

Attachment 1 - Letter of Intent to Apply Form

1. Applicant's Legal Entity name: CareOregon, Inc.
2. Applicant's Secretary of State Business Registration¹: 044200-89
3. Oregon Headquarter Location: 315 SW 5th Avenue, Portland, OR 97204
4. Principle Place of Business (if different than Oregon Headquarter Location): _____
5. Key Contact Person: Erin Fair Taylor, Chief Legal Officer
- Key Contact Person Phone/Email: 503.416.1797 faire@careoregon.org
Phone Email

6. To be eligible to apply, Applicant must be one (or more) of the following (Please check yes or no for each item):

- a. An organization that (1) has a certificate of authority in good standing as a health care service contractor or health insurance company from the Oregon Department of Consumer and Business Services (DCBS), and (2) issues health benefit plans, as defined in 743B.005, in Oregon.

Yes No

If you selected Yes, please provide the DCBS Certificate of Authority number:

- b. An organization that is under, or during the last two years was under, a Medicaid contract with OHA to bear capitated health care financial risk in Oregon, including CCOs currently or formerly certified by OHA.

Yes No

If you selected Yes, please provide the Medicaid contract type and number:

DCO Contract #132355; Risk Accepting Entity for 198,315 OHP & CAK Members under Health Share of Oregon's CCO Contract #143115-10

- c. A Provider Organization which bears health care financial risk in Oregon (e.g. hospital systems with capitated contracts from self-insured health plans) but which DCBS has exempted from a certificate of authority by Bulletin 96-2, https://dfr.oregon.gov/laws-rules/Documents/Bulletins/bulletin_96-02.pdf.

Yes No

If you selected Yes, please explain the health care financial risk you bear in Oregon and how you meet the DCBS exemption: _____

- d. A Tribe or Tribal organization.

Yes No

Note: A Tribe may sponsor an Indian Managed Care Entity or a CCO on a different timeline from that generally applicable to Applicants. Tribal members may be moved to that organization when it is approved by OHA.

¹ If Applicant is formed under insurance law, furnish the registration number with the Oregon Department of Consumer and Business Services (DCBS).

- e. An entity newly formed from one or more of the organizations described above.

Yes No

If you selected Yes, please describe the newly formed organization and explain how the constituent or predecessor organizations meets one of the requirements in (a) through (d) above:

Please note: Applicant's qualifications to apply will not be evaluated until after the Application due date.

7. Desired Service Area

County (List each desired County separately)	In your Application, will you request to serve less than the entire County?	If yes, what zip codes will be in your requested Service Area in this County?
Clackamas County	No	
Multnomah County	No	
Washington County	No	

Please note: If Applicant requests to cover less than a full County, it will be required to provide additional information and its reasoning for the request in its Application. OHA will consider requests during Application evaluation and will determine whether to approve or reject the request based on criteria that include, but are not limited to, how the request better serves the goals of CCO 2.0 than serving the entire County at issue. These Applicant requests and subsequent OHA responses do not limit OHA in any way from requiring additional changes to an Applicant's proposed Service Area based on OHA's needs and the needs of its Members. OHA may require an Applicant to accept OHA's additional Service Area request(s) as a condition of receiving an award or a Notice to Proceed as OHA and its Members' needs warrant. Applicant's requests for Service Area will not be evaluated until after the Application due date.

8. In Exhibit A, please provide an organization chart complying with the requirements of Attachment 6.
9. In Exhibit B, describe your current lines of health plan business in Oregon. Provide total covered lives for each line of business. (Provide separate figures for the following markets: Medicaid, other OHA, non-OHA state health plans, other state or local public sector, Medicare, other federal, Marketplace, other commercial insured, and commercial self-funded. Within each market identify numbers for benefit coverage types such as oral and comprehensive medical and identify numbers that are administrative-services-only as opposed to at-risk).

10. Applicant's Good Faith Intentions

Applicant has a good faith intention to submit an Application and believes it has the resources to do so. If at any time prior to or upon the Application due date Applicant determines it will not submit an Application, Applicant will submit to OHA a notarized letter, withdrawing this letter of intent and briefly stating the reason for the withdrawal. If at any time prior to seven days before the Application due date Applicant determines it must change the provisions of this LOI other than the requested Service Area, Applicant will submit to OHA a notarized letter, changing this letter of intent and briefly stating the reason for the change.

