
HEALTH SYSTEMS DIVISION: BEHAVIORAL HEALTH SERVICES

FILED
10/31/2019 9:57 AM
ARCHIVES DIVISION
SECRETARY OF STATE

FILING CAPTION: Revisions-BH Rules to align with CCO2.0 contract, improve readability/minimize repetition-23

LAST DAY AND TIME TO OFFER COMMENT TO AGENCY: 11/21/2019 5:00 PM

The Agency requests public comment on whether other options should be considered for achieving the rule's substantive goals while reducing negative economic impact of the rule on business.

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Filed By:
Wanda Davis
Rules Coordinator

HEARING(S)

Auxiliary aids for persons with disabilities are available upon advance request. Notify the contact listed above.

DATE: 11/19/2019

TIME: 8:30 AM - 12:00 PM

OFFICER: Wanda Davis

ADDRESS: Barbara Roberts Human
Services Building

500 Summer St NE, Room 137 C-D

Salem, OR 97301

SPECIAL INSTRUCTIONS:

Limited space - capacity of 45 in room.

Call in information: 888-363-4734;

Participant Code: 6567654#

Send written public comments to:
bhrulemaking@dhsosha.state.or.us

NEED FOR THE RULE(S):

The Oregon Health Authority (OHA) is revising the Oregon Administrative Rules (OARs) that govern coordinated care organizations, to align with the CCO 2.0 contracts effective January 1, 2020, and to improve readability and minimize repetition in the rules. OHA is also repealing rules that did not appear to serve a clear purpose distinct from the other rules. In those situations, OHA is repealing the rule(s) and relocating any necessary material elsewhere.

DOCUMENTS RELIED UPON, AND WHERE THEY ARE AVAILABLE:

FISCAL AND ECONOMIC IMPACT:

None.

COST OF COMPLIANCE:

(1) Identify any state agencies, units of local government, and members of the public likely to be economically affected by the rule(s). (2) Effect on Small Businesses: (a) Estimate the number and type of small businesses subject to the rule(s); (b) Describe the expected reporting, recordkeeping and administrative activities and cost required to comply with the rule(s); (c) Estimate the cost of professional services, equipment supplies, labor and increased administration required to comply with the rule(s).

(1) Identify any state agencies, units of local government, and members of the public likely to be economically affected by the rule(s). None

(2) Effect on Small Businesses:

(a) Estimate the number and type of small businesses subject to the rule(s); Indeterminate

(b) Describe the expected reporting, recordkeeping and administrative activities and cost required to comply with the rule(s); HSD does not expect administrative costs associated with these rules.

(c) Estimate the cost of professional services, equipment supplies, labor and increased administration required to comply with the rule(s). None.

DESCRIBE HOW SMALL BUSINESSES WERE INVOLVED IN THE DEVELOPMENT OF THESE RULE(S):

Small business representatives are invited to engage through the RAC and public comment processes.

WAS AN ADMINISTRATIVE RULE ADVISORY COMMITTEE CONSULTED? YES

RULES PROPOSED:

309-023-0100, 309-023-0110, 309-023-0120, 309-023-0130, 309-023-0135, 309-023-0140, 309-023-0150, 309-023-0160, 309-023-0170, 309-023-0180

AMEND: 309-023-0100

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0100

Purpose and Scope ¶¶

These rules prescribe standards of care and other requirements relating to psychiatric emergency services (PES) delivered in an emergency department at a licensed hospital or licensed hospital satellite.

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

REPEAL: 309-023-0110

RULE SUMMARY: The agency is repealing this rule because definitions for chapter 309 have been moved to chapter 309 division 001.

