PERMANENT ADMINISTRATIVE ORDER

PH 8-2022
CHAPTER 333
OREGON HEALTH AUTHORITY
PUBLIC HEALTH DIVISION

FILING CAPTION: Amendment of Certification Rules for Local School Dental Sealant Programs

EFFECTIVE DATE: 01/28/2022

AGENCY APPROVED DATE: 01/28/2022

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RULES:

AMEND: 333-028-0300

NOTICE FILED DATE: 11/30/2021

RULE SUMMARY: Amend OAR 333-028-0300: Changes school-based dental sealant programs to school dental sealant programs (SDSPs). Clarifies that SDSPs are an evidence-based best practice in preventing cavities among children and adolescents. Adds that OHA “recertify” local SDSPs as part of administering the certification programs for local SDSPs. Clarifies that “annual” certification is mandatory before dental sealants can be provided in a school setting.

CHANGES TO RULE:

333-028-0300

Local School Dental Sealant Programs: Purpose

(1) The Oral Health Program supports communities in improving the oral health of the school-age population through evidence-based best practices within a public health framework. The Association of State and Territorial Dental Directors (ASTDD), Centers for Disease Control and Prevention (CDC), and the Community Preventive Services Task Force have all determined that school-based dental sealant programs (SDSPs) are an evidence-based best practice with strong evidence of effectiveness in preventing tooth decay cavities among children and adolescents.

(2) These rules (OAR 333-028-0300 through 333-028-0395) establish the procedure and criteria the Oregon Health Authority shall use to certify, train, suspend, recertify, and monitor and collect data from Local School Dental Sealant Programs. Annual certification of a Local School Dental Sealant Program by the State Oral Health Program is mandatory before dental sealants can be provided in a school setting.

Statutory/Other Authority: OL 2015, ch. 791 RS 431A.725
Statutes/Other Implemented: OL 2015, ch. 791 RS 431A.725
AMEND: 333-028-0310

CHANGES TO RULE:

333-028-0310
Local School Dental Sealant Programs: Definitions ¶

(1) “Aerosol Generating Healthcare (AGH)” means any dental procedure that uses an air/water syringe or suction device.¶
(2) “Authority” means the Oregon Health Authority.¶
(3) “Certification” means the Local School Dental Sealant Program approved isolation device” means an Authority approved dental isolation device used to assist the dental hygienist in isolating teeth and using suction to remove saliva.¶
(4) “CCO” means Coordinated Care Organization.¶
(5) “CDC” means the U.S. Centers for Disease Control and Prevention.¶
(6) “Certification” means the Local School Dental Sealant Program has been authorized by the Oregon Health Authority to operate in an elementary or middle school setting. Certification by the Program is mandatory before dental sealants can be provided in a school setting.¶
(7) “Certification training” is a mandatory one-time training for Local School Dental Sealant Programs provided by the Program that must be taken and completed before an application for certification is submitted. Training topics shall include:
(a) State and federal updates;¶
(b) Research and evidence-based practices;¶
(bc) Scope of practice and utilizing dental hygienists and dental assistants;¶
(ed) Cultural competency and health literacy;¶
(e) Health equity, cultural responsiveness, and health literacy;¶
(e) Antidiscrimination laws and rules;¶
(f) Recruiting and working with schools;¶
(eg) Providing services in a school setting;¶
(fh) Equipment and supplies needed;¶
(9) Protocols for safe, quality care;¶
(hj) Data collection and reporting; and¶
(ij) Evaluation and continuous quality improvement.¶
(8) “Certification year” means a one-year period beginning on August 1 and ending on July 31.¶
(9) “Clinical training” is an annual training provided by the Local School Dental Sealant Program to update skills in determining the need for and appropriateness of dental sealants, and sealant application techniques. Training topics shall include:
(a) Determining the need for and appropriateness of sealants;¶
(b) Manufacturer’s guidelines for sealant placement;¶
(c) Infection prevention and control (IPC) guidelines;¶
(d) Quality assessment of the program, such as performance benchmarks and standards;¶
(e) Confidentiality and security guidelines; and¶
(f) Antidiscrimination laws and rules;¶
(g) Internal policies and procedures.¶
(10) “Local School Dental Sealant Program” is an entity outside of the Oregon Health Authority where dental sealants are one of the services being provided in a school setting. Only if FERPA” means the Family Educational Rights and Privacy Act.¶
(11) “HIPAA” means the Health Insurance Portability and Accountability Act.¶
(12) "IPC" means infection prevention and control.

