

Guidance for Drug Utilization Review Annual Survey to CMS Federal Fiscal Year 2020 (October 2019 – September 2020)

1. **Be sure to use Adobe Reader DC version 2018 or above to complete the survey.** Do not edit in a web browser or convert into Word and back.
2. **Answer all questions based on processes and plans as they existed during the reporting period (Oct. 2019 – Sept. 2020).** For example, if your CCO first implemented an “accumulation edit” on Jan. 1, 2021, you would answer “No” to a question asking whether your CCO had such an edit, and “Yes” to a question asking whether your CCO planned to introduce such an edit in the future.
3. **Responses will be published.** The survey asks responders to confirm awareness of this and to confirm responses do not include proprietary information.
4. **Use the exact same business name for this year’s survey as last year’s unless there’s a legal or contractual reason to change.** For new entities, please request Dee to add a name ASAP.

AllCare CCO	InterCommunity Health Network	Trillium Community Health Plan
Cascade Health Alliance	Jackson Care Connect	Tuality Health Alliance
Columbia Pacific CCO	PacificSource Community Solutions-Central Oregon	Umpqua Health Alliance (UHA)
Eastern Oregon Coordinated Care Organization (EOCCO)	PacificSource Community Solutions-Columbia Gorge	Willamette Valley Community Health
Health Share of Oregon- CareOregon RAE	PrimaryHealth of Josephine County	Yamhill Community Care Organization
Health Share of Oregon/Kaiser Permanente	Providence / Health Share of Oregon	

5. **MCO Program Type.** The “Program Type” should be “Comp. MCO”

Managed Care Plan Type	Managed Care Program Type
Comprehensive MCO	<ul style="list-style-type: none"> • Comprehensive MCO • Comprehensive MCO +MLTSS (if benefits include LTSS)

6. Please **do not use “special characters”** (i.e. -, ‘, “). Use of these characters will prevent final uploading to CMS. Files that cannot be uploaded will be returned for correction. [CMS did not specify which characters are disallowed.]

7. Questions about generic substitution policies now require additional detailed data. See Section VI, p. 24. The survey now asks:

4. How many multi-source drugs have the innovator as the preferred drug product based on net pricing?

5. Indicate the percentage dollars paid for generic CODs in relation to all COD claims paid during this reporting period using the computation instructions in **Table 2: Generic Utilization Drug Data**.

Generic Dollars: _____ 0.00

Total Dollars: _____ 0.00

Generic Expenditure Percentage: _____ %

Ex. OHA sometimes “prefers” brand-name products when they have a lower net cost than the generic alternatives. Does your CCO do this too?

8. CMA provides the following additional guidance for questions about “lock-in” programs (p. 27):

d) On average, what percentage of your Medicaid MCO population is in Lock-in status annually?

_____ %

d) If your calculated percentage is less than 0.01%, please default the response to

e) Please provide an estimate of the savings attributed to the Lock-In Program for the fiscal year under review.

_____ %

e) [NEW question] Please respond with a percentage of total expenditure. If the percentage is less than 1%, please enter 1% as your response.

9. Section VII.B. now includes very detailed questions about the PDMP. Question 5 (and its associated tables), starting p. 36, requires data that may not yet be available. **CMS confirmed question 5 and the associated tables are OPTIONAL.** Responses will be mandatory for FFS 2023.

10. Section VII.B. question 6, p. 41, is mandatory. CMS instructs MCOs should **respond “No” if they do not have access to the information necessary to respond.** This will likely be the case for CCOs.

6. In this reporting period, have there been any data or privacy breaches of the PDMP or PDMP data?

Yes

Please summarize the breach, the number of individuals impacted, a description of the steps the State has taken to address each such breach, and if law enforcement or the affected individuals were notified of the breach.

No