CHANGES TO RULE:

~~309-023-0110~~

~~Definitions~~

~~In addition to the definitions in OAR chapter 309 division 033 the following definitions apply to these rules:¶~~

- ~~(1) "Behavioral Health" means mental health, mental illness, substance use disorders, and gambling disorders.¶~~
- ~~(2) "Behavioral Health Assessment" means a process which determines a patient's need for immediate crisis stabilization through evaluation of the patient's strengths, goals, needs, and current level of functioning.¶~~
- ~~(3) "Best Practice Risk Assessment" means a research-informed methodology that provides guidelines or tools to determine an individual's level of risk for attempting or completing self-inflicted injury or death and may include tools such as the Columbia Suicide Severity Rating Scale or other tools accepted for the Substance Abuse and Mental Health Services Administration National Registry of Evidence-based Programs and Practices or the Suicide Prevention Resource Center Best Practices Registry.¶~~
- ~~(4) "Care Coordination" means a process-oriented activity to facilitate ongoing communication and collaboration to meet multiple needs including facilitating communication between family, natural supports, community resources, and involved providers and agencies; organizing, facilitating and participating in team meetings; and providing for continuity of care by creating linkages to and managing transitions between levels of care.¶~~
- ~~(5) "Case Management" means the services provided to assist individuals, who reside in a community setting, or are transitioning to a community setting, in gaining access to needed medical, behavioral health, social, educational, government entitlement programs, and other applicable community services.¶~~
- ~~(6) "Crisis" means either an actual, or perceived, urgent or emergent situation that occurs when an individual's stability or functioning is disrupted and there is an immediate need to resolve the situation to prevent a serious deterioration in the individual's mental or physical health or to prevent referral to a significantly higher level of care.¶~~
- ~~(7) "Crisis Intervention" means short-term services to address an immediate crisis need.¶~~
- ~~(8) "Crisis Stabilization Plan" means an individualized written plan defining specific short-term rehabilitation objectives and proposed crisis interventions derived from the patient's mental and physical health assessment.¶~~
- ~~(9) "Family" has the meaning given that term in 309-018-0150.¶~~
- ~~(10) "Hospital" has the meaning given that term in ORS 442.015.¶~~
- ~~(11) "Lethal Means Counseling" means providers implement counseling strategies to help patients at risk for suicide, and their families, reduce access to lethal means, including but not limited to firearms. It includes but is not limited to several components; background on suicide data and lethal means, introduction to firearms, video presentation that models the counseling strategy, presentation and discussion on conducting a counseling session, optional role plays, and a course evaluation. (<http://www.sprc.org/resources-programs/calm-counseling-access-lethal-means-0>).¶~~
- ~~(12) "Living Room Setting" means a care setting that reflects the relaxed, warm, welcoming and non-clinical qualities of a typical living room.¶~~
- ~~(13) "Medically Appropriate Treatment" has the meaning given that term in OAR 410-172-0630.¶~~
- ~~(14) "Mental Status Examination" means an overall assessment of an individual's mental functioning.¶~~
- ~~(15) "Peer" has the meaning given that term in OAR 410-180-0305.¶~~
- ~~(16) "Peer-Delivered Services" has the meaning given that term in OAR 309-019-0100.¶~~
- ~~(17) "Peer Support Specialist" has the meaning given that term in OAR 410-180-0300 and also means an individual who has completed a Division approved training program (see OAR 410-180-0312) and is providing peer delivered services to an individual or family member with similar life experience, under the supervision of a qualified clinical supervisor.¶~~
- ~~(18) "Psychiatric Emergency Services (PES)" means medical and behavioral health services provided to individuals~~

experiencing an acute disturbance of thought, mood, behavior, or social relationship that requires an immediate intervention as defined by the patient, family or the community, to prevent harm to the patient or others.¶¶

(19) "Safety Plan" means a patient directed document developed through a collaborative process in which the provider assists the patient in listing strategies for the patient to use when suicide ideation is elevated or after a suicide attempt. A safety plan template is available from the Suicide Prevention Resource Center at <http://www.sprc.org/resources-programs/calm-counseling-access-lethal-means-0>.¶¶

(20) "Transition of Care Coordination" also known as a Warm Handoff, means the process of transferring a patient from one provider to another, prior to discharge.¶¶

(21) "Trauma Informed Services" has the meaning given that term in OAR 309-018-0105(77). The Authority's trauma informed service policy may be found at <https://www.oregon.gov/oha/amh/pages/trauma.aspx>.