(13) "Local SDSP" means local School Dental Sealant Programs, and not individual dental hygienists, can be certified.

(14) "Non-Aerosol Generating Healthcare (non-AGH)" means any dental procedure that does not use the air/water syringe or suction device.

(15) "Oregon OSHA" means the Oregon Occupational Safety and Health Administration.

(16) "Program" means the Oregon Health Authority, Public Health Division, Oral Health Program.

(17) "Recertification" means the Local School Dental Sealant Program DSP has been authorized by the Oregon Health Authority to operate in a school setting for the next certification year.

Statutory/Other Authority: ORS 431A.725
Statutes/Other Implemented: ORS 431A.725
AMEND: 333-028-0320

REPEAL: Temporary 333-028-0320 from PH 45-2021

NOTICE FILED DATE: 11/30/2021

RULE SUMMARY: Amend OAR 333-028-0320: Changes "school dental sealant program" to "SDSP" throughout 333-028-0320. Clarifies that the OHA Public Health Division, Oral Health Program (Program) can waive certification requirements during a declared state of emergency or public health emergency. Requirement (1) is added to better define what a local SDSP is and requires the local SDSP to register with the Oregon Secretary of State if it is a business entity. This requires out-of-state SDSPs to be registered in the State of Oregon. Requirement (2) is added so that a local SDSP complies with ORS 326.607 and has a written policy to coordinate with schools, school districts, or public charter schools to facilitate background checks of local SPSP staff. Minor grammatical clarifications have been made to requirement (3). Requirement (4) is amended to just focus on the requirements for the annual clinical training. Requirement (5) is added to better define the process the Program uses when multiple local SDSPs want to serve the same school. OHA will consult with applicable local SDSPs, CCOs and schools involved and look at topics such as certification status of each local SDSP; staffing capacity of each local SDSP; capacity of each local SDSP to serve additional grade levels or provide more oral health services; ability of each local SDSP to provide students with referrals for further dental treatment; and existing relationships with schools. Requirement (6) is amended to clarify that the Program shall provide SDSPs with a CCO contact list, making it easier to ensure Medicaid encounters for dental sealants are entered into the Medicaid system. Requirement (7) is amended to add two new categories of elementary and middle schools where a local SDSP shall first provide services: schools that have been approved for the Community Eligibility Program (CEP) and schools located in a dental care health professional shortage area (HPSA). Requirement (8) is amended to clarify that a local SDSP must offer, at a minimum, dental sealant services to all students regardless of insurance status or ability to pay. Reference to offering services to students regardless of race or ethnicity was moved to requirement (9). Requirement (9) is added so that local SDSPs comply with all applicable federal and state antidiscrimination laws and rules. Minor grammatical clarifications have been made to requirement (10). Requirement (11)(a) is amended to clarify that sealant services must be “primarily provided” on school grounds during normal course instruction hours. Requirement (11)(c) is amended to add training topics that volunteers and non-dental professionals working in a local SDSP must receive and be proficient in. Requirement (11)(d) is amended to clarify that the two-handed technique or four-handed technique can be used to apply sealants in middle and high schools when providing “non-aerosol generating healthcare (AGH)”. Requirement (11)(f) is amended to require local SDSPs to also use compressor and suction capabilities “as required during a declared state of emergency or public health emergency”. Minor grammatical clarifications have been made to requirements (12) and (13). Requirement (14) is amended to add that local SDSPs must also follow infection control guidelines by Oregon OSHA and the CDC. It specifies that the Program will provide Local SDSPs with a guidance document. The language in requirement (15) has been shortened since HIPAA and FERPA are defined in the definitions section. Minor grammatical clarifications have been made to requirement (16). Requirement (17) is amended to clarify that retention checks are done “from one school year to the next school year”, and that a local SDSP must submit a plan to increase its annual retention rate if the local SDSP does not meet the national benchmark of 80 percent or higher. Language was also added so that the Program can waive retention checks and reporting during a declared state of emergency or public health emergency. Minor grammatical clarifications have been made to requirements (18) and (19). A note has been added that the Program guidance document referenced in (14) will be located at this website: www.healthoregon.org/sealantcert.

