

CENTER FOR PREVENTION AND HEALTH PROMOTION Oral Health Program

Kate Brown, Governor



800 NE Oregon St, Ste 825 Portland, Oregon 97232-2186 Office: 971-673-1563 Cell: 509-413-9318 Fax: 971-673-0231 www.healthoregon.org/dpp

#### DENTAL PILOT PROJECT PROGRAM: TECHNICAL REVIEW BOARD APPLICATION REVIEW REPORT

#### DENTAL PILOT PROJECTS BACKGROUND INFORMATION:

In 2011, Senate Bill 738 was passed by the Oregon State Legislature. The Oregon Health Authority (OHA) may approve pilot projects to encourage the development of innovative practices in oral health care delivery systems with a focus on providing care to populations that evidence-based studies have shown have the highest disease rates and the least access to dental care.

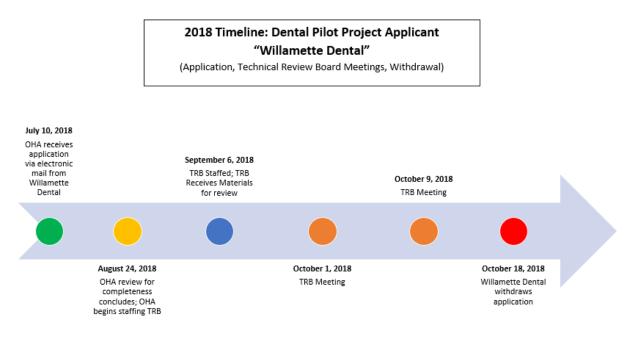
The Dental Pilot Projects are intended to evaluate the quality of care, access, cost, workforce, and efficacy by achieving one of the following:

- 1. Teaching New Skills to Existing Categories of Dental Personnel
- 2. Developing New Categories of Dental Personnel
- 3. Accelerating the Training of Existing Categories of Dental Personnel
- 4. Teaching New Oral Health Care Roles to Previously Untrained Persons

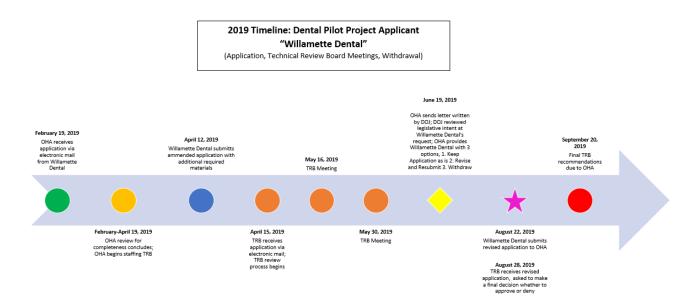
#### **APPLICATION:**

In May 2018, OHA received an application from the project sponsor Willamette University. After review for completeness by OHA, a Technical Review Board (TRB) was convened to review the application and make a recommendation for approval or denial to OHA. The TRB met twice on October 1, 2018 and October 9, 2018. Upon review, the TRB indicated that they had several concerns with the application. Willamette Dental was given the option to withdraw their application or submit a revised version. Willamette Dental chose to withdraw the application, revise the content and resubmit at a later date.





In February 2019, Willamette Dental submitted a revised version of their application. A second Technical Review Board (TRB) was convened to review the revised application and make a recommendation for approval or denial to OHA. The TRB met on May 16, 2019 and May 30, 2019. Upon review, the TRB indicated there were several deficiencies within the application. Willamette Dental chose to revise their application and resubmitted it to OHA on August 22, 2019. The TRB received the revised application via electronic mail, reviewed the revised application and made a final recommendation for approval or denial of the application. Copies of meeting minutes and materials can be found in Appendix A.





#### **REVIEW PROCESS:**

The application was reviewed by a Technical Review Board (TRB) comprised of stakeholders and subject matter experts. The TRB included appropriate community-level personnel and key individuals, who provided subject matter expertise, including: local dental public health managers; dental providers; and experts on state Medicaid policies, data, and quality improvement.

The role of the TRB was to determine if the project met the legislative intent of Senate Bill 738 and the requirements of the Oregon Administrative Rules 333-010-0700 through 333-010-0820 and ultimately provide a recommendation for approval or denial based upon review of the project. The TRB does not authorize or approve projects, final authority rests with OHA.

#### NOTICE and PUBLIC COMMENT:

Pursuant to OAR 333-010-0740, once the Authority completes an application review in conjunction with the Technical Review Board, a Notice of Intent to provisionally approve or deny an application will be provided to the applicant. The Notice will be sent to interested parties and will be posted for public comment for a period of 30 calendar days, along with a link to the application and other materials submitted by the applicant.