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

AMEND: 309-023-0120

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0120

PES Facility Requirements ¶¶

~~(1) For purposes of these rules, a hospital or hospital satellite offering psychiatric emergency services shall be delivered in an emergency department through a hospital or hospital satellite licensed in accordance with OAR chapter 333 division 500 in its emergency department shall.¶¶~~

~~(2a) The PES facility shall comply with the following:¶¶~~

~~(a) Standards for Comply with all standards applicable to Regional Acute Care Psychiatric Services for Adults pursuant to OAR 309-032-0850 to 0870 chapter 309, division 032.¶¶~~

~~(b) Be approved as a hospital hold facility pursuant to OAR 309-033-0500 to 0550.¶¶~~

~~(c) Meet the structural and physical requirements set forth in OAR chapter 333, division 535 and 309-033-0727.¶¶~~

~~(32) The facility shall offer food and drink at regularly scheduled intervals and as needed; to patients receiving services.¶¶~~

~~(43) The facility shall develop, and shall annually revise, policies and procedures annually that demonstrate collaboration with all local licensed ambulance service agencies and police departments that specify the role of each responder in managing medical, psychiatric, and other emergencies. The policies and procedures shall also include a requirement for first responder training to determine if the appropriate setting for the patient should be a PES facility.¶¶~~

~~(54) The facility shall develop policies and procedures that demonstrate collaboration with the local community and local Coordinated Care Organizations.¶¶~~

~~(65) The facility shall have phone access available for the patient, when appropriate.¶¶~~

~~(76) The facility shall offer a care setting that is appropriate to the patient's wishes and safety needs. Care settings should include a living room setting, which meaning a care setting that reflects the relaxed, warm, welcoming and non-clinical qualities of a typical living room. This setting may accommodate the option for lying down comfortably and allowing for more privacy. Living room settings include comfortable seating, soft lighting, and are designed to encourage a sense of safety and belonging.~~

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

AMEND: 309-023-0130

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0130

~~Service~~ Psychiatric Emergency Service Standards ¶

- (1) Psychiatric emergency services may include up to 23 hours of triage and assessment, observation and supervision, crisis stabilization, crisis intervention, crisis counseling, case management, medication management, safety planning, lethal means counseling, and mobilization of peer and family support and community resources. ¶
- (2) The facility shall deliver services that are individualized, recovery-oriented, trauma informed, culturally and linguistically responsive, developmentally and medically appropriate, and consistent with best practices for suicide risk assessment, intervention, and treatment. ¶
- (3) Staff must promptly conduct an assessment to determine the precipitating factors that lead to the crisis and a screening assessment ~~which shall that~~ includes: a best practice evaluation of risk of harm to self or others; a mental status exam; need for immediate ~~b~~Behavioral ~~h~~Health ~~a~~Crisis Assessment, including depression screening; ; need for emergency intervention; a medical screening exam; and collection of collateral information. ¶
- (4) Staff shall develop a crisis stabilization plan that provides the most effective treatment based on the patient's provisional psychiatric condition and, to the maximum extent possible, incorporates patient and/or family preferences. ~~For purposes of these rules, the term families includes families of choice.~~ The facility shall offer peer delivered services to the patient and family and which, if accepted, shall be incorporated in care coordination and crisis stabilization plan. ¶
- (5) The facility shall ~~p~~ ¶
 - (a) Provide access to existing community-based rehabilitation ~~services~~. ¶
 - (b) Provide reasonable access to peer and family support and social services that may be used to help the patient transition to the community; ~~and provide documentation of~~ ¶
 - (c) Document other needed interventions including crisis counseling and family counseling. ¶
- (6) Transition of care coordination ~~shall include to the extent possible and when the patient agrees:~~
 - (a) ~~A face-to-face meeting with a community provider and the patient, and if possible, family, and hospital staff prior to discharge.~~ ¶
 - (b) ~~A face-to-face meeting may be accomplished via technology that provides secure, unrecorded, audio-video in a private setting with a community provider and the patient, and if possible, family and hospital staff.~~ ¶
 - (a) If the individual is enrolled in a coordinated care organization, staff shall notify the CCO and, if applicable, the individual's intensive care coordinator, that the individual has accessed psychiatric emergency services. ¶
- (7) ~~Transition of care coordination shall include:~~
 - (a) ~~The PES facility shall designate a transitional team at the PES facility to support the patient, serve as a bridge between the hospital and a community provider, and to the extent possible ensure that the patient connects with a community provider, and to peer and family support services if desired by the patient and their family.~~ ¶
 - (b) ~~For patients discharged to their home or other living environment, a member of the transition team shall determine, through interviews with the patient, family, peer or family support specialists or lay caregiver:~~
 - (A) Determine the safety of that environment, and potential mitigating factors to reduce risk, ~~p~~; and ¶
 - (B) Provide discharge instructions, including a safety plan, and lethal means counseling to the patient, peer and family support specialist and family. ¶
- (8) ~~Facilities shall ensure that the rights of individuals are provided pursuant to OAR 309-032-0341.~~

