

STATE OF OREGON
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM
PERFORMANCE EVALUATION REPORT

Grant #B-09-DY-41-001
for the period
January 1, 2010 to December 31, 2010

Prepared By:
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Infrastructure Finance Authority

2008 CDBG-R ACTIVITY REPORT

Narrative for Accomplishments

Instructions for the 1994 PER (CPD-94-23) require states to report accomplishment measures (*e.g.*, persons benefited) only for activities that address the Low- and Moderate-Income national objective. Proposed Accomplishments are reported for each funded activity that addresses the Low-and-Moderate-Income national objective. Actual Accomplishments are reported for Administratively Closed and Closed projects.

Accomplishments for activities that meet the Slum and Blight or Urgent Need national objectives are to be reported in a separate narrative.

Slum and Blight - There were no projects awarded that meet the slum and blight national objective.

Urgent Need – There were no projects awarded that meet the urgent need national objective.

Homelessness, Chronic Homelessness and Persons with Special Needs

In accordance with CPD Notice 07-06, a summary of the CDBG-R funds used to address homelessness, chronic homelessness and persons with special needs is provided below. There were no projects awarded 2008 CDBG-R funds for these purposes.

Part II Narrative Requirements

A. Statutory Requirements of Section 104(e)

1. Assess the relationship of the use of funds to the state’s objective. Explain the nature of and reasons for any changes in the program objectives.

The state obligated its Program Year 2008 CDBG-R funds for activities in accordance, with the substantial amendment of the 2008 Method of Distribution via the 2009 Method of Distribution, for the Oregon Consolidated Plan.

| | 2008 CDBG-R Allocation Targets | 2008 CDBG-R Allocation Actual |
|--------------------------------|-----------------------------------|----------------------------------|
| Category | Amount | Amount |
| Public Works | \$2,465,782 | \$2,465,782 |
| Public/Community Facilities | \$1,095,000 | \$1,095,000 |
| Total | \$3,560,782 | \$3,560,782 |

2010 Oregon PER
2008 Grant – CDBG-R

- As of December 31, 2009, all of the CDBG-R funds were obligated.
- 2. Indication of how the state would change the program as a result of its experience.

The CDBG-R program is a one-time allocation therefore no changes to the program are necessary.

- 3. Evaluate the extent to which the program benefited low- and moderate-income persons. For the selected benefit period (2006-2007-2008 and CDBG-R), 94.18% of the state’s CDBG funds were obligated to activities benefiting low- and moderate-income persons. Of the funds obligated under the states 2008 CDBG-R program, 97.05% of the project funds benefit low and moderate income persons.

B. Summary of Activities and Results from Technical Assistance Funding

The state’s 2008 CDBG-R program does not allow the use of CDBG-R funds for technical assistance.

Part III Compliance with Applicable Civil Rights Laws

A. Applicant and Beneficiary Ethnicity/Race Information

In accordance with the 1994 PER instructions, the state will provide data on all applicants and beneficiaries in projects funded from each grant allocation. Information must be reported for each project activity and must indicate the number of persons benefiting by ethnicity and racial groups as defined by U.S. Census. The ethnicity and racial group categories from the 2000 Census were expanded from the required data that needs to be collected under the 1994 PER instructions. Once, Housing and Urban Development amends the 1994 PER instruction to require the addition of this revised ethnicity and racial group information collected during the 2000 census it will be included in the PER report for all projects administratively closed after the date of Housing and Urban Developments revised PER instruction.

| RACE GROUP | Total from FY 2008 CDBG-R Grant | Total from Program Income | Combined Total |
|--------------------------------|--|----------------------------------|-----------------------|
| White not Hispanic | 0 | 0 | 0 |
| Hispanic | 0 | 0 | 0 |
| American Indian/Alaskan Native | 0 | 0 | 0 |
| Black not Hispanic | 0 | 0 | 0 |
| Asian and Pacific Islander | 0 | 0 | 0 |
| TOTAL | 0 | 0 | 0 |

Ethnicity/Race data is tabulated for all administratively closed or closed projects in the non-entitlement areas of the state using FY 2008 CDBG-R funds. Zero (0) projects awarded in 2009 were administratively closed during the reporting period. Creating a total of 0 projects funded with 2008 CDBG-R funds that are either administratively closed or closed. When additional 2008 CDBG-R funded projects are administratively closed, the ethnicity/race data will be reported in successive year's PERs 2008 CDBG-R Grant Year Activity Reports as indicated in the table above for each project and totaled for all projects funded with 2008 CDBG-R grant year funds.

