



Date: March 5, 2021

To: Coordinated Care Organizations

From: Dave Inbody, CCO Operations Manager

Subject: CY 2020 and 2021 deliverables due in Q2 CY 2021

This communication is a reminder about Coordinated Care Organization (CCO) deliverables for Contract Years 2020 and 2021 due in the second quarter of 2021. The deliverables are listed below as separate tables for each Contract Year (CY), with the contract section, due date, and submission method for each item. The tables are sorted by due date.

This communication is a compilation of information previously provided by the Oregon Health Authority (OHA) to CCOs via contract documents, memos, and emails. OHA memos about deliverables are posted on the CCO Plan Contract Announcements [webpage](#). Reporting templates, guidance documents, and/or evaluation criteria for particular deliverables are provided on the CCO Contract Forms [webpage](#) or as links on that webpage to other OHA webpages.

In the column that specifies the submission method for each item, "Email" means the CCO deliverables mailbox at CCO.MCOCDeliverableReports@dhsoha.state.or.us, which is the default method unless otherwise specified. "Email to OAFA" means the mailbox for the Office of Actuarial and Financial Analytics (OAFA), fka Actuarial Services Unit, at Actuarial.Services@dhsoha.state.or.us. "OAFA SFTP" means the OAFA SFTP site for CCOs. "EDI SFTP" means the Encounter Data Unit's SFTP site for CCOs.

Due dates in the tables below are not adjusted for the holidays specified in ORS 187.010 but are adjusted for extensions communicated by OHA.

<i>CY 2020</i>	<i>Contract Section</i>	<i>Due Date</i>	<i>Method</i>
Final report on spending plan for distribution of withhold suspended for April-December 2020 (per July 2020 COVID amendment)	Ex. B, Part 10, Sec. 4, Para. d (2)	04/30/2021	Email to OAFA
Deadline for encounter data for Hepatitis C risk corridor settlement	Ex. C, Sec. 6, Para. a	04/30/2021	EDI SFTP
NAIC: Annual financial statements, actuarial opinion, risk-based capital report, MD&A, Form B (Insurance Holding Company System Annual Registration Statement), Form C (Summary of Changes to Registration Statement), and Form F (Insurance Holding Company System Annual Registration Statement)	Ex. L, Sec. 2, Para. c	04/30/2021	OAFA SFTP
Exhibit L Financial Reporting Template (bi-annual and annual listed in report template)	Ex. L, Sec. 3	04/30/2021	OAFA SFTP
Rate of Growth report	Ex. L, Sec. 8, Para. e	04/30/2021	OAFA SFTP
Exhibit L Financial Reporting Supplement SE	Ex. L, Sec. 3	05/31/2021	OAFA SFTP

CY 2020	Contract Section	Due Date	Method
NAIC: Audited financial statements	Ex. L, Sec. 2, Para. e	06/30/2021	OAFA SFTP
Exhibit L Financial Reporting Template (post audit revisions, if necessary)	Ex. L, Sec. 3	06/30/2021	OAFA SFTP

CY 2020 – Cover All Kids	Contract Section	Due Date	Method
Deadline for encounter data for CAK risk corridor settlement (per CAK amendment #4 executed in fall 2020)	Ex. B, Sec. 5, Para. b (1)(a)	04/30/2021	EDI SFTP

