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APPENDIX A-1. CDC DEFINITIONS OF NOSOCOMIAL INFECTIONS [EXCLUDING PNEUMONIA (SEE APPENDIX A-2)]

Listing of Major and Specific Site Codes and Descriptions

UTI	Urinary Tract Infection
SUTI	Symptomatic urinary tract infection
ASB	Asymptomatic bacteriuria
OUTI	Other infections of the urinary tract
SSI	Surgical Site Infection
SKIN	Superficial incisional site, except after CBGB ¹
SKNC	After CBGB, report SKNC for superficial incisional infection at chest incision site
SKNL	After CBGB, report SKNL for superficial incisional infection at leg (donor) site
ST	Deep incisional surgical site infection, except after CBGB
STC	After CBGB, report STC for deep incisional surgical site infection at chest incision site
STL	After CBGB, report STL for deep incisional surgical site infection at leg (donor) site
	Organ/Space Surgical Site Infection
	Indicate specific site: BONE, BRST, CARD, DISC, EAR, EMET, ENDO, EYE, GIT, IAB, IC, JNT, LUNG, MED, MEN, ORAL, OREP, OUTI, SA, SINU, UR, VASC, VCUP.
PNEU	Pneumonia (See Appendix A:2)
PNU 1	
PNU 2	
PNU 3	
BSI	Bloodstream Infection
LCBI	Laboratory-confirmed bloodstream infection
CSEP	Clinical sepsis
BJ	Bone and Joint Infection
BONE	Osteomyelitis
JNT	Joint or bursa
DISC	Disc space
CNS	Central Nervous System Infection
IC	Intracranial infection
MEN	Meningitis or ventriculitis
SA	Spinal abscess without meningitis

CVS	Cardiovascular System Infection
VASC	Arterial or venous infection
ENDO	Endocarditis
CARD	Myocarditis or pericarditis
MED	Mediastinitis
EENT	Eye, Ear, Nose, Throat, or Mouth Infection
CONJ	Conjunctivitis
EYE	Eye Other than conjunctivitis
EAR	Ear Mastoid
ORAL	Oral Cavity (mouth, tongue, or gums)
SINU	Sinusitis
UR	Upper respiratory tract, pharyngitis, laryngitis, epiglottitis
GI	Gastrointestinal System Infection
GE	Gastroenteritis
GIT	Gastrointestinal (GI) tract
HEP	Hepatitis
IAB	Intraabdominal, not specified elsewhere
NEC	Necrotizing enterocolitis
LRI	Lower Respiratory Tract Infection, Other Than Pneumonia
BRON	Bronchitis, tracheobronchitis, tracheitis, without evidence of pneumonia
LUNG	Other infections of the lower respiratory tract
REPR	Reproductive Tract Infection
EMET	Endometritis
EPIS	Episiotomy
VCUF	Vaginal cuff
OREP	Other infections of the male or female reproductive tract
SST	Skin and Soft Tissue Infection
SKIN	Skin
ST	Soft tissue
DECU	Decubitus ulcer
BURN	Burn
BRST	Breast abscess or mastitis
UMB	Omphalitis
PUST	Infant pustulosis
CIRC	Newborn circumcision
SYS	Systemic Infection
DI	Disseminated infection

Definitions of Infection Sites

INFECTION SITE: Symptomatic urinary tract infection

CODE: UTI-SUTI

DEFINITION: A symptomatic urinary tract infection must meet at least one of the following criteria:

Criterion 1: Patient has at least *one* of the following signs or symptoms with no other recognized cause: fever (>38°C), urgency, frequency, dysuria, or suprapubic tenderness

and

patient has a positive urine culture, that is, $\geq 10^5$ microorganisms per cm^3 of urine with no more than two species of microorganisms.

Criterion 2: Patient has at least *two* of the following signs or

¹ CBGB, coronary artery bypass graft with both chest and donor site incisions.

symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), urgency, frequency, dysuria, or suprapubic tenderness

and

at least *one* of the following:

- Positive dipstick for leukocyte esterase and/or nitrate
- Pyuria (urine specimen with ≥ 10 WBC/mm³ or ≥ 3 WBC/high power field of unspun urine)
- Organisms seen on Gram stain of unspun urine
- At least *two* urine cultures with repeated isolation of the same uropathogen (gram-negative bacteria or *S. saprophyticus*) with $\geq 10^2$ colonies/mL in nonvoided specimens
- $\leq 10^5$ colonies/mL of a single uropathogen (gram-negative bacteria or *S. saprophyticus*) in a patient being treated with an effective antimicrobial agent for a urinary tract infection
- Physician diagnosis of a urinary tract infection
- Physician institutes appropriate therapy for a urinary tract infection

Criterion 3: Patient ≤ 1 year of age has at least *one* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, dysuria, lethargy, or vomiting

and

patient has a positive urine culture, that is, $\geq 10^5$ microorganisms per cm³ of urine with no more than two species of microorganisms.

Criterion 4: Patient ≤ 1 year of age has at least *one* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, dysuria, lethargy, or vomiting

and

at least *one* of the following:

- Positive dipstick for leukocyte esterase and/or nitrate
- Pyuria (urine specimen with ≥ 10 WBC/mm³ or ≥ 3 WBC/high power field of unspun urine)
- Organisms seen on Gram stain of unspun urine
- At least *two* urine cultures with repeated isolation of the same uropathogen (gram-negative bacteria or *S. saprophyticus*) with $\geq 10^2$ colonies/mL in nonvoided specimens
- $\leq 10^5$ colonies/mL of a single uropathogen (gram-negative bacteria or *S. saprophyticus*) in a patient being treated with an effective antimicrobial agent for a urinary tract infection
- Physician diagnosis of a urinary tract infection
- Physician institutes appropriate therapy for a urinary tract infection

COMMENTS:

- A positive culture of a urinary catheter tip is *not* an acceptable laboratory test to diagnose a urinary tract infection.

- Urine cultures must be obtained using appropriate technique, such as clean catch collection or catheterization.
- In infants, a urine culture should be obtained by bladder catheterization or suprapubic aspiration; a positive urine culture from a bag specimen is unreliable and should be confirmed by a specimen aseptically obtained by catheterization or suprapubic aspiration.

INFECTION SITE: Asymptomatic bacteriuria

CODE: UTI-ASB

DEFINITION: An asymptomatic bacteriuria must meet at least one of the following criteria:

Criterion 1: Patient has had an indwelling urinary catheter within 7 days before the culture

and

patient has a positive urine culture, that is, $\geq 10^5$ microorganisms per cm³ of urine with no more than two species of microorganisms

and

patient has *no* fever ($>38^{\circ}\text{C}$), urgency, frequency, dysuria, or suprapubic tenderness.

Criterion 2: Patient has *not* had an indwelling urinary catheter within 7 days before the first positive culture

and

patient has had at least *two* positive urine cultures, that is, $\geq 10^5$ microorganisms per cm³ of urine with repeated isolation of the same microorganism and no more than two species of microorganisms

and

patient has *no* fever ($>38^{\circ}\text{C}$), urgency, frequency, dysuria, or suprapubic tenderness.

COMMENTS:

- A positive culture of a urinary catheter tip is *not* an acceptable laboratory test to diagnose bacteriuria.
- Urine cultures must be obtained using appropriate technique, such as clean catch collection or catheterization.

INFECTION SITE: Other infections of the urinary tract (kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric spaces)

CODE: SUTI-OUTI

DEFINITION: Other infections of the urinary tract must meet at least one of the following criteria:

Criterion 1: Patient has organisms isolated from culture of fluid (other than urine) or tissue from affected site.

Criterion 2: Patient has an abscess or other evidence of infection seen on direct examination, during a surgical operation, or during a histopathologic examination.

Criterion 3: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), localized pain, or localized tenderness at the involved site

and

at least *one* of the following:

- Purulent drainage from affected site

- b. Organisms cultured from blood that are compatible with suspected site of infection
- c. Radiographic evidence of infection, for example, abnormal ultrasound, computed tomography (CT), magnetic resonance imaging (MRI), or radiolabel scan (gallium, technetium)
- d. Physician diagnosis of infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space
- e. Physician institutes appropriate therapy for an infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space

Criterion 4: Patient ≤ 1 year of age has at least one of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, lethargy, or vomiting

and

at least *one* of the following:

- a. Purulent drainage from affected site
- b. Organisms cultured from blood that are compatible with suspected site of infection
- c. Radiographic evidence of infection, for example, abnormal ultrasound, CT, MRI, or radiolabel scan (gallium, technetium)
- d. Physician diagnosis of infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space
- e. Physician institutes appropriate therapy for an infection of the kidney, ureter, bladder, urethra, or tissues surrounding the retroperitoneal or perinephric space

REPORTING INSTRUCTION:

- Report infections following circumcision in newborns as SST-CIRC.

INFECTION SITE: Surgical site infection (superficial incisional)

CODE: SSI-(SKIN) except following the NNIS operative procedure, CBGB. For CBGB^a only, if infection is at chest site, use SKNC (skin-chest) or if at leg (donor) site, use SKNL (skin-leg)

DEFINITION: A superficial SSI must meet the following criteria:

Infection occurs within 30 days after the operative procedure

and

involves only skin and subcutaneous tissue of the incision

and

patient has at least *one* of the following:

- a. Purulent drainage from the superficial incision

- b. Organisms isolated from an aseptically ob-

tained culture of fluid or tissue from the superficial incision

- c. At least one of the following signs or symptoms of infection: pain or tenderness, localized swelling, redness, or heat, *and* superficial incision is deliberately opened by surgeon, *unless* incision is culture-negative

- d. Diagnosis of superficial incisional SSI by the surgeon or attending physician

REPORTING INSTRUCTIONS:

- Do *not* report a stitch abscess (minimal inflammation and discharge confined to the points of suture penetration) as an infection.
- Do not report a localized stab wound infection as SSI, instead report as skin or soft tissue infection, depending on its depth.
- Report infection of the circumcision site in newborns as SST-CIRC. Circumcision is not an NNIS operative procedure.
- Report infection of the episiotomy site as REPR-EPIS. Episiotomy is not an NNIS operative procedure.
- Report infected burn wound as SST-BURN.
- If the incisional site infection involves or extends into the fascial and muscle layers, report as a deep incisional SSI.
- Classify infection that involves *both* superficial and deep incision sites as deep incisional SSI.
- Report culture specimen from superficial incisions as ID (incisional drainage).

INFECTION SITE: Surgical site infection (deep incisional)

CODE: SSI-[ST (soft tissue)] except following the NNIS operative procedure, CBGB. For CBGB only, if infection is at chest site, use STC (soft tissue-chest) or if at leg (donor) site, use STL (soft tissue-leg)

DEFINITION: A deep incisional SSI must meet the following criteria:

Infection occurs within 30 days after the operative procedure if no implant^b is left in place or within 1 year if implant is in place and the infection appears to be related to the operative procedure

and

involves deep soft tissues (e.g., fascial and muscle layers) of the incision

and

patient has at least *one* of the following:

- a. Purulent drainage from the deep incision but not from the organ/space component of the surgical site
- b. A deep incision spontaneously dehisces or is deliberately opened by a surgeon when the patient has at least one of the following signs or symptoms: fever ($>38^{\circ}\text{C}$) or localized pain or tenderness, *unless* incision is culture-negative
- c. An abscess or other evidence of infection involving the deep incision is found on direct

^a CBGB, coronary artery bypass graft with both chest and donor site incisions.