CHANGES TO RULE:

333-028-0320
Local School Dental Sealant Programs: Certification Requirements

To be certified, a Local School Dental Sealant Program must meet all requirements for certification.
all requirements for certification. During a declared state of emergency or public health emergency, the Program may, in writing, waive certification requirements.¶

(1) To apply for certification, a Local SDSP must be an entity outside of the Authority that has the infrastructure to operate a program that provides dental sealants in a school setting. If the Local SDSP applicant is a business entity, then it must be registered with the Oregon Secretary of State.¶

(2) A Local SDSP must have a written policy to coordinate with schools, school districts, or public charter schools to facilitate background checks of Local SDSP staff as required by school policy as described in ORS 326.607.¶

(3) A representative responsible for coordinating and implementing the Local School Dental Sealant Program must attend a one-time certification training provided by the Program. If the Local School Dental Sealant Program prior to applying for certification. If the Local SDSP experiences personnel changes that impact the representative responsible for coordinating and implementing the Local School Dental Sealant Program, then a new representative must attend the one-time certification training before applying for recertification. Any templates or materials provided by the Program during the certification training that are modified or utilized by the Local School Dental Sealant Program must acknowledge the Program on such templates or materials.¶

(4) A Local School Dental Sealant Program must provide an annual clinical training to all of the Local SDSP’s providers rendering care within their scope of practice in a school setting. This requirement may be met by one of these methods:¶

(a) A Local School Dental Sealant Program develops and implements its own training.¶

(b) A Local School Dental Sealant Program sends their providers to an annual training provided by the Program. The Program will only include application techniques for resin-based sealants. Local School Dental Sealant Programs applying glass ionomer sealants can attend the Program’s training, but then must conduct their own training on glass ionomer sealant application.¶

(5) When multiple Local SDSPs request to serve the same school, the Program will determine which Local SDSP will provided in their area the services. When multiple local school dental sealant programs want to serve the same school, the CCO in consultation with the Program and school will determine which local program is best to provide the services. This collaboration will ensure access and minimize the duplication of services.¶

(a) Priorities should be given to the most cost-effective dental sealant delivery model that meets certification requirements.¶

(b) Existing relationships with schools and providers should be considered when multiple delivery models meet requirements.¶

(c) The Program will provide the CCOs with a list of school dental sealant programs and the schools they serve from the Certification Application and Renewal Certification Application form. The Program will consult with applicable Local SDSPs, CCOs and schools involved. This collaboration will ensure access and minimize the duplication of services. The consultation will include topics such as, but not limited to:¶

(a) Certification status of each Local SDSP;¶

(b) Staffing capacity of each Local SDSP;¶

(c) Capacity of each Local SDSP to serve additional grade levels or provide more oral health services;¶

(d) Ability of each Local SDSP to provide students with referrals for further dental treatment; and¶

(e) Existing relationships with schools.¶

(6) A Local School Dental Sealant Program must ensure Medicaid encounters for dental sealants are entered into the Medicaid system.¶

(7) A Local School Dental Sealant Program shall first target the Program shall provide Local SDSPs with a CCO contact list.¶

(7) A Local SDSP shall first provide services at elementary and middle schools where:¶

(a) 40 percent or greater of all students attending the school are eligible to receive assistance under the United States Department of Agriculture’s National School Lunch Program (NSLP); or¶