11. Acknowledgements

Applicant acknowledges that this Letter of Intent is binding upon Applicant if it proceeds to submit an Application and continues through the RFA process without withdrawing its Application. Applicant also acknowledges that OHA will publicly post the information in this LOI prior to the Application submission date. To be considered for a CCO Contract, Applicant must submit all required document in the RFA by the applicable dates in Section 1.2 of the RFA.

Representatives of Applicant have read the RFA in its entirety. By submitting this Letter of Intent, Applicant acknowledges and agrees to be bound by RFA Section 6.2 (Governing Laws) and 6.4 (Limitation on Claims). Applicant also agrees to be bound by all the other provisions of the RFA, subject to Applicant's protest rights as set forth in the RFA.

12. Signature

The signature must be notarized, as follows

I, Eric C. Hunter, being first duly sworn under oath, and representing Applicant, hereby depose and swear or affirms under penalty of perjury that:

- a. I am an officer of the Applicant,
- b. I have personal knowledge of this Letter of Intent and believe it to be accurate, and
- c. I have full authority from the Applicant to submit this Letter of Intent.

Eric C. Hunter
Signature

Eric C. Hunter, CEO, CareOregon
Printed Name and Title

2-1-19
Date

State of Oregon)

) ss:

County of Multnomah

Signed and sworn to before me on 2/1/2019 (date) by Eric Hunter (Affiant's name).

Lorinda S. Koller

Notary Public for the State of Oregon

My Commission Expires: 2/22/2020

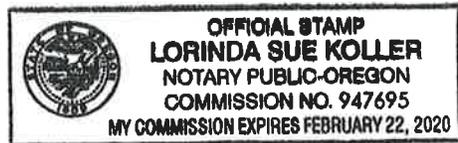
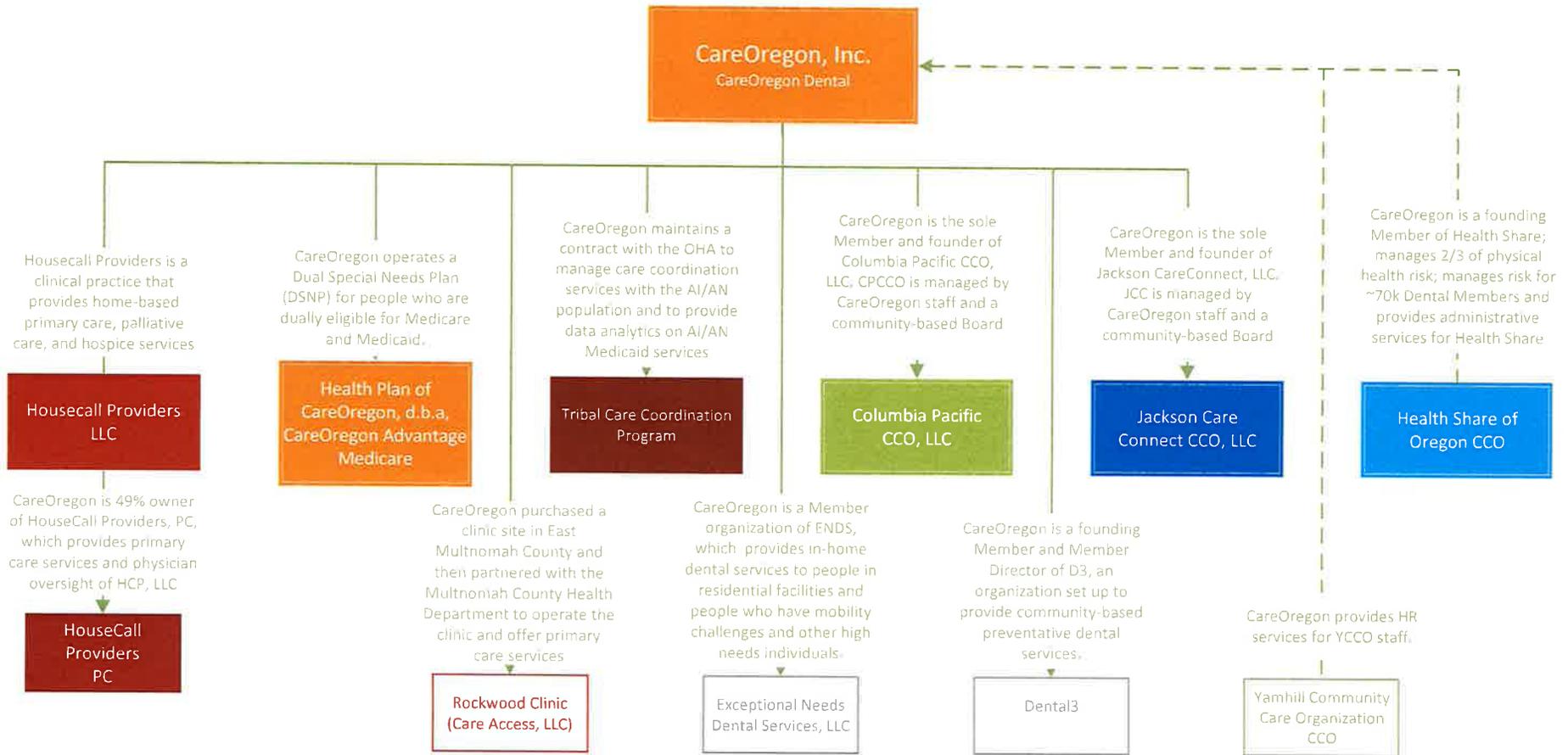