^b A nonhuman-derived implantable foreign body (e.g., prosthetic heart valve, nonhuman vascular graft, mechanical heart, or hip prosthesis) that is permanently placed in a patient during surgery.

- examination, during reoperation, or by histopathologic or radiologic examination
- d. Diagnosis of a deep incisional SSI by a surgeon or attending physician

REPORTING INSTRUCTIONS:

- Classify infection that involves *both* superficial and deep incision sites as deep incisional SSI.
- Report culture specimen from deep incisions as ID.

INFECTION SITE: Surgical site infection (organ/space)

CODE: SSI-(Specific site of organ/space)

DEFINITION: An organ/space SSI involves any part of the body, excluding the skin incision, fascia, or muscle layers, that is opened or manipulated during the operative procedure. Specific sites are assigned to organ/space SSI to further identify the location of the infection. Listed later are the specific sites that must be used to differentiate organ/space SSI. An example is appendectomy with subsequent subdiaphragmatic abscess, which would be reported as an organ/space SSI at the intraabdominal specific site (SSI-IAB).

An organ/space SSI must meet the following criteria:

Infection occurs within 30 days after the operative procedure if no implant^b is left in place or within 1 year if implant is in place and the infection appears to be related to the operative procedure

and
infection involves any part of the body, excluding the skin incision, fascia, or muscle layers, that is opened or manipulated during the operative procedure

and

patient has at least *one* of the following:

- a. Purulent drainage from a drain that is placed through a stab wound into the organ/space
- b. Organisms isolated from an aseptically obtained culture of fluid or tissue in the organ/space
- c. An abscess or other evidence of infection involving the organ/space that is found on direct examination, during reoperation, or by histopathologic or radiologic examination
- d. Diagnosis of an organ/space SSI by a surgeon or attending physician

REPORTING INSTRUCTIONS:

- Occasionally, an organ/space infection drains through the incision. Such infection generally does not involve reoperation and is considered a complication of the incision. Therefore, it is classified as a deep incisional SSI.
- Report culture specimen from organ/space as DD (deep drainage).

The following are specific sites of an organ/space SSI:

Code	Site
BONE	Osteomyelitis
BRST	Breast abscess or mastitis
CARD	Myocarditis or pericarditis
DISC	Disc space
EAR	Ear, mastoid

EMET	Endometritis
ENDO	Endocarditis
EYE	Eye, other than conjunctivitis
GIT	GI tract
IAB	Intraabdominal, not specified elsewhere
IC	Intracranial, brain abscess or dura
JNT	Joint or bursa
LUNG	Other infections of the lower respiratory tract
MED	Mediastinitis
MEN	Meningitis or ventriculitis
ORAL	Oral cavity (mouth, tongue, or gums)
OREP	Other male or female
OUTI	Other infections of the urinary tract
SA	Spinal abscess without meningitis
SINU	Sinusitis
UR	Upper respiratory tract
VASC	Arterial or venous infection
VCUF	Vaginal cuff

INFECTION SITE: Pneumonia (See Appendix A-2)

INFECTION SITE: Laboratory-confirmed bloodstream infection

CODE: BSI-LCBI

DEFINITION: Laboratory-confirmed bloodstream infection must meet at least one of the following criteria:

Criterion 1: Patient has a recognized pathogen cultured from one or more blood cultures

and

organism cultured from blood is *not* related to an infection at another site.

Criterion 2: Patient has at least *one* of the following signs or symptoms: fever (>38°C), chills, or hypotension

and

at least *one* of the following:

- a. Common skin contaminant (e.g., diphtheroids, *Bacillus* sp., *Propionibacterium* sp., coagulase-negative staphylococci, or micrococci) is cultured from two or more blood cultures drawn on separate occasions

- b. Common skin contaminant (e.g., diphtheroids, *Bacillus* sp., *Propionibacterium* sp., coagulase-negative staphylococci, or micrococci) is cultured from at least one blood culture from a patient with an intravascular line, and the physician institutes appropriate antimicrobial therapy

- c. Positive antigen test on blood (e.g., *Haemophilus influenzae*, *Streptococcus pneumoniae*, *Neisseria meningitidis*, or group B *Streptococcus*)

and

signs and symptoms and positive laboratory results are *not* related to an infection at another site.

Criterion 3: Patient ≤1 year of age has at least *one* of the following signs or symptoms: fever (>38°C), hypothermia (<37°C), apnea, or bradycardia

and

at least *one* of the following:

- a. Common skin contaminant (e.g., diphthe-

roids, *Bacillus* sp., *Propionibacterium* sp., coagulase-negative staphylococci, or micrococci) is cultured from *two* or more blood cultures drawn on separate occasions

- b. Common skin contaminant (e.g., diphtheroids, *Bacillus* sp., *Propionibacterium* sp., coagulase-negative staphylococci, or micrococci) is cultured from at least one blood culture from a patient with an intravascular line, and physician institutes appropriate antimicrobial therapy
- c. Positive antigen test on blood (e.g., *H. influenzae*, *S. pneumoniae*, *N. meningitidis*, or group B *Streptococcus*)
and
signs and symptoms and positive laboratory results are *not* related to an infection at another site.

REPORTING INSTRUCTIONS:

- Report purulent phlebitis confirmed with a positive semi-quantitative culture of a catheter tip, but with either negative or no blood culture, as CVS-VASC.
- Report organisms cultured from blood as BSI-LCBI when no other site of infection is evident.
- Pseudobacteremias are not nosocomial infections.

INFECTION SITE: Clinical sepsis

CODE: BSI-CSEP

DEFINITION: Clinical sepsis must meet at least one of the following criteria:

- Criterion 1: Patient has at least *one* of the following clinical signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypotension (systolic pressure ≤ 90 mm Hg), or oliguria (<20 cm³/hr)
and
blood culture *not* done or *no* organisms or antigen detected in blood
and
no apparent infection at another site
and
physician institutes treatment for sepsis.
- Criterion 2: Patient ≤ 1 year of age has at least *one* of the following clinical signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, or bradycardia
and
blood culture *not* done or *no* organisms or antigen detected in blood
and
no apparent infection at another site
and
physician institutes treatment for sepsis.

REPORTING INSTRUCTION:

- Report culture-positive infections of the bloodstream as BSI-LCBI.

INFECTION SITE: Osteomyelitis

CODE: BJ-BONE

DEFINITION: Osteomyelitis must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from bone.
- Criterion 2: Patient has evidence of osteomyelitis on direct examination of the bone during a surgical operation or histopathologic examination.
- Criterion 3: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), localized swelling, tenderness, heat, or drainage at suspected site of bone infection
and
at least *one* of the following:
- a. Organisms cultured from blood
 - b. Positive blood antigen test (e.g., *H. influenzae*, *S. pneumoniae*)
 - c. Radiographic evidence of infection, for example, abnormal findings on x-ray, CT, MRI, radiolabeled scan (gallium, technetium, etc.)

INFECTION SITE: Joint or bursa

CODE: BJ-JNT

DEFINITION: Joint or bursa infections must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from joint fluid or synovial biopsy.
- Criterion 2: Patient has evidence of joint or bursa infection seen during a surgical operation or histopathologic examination.
- Criterion 3: Patient has at least *two* of the following signs or symptoms with no other recognized cause: joint pain, swelling, tenderness, heat, evidence of effusion or limitation of motion
and
at least *one* of the following:
- a. Organisms *and* white blood cells seen on Gram stain of joint fluid
 - b. Positive antigen test on blood, urine, or joint fluid
 - c. Cellular profile and chemistries of joint fluid compatible with infection and *not* explained by an underlying rheumatologic disorder
 - d. Radiographic evidence of infection, for example, abnormal findings on x-ray, CT, MRI, radiolabel scan (gallium, technetium, etc.)

INFECTION SITE: Disc space

CODE: BJ-DISC

DEFINITION: Vertebral disc space infection must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from vertebral disc space tissue obtained during a surgical operation or needle aspiration.
- Criterion 2: Patient has evidence of vertebral disc space infection seen during a surgical operation or histopathologic examination.
- Criterion 3: Patient has fever ($>38^{\circ}\text{C}$) with no other recog-

nized cause or pain at the involved vertebral disc space

and

radiographic evidence of infection, e.g., abnormal findings on x-ray, CT, MRI, radiolabel scan with gallium or technetium.

Criterion 4: Patient has fever ($>38^{\circ}\text{C}$) with no other recognized cause and pain at the involved vertebral disc space

and

positive antigen test on blood or urine (e.g., *H. influenzae*, *S. pneumoniae*, *N. meningitidis*, or group B *Streptococcus*)

INFECTION SITE: Intracranial infection (brain abscess, subdural or epidural infection, encephalitis)

CODE: CNS-IC

DEFINITION: Intracranial infection must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from brain tissue or dura.

Criterion 2: Patient has an abscess or evidence of intracranial infection seen during a surgical operation or histopathologic examination.

Criterion 3: Patient has at least *two* of the following signs or symptoms with no other recognized cause: headache, dizziness, fever ($>38^{\circ}\text{C}$), localizing neurologic signs, changing level of consciousness, or confusion

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy

and

at least *one* of the following:

a. Organisms seen on microscopic examination of brain or abscess tissue obtained by needle aspiration or by biopsy during a surgical operation or autopsy

b. Positive antigen test on blood or urine

c. Radiographic evidence of infection, for example, abnormal findings on ultrasound, CT, MRI, radionuclide brain scan, or arteriogram

d. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

Criterion 4: Patient ≤ 1 year of age has at least *two* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, localizing neurologic signs, or changing level of consciousness

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy

and

at least *one* of the following:

a. Organisms seen on microscopic examination of brain or abscess tissue obtained by needle aspiration or by biopsy during a surgical operation or autopsy

b. Positive antigen test on blood or urine

c. Radiographic evidence of infection, for example, abnormal findings on ultrasound CT, MRI, radionuclide brain scan, or arteriogram

d. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

REPORTING INSTRUCTION:

- If meningitis and a brain abscess are present together, report the infection as IC.

INFECTION SITE: Meningitis or ventriculitis

CODE: CNS-MEN

DEFINITION: Meningitis or ventriculitis must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from cerebrospinal fluid (CSF).

Criterion 2: Patient has at least *one* of the following signs of symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), headache, stiff neck, meningeal signs, cranial nerve signs, or irritability

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy

and

at least *one* of the following:

a. Increased white cells, elevated protein and/or decreased glucose in CSF

b. Organisms seen on Gram stain of CSF

c. Organisms cultured from blood

d. Positive antigen test of CSF, blood, or urine

e. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

Criterion 3: Patient ≤ 1 year of age has at least *one* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, stiff neck, meningeal signs, cranial nerve signs, or irritability

and

if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy

and

at least *one* of the following:

a. Positive CSF examination with increased white cells, elevated protein, and/or decreased glucose

b. Positive Gram stain of CSF

c. Organisms cultured from blood

d. Positive antigen test of CSF, blood, or urine

e. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

REPORTING INSTRUCTIONS:

- Report meningitis in the newborn as nosocomial *unless* there is compelling evidence indicating the meningitis was acquired transplacentally.
- Report CSF shunt infection as SSI-MEN if it occurs ≤ 1 year of placement; if later, report as CNS-MEN.
- Report meningoencephalitis as MEN.
- Report spinal abscess with meningitis as MEN.