(b) A Local School Dental Sealant Program must be approved for the Community Eligibility Program (CEP); or¶

(c) The school is located in a dental care health professional shortage area (HPSA).¶

(8) A Local SDSP must offer, at a minimum, dental sealant services to all students regardless of insurance status, race, ethnicity or socio-economic status in these grade levels or ability to pay as follows:¶

(a) For elementary school, students in first and second grades or second and third grades; and¶

(b) For middle school, students in sixth and seventh grades or seventh and eighth grades.¶

(7) A Local School Dental Sealant Program must comply with all applicable federal, state and local antidiscrimination laws and rules.¶
A Local School Dental Sealant Program (SDSP) must develop and implement a plan to increase parental/guardian permission return rates.

A Local School Dental Sealant Program (SDSP) must receive dental sealant Program services.

A Local SDSP must adhere to these standards for school dental sealant programs:

(a) Dental equipment must be used to provide sealant services must be primarily provided on school grounds during normal course instruction hours;

(b) A medical history is required on the parent/guardian permission form;

(c) Use the four-handed technique to apply sealants in elementary schools. The second provider does not need to be a dental professional. Volunteers and non-dental professionals must receive trained on equipment and be proficient, infection control guidelines, infection control guidelines, IPC guidelines, equipment operation, confidentiality and security guidelines, all aspects of providing dental sealants in a school setting, and internal policies and procedures;

(d) Use the two-handed technique using an Isolite or equivalent Program approved device or the four-handed technique to apply sealants in middle and high schools when providing non-AGH;

(e) Apply resin-based or glass ionomer sealants according to manufacturer guidelines;

(f) Use compressor and suction capabilities at all times except in rare situations as determined by the provider's professional judgment.

A Local School Dental Sealant Program (SDSP) must comply with ORS 431A.725, as required during a declared state of emergency or public health emergency.

A Local SDSP must comply with all scope of practice laws as determined by the Oregon Board of Dentistry.

A Local School Dental Sealant Program (SDSP) must comply with Oregon Board of Dentistry oral health screening guidelines for screening and reporting results to parents/guardians.

A Local School Dental Sealant Program (SDSP) must comply with infection control guidelines established in OAR 818-012-0040.

A Local School Dental Sealant Program must comply with the Health Insurance Portability and Accountability Act (HIPAA) and Federal Educational Rights and Privacy Act, and by Oregon OSHA and the CDC. The Program will provide Local SDSPs with a guidance document.

A Local SDSP must comply with HIPAA and FERPA requirements.

A Local School Dental Sealant Program (SDSP) must respect classroom time and limit demands on school staff. Services must be delivered efficiently to ensure a child/student's time out of the classroom is minimal.

A Local School Dental Sealant Program (SDSP) must conduct retention checks at one, from one school year to the next school year, for quality assurance and meet the national benchmark of 80 percent to 90 percent or higher.

If a Local School Dental Sealant Program (SDSP) does not meet the benchmark, then the Local School Dental Sealant Program (SDSP) must develop and implement a plan to increase one-year retention rates.

The plan must be submitted to the Program. During a declared state of emergency or public health emergency, the Program may waive retention checks and reporting.

A Local SDSP must submit a data report to the Program annually. The information required to be included in such a data report will be defined by the Program. Aggregate-level data will be required for each school.

A Local School Dental Sealant Program (SDSP) must include the certification logo provided by the Program on all parent/guardian permission forms and written communication to schools, or provide schools with a letter provided by the Program indicating the Local School Dental Sealant Program is certified. DSP is certified.

Note: The Program guidance document referenced in section (14) of this rule will be located at this website: www.healthoregon.org/sealantcert.