EXHIBIT A - Organization Chart

CareOregon Legal Structure & Key Contracts/Relationships

CareOregon, Inc. is a 501(c)(3) tax-exempt, nonprofit public benefit corporation incorporated in the State of Oregon. It has been participating in the Oregon Health Plan since its inception in 1994.



CCO 2.0 Request for Application - Letter of Intent
Exhibit B – Other Health Plan Lines of Business in Oregon
CareOregon, Inc.

CareOregon participates directly in the risk management and administration of the OHP benefit through its subsidiary LLCs, CPCCO and JCC, for each of which CareOregon is the sole Member. CareOregon holds a direct contract with the Oregon Health Authority to manage oral health risk and benefits for members on Open Card. Under the Health Share of Oregon, CareOregon accepts risk for both physical health and oral health risk. In 2017, upon the request of the nine federally-recognized tribes and NARA, CareOregon entered into a contract with the OHA to offer care coordination to Alaska Native/American Indian OHP enrollees on Open Card. Finally, CareOregon offers a Medicare Dual Special Needs Plan for those members who are dually eligible for Medicare and Medicaid.

CareOregon, Inc.						
OHP (Medicaid) through CPCCO & JCC ¹	OHP Now Covers Me through CPCCO & JCC	OHP – CareOregon Dental (directly from OHA) ²	OHP – Physical Health Risk & Benefit Management for Health Share of Oregon ³	OHP – Dental Health Risk & Benefit Management for Health Share of Oregon ⁴	OHP – Tribal Care Coordination Program for Open Card AI/AN Members ⁵	CareOregon Advantage (Medicare DSNP) ⁶
54,940	1,125	1,791	198,315	71,023	17,826	11,262

¹ CPCCO and JCC have CCO Contracts and OHP Now Covers Me Contracts with OHA. JCC delegates the risk and benefit administration to CareOregon, Inc. for physical health and behavioral health services under both contracts. Oral health is delegated to four dental care organization partners.

² CareOregon Dental has a Dental Care Organization contract with the OHA to manage dental benefits for Open Card members assigned to CareOregon Dental.

³ CareOregon has a risk accepting entity agreement with Health Share of Oregon CCO to manage risk and benefit administration for physical health services. This number includes both OHP and OHP Now Covers Me enrollees.

⁴ CareOregon has a risk accepting entity agreement with Health Share of Oregon CCO to manage risk and benefit administration for oral health services. This number includes both OHP and OHP Now Covers Me enrollees.

⁵ CareOregon has a contract with the Oregon Health Authority that is overseen by the nine federally-recognized tribes and NARA, the Urban Indian program to provide care coordination services to AI/AN members enrolled in OHP Open Card.

⁶ Health Plan of CareOregon (CareOregon Advantage) holds a Medicare Advantage Contract to operate a Duals Special Needs Plan (DSNP) for those who are dually eligible for Medicare and Medicaid.