INFECTION SITE: Spinal abscess without meningitis

CODE: CNS-SA

DEFINITION: An abscess of the spinal epidural or subdural space, without involvement of the CSF or adjacent bone structures, must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from abscess in the spinal epidural or subdural space.
- Criterion 2: Patient has an abscess in the spinal epidural or subdural space seen during a surgical operation or at autopsy of evidence of an abscess seen during a histopathologic examination.
- Criterion 3: Patient has at least *one* of the following signs or symptoms with no other recognized cause: fever (>38°C), back pain, focal tenderness, radiculitis, paraparesis, or paraplegia
and
if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy
and
at least *one* of the following:
- Organisms cultured from blood
 - Radiographic evidence of a spinal abscess, for example, abnormal findings on myelography, ultrasound, CT, MRI, or other scans (gallium, technetium, etc.)

REPORTING INSTRUCTION:

- Report spinal abscess *with* meningitis as MEN.

INFECTION SITE: Arterial or venous infection

CODE: CVS-VASC

DEFINITION: Arterial or venous infection must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from arteries or veins removed during a surgical operation
and
blood culture *not* done or *no* organisms cultured from blood.
- Criterion 2: Patient has evidence of arterial or venous infection seen during a surgical operation or histopathologic examination.
- Criterion 3: Patient has at least *one* of the following signs or symptoms with no other recognized cause: fever (>38°C), pain, erythema, or heat at involved vascular site
and
more than 15 colonies cultured from intravascular cannula tip using semiquantitative culture method
and
blood culture *not* done or *no* organisms cultured from blood.
- Criterion 4: Patient has purulent drainage at involved vascular site
and
blood culture *not* done or *no* organisms cultured from blood.
- Criterion 5: Patient ≤1 year of age has at least *one* of the following signs or symptoms with no

other recognized cause: fever (>38°C), hypothermia (<37°C), apnea, bradycardia, lethargy, or pain, erythema, or heat at involved vascular site
and

more than 15 colonies cultured from intravascular cannula tip using semiquantitative culture method
and

blood culture *not* done or *no* organisms cultured from blood.

REPORTING INSTRUCTIONS:

- Report infections of an arteriovenous graft, shunt, or fistula or intravascular cannulation site without organisms cultured from blood as CVS-VASC.
- Report intravascular infections with organisms cultured from the blood as BSI-LCBI.

INFECTION SITE: Endocarditis involving either a natural or prosthetic heart valve

CODE: CVS-ENDO

DEFINITION: Endocarditis of a natural or prosthetic heart valve must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from valve or vegetation.
- Criterion 2: Patient has *two* or more of the following signs or symptoms with no other recognized cause: fever (>38°C), new or changing murmur, embolic phenomena, skin manifestations (i.e., petechiae, splinter hemorrhages, painful subcutaneous nodules), congestive heart failure, or cardiac conduction abnormality
and
if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy
and
at least *one* of the following:
- Organisms cultured from *two* or more blood cultures
 - Organisms seen on Gram stain of valve when culture is negative or *not* done
 - Valvular vegetation seen during a surgical operation or autopsy
 - Positive antigen test on blood or urine (e.g., *H. influenzae*, *S. pneumoniae*, *N. meningitidis*, or group B *Streptococcus*)
 - Evidence of new vegetation seen on echocardiogram
- Criterion 3: Patient ≤1 year of age has *two* or more of the following signs or symptoms with no other recognized cause: fever (>38°C), hypothermia (<37°C), apnea, bradycardia, new or changing murmur, embolic phenomena skin manifestations (i.e., petechiae, splinter hemorrhages, painful subcutaneous nodules), congestive heart failure, or cardiac conduction abnormality
and
if diagnosis is made antemortem, physician institutes appropriate antimicrobial therapy

and

at least *one* of the following:

- a. Organisms cultured from *two* or more blood cultures
- b. Organisms seen on Gram stain of valve when culture is negative or *not* done
- c. Valvular vegetation seen during a surgical operation or autopsy
- d. Positive antigen test on blood or urine (e.g., *H. influenzae*, *S. pneumoniae*, *N. meningitidis*, or group B *Streptococcus*)
- e. Evidence of new vegetation seen on echocardiogram

INFECTION SITE: Myocarditis or pericarditis

CODE: CVS-CARD

DEFINITION: Myocarditis or pericarditis must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from pericardial tissue or fluid obtained by needle aspiration or during a surgical operation.

Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), chest pain, paradoxical pulse, or increased heart size

and

at least *one* of the following:

- a. Abnormal electrocardiogram (ECG) consistent with myocarditis or pericarditis
- b. Positive antigen test on blood (e.g., *H. influenzae*, *S. pneumoniae*)
- c. Evidence of myocarditis or pericarditis on histologic examination of heart tissue
- d. Fourfold rise in type-specific antibody with or without isolation of virus from pharynx or feces
- e. Pericardial effusion identified by echocardiogram, CT, MRI, or angiography

Criterion 3: Patient ≤ 1 year of age has at least *two* of the following signs of symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, paradoxical pulse, or increased heart size

and

at least *one* of the following:

- a. Abnormal ECG consistent with myocarditis or pericarditis
- b. Positive antigen test on blood (e.g., *H. influenzae*, *S. pneumoniae*)
- c. Histologic examination of heart tissue shows evidence of myocarditis or pericarditis
- d. Fourfold rise in type-specific antibody with or without isolation of virus from pharynx or feces
- e. Pericardial effusion identified by echocardiogram, CT, MRI, or angiography

COMMENT:

- Most cases of postcardiac surgery or postmyocardial infarction pericarditis are not infectious.

INFECTION SITE: Mediastinitis

CODE: CVS-MED

DEFINITION: Mediastinitis must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from mediastinal tissue or fluid obtained during a surgical operation or needle aspiration.

Criterion 2: Patient has evidence of mediastinitis seen during a surgical operation of histopathologic examination.

Criterion 3: Patient has at least *one* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), chest pain, or sternal instability

and

at least *one* of the following:

- a. Purulent discharge from mediastinal area
- b. Organisms cultured from blood or discharge from mediastinal area
- c. Mediastinal widening on x-ray

Criterion 4: Patient ≤ 1 year of age has at least *one* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, or sternal instability

and

at least one of the following:

- a. Purulent discharge from mediastinal area
- b. Organisms cultured from blood or discharge from mediastinal area
- c. Mediastinal widening on x-ray

REPORTING INSTRUCTION:

- Report mediastinitis following cardiac surgery that is accompanied by osteomyelitis as SSI-MED rather than SSI-BONE.

INFECTION SITE: Conjunctivitis

CODE: EENT-CONJ

DEFINITION: Conjunctivitis must meet at least one of the following criteria:

Criterion 1: Patient has pathogens cultured from purulent exudate obtained from the conjunctiva or contiguous tissues, such as eyelid, cornea, meibomian glands, or lacrimal glands.

Criterion 2: Patient has pain or redness of conjunctiva or around eye

and

at least *one* of the following:

- a. WBCs and organisms seen on Gram stain of exudate
- b. Purulent exudate
- c. Positive antigen test [e.g., enzyme-linked immunosorbent assay (ELISA) or immunofluorescence (IF) for *Chlamydia trachomatis*, herpes simplex virus, adenovirus] on exudate or conjunctival scraping
- d. Multinucleated giant cells seen on microscopic examination of conjunctival exudate or scrapings
- e. Positive viral culture
- f. Diagnostic single antibody titer (IgM) or fourfold increase in paired sera (IgG) for pathogen

REPORTING INSTRUCTIONS:

- Report other infections of the eye as EYE.
- Do *not* report chemical conjunctivitis caused by silver nitrate (AgNO₃) as a nosocomial infection.
- Do *not* report conjunctivitis that occurs as a part of a more widely disseminated viral illness (e.g., measles, chickenpox, or a URI).

INFECTION SITE: Eye, other than conjunctivitis

CODE: EENT-EYE

DEFINITION: An infection of the eye, other than conjunctivitis, must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from anterior or posterior chamber of vitreous fluid.

Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause: eye pain, visual disturbance, or hypopyon
and

at least *one* of the following:

- a. Physician's diagnosis of an eye infection
- b. Positive antigen test on blood (e.g., *H. influenzae*, *S. pneumoniae*)
- c. Organisms cultured from blood

INFECTION SITE: Ear, mastoid

CODE: EENT-EAR

DEFINITION: Ear and mastoid infections must meet the following applicable criteria:

Otitis externa must meet at least one of the following criteria:

Criterion 1: Patient has pathogens cultured from purulent drainage from ear canal.

Criterion 2: Patient has at least *one* of the following signs or symptoms with no other recognized cause: fever (>38°C), pain, redness, or drainage from ear canal
and

organisms seen on Gram stain of purulent drainage.

Otitis media must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from fluid from middle ear obtained by tympanocentesis or at surgical operation.

Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever (>38°C) pain in the eardrum, inflammation, retraction or decreased mobility of eardrum, or fluid behind eardrum.

Otitis interna must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from fluid from inner ear obtained at surgical operation.

Criterion 2: Patient has a physician's diagnosis of inner ear infection.

Mastoiditis must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from purulent drainage from mastoid.

Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever (>38°C), pain, tenderness, erythema, headache, or facial paralysis

and

at least *one* of the following:

- a. Organisms seen on Gram stain of purulent material from mastoid
- b. Positive antigen test on blood

INFECTION SITE: Oral cavity (mouth, tongue, or gums)

CODE: EENT-ORAL

DEFINITION: Oral cavity infections must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from purulent material from tissues of oral cavity.

Criterion 2: Patient has an abscess or other evidence of oral cavity infection seen on direct examination, during a surgical operation, or during a histopathologic examination.

Criterion 3: Patient has at least *one* of the following signs or symptoms with no other recognized cause: abscess, ulceration, or raised white patches on inflamed mucosa, or plaques on oral mucosa
and

at least *one* of the following:

- a. Organisms seen on Gram stain
- b. Positive potassium hydroxide (KOH) stain
- c. Multinucleated giant cells seen on microscopic examination of mucosal scrapings
- d. Positive antigen test on oral secretions
- e. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen
- f. Physician diagnosis of infection and treatment with topical or oral antifungal therapy

REPORTING INSTRUCTION:

- Report nosocomial primary herpes simplex infections of the oral cavity as ORAL; recurrent herpes infections are *not* nosocomial.

INFECTION SITE: Sinusitis

CODE: EENT-SINU

DEFINITION: Sinusitis must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from purulent material obtained from sinus cavity.

Criterion 2: Patient has at least *one* of the following signs or symptoms with no other recognized cause: fever (>38°C), pain or tenderness over the involved sinus, headache, purulent exudate, or nasal obstruction
and

at least *one* of the following:

- a. Positive transillumination
- b. Positive radiographic examination

INFECTION SITE: Upper respiratory tract, pharyngitis, laryngitis, epiglottitis

CODE: EENT-UR

DEFINITION: Upper respiratory tract infections must meet at least one the following criteria:

Criterion 1: Patient has at least *two* of the following signs or

symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), erythema of pharynx, sore throat, cough, hoarseness, of purulent exudate in throat
and

at least *one* of the following:

- a. Organisms cultured from the specific site
- b. Organisms cultured from blood
- c. Positive antigen test on blood or respiratory secretions
- d. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen
- e. Physician's diagnosis of an upper respiratory infection

Criterion 2: Patient has an abscess seen on direct examination, during a surgical operation, or during a histopathologic examination.