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

ADOPT: 309-023-0135

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0135

Individual Rights

- (1) In addition to all applicable statutory and constitutional rights, every eligible individual receiving psychiatric emergency services has the right to:
- (a) Choose from available services and supports;
 - (b) Be treated with dignity and respect;
 - (c) Have all services explained, including expected outcomes and possible risks;
 - (d) Confidentiality and the right to consent to disclosure in accordance with ORS 107.154, 179.505, and 192.517; 42 CFR Part 2; and 45 CFR 205.50;
 - (e) Give informed consent to services in writing prior to the start of services, except in a medical emergency or as otherwise provided by law;
 - (f) Inspect their Individual Service Record in accordance with ORS 179.505;
 - (g) Refuse participation in experimentation;
 - (h) Receive medications specific to the individual's diagnosed clinical needs, including medications used to treat opioid dependence;
 - (i) Receive prior notice of service conclusion or transfer, unless the circumstances necessitating service conclusion or transfer pose a threat to health or safety;
 - (j) Be free from abuse and neglect, and to report any incident of abuse or neglect without being subject to retaliation;
 - (k) Have religious freedom;
 - (L) Be informed at the start of services, and periodically thereafter, of the rights guaranteed by these rules;
 - (m) Be informed of the policies and procedures, service agreements, and fees applicable to the services provided, and to have a custodial parent, guardian, or representative assist with understanding any information presented;
 - (n) Have family and guardian involvement in Treatment Plan and delivery;
 - (o) Make a declaration for mental health treatment, if legally an adult;
 - (p) File grievances, including appealing decisions resulting from the grievance; and
 - (q) Exercise all rights described in this rule without any form of reprisal or punishment; and
 - (r) Express sexual orientation, gender identity, and gender presentation, as those terms are defined in OAR 309-019-0105.
- (2) Notifying individuals about their rights.
- (a) The provider shall give to the individual and, if applicable, to the guardian, a document that describes the individual rights defined in this rule, as well as how to exercise those rights. Upon request, this information shall be explained verbally, and shall be made available in an alternative format or language appropriate to the individual or guardian's needs.
 - (b) The provider shall post, in a common area, a document describing the rights enumerated in this section.
- Statutory/Other Authority: 413.042
Statutes/Other Implemented: 413.042

AMEND: 309-023-0140

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0140

Seclusion and Restraint ¶

(1) The facility shall be certified as a Class 1 facility pursuant to OAR 309-033-0520. A Class 1 facility is a facility that is approved under applicable administrative rules to be locked to prevent a patient from leaving the facility, to use seclusion and restraint, and to involuntarily administer psychiatric medication.¶

(2) The facility shall comply with the seclusion and restraint ~~requirements~~ rules set forth in OAR chapter 309, division 033.