#### **PROVISIONAL APPROVAL:**

Following the close of the public comment period described in OAR 333-010-0730, the Authority shall review the public comments that were received and issue within 30 calendar days of the close of the public comment period a provisional decision to grant approval of an application or denial of the application.

If the application is provisionally approved, the project sponsor must comply with the requirements in OAR 333-010-0750, before it can receive final approval. Projects that receive provisional approval may begin to provide didactic training however they may not operate or treat live patients until final approval is received from the Authority.

#### FINAL APPROVAL:

A project sponsor that has been provisionally approved must, within 90 calendar days of provisional project approval, submit the following to the Authority for approval:

- a) A detailed evaluation and monitoring plan that meets the requirements in OAR 333-010-0780.
- b) Written standard operating policies and procedures for the project that ensure compliance with OAR 333-010-0760, Minimum Standards.

Once the Authority has received an acceptable plan and policies and procedures, it will notify the project sponsor that the project has been approved along with the plan and policies and procedures. The final approval letter shall include:

- a) The permitted scope of the project;
- b) Any conditions the Authority deems are necessary to protect patient safety;
- c) Procedures for which the project will be required to obtain written informed consent for treatment under OAR 333-010-0770, Informed Consent; and
- d) The length of time the project can operate from between three to five years.

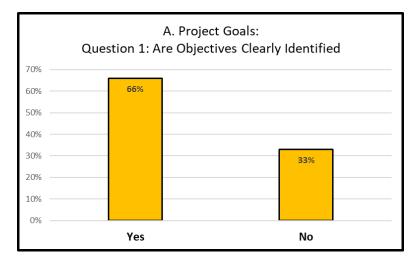


# FINAL REVIEW – TECHNICAL REVIEW BOARD

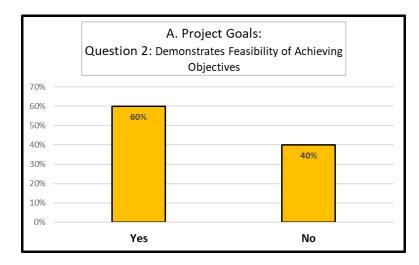
Dental Pilot Project Name:	Dental Hygiene Restorative Function Endorsement Model
ID Number:	Dental Pilot Project #300 (DPP #300)
Project Sponsor:	Willamette Dental Group
Sponsor Type:	Dental Care Organization (DCO)
Purpose:	Develops new categories of dental health care personnel.

#### A. PROJECT GOALS

1. Does the applicant clearly state the objectives of the proposed pilot project? OAR 333-010-0720



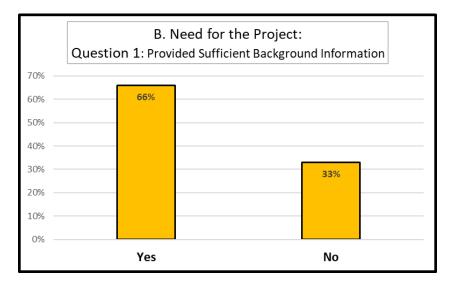
2. Does the applicant demonstrate the feasibility of achieving the project objectives? OAR 333-010-0720





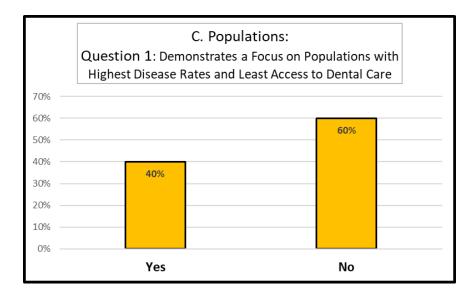
## **B. NEED FOR THE PROJECT**

1. Has the applicant provided sufficient background information including documentation of a need for the pilot project? ORS 680.210



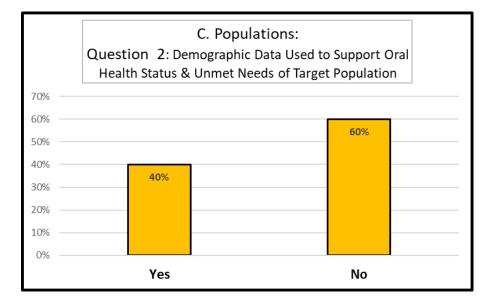
## **C. POPULATIONS**

1. Does the applicant demonstrate a focus on providing care to populations that evidencebased studies have shown have the highest disease rates and the least access to dental care? ORS 680.210