Criterion 3: Patient ≤ 1 year of age has at least *two* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), hypothermia ($<37^{\circ}\text{C}$), apnea, bradycardia, nasal discharge, or purulent exudate in throat
and

at least *one* of the following:

- a. Organisms cultured from the specific site
- b. Organisms cultured from blood
- c. Positive antigen test on blood or respiratory secretions
- d. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen
- e. Physician's diagnosis of an upper respiratory infection

INFECTION SITE: Gastroenteritis

CODE: GI-GE

DEFINITION: Gastroenteritis must meet at least one of the following criteria:

Criterion 1: Patient has an acute onset of diarrhea (liquid stools for more than 12 hours) with or without vomiting or fever ($>38^{\circ}\text{C}$) and no likely noninfectious cause (e.g., diagnostic tests, therapeutic regimen, acute exacerbation of a chronic condition, or psychologic stress).

Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause: nausea, vomiting, abdominal pain, or headache
and

at least *one* of the following:

- a. An enteric pathogen is cultured from stool or rectal swab
- b. An enteric pathogen is detected by routine or electron microscopy
- c. An enteric pathogen is detected by antigen or antibody assay on blood or feces
- d. Evidence of an enteric pathogen is detected by cytopathic changes in tissue culture (toxin assay)
- e. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

INFECTION SITE: GI tract (esophagus, stomach, small and

large bowel, and rectum) excluding gastroenteritis and appendicitis

CODE: GI-GIT

DEFINITION: Gastrointestinal tract infections, excluding gastroenteritis and appendicitis, must meet at least one of the following criteria:

Criterion 1: Patient has an abscess or other evidence of infection seen during a surgical operation or histopathologic examination.

Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause and compatible with infection of the organ or tissue involved: fever ($>38^{\circ}\text{C}$), nausea, vomiting, abdominal pain, or tenderness
and

at least *one* of the following:

- a. Organisms cultured from drainage or tissue obtained during a surgical operation or endoscopy or from a surgically placed drain
- b. Organisms seen on Gram or KOH stain or multinucleated giant cells seen on microscopic examination of drainage or tissue obtained during a surgical operation or endoscopy or from a surgically placed drain
- c. Organisms cultured from blood
- d. Evidence of pathologic findings on radiologic examination
- e. Evidence of pathologic findings on endoscopic examination (e.g., *Candida* esophagitis or proctitis)

INFECTION SITE: Hepatitis

CODE: GI-HEP

DEFINITION: Hepatitis must meet the following criterion: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever ($>38^{\circ}\text{C}$), anorexia, nausea, vomiting, abdominal pain, jaundice, or history of transfusion within the previous 3 months
and

at least *one* of the following:

- a. Positive antigen or antibody test for hepatitis A, hepatitis B, hepatitis C, or delta hepatitis
- b. Abnormal liver function tests (e.g., elevated alanine/aspartate aminotransferases, bilirubin)
- c. Cytomegalovirus detected in urine or oropharyngeal secretions

REPORTING INSTRUCTIONS:

- Do *not* report hepatitis or jaundice of noninfectious origin (alpha-1 antitrypsin deficiency, etc.).
- Do *not* report hepatitis or jaundice that results from exposure to hepatotoxins (alcoholic or acetaminophen-induced hepatitis, etc.).
- Do *not* report hepatitis or jaundice that results from biliary obstruction (cholecystitis).

INFECTION SITE: Intraabdominal, including gallbladder, bile ducts, liver (excluding viral hepatitis), spleen, pancreas, perito-

neum, subphrenic or subdiaphragmatic space, or other intraabdominal tissue or area *not* specified elsewhere

CODE: GI-IAB

DEFINITION: Intraabdominal infections must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from purulent material from intraabdominal space obtained during a surgical operation or needle aspiration.
- Criterion 2: Patient has abscess or other evidence of intraabdominal infection seen during a surgical operation or histopathologic examination.
- Criterion 3: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever (>38°C), nausea, vomiting, abdominal pain, or jaundice
and
at least *one* of the following:
- Organisms cultured from drainage from surgically placed drain (e.g., closed suction drainage system, open drain, T-tube drain)
 - Organisms seen on Gram stain of drainage or tissue obtained during surgical operation or needle aspiration
 - Organisms cultured from blood and radiographic evidence of infection, for example, abnormal findings on ultrasound, CT, MRI, or radiolabel scans (gallium, technetium, etc.) or on abdominal x-ray

REPORTING INSTRUCTION:

- Do *not* report pancreatitis (an inflammatory syndrome characterized by abdominal pain, nausea, and vomiting associated with high serum levels of pancreatic enzymes) unless it is determined to be infectious in origin.

INFECTION SITE: Necrotizing enterocolitis

CODE: GI-NEC

DEFINITION: Necrotizing enterocolitis in infants must meet the following criteria:

Infant has at least *two* of the following signs or symptoms with no other recognized cause: vomiting, abdominal distention, or prefeeding residuals

and

persistent microscopic or gross blood in stools

and

at least *one* of the following abdominal radiographic abnormalities:

- Pneumoperitoneum
- Pneumatosis intestinalis
- Unchanging “rigid” loops of small bowel

INFECTION SITE: Bronchitis, tracheobronchitis, bronchiolitis, tracheitis, without evidence of pneumonia

CODE: LRI-BRON

DEFINITION: Tracheobronchial infections must meet at least one of the following criteria:

- Criterion 1: Patient has *no* clinical or radiographic evidence of pneumonia
and

patient has at least *two* of the following signs or symptoms with no other recognized cause: fever (>38°C), cough, new or increased sputum production, rhonchi, wheezing

and

at least *one* of the following:

- Positive culture obtained by deep tracheal aspirate or bronchoscopy
- Positive antigen test on respiratory secretions

- Criterion 2: Patient ≤1 year of age has *no* clinical or radiographic evidence of pneumonia

and

patient has at least *two* of the following signs or symptoms with no other recognized cause: fever (>38°C), cough, new or increased sputum production, rhonchi, wheezing, respiratory distress, apnea, or bradycardia

and

at least *one* of the following:

- Organisms cultured from material obtained by deep tracheal aspirate or bronchoscopy
- Positive antigen test on respiratory secretions
- Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

REPORTING INSTRUCTION:

- Do *not* report chronic bronchitis in a patient with chronic lung disease as an infection unless there is evidence of an acute secondary infection, manifested by change in organism.

INFECTION SITE: Other infections of the lower respiratory tract

CODE: LRI-LUNG

DEFINITION: Other infections of the lower respiratory tract must meet at least one of the following criteria:

Criterion 1: Patient has organisms seen on smear or cultured from lung tissue or fluid, including pleural fluid.

Criterion 2: Patient has a lung abscess or empyema seen during a surgical operation or histopathologic examination.

Criterion 3: Patient has an abscess cavity seen on radiographic examination of lung.

REPORTING INSTRUCTIONS:

- Report concurrent lower respiratory tract infection and pneumonia with the same organism(s) as PNEU.
- Report lung abscess or empyema without pneumonia as LUNG.

INFECTION SITE: Endometritis

CODE: REPR-EMET

DEFINITION: Endometritis must meet at least one of the following criteria:

Criterion 1: Patient has organisms cultured from fluid or tissue from endometrium obtained during surgical operation, by needle aspiration, or by brush biopsy.

Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause: fever

(>38°C), abdominal pain, uterine tenderness, or purulent drainage from uterus.

REPORTING INSTRUCTION:

- Report postpartum endometritis as a nosocomial infection *unless* the amniotic fluid is infected at the time of admission or the patient was admitted 48 hours after rupture of the membrane.

INFECTION SITE: Episiotomy

CODE: REPR-EPIS

DEFINITION: Episiotomy infections must meet at least one of the following criteria:

- Criterion 1: Postvaginal delivery patient has purulent drainage from the episiotomy.
- Criterion 2: Postvaginal delivery patient has an episiotomy abscess.

REPORTING INSTRUCTION:

- Episiotomy is not a NNIS operative procedure; do not report as an SSI.

INFECTION SITE: Vaginal cuff

CODE: REPR-VCUF

DEFINITION: Vaginal cuff infections must meet at least one of the following criteria:

- Criterion 1: Posthysterectomy patient has purulent drainage from the vaginal cuff.
- Criterion 2: Posthysterectomy patient has an abscess at the vaginal cuff.
- Criterion 3: Posthysterectomy patient has pathogens cultured from fluid or tissue obtained from the vaginal cuff.

REPORTING INSTRUCTION:

- Most vaginal cuff infections are SSI-VCUF.
- Report only late onset (>30 days after hysterectomy) VCUF as REPR-VCUF.

INFECTION SITE: Other infections of the male or female reproductive tract (epididymis, testes, prostate, vagina, ovaries, uterus, or other deep pelvic tissues, excluding endometritis or vaginal cuff infections)

CODE: REPR-OREP

DEFINITION: Other infections of the male or female reproductive tract must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from tissue or fluid from affected site.
- Criterion 2: Patient has an abscess or other evidence of infection of affected site seen during a surgical operation or histopathologic examination.
- Criterion 3: Patient has *two* of the following signs or symptoms with no other recognized cause: fever (>38°C), nausea, vomiting, pain, tenderness, or dysuria *and* at least *one* of the following:
- a. Organisms cultured from blood
 - b. Diagnosis by physician

REPORTING INSTRUCTIONS:

- Report endometritis as EMET.
- Report vaginal cuff infections as VCUF.

INFECTION SITE: Skin

CODE: SST-SKIN

DEFINITION: Skin infections must meet at least one of the following criteria:

- Criterion 1: Patient has purulent drainage, pustules, vesicles, or boils.
- Criterion 2: Patient has at least *two* of the following signs or symptoms with no other recognized cause: pain or tenderness, localized swelling, redness, or heat *and* at least *one* of the following:
- a. Organisms cultured from aspirate or drainage from affected site; if organisms are normal skin flora (e.g., coagulase negative staphylococci, micrococci, diphtheroids) they must be a pure culture
 - b. Organisms cultured from blood
 - c. Positive antigen test performed on infected tissue or blood (e.g., herpes simplex, varicella zoster, *H. influenzae*, *N. meningitidis*)
 - d. Multinucleated giant cells seen on microscopic examination of affected tissue
 - e. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

COMMENT:

- Nosocomial skin infections may be the result of exposure to a variety of procedures performed in the hospital. Superficial incisional infections after surgery are identified separately as SSI-SKIN unless the operative procedure is a CBGB. If the chest incision site after a CBGB becomes infected, the specific site is denoted SKNC; if the donor site becomes infected, the specific site is denoted SKNL. Other skin infections associated with important exposures are identified with their own sites and are listed in the section on reporting instructions.

REPORTING INSTRUCTIONS:

- Report omphalitis in infants as UMB.
- Report infections of the circumcision site in newborns as CIRC.
- Report pustules in infants as PUST.
- Report infected decubitus ulcers as DECU.
- Report infected burns as BURN.
- Report breast abscesses or mastitis as BRST.

INFECTION SITE: Soft tissue (necrotizing fasciitis, infectious gangrene, necrotizing cellulitis, infectious myositis, lymphadenitis, or lymphangitis)

CODE: SST-ST

DEFINITION: Soft tissue infections must meet at least one of the following criteria:

- Criterion 1: Patient has organisms cultured from tissue or drainage from affected site.
- Criterion 2: Patient has purulent drainage at affected site.
- Criterion 3: Patient has an abscess or other evidence of infec-

tion seen during a surgical operation or histopathologic examination.