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

AMEND: 309-023-0150

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0150

Involuntary Detainment & Informed Consent ¶¶

(1) For individuals who are in custody, under a civil commitment, or hospital hold, or who are on diversion, the PES facility must comply with the administrative rules in OAR chapter 309, division 033, which govern the administration, standards of care, standards for obtaining informed consent, administration of emergency procedures without informed consent, and transportation of individuals being held in custody, irrespective of whether the individual is under a civil commitment order, a hospital hold, or on diversion from a civil commitment. ¶¶

(2) The facility shall have written policies concerning the care, custody, and treatment of individuals in custody or on diversion. These policies shall be reviewed as part of the Division's approval process, and be in accordance with OAR chapter 309 division 033.

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

AMEND: 309-023-0160

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0160

Staffing Requirements ¶

- (1) An adequate number of clinical staff and on-site peer support specialists shall be available and specifically trained in psychiatric emergency services.¶
- (2) A licensed psychiatrist shall be available to meet with patients as needed at any time and on site no less than 12 hours each day to assess individuals and initiate the development of a crisis stabilization plan and oversee patient care.¶
- (3) At a minimum, one registered nurse, and one licensed mental health professional shall be on-site 24/7; and shall be dedicated to providing psychiatric emergency services to individuals in crisis.

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

AMEND: 309-023-0170

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0170

Staff Training ¶

(1) The facility shall have policies and procedures for initial training and ongoing educational programs to instruct staff regarding best practices in psychiatric emergency services.¶

(2) A staff training curriculum shall include, but is not limited to:¶

(a) Criteria for the admission of an individual who can safely be served by the facility;¶

(b) Recognition of indicators of violence to self or others, ~~or~~ including assault, and criteria for the transfer of the individual to or from the facility;¶

(c) Indicators of medical problems, ~~identification of medication side effect and medical crisis~~, and indicators of medical problems and medical crisis side effects;¶

(d) Management of aggressive behavior and de-escalation techniques;¶

(e) Trauma Informed care in accord with ~~the Authority's Trauma Informed Policy at~~

~~<https://www.oregon.gov/oha/amh/pages/trauma.aspx>~~ guidelines established by the Authority;¶

(f) Practices to provide psychoeducation and post-discharge safety to patients and families;¶

(g) Best practice treatment for substance use disorders¶

~~(h) Staff training in b; and~~¶

(h) Best practices for:¶

(A) Lethal means counseling, which may include the CALM (~~<http://www.sprc.org/resources-programs/calm-counseling-access-counseling-on-access-to-lethal-means>~~) (CALM) curriculum or similar curriculum;¶

(B) Collaboration with patients on development of safety plans which may include guidelines established by the Suicide Prevention Resource Center;

~~<http://www.sprc.org/sites/default/files/SafetyPlanningGuide%20Quick%20Guide%20for%20Clinicians.pdf>~~ or other Authority-approved guidelines; and¶

(C) Risk assessment.¶

(3) At a minimum, staff training shall be provided at time of hire and required annually, or more often if necessary.

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042

AMEND: 309-023-0180

RULE SUMMARY: Administrative rules are being revised to

1. Update language to bring to current
2. To align with CCO 2.0

CHANGES TO RULE:

309-023-0180

Quality Assessment and Improvement, and Patient Outcomes ¶

(1) Facilities must comply with the quality assessment and improvement requirements set forth in OAR 309-032-0870-(10).¶

(2) In addition to the quality assessment requirements in section (1,) facilities shall maintain records of outcomes, for each patient, outlined in the PES provider manual.¶

(3) Facilities shall report annually to the Authority regarding quality assessment information set forth in OAR 309-032-0870 and outcomes described in the PES provider manual. The report shall use data to demonstrate the quality, cost-effectiveness, and patient satisfaction with PES. The Authority shall review the PES facility reports annually and may make changes to PES policy or payment based on outcome performance.

Statutory/Other Authority: ORS 413.042

Statutes/Other Implemented: ORS 413.042