CY 2021	Contract Section	Due Date	Method
Intensive In-Home Behavioral Health Treatment (IIBHT) Progress Report #2 (per 12/24/2020 email)	Ex. M, Sec. 20	04/15/2021	Email
Q1 Language access and interpreter services quarterly report	Ex. B, Part 4, Sec. 2, Para. k (2)	04/19/2021	Email
Q1 P4P PBM subcontract quarterly report on total administrative costs per claim (if applicable)	Ex. B, Part 2, Sec. 7, Para. e (4)(b)i	04/30/2021	Email
Q1 Fraud, Waste and Abuse (FWA) Audit Report	Ex. B, Part 9, Sec. 17, Para. b (2)	04/30/2021	Email
Q1 FWA Referrals and Investigations report	Ex. B, Part 9, Sec. 17, Para. c (2)	04/30/2021	Email
Q1 PIP Progress Report	Ex. B, Part 10, Sec. 6, Para. e	04/30/2021	Email
Q1 Delivery System Network (DSN) Provider Capacity Report	Ex. G, Sec. 2, Para. a	04/30/2021	Email
Q1 Quarterly Report for System of Care (SOC) Statewide Steering Committee	Ex. M, Sec. 19, Para. p (5)	04/30/2021	Email
Annual Behavioral Health Report (due date extended per 03/05/2021 memo)	Ex. M, Sec. 21, Para. a	04/30/2021	Email
PCPCH VBP data and Care Delivery Area VBP data template	Ex. H, Sec. 6, Para. a	05/06/2021	Email
Q1 Grievance and Appeal System Log and all ABA and Hep C NOABDs	Ex. I, Sec. 10, Para. b	05/15/2021	Email
Q1 Grievance and Appeal System Report	Ex. I, Sec. 10, Para. c	05/15/2021	Email
NAIC: Q1 financial statements	Ex. L, Sec. 2, Para. d	05/31/2021	OAFA SFTP
Q1 Exhibit L Financial Reporting Template	Ex. L, Sec. 3, Para. a	05/31/2021	OAFA SFTP
Centers for Medicare and Medicaid (CMS) annual Drug Utilization Review (DUR) Survey for reporting year October 2019-September 2020	Ex. B, Part 2, Sec. 7, Para. c	06/01/2021	Email
Corporate Governance Annual Disclosure (CGAD) Report and financial Distributions to shareholders, equity members, parent companies, and other related parties	Ex. L, Sec. 4, Paras. a-b	06/01/2021	OAFA SFTP
Mental Health Parity Report (due date extended per 02/25/2021 memo)	Ex. M, Sec. 23	06/01/2021	Email
Q1 Non-Emergent Medical Transportation (NEMT) QA quarterly report	Ex. B, Part 2, Sec. 5, Para. g (3)(a)	06/29/2021	Email
Annual Community Advisory Council (CAC) Member Demographic Report	Ex. K, Sec. 5, Para. c	06/30/2021	Email
Community Health Assessment (CHA) - for CCOs without a Predecessor Agreement *	Ex. K, Sec. 6, Para. g	06/30/2021	Email

CY 2021	Contract Section	Due Date	Method
CHA - for CCOs with a Predecessor Agreement where prior approved CHA is not representative of Contractor's Service Area or has not been updated with 5 years *	Ex. K, Sec. 6, Para. h	06/30/2021	Email
Community Health Improvement Plan (CHP) - for CCOs without a Predecessor Agreement *	Ex. K, Sec. 7, Para. i	06/30/2021	Email
CHP - for CCOs with a Predecessor Agreement where prior approved CHP is not representative of Contractor's Service Area or has not been updated *	Ex. K, Sec. 7, Para. k	06/30/2021	Email
Annual CHP Progress Report - for CCOs with a current CHP submitted prior to 06/30/2021 *	Ex. K, Sec. 7, Para. m	06/30/2021	Email
SHARE Initiative Spending Plan	Ex. K, Sec. 8, Para. b (2)	06/30/2021	Email
Updated Health Equity Plan (HEP), Annual Training and Education Report, and Annual Health Equity Assessment Report	Ex. K, Sec. 10, Para. a (3); Para. d (9); Para. e (1)	06/30/2021	Email
Comprehensive Behavioral Health Plan (per 03/01/2021 email)	Ex. M, Sec. 12, Para. a (2)	06/30/2021	Email
CPA Awareness Letter of CCO Accounting Requirements	OAR 410-141-5020(4)	06/30/2021	OAFA SFTP
CPA Letter of Qualification	OAR 410-141-5035(1)	06/30/2021	OAFA SFTP
Grievance and Appeal Member Notice templates (due date will be announced following conclusion of workgroup, per 02/02/2021 email)	Ex. I, Sec. 10, Para. a (1-3)	TBD in Q2	Email

* Please contact Tom Cogswell, Project Coordinator, at Thomas.Cogswell@dhsosha.state.or.us with questions about the CHA and CHP deliverables.

Questions?

If you have questions about this communication, please contact Cheryl Henning, CCO Contracts Administrator, at Cheryl.L.Henning@dhsosha.state.or.us.

Thank you for your continued support of the Oregon Health Plan (OHP) and the services you provide to OHP members.