Criterion 4: Patient has at least *two* of the following signs of symptoms at the affected site with no other recognized cause: localized pain or tenderness, redness, swelling, or heat

and

at least *one* of the following:

- a. Organisms cultured from blood
- b. Positive antigen test performed on blood or urine (e.g., *H. influenzae*, *S. pneumoniae*, *N. meningitidis*, group B *Streptococcus*, *Candida* sp.)
- c. Diagnostic single antibody titer (IgM) or four-fold increase in paired sera (IgG) for pathogen

REPORTING INSTRUCTIONS:

- Report surgical site infections that involve both the skin and deep soft tissue (at or beneath the fascial or muscle layer) as SSI-ST (soft tissue) unless the operative procedure is a CBGB. For CBGB, if skin and deep soft tissue at the chest incision site become infected, the specific site is STC and if skin and deep soft tissue at the donor site become infected, the specific site is STL.
- Report infected decubitus ulcers as DECU.
- Report infection of deep pelvic tissues as OREP.

INFECTION SITE: Decubitus ulcer, including both superficial and deep infections

CODE: SST-DECU

DEFINITION: Decubitus ulcer infections must meet the following criterion:

Patient has at least *two* of the following signs or symptoms with no other recognized cause: redness, tenderness, or swelling of decubitus wound edges

and

at least *one* of the following:

- a. Organisms cultured from properly collected fluid or tissue (see later)
- b. Organisms cultured from blood

COMMENTS:

- Purulent drainage alone is not sufficient evidence of an infection.
- Organisms cultured from the surface of a decubitus ulcer are not sufficient evidence that the ulcer is infected. A properly collected specimen from a decubitus ulcer involves needle aspiration of fluid or biopsy of tissue from the ulcer margin.

INFECTION SITE: Burn

CODE: SST-BURN

DEFINITION: Burn infections must meet one of the following criteria:

Criterion 1: Patient has a change in burn wound appearance or character, such as rapid eschar separation; dark brown, black, or violaceous discoloration of the char; or edema at wound margin

and

histologic examination of burn biopsy shows invasion of organisms into adjacent viable tissue.

Criterion 2: Patient has a change in burn wound appearance or character, such as rapid eschar separation; dark brown, black, or violaceous discoloration of the eschar; or edema at wound margin

and

at least *one* of the following:

- a. Organisms cultured from blood in the absence of other identifiable infection
- b. Isolation of herpes simplex virus, histologic identification of inclusions by light or electron microscopy or visualization of viral particles by electron microscopy in biopsies or lesion scrapings

Criterion 3: Patient with a burn has at least *two* of the following signs or symptoms with no other recognized cause: fever (>38°C) or hypothermia (<36°C), hypotension, oliguria (<20 cm³/hr), hyperglycemia at previously tolerated level of dietary carbohydrate, or mental confusion

and

at least *one* of the following:

- a. Histologic examination of burn biopsy shows invasion of organisms into adjacent viable tissue
- b. Organisms cultured from blood
- c. Isolation of herpes simplex virus, histologic identification of inclusions by light or electron microscopy, or visualization of viral particles electron microscopy in biopsies or lesion scrapings

COMMENTS:

- Purulence alone at the burn wound site is *not* adequate for the diagnosis of burn infection; such purulence may reflect incomplete wound care.
- Fever alone in a burn patient is *not* adequate for the diagnosis of a burn infection because fever may be the result of tissue trauma or the patient may have an infection at another site.
- Surgeons in Regional Burn Centers who take care of burn patients exclusively, may require Criterion 1 for diagnosis burn infection.
- Hospitals with Regional Burn Centers may further divide burn infections into the following: burn wound site, burn graft site, burn donor site, burn donor site-cadaver; the NNIS system, however, will code all of these as BURN.

INFECTION SITE: Breast abscess or mastitis

CODE: SST-BRST

DEFINITION: A breast abscess or mastitis must meet at least one of the following criteria:

Criterion 1: Patient has a positive culture of affected breast tissue or fluid obtained by incision and drainage or needle aspiration.

Criterion 2: Patient has a breast abscess or other evidence of infection seen during a surgical operation or histopathologic examination.

Criterion 3: Patient has fever (>38°C) and local inflammation of the breast

and

physician's diagnosis of breast abscess.

COMMENT:

- Breast abscesses occur most frequently after childbirth. Those that occur within 7 days after childbirth should be considered nosocomial.

INFECTION SITE: Omphalitis

CODE: SST-UMB

DEFINITION: Omphalitis in a newborn (≤ 30 days old) must meet at least one of the following criteria:

Criterion 1: Patient has erythema and/or serous drainage from umbilicus

and

at least *one* of the following:

- Organisms cultured from drainage or needle aspirate
- Organisms cultured from blood.

Criterion 2: Patient has both erythema and purulence at the umbilicus.

REPORTING INSTRUCTIONS:

- Report infection of the umbilical artery or vein related to umbilical catheterization as CVS-VASC if blood culture is negative or not done.
- Report as nosocomial if infection occurs in a newborn within 7 days of hospital discharge.

INFECTION SITE: Infant pustulosis

CODE: SST-PUST

DEFINITION: Pustulosis in an infant (≤ 12 months old) must meet at least one of the following criteria:

Criterion 1: Infant has *one* or more pustules

and

physician diagnosis of skin infection.

Criterion 2: Infant has *one* or more pustules

and

physician institutes appropriate antimicrobial therapy.

REPORTING INSTRUCTIONS:

- Do *not* report erythema toxicum and noninfectious causes of pustulosis.
- Report as nosocomial if pustulosis occurs in an infant within 7 days of hospital discharge.

INFECTION SITE: Newborn circumcision

CODE: SST-CIRC

DEFINITION: Circumcision infection in a newborn (≤ 30 days old) must meet at least one of the following criteria:

Criterion 1: Newborn has purulent drainage from circumcision site.

Criterion 2: Newborn has at least *one* of the following signs or symptoms with no other recognized cause at circumcision site: erythema, swelling, or tenderness

and

pathogen cultured from circumcision site.

Criterion 3: Newborn has at least *one* of the following signs or symptoms with no other recognized cause at

circumcision site: erythema, swelling, or tenderness

and

skin contaminant (coagulase-negative staphylococci, diphtheroids, *Bacillus* sp., or micrococci) is cultured from circumcision site

and

physician diagnosis of infection or physician institutes appropriate therapy.

REPORTING INSTRUCTION:

- Newborn circumcision is not an NNIS operative procedure; do not report as an SSI.

INFECTION SITE: Disseminated infection

CODE: SYS-DI

DEFINITION: Disseminated infection is infection involving multiple organs or systems, without an apparent single site of infection, usually of viral origin, and with signs or symptoms with no other recognized cause and compatible with infectious involvement of multiple organs or systems.

REPORTING INSTRUCTIONS:

- This code should be used primarily for viral infections involving multiple organ systems (e.g., measles, mumps, rubella, varicella, erythema infectiosum). These infections often can be identified by clinical criteria alone. Do *not* use this code for nosocomial infections with multiple metastatic sites, such as with bacterial endocarditis; only the primary site of these infections should be reported.
- Do not report fever of unknown origin (FUO) as DI-SYS.
- Report neonatal “sepsis” as BSI-CSEP.
- Report viral exanthems or rash illness as DI-SYS.

APPENDIX A-2. CRITERIA FOR DEFINING NOSOCOMIAL PNEUMONIA

General Comments Applicable to All Pneumonia Specific Site Criteria

1. Physician’s diagnosis of pneumonia alone is *not* an acceptable criterion for nosocomial pneumonia.
2. Although specific criteria are included for infants and children, pediatric patients may meet any of the other pneumonia specific site criteria.
3. Ventilator-associated pneumonia (i.e., pneumonia in persons who had a device to assist or control respiration continuously through a tracheostomy or by endotracheal intubation within the 48-hour period before the onset of infection) should be so designated when reporting pneumonia data.
4. When assessing a patient for presence of pneumonia, it is important to distinguish between changes in clinical status resulting from other conditions such as myocardial infarction, pulmonary embolism, respiratory distress syndrome, atelectasis, malignancy, chronic obstructive pulmonary disease, hyaline membrane disease, bronchopulmonary dysplasia, and so forth. Also, care must be taken when assessing intubated patients to distinguish between tracheal colonization, upper

respiratory tract infections (e.g., tracheobronchitis), and early onset pneumonia. Finally, it should be recognized that it may be difficult to determine nosocomial pneumonia in the elderly, infants, and immunocompromised patients because such conditions may mask typical signs or symptoms associated with pneumonia. Alternate specific criteria for the elderly, infants and immunocompromised patients have been included in this definition of nosocomial pneumonia.

5. Nosocomial pneumonia can be characterized by its onset: early or late. Early onset pneumonia occurs during the first 4 days of hospitalization and is often caused by *Moraxella catarrhalis*, *H. influenzae*, and *S. pneumoniae*. Causative agents of late onset pneumonia are frequently gram-negative bacilli or *Staphylococcus aureus*, including methicillin-resistant *S. aureus*. Viruses (e.g., influenza A and B or respiratory syncytial virus) can cause early and late onset nosocomial pneumonia, whereas yeasts, fungi, legionellae, and *Pneumocystis carinii* are usually pathogens of late onset pneumonia.
6. Pneumonia resulting from gross aspiration (e.g., in the setting of intubation in the emergency room or operating room) is considered nosocomial if it meets any specific criteria and was not clearly present or incubating at the time of admission to the hospital.
7. Multiple episodes of nosocomial pneumonia may occur in critically ill patients with lengthy hospital stays. When determining whether to report multiple episodes of nosocomial pneumonia in a single patient, look for evidence of resolution of the initial infection. The addition of or change in pathogen alone is *not* indicative of a new episode of pneumonia. The combination of new signs and symptoms and radiographic evidence or other diagnostic testing is required.
8. Positive Gram stain for bacteria and positive KOH mount for elastin fibers and/or fungal hyphae from appropriately collected sputum specimens are important clues that point toward the etiology of the infection. However, sputum sam-

ples are frequently contaminated with airway colonizers and, therefore, must be interpreted cautiously. In particular, *Candida* is commonly seen on stain but infrequently causes nosocomial pneumonia.

Abbreviations

BAL—bronchoalveolar lavage
 EIA—enzyme immunoassay
 FAMA—fluorescent-antibody staining of membrane antigen
 IFA—immunofluorescent antibody
 LRT—lower respiratory tract
 PCR—polymerase chain reaction
 PMN—polymorphonuclear leukocyte
 RIA—radioimmunoassay

Reporting Instructions

- There is a hierarchy of specific site categories within the major site pneumonia. Even if a patient meets criteria for more than one specific site, report only one:
 - If a patient meets criteria for both PNU1 and PNU2, report PNU2.
 - If a patient meets criteria for both PNU2 and PNU3, report PNU3.
 - If a patient meets criteria for both PNU1 and PNU3, report PNU3.
- Report concurrent lower respiratory tract infection (e.g., abscess or empyema) and pneumonia with the same organism(s) as pneumonia.
- Report lung abscess or empyema *without* pneumonia as LUNG.
- Report acute bronchitis, tracheitis, tracheobronchitis, or bronchiolitis *without* pneumonia as BRON.