Statutory/Other Authority: ORS 431A.725
Statutes/Other Implemented: ORS 431A.725
AMEND: 333-028-0340
REPEAL: Temporary 333-028-0340 from PH 45-2021
NOTICE FILED DATE: 11/30/2021

RULE SUMMARY: Amend OAR 333-028-0340: Changes "school dental sealant program" to “SDSP” throughout 333-028-0340. Section (2) is amended so that the Program can schedule verification reviews earlier in the school year with newly certified Local SDSPs, Local SDSPs with new staff, and Local SDSPs that have had deficiencies during their last verification review. Sections (4)(b) and (c) are amended to clarify that the verification review includes “on-site observation and review” of dental sealant placement techniques and infection prevention and control practices. Section (4)(d) is amended to clarify that the clinical and administrative areas will be observed on-site. In section (5), added “verification” before “review” to clarify that the review done is a verification review. Section (6) is amended to say that the Program will provide the local SDSP with a written report of the findings from the on-site review within "30 business days" versus “four weeks”.

CHANGES TO RULE:

333-028-0340
Local School Dental Sealant Programs: Verification

(1) The Program shall conduct on-site verification review of each approved Local School Dental Sealant ProgramDSP. A representative sample of schools being served by the certified program will be reviewed each certification year.

(2) The Program will work with a Local School Dental Sealant ProgramDSP to schedule a verification review. A Local School Dental Sealant ProgramDSP will have at least 20 days advance notice before a review will occur.

(3) A Local School Dental Sealant ProgramDSP must coordinate with the Program to access the school and staff operating the sealant program on the verification review date.

(4) The verification review must include, but is not limited to:
   (a) Review of documents, policies and procedures, and records;
   (b) Review of techniques used while providing dental sealants;
   (c) Review of infection control practices; and
   (d) On-site observation of the client environment and physical set-up of the clinical and administrative areas.

(5) Following a verification review, Program staff may conduct an exit interview with the Local School Dental Sealant ProgramDSP representative(s). During the exit interview Program staff shall:
   (a) Inform the Local School Dental Sealant ProgramDSP representative(s) of the preliminary findings of the review; and
   (b) Give the Local School Dental Sealant ProgramDSP representative(s) 10 working days to submit additional facts or other information to the Program staff in response to the findings.

(6) Within four week30 business days of the on-site visit, Program staff must prepare and provide the Local School Dental Sealant ProgramDSP with a written report of the findings from the on-site review.

(7) If no certification deficiencies are found during the review, the Program shall issue written findings to the Local School Dental Sealant ProgramDSP indicating no deficiencies were found.

(8) If certification deficiencies are found during the on-site review, the Program may take action in compliance with OAR 333-028-0350.

(9) At any time, a Local School Dental Sealant ProgramDSP may request an administrative review of compliance, which includes one on-site visit. The review will be considered a “no penalty” review with the exception of gross violation or negligence that may require temporary suspension of services.

Statutory/Other Authority: OL 2015, ch. 791RS 431A.725
Statutes/Other Implemented: OL 2015, ch. 791RS 431A.725
AMEND: 333-028-0350
NOTICE FILED DATE: 11/30/2021

RULE SUMMARY: Amend OAR 333-028-0350: Changes “school dental sealant program” to “SDSP” throughout 333-028-0350. Language is added to section (7) that specifies a local SDSP must comply immediately with amended rules during a declared state of emergency or public health emergency versus waiting until the beginning of the next certification year or having 90 days to come into compliance with the amended rules.

CHANGES TO RULE:

333-028-0350
Local School Dental Sealant Programs: Compliance ¶

(1) A Local School Dental Sealant Program (DSP) must notify the Program within 10 business days of any change that brings the Local School Dental Sealant Program DSP out of compliance with the certification requirements. A Local School Dental Sealant Program DSP must submit a waiver to the Program that includes: ¶
   (a) Explanation of the non-compliant requirement; ¶
   (b) Plan for corrective action; and ¶
   (c) Date for compliance. ¶

(2) The Program will review the waiver request and inform the Local School Dental Sealant Program DSP of approval or denial of the waiver within 10 business days of submission. Services may be provided until the Local School Dental Sealant Program DSP has been notified of its waiver request. ¶

(3) If the waiver is approved, the Local School Dental Sealant Program DSP will be provided provisional certification and must comply with certification requirements by the proposed date of compliance. ¶