B. Summary of state's reviews of recipient's civil rights performance

The State reviews the civil rights performance of its 2008 CDBG-R recipients as part of its regular monitoring procedures. These include either an on-site review or an internal file/desk audit of all projects. A standardized monitoring checklist is used for this process. All three of the 2008 CDBG-R projects were monitored during the reporting period. The grant recipients monitored during the reporting period generally complied with the Civil Rights performance requirements for Community Development Block Grant recipients.

C. State and local efforts, actions and results in affirmatively furthering fair housing.

Three state agencies administer programs that serve to further fair housing for Oregon's people.

The Oregon Business Development Department (OBDD), which administers Community Development Block Grant funds for the state's non-metropolitan cities and counties, educates its recipients about their fair housing responsibilities in several ways including: 1) presentations at workshops, 2) an updated comprehensive Grant Management Handbook, 3) provision of informational handouts, posters, brochures, and individual technical assistance, as needed or requested, 4) sending recipients notices of workshops or conferences which address fair housing issues, and 5) conducted a statewide Analysis of Impediments to Fair Housing Choice which is made available to recipients to identify, plan for and remove impediments to fair housing choice.

During 2009 the following occurred:

- a. The Grant Management Handbook was updated and provided to all grant recipients in January 2009. This updated handbook contains accurate contact information and forms for processing fair housing complaints.
- b. The next (2010) annual update to this handbook is currently being completed and is anticipated to be printed and available for distribution in March 2010. The 2010 handbook includes additional references regarding addressing impediments to fair housing.

2010 Oregon PER
2008 Grant – CDBG-R

- c. The state continues to convey fair housing information via the CDBG list serve which was started in 2007. This list serve disseminates information to all interested persons and grant recipients.
- d. The state continues to provide a quarterly newsletter which was started in 2007, where articles pertaining to fair housing can be disseminated.
- e. OBDD staff participate in the new Interagency Council on Homelessness and Hunger that was formed as a direct result of House Bill 2073. This council will play a key role in reviewing and implementing policy initiatives that will effectively move Oregon forward in reducing the problem of hunger and homelessness.
- f. OBDD added a new requirement to the 2010 Method of Distribution, requiring the submission of reasonable accommodation policies when becoming a certified sub-grantee.

The Oregon Housing and Community Services Department (OHCS) is active in the promotion of fair housing. They operate many programs, including HOME. Owners of projects assisted by OHCS are required to develop marketing plans that include outreach activities targeted to minorities and other protected classes. The first component of the fair housing planning process is to review impediments to fair housing choice in the public and private sectors. This updated plan for 2006-2010 was submitted to HUD in November 2005 along with the updated 2006-2010 Fair Housing Action Plan, 2006-2010 five year Consolidated Plan.

The Bureau of Labor and Industries Civil Rights Division (BOLI) is responsible for investigation and resolution of fair housing complaints are handled in Oregon. BOLI processes all cases involving alleged discrimination based on race/color, religion, sex, physical or mental handicap, marital status, and national origin. The agency does not investigate situations involving discrimination based on family status but does assist individuals to file complaints with HUD.

Local fair housing efforts of state recipients using 2009 funds include but are not limited to: publicizing fair housing laws, participating in local fair housing events, displaying posters, distributing brochures, and being a participating sponsor of the Fair Housing Council of Oregon display and training sessions.