APPENDIX A-2. PNEUMONIA ALGORITHMS

Major Site: Pneumonia (PNEU)

Site-Specific Algorithms for Clinically Defined Pneumonia (PNU1)

Radiology	Signs/symptoms/laboratory	Code
<p>Two or more serial chest radiographs with at least one of the following^{1,2}:</p> <ul style="list-style-type: none"> • New or progressive and persistent infiltrate • Consolidation • Cavitation • Pneumatoceles, in infants ≤ 1 year old <p>NOTE: In patients without underlying pulmonary or cardiac disease (e.g., respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary edema, or chronic obstructive pulmonary disease), one definitive chest radiograph is acceptable¹.</p>	<p>FOR ANY PATIENT, at least one of the following:</p> <ul style="list-style-type: none"> • Fever ($>38^{\circ}\text{C}$ or $>100.4^{\circ}\text{F}$) with no other recognized cause • Leukopenia ($<4,000\text{ WBC/mm}^3$) or leukocytosis ($\geq 12,000\text{ WBC/mm}^3$) • For adults ≥ 70 years old, altered mental status with no other recognized cause and <p>At least two of the following:</p> <ul style="list-style-type: none"> • New onset of purulent sputum³, or change in character of sputum⁴, or increased respiratory secretions, or increased suctioning requirements • New onset or worsening cough, or dyspnea, or tachypnea⁵ • Rales⁶ or bronchial breath sounds • Worsening gas exchange (e.g., O_2 desaturations [e.g., $\text{PaO}_2/\text{FiO}_2 \leq 240$]⁷, increased oxygen requirements, or increased ventilation demand) <p>ALTERNATE CRITERIA FOR INFANT ≤ 1 YEAR OLD:</p> <p>Worsening gas exchange (e.g., O_2 desaturations, increased oxygen requirements, or increased ventilator demand) and</p> <p>at least three of the following:</p> <ul style="list-style-type: none"> • Temperature instability with no other recognized cause • Leukopenia ($<4,000\text{ WBC/mm}^3$) or leukocytosis ($\geq 15,000\text{ WBC/mm}^3$) and left shift ($\geq 10\%$ band forms) • New onset of purulent sputum³, or change in character of sputum⁴, or increased respiratory secretions, or increased suctioning requirements • Apnea, tachypnea⁵, nasal flaring with retraction of chest wall, or grunting • Wheezing, rales⁶, or rhonchi • Cough • Bradycardia (<100 beats/min) or tachycardia (>170 beats/min) <p>ALTERNATE CRITERIA FOR CHILD >1 OR ≤ 12 YEARS OLD, at least three of the following:</p> <ul style="list-style-type: none"> • Fever ($>38.4^{\circ}\text{C}$ or $>101.1^{\circ}\text{F}$) or hypothermia ($<37^{\circ}\text{C}$ or $<97.7^{\circ}\text{F}$) with no other recognized cause • Leukopenia ($<4,000\text{ WBC/mm}^3$) or leukocytosis ($\geq 15,000\text{ WBC/mm}^3$) • New onset of purulent sputum³, or change in character of sputum⁴, or increased respiratory secretions, or increased suctioning requirements • New onset or worsening cough or dyspnea, apnea, or tachypnea⁵ • Rales⁶ or bronchial breath sounds • Worsening gas exchange (e.g., O_2 desaturations [e.g., pulse oximetry $<94\%$], increased oxygen requirements, or increased ventilation demand) 	PNU1

Major Site: Pneumonia (PNEU)

Specific Site Algorithms for Pneumonia with Common Bacterial or Filamentous Fungal Pathogens and Specific Laboratory Findings (PNU2)

Radiology	Signs/symptoms	Laboratory	Code
<p>Two or more serial chest radiographs with at least one of the following^{1,2}:</p> <ul style="list-style-type: none"> • New or progressive and persistent infiltrate • Consolidation • Cavitation <p>NOTE: In patients without underlying pulmonary or cardiac disease (e.g., respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary edema, or chronic obstructive pulmonary disease), one definitive chest radiograph is acceptable¹.</p>	<p>At least one of the following:</p> <ul style="list-style-type: none"> • Fever ($>38^{\circ}\text{C}$ or $>100.4^{\circ}\text{F}$) with no other recognized cause • Leukopenia ($<4,000\text{ WBC/mm}^3$) or leukocytosis ($\geq 12,000\text{ WBC/mm}^3$) • For adults ≥ 70 years old, altered mental status with no other recognized cause and <p>At least one of the following:</p> <ul style="list-style-type: none"> • New onset of purulent sputum³, or change in character of sputum⁴, or increased respiratory secretions, or increased suctioning requirements • New onset or worsening cough, or dyspnea, or tachypnea⁵ • Rales⁶ or bronchial breath sounds • Worsening gas exchange (e.g., O_2 desaturations [e.g., $\text{PaO}_2/\text{FiO}_2 \leq 240$]⁷, increased oxygen requirements, or increased ventilation demand) 	<p>At least one of the following:</p> <ul style="list-style-type: none"> • Positive growth in blood culture⁸ not related to another source of infection • Positive growth in culture of pleural fluid • Positive quantitative culture⁹ from minimally contaminated LRT specimen (e.g., BAL or protected specimen brushing) • $\geq 5\%$ BAL-obtained cells contain intracellular bacteria on direct microscopic exam (e.g., Gram stain) • Histopathologic exam shows at least one of the following evidences of pneumonia: Abscess formation or foci of consolidation with intense PMN accumulation in bronchioles and alveoli • Positive quantitative culture⁹ of lung parenchyma • Evidence of lung parenchyma invasion by fungal hyphae or pseudohyphae 	PNU2

Major Site: Pneumonia (PNEU)

Specific Site Algorithms for Pneumonia with Viral, *Legionella*, *Chlamydia*, *Mycoplasma*, and Other Uncommon Pathogens and Specific Laboratory Findings (PNU2)

Radiology	Signs/symptoms	Laboratory	Code
<p>Two or more serial chest radiographs with at least one of the following^{1,2}:</p> <ul style="list-style-type: none"> • New or progressive and persistent infiltrate • Consolidation • Cavitation <p>NOTE: In patients without underlying pulmonary or cardiac disease (e.g., respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary edema, or chronic obstructive pulmonary disease), one definitive chest radiograph is acceptable¹.</p>	<p>At least one of the following:</p> <ul style="list-style-type: none"> • Fever ($>38^{\circ}\text{C}$ or $>100.4^{\circ}\text{F}$) with no other recognized cause • Leukopenia ($<4,000\text{ WBC/mm}^3$) or leukocytosis ($\geq 12,000\text{ WBC/mm}^3$) • For adults ≥ 70 years old, altered mental status with no other recognized cause <p>and</p> <p>At least one of the following:</p> <ul style="list-style-type: none"> • New onset of purulent sputum³, or change in character of sputum⁴, or increased respiratory secretions, or increased suctioning requirements • New onset or worsening cough, dyspnea, or tachypnea⁵ • Rales⁶ or bronchial breath sounds • Worsening gas exchange (e.g., O_2 desaturations [e.g., $\text{PaO}_2/\text{FiO}_2 \leq 240$]⁷, increased oxygen requirements, or increased ventilation demand) 	<p>At least one of the following¹⁰⁻¹²:</p> <ul style="list-style-type: none"> • Positive culture of virus or <i>Chlamydia</i> from respiratory secretions • Positive detection of viral antigen or antibody from respiratory secretions (e.g., EIA, FAMA, shell vial assay, PCR) • Fourfold rise in paired sera (IgG) for pathogen (e.g., influenza viruses, <i>Chlamydia</i>) • Positive PCR for <i>Chlamydia</i> or <i>Mycoplasma</i> • Positive micro-IF test for <i>Chlamydia</i> • Positive culture or visualization by micro-IF of <i>Legionella</i> spp. from respiratory secretions or tissue • Detection of <i>Legionella pneumophila</i> serogroup 1 antigens in urine by RIA or EIA • Fourfold rise in <i>L. pneumophila</i> serogroup 1 antibody titer to $\geq 1:128$ in paired acute and convalescent sera by indirect IFA 	PNU2

Major Site: Pneumonia (PNEU)

Specific Site Algorithm for Pneumonia in Immunocompromised Patients (PNU3)

Radiology	Signs/symptoms	Laboratory	Code
<p>Two or more serial chest radiographs with at least one of the following^{1,2}:</p> <ul style="list-style-type: none"> • New or progressive and persistent infiltrate • Consolidation • Cavitation <p>NOTE: In patients without underlying pulmonary or cardiac disease (e.g., respiratory distress syndrome, bronchopulmonary dysplasia, pulmonary edema, or chronic obstructive pulmonary disease), one definitive chest radiograph is acceptable¹.</p>	<p>Patient who is immunocompromised¹³ has at least one of the following:</p> <ul style="list-style-type: none"> • Fever ($>38^{\circ}\text{C}$ or $>100.4^{\circ}\text{F}$) with no other recognized cause • For adults ≥ 70 years old, altered mental status with no other recognized cause • New onset of purulent sputum³, or change in character of sputum⁴, or increased respiratory secretions, or increased suctioning requirements • New onset or worsening cough, or dyspnea, or tachypnea⁵ • Rales⁶ or bronchial breath sounds • Worsening gas exchange (e.g., O_2 desaturations [e.g., $\text{PaO}_2/\text{FiO}_2 \leq 240$]⁷, increased oxygen requirements, or increased ventilation demand) • Hemoptysis • Pleuritic chest pain 	<p>At least one of the following:</p> <ul style="list-style-type: none"> • Matching positive blood and sputum cultures with <i>Candida</i> spp.^{14,15} • Evidence of fungi or <i>Pneumocystis carinii</i> from minimally contaminated LRT specimen (e.g., BAL or protected specimen brushing) from one of the following: <ul style="list-style-type: none"> – Direct microscopic exam – Positive culture of fungi <p>Any of the following from: LABORATORY CRITERIA DEFINED UNDER PNU2</p>	PNU3

1. Occasionally, in nonventilated patients, the diagnosis of nosocomial pneumonia may be quite clear on the basis of symptoms, signs, and a single definitive chest radiograph. However, in patients with pulmonary or cardiac disease (e.g., interstitial lung disease or congestive heart failure), the diagnosis of pneumonia may be particularly difficult. Other noninfectious conditions (e.g., pulmonary edema from decompensated congestive heart failure) may simulate the presentation of pneumonia. In these more difficult cases, serial chest radiographs must be examined to help separate infectious from noninfectious pulmonary processes. To help confirm difficult cases, it may be useful to review radiographs on the day of diagnosis, 3 days prior to the diagnosis, and on days 2 and 7 after the diagnosis. Pneumonia may have rapid onset and progression but does not resolve quickly. Radiographic changes of pneumonia persist for several weeks. As a result, rapid radiograph resolution suggests that the patient does not have pneumonia but rather a noninfectious process such as atelectasis or congestive heart failure.
2. Note that there are many ways of describing the radiographic appearance of pneumonia. Examples include, but are not limited to, air-space disease, focal opacification, and patchy areas of increased density. Although perhaps not specifically delineated as pneumonia by the radiologist, in the appropriate clinical setting these alternative descriptive wordings should be seriously considered as potentially positive findings.
3. Purulent sputum is defined as secretions from the lungs, bronchi, or trachea that contain ≥ 25 neutrophils and ≤ 10 squamous epithelial cells per low power field ($\times 100$). If your laboratory reports these data qualitatively (e.g., many WBCs or few squames), be sure their descriptors match this definition of purulent sputum. This laboratory confirmation is required because written clinical descriptions of purulence are highly variable.
4. A single notation of either purulent sputum or change in character of the sputum is not meaningful; repeated notations over a 24-hour period would be more indicative of the onset of an infectious process. Change in character of sputum refers to the color, consistency, odor, and quantity.

Footnotes Continued.