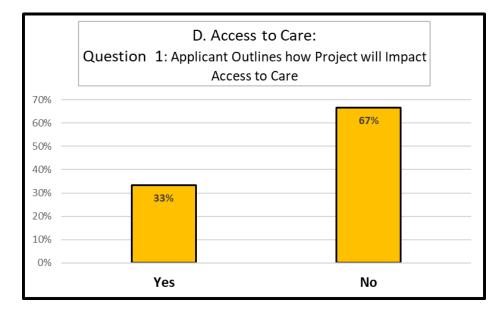


2. Has demographic data been used and cited to support the oral health status and the unmet oral health needs of the targeted population that will be served by the proposed project? ORS 680.210



# D. ACCESS TO CARE

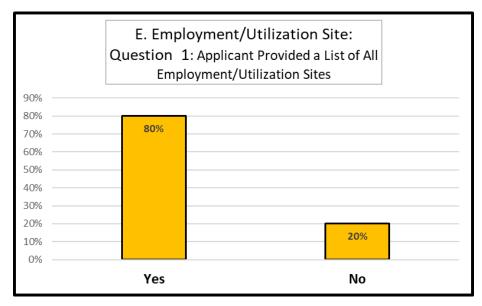
1. Does the applicant outline how the proposed pilot project may impact in access to care? OAR 333-010-0700





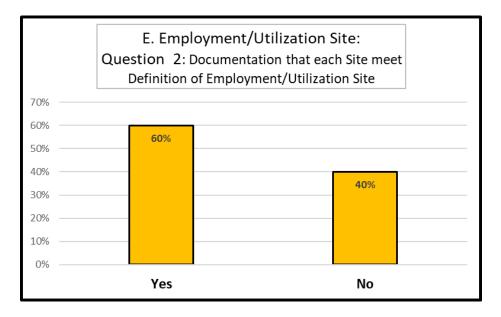
## E. EMPLOYMENT/UTILIZATION SITE

1. Does the applicant provide a list of all employment/utilization sites the proposed project intends to use? OAR 333-010-0720



2. Does the applicant provide documentation that shows that each site listed meets the definition of an employment/utilization site as defined under OAR 333-010-0170.

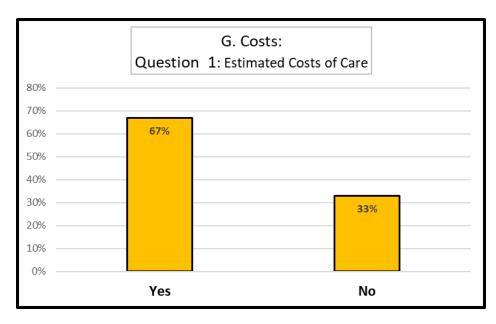
"Employment/utilization site" means an Authority approved site for use during the employment/utilization phase that provides care to populations that evidence has shown have the highest disease rates and the least access to dental care. An employment utilization site includes any location where dental health care services are provided by a project's trainees. OAR 333-010-0720





# F. COSTS

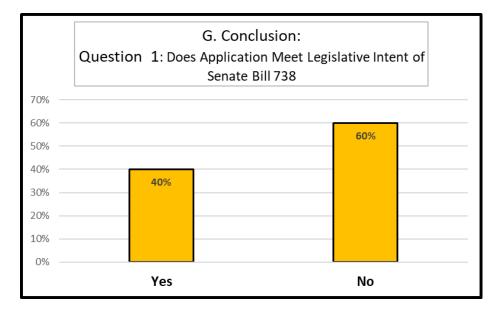
1. The estimated cost of care provided in the project; the likely cost of this care if performed by the trainees of the project; and the cost for provision of this care by current providers? OAR 333-010-0720



#### G. CONCLUSION

1. Does the application submitted meet the legislative intent of Senate Bill 738 which authorized the creation of the Dental Pilot Project Program?

"The Oregon Health Authority may approve pilot projects to encourage the development of innovative practices in oral health care delivery systems with a focus on providing care to populations that evidence-based studies have shown have the highest disease rates and the least access to dental care." OAR 333-010-0720, ORS 680.210





## **APPROVAL or DENIAL of APPLICATION**

Technical Review Board members were asked to make a final determination of Approval or Denial of the Application. Members provided comments and feedback throughout the review highlighting concerns and deficiencies within the application.

Options:

- 1. Recommend **Approval** of Project Application
- 2. Recommend Approval of Project with amendments as noted.
- 3. Recommend **Denial** of Project Application with rational stated below.