In 2009, the Oregon Business Development Department continued their contract with the Oregon Fair Housing Council (FHCO) established to provide activities to assist the State to implement the State's Fair Housing Plan. OBDD contributed \$73,000 to FHCO to continue fair housing activities beginning July 1, 2009 through June 30, 2010. These activities include conducting the annual statewide Fair Housing poster contest involving elementary schools; publishing a Fair Housing newsletter; providing fair housing advice and information to service agencies and local government; conducting public outreach to inform residents about fair housing rights and responsibilities; collecting complaints and providing information to individuals

facing housing discrimination; and displaying the Fair Housing exhibit around the state.

Fair Housing Collaborative – In 2005, OHCS, OBDD, BOLI, FHCO and other private and other public sector participants formed a fair housing collaborative to address statewide fair housing issues and were a large part of the successful completion and development of the 2006-2010 Analysis of Impediments to Fair Housing Choice and Fair Housing Action Plan. This collaborative was meeting monthly during the development of these documents, and then quarterly thereafter to work on fair housing issues.

In 2009, the Fair Housing Collaborative meetings were once again increased to a monthly basis to assist in the development of the new 2011 - 2015 Analysis of Impediments to Fair Housing Choice and Fair Housing Action Plan.

OBDD continues to participate in this collaborative.

Fair Housing Conference - OBDD staff participates in the annual Fair Housing Council of Oregon's, fair housing conferences. .

D. Summary of State and Recipient Actions to Use Minority and Women-Owned Businesses in Carrying out CDBG-R funded Activities

The state encourages grantees to make contracts awarded under CDBG-R grants available to minority and women-owned businesses. Through the Grant Management Handbook and training given to recipients, the state describes the various ways that contracting opportunities can be made available to minority and women-owned businesses. Some examples include dividing larger contracts into smaller portions that can be bid on by smaller firms, or directly soliciting proposals or bids from lists of certified minority and women-owned businesses.

The Grant Management Handbook (GMH) (revised February 2011) provides forms that grant recipients use to collect and report their efforts to the state. The state will report in future PERs, for each allocation, on the use of minority and women-owned businesses, and on Section 3 activities by its recipients.

E. Equal Employment Opportunity Data for the Oregon Business Development Department

The Oregon Business Development Department maintains the employment data required by the Equal Employment Opportunity Commission (EEOC) on an EEO-4 form. The form is not included in this report but is available from the department.

Part IV Additional Requirements for the Consolidated Annual Performance Report
(CAPER) End of Program Year Reporting.

In summary, a list analyzing the states progress towards the CDBG goals and objectives, under the 2008 CDBG-R program is listed below and are analyzed in more detail within this section of the PER:

- 2 – Public works projects improving the safety and livability of communities. Meeting the states goal, as no numeric goal was achieved by the state, since the state has no control over the type and number of applications submitted to the program each year.
- 1 – Public/community facility projects to provide a suitable living environment. Meeting the states goal, as no numeric goal was achieved by the state, since the state has no control over the type and number of applications submitted to the program each year.

PRESERVE AND ENHANCE SUITABLE LIVING ENVIRONMENTS

IMPROVE SAFETY AND LIVABILITY OF COMMUNITIES

Activities under this strategy include a variety of projects such as bringing water and wastewater systems into compliance with environmental standards, improving inadequate water and wastewater systems, improving medical and fire safety, eliminating slum and blight from downtowns or revitalizing neighborhoods and other public facility projects.

Measurement – Annual

- 1) Number of systems brought up to environmental/safety standards or upgraded.
 - a. **ACCOMPLISHMENT** – 2 systems
- 2) Total number of people benefiting from those improvements including the percentage of low and moderate-income persons.
 - a. **ACCOMPLISHMENT** – A total of 660 persons benefited, of which 376 (56.97%) were low and moderate income.
- 3) For slum and blight removal projects the number of people benefiting from those improvements.
 - a. **ACCOMPLISHMENT** – No slum and blight projects were funded or assisted in part with CDBG-R funds.
- 4) For brownfield redevelopment projects the acres or square feet of the site redeveloped.
 - a. **ACCOMPLISHMENT** – No brownfield redevelopment projects were funded or assisted in part with CDBG-R funds.
- 5) The amount of money leveraged from other funding sources for all projects assisted in whole or in part with CDBG-R funds.
 - a. **ACCOMPLISHMENT** - \$0

| MEDICAL AND FIRE SAFETY | | | | |
|-------------------------|-----------|--|---------------|-------------|
| Project # | Recipient | Project Type | Total Persons | LMI Persons |
| | | No projects were funded with CDBG-R funds. | | |
| Projects - SUB-TOTAL | | | | |