5. In adults, tachypnea is defined as respiration rate >25 breaths per minute. Tachypnea is defined as >75 breaths per minute in premature infants born at <37 weeks' gestation and until the 40th week; >60 breaths per minute in patients <2 months old; >50 breaths per minute in patients 2–12 months old; and >30 breaths per minute in children >1 year old.
6. Rales may be described as crackles.
7. This measure of arterial oxygenation is defined as the ratio of the arterial tension (PaO₂) to the inspiratory fraction of oxygen (FiO₂).
8. Care must be taken to determine the etiology of pneumonia in a patient with positive blood cultures and radiographic evidence of pneumonia, especially if the patient has invasive devices in place such as intravascular lines or an indwelling urinary catheter. In general, in an immunocompetent patient, blood cultures positive for coagulase negative staphylococci, common skin contaminants, and yeasts will not be the etiologic agent of the pneumonia.
9. Refer to Table A-2.1 for threshold values of bacteria from cultured specimens. An endotracheal aspirate is not a minimally contaminated specimen. Therefore, an endotracheal aspirate does not meet the laboratory criteria.
10. Once laboratory-confirmed cases of pneumonia due to respiratory syncytial virus (RSV), adenovirus, or influenza virus have been identified in a hospital, clinician's presumptive diagnosis of these pathogens in subsequent cases with similar clinical signs and symptoms is an acceptable criterion for presence of nosocomial infection.
11. Scant or watery sputum is commonly seen in adults with pneumonia due to viruses and *Mycoplasma* although sometimes the sputum may be mucopurulent. In infants, pneumonia due to RSV or influenza yields copious sputum. Patients, except premature infants, with viral or mycoplasmal pneumonia may exhibit few signs or symptoms, even when significant infiltrates are present on radiographic exam.
12. Few bacteria may be seen on stains of respiratory secretions from patients with pneumonia due to *Legionella* spp, *Mycoplasma*, or viruses.
13. Immunocompromised patients include those with neutropenia (absolute neutrophil count <500/mm³), leukemia, lymphoma, HIV with CD4 count <200, or splenectomy; those who are in their transplant hospital stay; and those who are on cytotoxic chemotherapy, high dose steroids, or other immunosuppressives daily for >2 weeks [e.g., >40mg of prednisone or its equivalent (>160mg hydrocortisone, >32mg methylprednisolone, >6mg dexamethasone, >200mg cortisone)].
14. Blood and sputum specimens must be collected within 48 hours of each other.
15. Semiquantitative or nonquantitative cultures of sputum obtained by deep cough, induction, aspiration, or lavage are acceptable. If quantitative culture results are available, refer to algorithms that include such specific laboratory findings.

TABLE A-2.1. THRESHOLD VALUES FOR CULTURED SPECIMENS USED IN THE DIAGNOSIS OF PNEUMONIA

Specimen Collection/Technique	Values	Comment
Lung parenchyma	≥10 ⁴ CFU/g tissue	1
Bronchoscopically (B) obtained specimens		
Bronchoalveolar lavage (B-BAL)	≥10 ⁴ CFU/mL	
Protected BAL (B-PBAL)	≥10 ⁴ CFU/mL	
Protected specimen brushing (B-PSB)	≥10 ³ CFU/mL	
Nonbronchoscopically (NB) obtained (blind) specimens		
NB-BAL	≥10 ⁴ CFU/mL	
NB-PSB	≥10 ³ CFU/mL	

1, open-lung biopsy specimens and immediate postmortem specimens obtained by transthoracic or transbronchial biopsy; CFU, colony-forming units; g, gram; mL, milliliter.

Potential Healthcare Acquired Infection Measures

Acronym	Measure	Numerator(s)	Denominator(s)	Source(s)
CAUTI	Catheter-associated urinary tract infections	<ol style="list-style-type: none"> 1. Number of cases 2. Number of cases 3. Number of cases 4. Number of cases 5. Number of cases 	<ol style="list-style-type: none"> 1. Pts with a urinary cath. 2. Pt-days for pts with a urinary cath. 3. ICU pts with a urinary cath. 4. ICU pt-days for pts with a urinary cath. 5. Urinary catheter days 	<ol style="list-style-type: none"> 1. CMS* 2. 3. 4. 5. NHSN
CLABI	Central line-associated bloodstream infections	<ol style="list-style-type: none"> 1. Number of cases 2. Number of cases 3. Number of cases 4. Number of cases 5. Number of cases 	<ol style="list-style-type: none"> 1. Pts with a central line 2. Pt-days for pts with a central line 3. ICU pts with a central line 4. ICU pt-days for pts with a central line 5. Central line days 	<ol style="list-style-type: none"> 1. CMS* 2. 3. McKibben 4. 5. NHSN, IHI, NQF
CLIP	Central line insertion practices	<ol style="list-style-type: none"> 1. Proper hand hygiene used 2. Maximal sterile barriers were used 3. Skin antiseptic used 4. Optimal site selection 5. Daily review of necessity 6. Compliance with all five elements 	<ol style="list-style-type: none"> 1. Pts with a central line 2. Pts with a central line 3. Pts with a central line 4. Pts with a central line 5. Pts with a central line 6. Pts with a central line 	<ol style="list-style-type: none"> 1. IHI 2. McKibben, IHI 3. McKibben, IHI 4. IHI 5. IHI 6. IHI, NQF
SSI	Surgical site infections	<ol style="list-style-type: none"> 1. Number of cases for a specific type of high-volume surgery 2. Mediastinitis in CABG pts 3. Number of cases in "clean" surgery pts. 4. Number of superficial SSIs 5. Number of deep-incision SSIs 6. Number of organ/space SSIs 7. Total number of deep-incision and organ/space SSIs 	<ol style="list-style-type: none"> 1. Pts receiving a specific type of high-volume surgery 2. Pts receiving CABG surgery 3. "Clean" surgery pts 4. Pts receiving NHSN procedures 5. Pts receiving NHSN procedures 6. Pts receiving NHSN procedures 7. Pts receiving NHSN procedures 	<ol style="list-style-type: none"> 1. McKibben 2. CMS* 3. IHI 4. NHSN 5. NHSN 6. NHSN 7. NQF
SAMP	Surgical antimicrobial prophylaxis (AMP)	<ol style="list-style-type: none"> 1. Pt received AMP within 1 hr of incision 2. Pt received recommended AMP 3. AMP discontinued within 24 hrs of surgery end time 4. Appropriate hair removal 5. Post-op glucose control 6. Post-op normothermia 	<ol style="list-style-type: none"> 1. Surgical pts 2. Surgical pts 3. Surgical pts 4. Surgical pts 5. Major cardiac surgery pts 6. Colorectal surgery pts 	<ol style="list-style-type: none"> 1. McKibben, IHI, SCIP** 2. McKibben, IHI, SCIP** 3. McKibben, IHI, SCIP** 4. IHI, NHQM, NQF 5. IHI, NHQM, NQF 6. IHI, NHQM
VAP	Ventilator-associated pneumonia	<ol style="list-style-type: none"> 1. Number of cases 2. Number of cases 3. Number of cases 	<ol style="list-style-type: none"> 1. Pts receiving mechanical ventilation 2. Pt-days for pts receiving mechanical ventilation 3. Ventilator days 	<ol style="list-style-type: none"> 1. 2. 3. NHSN, IHI

MVP	Mechanical ventilation practices	1. Elevate head of bed 30-45 degrees 2. Assess readiness to extubate daily 3. PUD prophylaxis 4. DVT prophylaxis 5. Compliance with all four elements	1. Pts receiving mechanical ventilation 2. Pts receiving mechanical ventilation 3. Pts receiving mechanical ventilation 4. Pts receiving mechanical ventilation 5. Pts receiving mechanical ventilation	1. IHI 2. IHI 3. IHI 4. IHI 5. IHI, NQF
FLUVAX	Influenza vaccination given to eligible patients or staff	1. Pt vaccinations 2. Staff vaccinations	1. Eligible pts 2. Eligible staff	1. McKibben 2. McKibben, NQF
MRSA	Methicillin-resistant Staphylococcus aureus infections	1. Number of cases 2. Number of cases	1. Admissions 2. Pt-days	1. IHI 2. IHI
MTP	MRSA transmission precautions	1. Proper hand hygiene used 2. Active surveillance of pts 3. Contact precautions used 4. Proper decontamination performed	1. Admissions 2. Admissions 3. Infected and colonized pts 4. Infected and colonized pts	1. IHI 2. IHI 3. IHI 4. IHI

* - Refers to FY 2008 Inpatient Prospective Payment System final rule

** - Also HQA, NQF, and NHQM (the Leapfrog Group endorses the NQF measures).

Other acronyms:

AMP: anti-microbial prophylaxis

CABG: coronary artery bypass graft

CMS: Centers for Medicare and Medicaid Services

DVT: deep vein thrombosis

ICU: intensive care unit

IHI: Institute for Healthcare Improvement

HQA: Hospital Quality Alliance

Pt: patient

PUD: peptic ulcer disease

NQF: National Quality Forum

NHQM: National Hospital Quality Measures

NHSN: National Healthcare Safety Network

SCIP: Surgical Care Improvement Project

Cascade Healthcare Community (CHC)



Health Care Acquired Infections Advisory Committee
November 13, 2007

The vision to publicly report quality data

- Local media relentless
- Reporting 2 year old data
- Lack of educational component

- Discussions started about one year ago
 - Pam Steinke, VP Quality of CHC
 - Jim Diegel, CEO of CHC
 - Board of Directors
- Transparency, openness, honesty, integrity - CHC goals
- Approach is to over-report, rather than under-report

Decision to collaborate with local media

- Started meeting with local media in March and April 2007
- Commitment made to local media
- Meet quarterly
- Provide current information and statistics

Choosing processes and outcomes to report:

- Potentially publicly reported
- Evidence based
- Data currently being tracked

Published first quality reports on external website in June 2007 -- www.scmc.org

A Message About Quality from James A. Diegel, FACHE, President/CEO Cascade Healthcare Community

"To improve the health of those we serve in a spirit of love and compassion" is the mission of Cascade Healthcare Community. We accomplish this through the dedication of our physicians and caregivers and their commitment to compassion, excellence, relationships, customer service, sanctuary, and stewardship. CHC is an excellent, award-winning healthcare system that leads the nation in a number of areas of quality. Yet, like any complex organization, we can be even better.



This Web site is one avenue for CHC's "transparency commitment" to keep the public informed about what we do well and also where we need to improve. We will use the best data we have available and focus whenever possible on standard national metrics that provide regional and national comparisons. This website will be under constant construction as we add more and more information, update data periodically, and refine our reports to best meet your needs.

What does "transparency" mean?

It means having the courage to be open and honest about what we do and how we do it. It means celebrating publicly both our large and smaller successes, even if we might sometimes appear boastful. It means acknowledging where we need to improve and having clear action plans to do it. It means letting our community inside our quality improvement efforts and seeking their input on solutions. It means fostering an internal culture that strikes a balance between rewarding courage and demanding accountability.

Why is transparency important?

Consumers deserve to know if they are receiving a high-quality product or service. In addition to the ethical imperative of providing quality information, it can help consumers decide where to spend their money. CHC believes healthcare consumers should not be an exception, although historically they have been considered so. Undoubtedly, measuring key aspects of quality such as safety, effectiveness, reliability, and efficiency is more difficult in healthcare than other industries. There are many uncontrollable factors that make it difficult to compare hospitals apples-to-apples, such as patient differences and vast disparities in federal funding across the United States. Nevertheless, transparency is a journey that is in everyone's best interest and we must use the best metrics available currently, with the trust that accuracy will improve with practice.