(4) If a waiver is denied; a Local School Dental Sealant Program DSP does not come into compliance by the date of compliance stated on the waiver; or a Local School Dental Sealant Program DSP is out of compliance with certification requirements and has not submitted a waiver, the Program, in its discretion, shall: ¶
   (a) Require the Local School Dental Sealant Program DSP to complete an additional waiver with an updated plan for corrective action and updated date for compliance; ¶
   (b) Require the Local School Dental Sealant Program DSP to complete a waiver to satisfy the requirements in section (1) of this rule; ¶
   (c) Issue a written warning with a timeline for corrective action; or ¶
   (d) Issue a letter of non-compliance with the notification of a suspension or decertification status. The Program will notify the Coordinated Care Organizations (CCOs) operating in the community and Local School Dental Sealant Program DSP schools that a Local SDSP has been suspended or decertified. Dental sealants may not be provided in the school until the Local School Dental Sealant Program is certified. ¶

(5) A Local School Dental Sealant Program DSP is certified. ¶

(5) A Local SDSP that had been decertified may be reinstated after reapplying for certification. ¶

(6) A Local School Dental Sealant Program DSP with suspended certification status may have its suspension lifted once the Program determines that compliance with certification requirements has been satisfactorily achieved. The Program will notify the Coordinated Care Organizations (CCOs) operating in the community and schools that the Local School Dental Sealant Program DSP’s suspension has been lifted and that dental sealants may now be provided in the school. ¶

(7) If there are updates to the current rules that require a Local School Dental Sealant Program DSP to make any operational changes, the Program will allow the Local School Dental Sealant Program DSP until the beginning of the next certification year or a minimum of 90 days to come into compliance. This does not apply to rules amended during a declared state of emergency or public health emergency, or where the rule explicitly states a Local SDSP must comply immediately.

Statutory/Other Authority: ORS 431A.725
Statutes/Other Implemented: ORS 431A.725
ADOPT: 333-028-0395

NOTICE FILED DATE: 11/30/2021

RULE SUMMARY: Adopt OAR 333-028-0395: A new rule has been added, OAR 333-028-0395 “COVID-19 Certification Requirements”, to ensure local school dental sealant programs are following COVID-19 infection prevention and control guidelines from Oregon's Occupational Safety and Health Administration (OSHA) and U.S. Centers for Disease Control and Prevention (CDC). These additional safety precautions are necessary to assure school staff, parents, and students that it is safe and important for students to receive dental sealant services at their school.

CHANGES TO RULE:

333-028-0395

Local School Dental Sealant Programs: COVID-19 Certification Requirements

To be certified, or to continue certification, a Local SDSP must immediately comply and meet the requirements in this rule to address COVID-19:

(1) A Local SDSP must implement and comply with Oregon OSHA’s OAR 437-001-0744 titled “Rule Addressing COVID-19 Workplace Risks” as long as it is in effect.

(2) A Local SDSP must implement and comply with the CDC’s “Interim Infection Prevention and Control Recommendations for Healthcare Personnel During the Coronavirus Disease 2019 (COVID-19) Pandemic.”

(3) A Local SDSP must have a written policy to comply with OAR 333-019-1010 titled “COVID-19 Vaccination Requirement for Healthcare Providers and Healthcare Staff in Healthcare Settings.”

Note: The Program's temporary rule addressing COVID-19 is only effective for 180 days. To extend these protections regarding COVID-19, the Program adopted this rule using normal permanent rulemaking processes. However, the Program will reevaluate the needs for this rule as the COVID-19 pandemic develops and may take action to repeal part or all of the rule as appropriate as new information and developments occur in the pandemic. In addition, a Local SDSP is not required to comply with the Oregon OSHA rule if Oregon OSHA repeals the rule.

Note: The CDC guidance document referenced in section (2) of this rule is located at the CDC website at https://www.cdc.gov/OralHealth/index.html or is available upon request from the Program.

Statutory/Other Authority: ORS 431A.725
Statutes/Other Implemented: ORS 431A.725