2010 Oregon PER
2008 Grant – CDBG-R

| ELIMINATING SLUM AND BLIGHT – NEIGHBORHOOD REVITALIZATION (Downtown) | | | | |
|--|------------------|--|--|-------------|
| Project # | Recipient | Project Type | # Acres Redeveloped or Total Persons and LMI Persons | |
| | | No projects were funded with CDBG-R funds. | | |
| Project - SUB-TOTAL | | | | |
| WATER AND WASTEWATER SYSTEMS BROUGHT INTO COMPLIANCE OR IMPROVEMENT OF INADEQUATE FACILITIES | | | | |
| Project # | Recipient | Project Type | Total Persons | LMI Persons |
| CR0901 | Haines | Wastewater Design and Construction | 440 | 244 |
| CR0903 | Tillamook County | Hebo Joint Sanitary and Water Authority | 220 | 132 |
| Projects - SUB-TOTAL | | | 660 | 376 |
| Projects – TOTAL | | | | |

INCREASE ACCESS TO QUALITY FACILITIES AND SERVICES

Activities under this strategy include a variety of projects such as extending publicly owned water/wastewater and other public infrastructure improvements such as roads, sidewalks, etc., in areas where none exists to serve public facilities or housing for low and moderate income persons; rehabilitation or construction of senior centers, domestic violence shelters, alcohol and drug rehabilitation centers, community facilities, improving or building assisted living facilities and other public facilities.

Measurement - Annual

- 1) The number of persons benefiting from new or improved facilities including the percentage of low and moderate income persons which benefit from the improvements.
 - a. **ACCOMPLISHMENT** - A total of 101 persons benefited, of which 101 (100%) were low and moderate income.
- 2) The amount of money leveraged from other funding sources for all projects assisted in whole or in part with CDBG-R funds.
 - a. **ACCOMPLISHMENT** - \$695,983

| Project # | Recipient | Project Type | Total Persons | LMI Persons |
|-----------|-----------|---------------|---------------|-------------|
| CR0902 | Silverton | Senior Center | 101 | 101 |

| | | |
|-------|-----|-----|
| TOTAL | 101 | 101 |
|-------|-----|-----|

IMPROVE AFFORDABILITY AND SUSTAINABILITY OF QUALITY FACILITIES AND SERVICES

Activities under this strategy include construction or rehabilitation of water, wastewater, fire, library, medical, assisted living facilities and other public facilities for the purpose of making them more affordable and sustainable. This includes the provision of public services and housing rehabilitation.

Measurement – Annual

1. The number of persons benefiting from new or improved facilities including the percentage of low and moderate income persons which benefit from the improvements.

a. **ACCOMPLISHMENT** –

- 1) Housing Centers – No CDBG-R funds were awarded for this activity.
 - 2) Housing Rehabilitation – No CDBG-R funds were awarded for this activity.
2. The amount of money leveraged from other funding sources for all projects assisted in whole or in part with CDBG-R funds.

b. **ACCOMPLISHMENT** – No CDBG-R funds were awarded for these activities.

Refer to the attached PER spreadsheets for more detailed information.

EXPAND ECONOMIC OPPORTUNITIES

CREATE JOBS PRIMARILY FOR LOW AND MODERATE INCOME PERSONS

Activities under this strategy include installation, upgrade, construction and acquisition of public facilities (water, wastewater, storm drainage, streets, buildings etc.) to assist in the public cost to promote expansion of an existing business or location of new businesses, the establishment of economic development revolving loan funds, and the acquisition, development or construction of improvements to and otherwise improve real property owned by private business or industries.