Hospitals are interested in transparency for another important reason: it helps us know which hospitals are the best, and, more importantly, what we can learn from them. A culture of courage includes always trying to be better by seeking out the best and replicating their successes. At CHC, we coined the phrase "Be the Benchmark" to reflect this constant striving for top-tier performance.

What will our transparency look like to you?

You will see more data on a regular basis about CHC's quality, customer service, and charges as compared to other hospitals in Oregon and nationally. Will we be opening up our internal records completely? Of course not. Patient privacy will be our first priority, so we will release aggregate statistics only, making it impossible to identify an individual patient. Also, even though CHC is not-for-profit, healthcare can be a ferociously competitive industry. In such an environment, we must maintain responsible business acumen.

What do we need from you, our community?

First, we need your trust. CHC has always been a not-for-profit organization and healthcare above all is a "caring" profession, so you can assume good intentions on our part. Second, we need your

understanding that healthcare will never be perfect. However, this will not deter us from pursuing perfection and that is what you should expect from us. Third, we need you to be an advocate for quality healthcare. Educate yourself about standards of good care by visiting websites of major quality organizations such as the Institute for Healthcare Improvement, National Quality Forum, Agency for Healthcare Research and Quality, Department of Health and Human Services, and the Joint Commission.

When you visit a doctor or come to our hospitals, don't be embarrassed to ask about quality and safety practices. This can be as simple as "I've read that there are some basic things that nurses and doctors can do to keep patients from getting an infection during their visit. What do you do here?" We will be able to tell you. Fourth, we need you to take good care of yourself. Exercise regularly, eat well-balanced meals, and don't smoke. Get regular check-ups with your doctor and follow their advice for keeping healthy. Responsible self-care is the first and most important step in preventing overuse of the American healthcare system.

Cascade Healthcare Community's commitment to you—our patients, our customers, our community—is simply this: In a spirit of love and compassion, we will do our best to improve your health.

Quarterly Community Update

September 15th, 2007



At Cascade Healthcare Community (CHC) we have a “transparency commitment” to keep the public informed about what we do well and also where we need to improve. This Web site is one avenue we use to keep that commitment to our patients and their families. We will use the best data we have available and focus whenever possible on standard national metrics that provide regional and national comparisons. This Web site will be under constant construction as we add more and more information, update data periodically and refine our reports to best meet your needs.

So, we encourage you to check back often for the latest in quality information from CHC.

What’s new this quarter?

- The summary scorecard (below) provides a quick gauge of how CHC compares nationally, if we are improving and if we have met our lofty “stretch targets”—since we do not aim for average quality.
- A quality report for the St. Charles Regional Cancer Treatment Center shows our performance on the four national cancer quality measures endorsed recently by the National Quality Forum.
- Patient satisfaction data from our outpatient surgery centers and our emergency room.
- Updated quality data for heart attack, heart failure, pneumonia and surgical care sites. We also changed the format of these reports so we now report the same time period for both hospitals and show the overall average across all measures.

Summary Performance Scorecard (updated September 2007):

Area (click area to view report)	Exceeding national average?		Improving (or at top)?		Meeting our <i>STRETCH</i> target?	
	Bend	Redmond	Bend	Redmond	Bend	Redmond
QUALITY AND PATIENT SAFETY						
HEART ATTACK CARE	✓	✓*	✓	✓*		
HEART FAILURE CARE	✓	✓	✓	✓		
PNEUMONIA CARE				✓		

SURGICAL CARE	✓	✓				
CANCER CARE	✓	✓*	✓	✓*	✓	✓*
INFECTION PREVENTION	?	?	✓	✓		✓
CUSTOMER SERVICE						
INPATIENT SATISFACTION	✓	✓		✓	✓	✓
OUTPATIENT SURGERY SATISFACTION		✓		✓		
ER PATIENT SATISFACTION	✓			✓		

* Regional treatment program based in Bend.

"Stretch" target defined as being in top 10th percentile

Infection Control Department Quality Overview

- Process measures -
- Outcomes measures -
- Decision made early on to only provide annual report...
 - doesn't match up well with other data being reported in Surgical Care report

- Data originates from Infection Control Department's data base
- CDC definitions used to determine hospital acquired infections (with additional input by epidemiologist)
- No risk stratification performed
- Organism stratification done, but not reported publicly

- St. Charles Medical Center - Bend
 - Targeted surveillance
 - Ventilator Associated Pneumonias
 - C. difficile infections
 - Non-peripheral IV infections - including PICC line infections, over the wire infections, MD inserted catheters, CVC combined, NICU line infections
 - Surgical Site Infections
 - Look at all SSI's by service (only publicly report SCIP measure procedures)
 - Rely on culture results and/or readmissions to identify SSI's after discharge
 - Cultures processed for 3 county region
 - # of SSI's may be higher than reported
 - Considering F/U phone calls with CABG patients

- St. Charles Medical Center - Redmond
 - Total house surveillance
 - Ventilator and non-ventilator associated pneumonias
 - All IV infections
 - Surgical site
 - Look at all by service (only publicly report SCIP measure procedures)
 - Rely on culture results and/or readmissions to identify SSI's after discharge
 - Cultures processed for 3 county region
 - # of SSI's may be higher than reported
 - C.difficile
 - UTI
 - Difficult to get accurate # catheter days

CHC Quality Reporting

Information about Infection Control



The risk of wound infection after surgery can significantly be reduced by making sure patients get the right medications at the right time on the day of their surgery.

Quality and Safety

Cascade Community Healthcare is committed to providing safe, high quality care. Measuring how we provide patient care allows us to evaluate and improve care. We measure care that experts agree is the best treatment for each condition.

CHC Quality Reporting

Infection Control Quality Report

The risk of wound infection after surgery can significantly be reduced by making sure patients get the right medications at the right time on the day of their surgery.

HOSPITAL ACQUIRED INFECTIONS											
Procedure	St. Charles-BEND				St. Charles-REDMOND				COMPARISON GROUPS		
	Procedures (2006)	Infections (2006)	Rate per 1000	Change from 2005	Procedures (2006)	Infections (2006)	Rate per 1000	Change from 2005	Oregon	U.S.	Top 10%
Coron. Artery Bypass Graft (CABG)	198	1	5.05	-9.51	0	n/a	n/a	n/a	n/a	n/a	n/a
Total Hip Replacement	306	3	9.80	2.79	46	0	0.00	0.00	n/a	n/a	n/a
Total Knee Replacement	529	3	5.67	-0.97	57	0	0.00	0.00	n/a	n/a	n/a
Hysterectomy	365	5	13.70	7.34	60	0	0.00	0.00	n/a	n/a	n/a
Central Lines (Central Venous Catheters)	11,534 (lines days)	19	1.65	-0.17	n/a	1	n/a	n/a	n/a	n/a	n/a
AVERAGE ACROSS ALL MEASURES											
			7.17	-0.10			0.00	0.00			

CHC Quality Reporting Information about Surgical Care Improvements



Hospitals can improve surgical care and reduce the risk of wound infection after surgery by providing the right medicines at the right time on the day of surgery.

There are also steps that you, as a patient, can take to make sure the surgery is as safe as possible. For example, your doctor or nurse can tell you how to wash with an antibiotic soap the day before surgery. You can also give your doctor or nurse a list of all your medications, including vitamins, herbal medicines, and over-the-counter medications. You should also tell your doctor or nurse about any allergies and bad reactions to anesthesia.

Sometimes patients get an infection after surgery, even if the hospital took steps to prevent it. Here are signs to look out for:

- The surgical wound is red, hot, and swollen.
- You have a fever of over 100 degrees after you go home.
- A smelly or yellow/green fluid is coming out of the wound.
- Your pain is increasing even though you are taking pain medication.

Call your doctor or local hospital immediately if you have any of these signs.

More information about surgery

- **"THINKING ABOUT SURGERY?"**
- **ST. CHARLES SURGERY INFO CENTER**
- **AMERICAN LUNG ASSOCIATION**
- **THE NATIONAL LIBRARY OF MEDICINE AND THE NATIONAL INSTITUTES OF HEALTH**

Information from **WWW.HOSPITALCOMPARE.GOV**.

Surgical Care and Surgical Infection Prevention Quality Report

Getting an antibiotic within one hour before surgery reduces the risk of wound infections. Hospitals should check to make sure surgery patients get antibiotics at the right time.

It is important for hospitals to stop giving preventative antibiotics within 24 hours after surgery to avoid side effects and other problems associated with antibiotic use. For certain surgeries, however, antibiotics may be needed for a longer time.

= too few patients to report a rate.

SURGICAL CARE										
Measure	St. Charles-BEND			St. Charles-REDMOND			COMPARISONS (Oct 2005-Sept 2006)			
	4Q 2006	1Q 2007 (N/D)	Change	4Q 2006	1Q 2007 (N/D)	Change	Oregon	U.S.	Top 10%	
Percent of Surgery Patients Who Received Preventative Antibiotic(s) One Hour Before Incision	83%	87% (183/211)	3.7%	91%	94% (46/49)	2.9%	71%	77%	95%	
Percent of Surgery Patients who Received the Appropriate Preventative Antibiotic(s) for Their Surgery	97%	97% (205/212)	-0.3%	91%	94% (46/49)	2.9%	89%	90%	100%	
Percent of Surgery Patients Whose Preventative Antibiotic(s) are Stopped Within 24 hours After Surgery	83%	84% (136/162)	1.0%	75%	76% (31/41)	0.6%	65%	72%	95%	
Surgery Patients with Recommended Venous Thromboembolism Prophylaxis Ordered	90%	85% (147/173)	-5.0%	94%	80% (37/46)	-13.6%	n/a	n/a	n/a	
Surgery Patients Who Received Appropriate Venous Thromboembolism Prophylaxis Within 24 Hours Prior to	90%	80% (139/173)	-9.7%	94%	70% (32/46)	-24.4%	n/a	n/a	n/a	

Surgery to 24 Hours After Surgery											
AVERAGE ACROSS ALL MEASURES	89%	87%		-2%	89%	83%		-6%	75%	80%	97%

Data from IHI SCIP procedures.

Barriers / Challenges

- Resources to get data
 - 2.5 FTE's to gather CMS data (abstraction, collection of core measures)
 - inpatient only
 - unknown when we will gather outpatient data
 - How to educate public in a way that's understandable to broad audience
 - one size doesn't fit all
- Infection Control department
 - 1.5 FTE's
 - 80% of time spent gathering data
 - No automated system - everything is manual
 - Lag time from submission of data to CMS reports
 - Lack of epidemiology software program with our current EMR system
- CHC hospitals serve unique populations and treat varied conditions and illnesses
- CHC hospitals offer different services, specialties and expertise
- Government data may be two or three years old... doesn't mean it's wrong, but much may be changed since it was reported
 - Public can't really compare apples to apples

Ideas / discussion ongoing:

- Surveillance cultures -
 - Which patients?
 - Which sites?
 - Who pays?
 - Hospital wide versus unit specific versus procedure specific?
- Report specific organisms -
 - MRSA
 - VRE
 - C. Difficile
 - Others

Public reaction to external reporting:

- Media (attached)
- Written letters
- Inquiries to IC nurses and other caregivers
- Physician offices

Future state:

- Develop quality portals under CHC quality reporting on website
 - www.cascadehealthcare.org
 - St. Charles Medical Center - Bend (SCMC-B)
 - St. Charles Medical Center - Redmond (SCMC-R)
 - Pioneer Memorial Hospital - Prineville (PMH)
 - Mountain View Hospital - Madras (MVH)

- January / February 2008
 - Initiate public launch to actively promote the new sites through the media and our own marketing tools, including FOCUS magazine