Measurement – Annual

- i. The total number of jobs made available to, created or retained including the number of jobs filled by low and moderate income persons.
 - a. **ACCOMPLISHMENT** – No CDBG-R funds were awarded for this activity.
- ii. The amount of money leveraged from other funding sources for all projects assisted in whole or in part with CDBG-R funds.
 - b. **ACCOMPLISHMENT** – No CDBG-R funds were awarded for this activity.

MICROENTERPRISE ASSISTANCE

Activities under this strategy include the provision of microenterprise public service assistance to low and moderate income owned microenterprises.

Measurement – Annual

- 1) The total number of microenterprises assisted and the number owned by low and moderate income persons.
 - a. **ACCOMPLISHMENT** – No CDBG-R funds were awarded for this activity.
- 2) The amount of money leveraged from other funding sources for all projects assisted in whole or in part with CDBG-R funds.
 - a. **ACCOMPLISHMENT** – No CDBG-R funds were awarded for this activity.

PROMOTE EFFECTIVE PARTNERSHIPS

Activities under this strategy include monthly “one-stop” meetings with state and federal agencies providing funding for community projects.

Measurement – Annual

- 1) The number of communities assisted as result of these meetings.
 - a. **ACCOMPLISHMENT** – The state funded 2 public works projects as result of these monthly meetings.

(Refer to the attached PER report for 2008 CDBG-R for more information.)

**SUPPORT EFFORTS TO INCREASE LOCAL CAPACITY IN PLANNING,
ADMINISTRATION AND IMPLEMENTATION**

Activities under this strategy include continuing support of several economic development organizations, brownfield conferences and other local capacity building events, grant administration workshops, grant management training and one-on-one technical assistance.

Measurement – Annual

- 1) The number of training events held and the number of attendees.
 - a. **ACCOMPLISHMENT** – This is not an allowable use of CDBG-R funds.

| 2009 Program Year Funds Summary of Obligations | | | |
|---|-----------------|-------------------------|----------------|
| Activity | Required | Amount Obligated | Percent |
| Low and Moderate Income | 70% | \$3,455,782 | 97.05%* |
| Slum and Blight | | \$0 | 0% |
| Urgent Need | | \$0 | 0% |
| Public Service | 15% | \$0 | 0% |
| Local Administration | 10% | \$105,000 | 9.95% |
| State Administration | | \$276,797 | |
| | | \$3,837,579 | |

**ACTUAL OUTCOME AND PERFORMANCE MEASUREMENT
REPORT FOR PROJECTS ADMINISTRATIVELY CLOSED
DURING 2009**

None of the three CDBG-R funded projects were administratively closed during 2009. Refer to the attached C04PR83 IDIS report for details of the administratively closed projects from the State's regular CDBG annual allocation.

**PROPOSED OUTCOME AND PERFORMANCE MEASUREMENT
REPORT FOR 2008 CDBG-R AWARDS**

The table below contains more specific information about the outcome and performance measurements anticipated to result from the 2008 CDBG-R program year funds.

2010 Oregon PER
 2008 Grant – CDBG-R

2008 CDBG-R Community Development Block Grant Program
 Performance Report of Proposed Accomplishments

| Objective / Outcome | Funding Category | # Awards | Amount Awarded | Leveraged Funds | Performance Indicators | Direct Construction jobs | Total Persons | Total LMI Persons | # Communities Assisted |
|---------------------|-------------------------------|--|----------------|-----------------|------------------------|--------------------------|---------------|-------------------|------------------------|
| SL1 | Public Works Water/Wastewater | 2 new awards Haines Tillamook County | \$2,465,782 | \$0 | Persons served | 29.4 | 660 | 376 (56.97%) | 2 |
| SL1 | Public/Community Facilities | 1 new award Silverton | \$1,095,000 | \$695,983 | Persons served | 13.1 | 101 | 101 (100%) | 1 |
| TOTAL | | 3 new awards | \$3,560,782 | \$695,983 | | 42.5 | 761 | 477 (62.9%) | 3 |

2010 Oregon PER
2008 Grant – CDBG-R