



Marijuana Applicant Questionnaire

Form Instructions

What is this form?

Use this form to provide OLCC with a complete list of individuals and legal entities (e.g. LLC, corporation, limited partnership, limited liability partnership, trust, sole proprietor) who are applicants for your marijuana license. As part of our efforts to streamline the licensing process, OLCC is no longer routinely collecting detailed business structure information prior to issuing the license.

Failure to completely and accurately disclose every individual and legal entity who is an applicant for this license may constitute grounds to deny or revoke a license.

How do I know who is an applicant for the license?

It is your responsibility to read and understand the rules and correctly identify each individual and entity who qualifies as an "applicant" as described in OAR [845-025-1045](#). OLCC has provided [Guidance on Disclosing Interests in a Recreational Marijuana Business](#) to help businesses understand the rules and their obligations under the rules.

As described in OAR [845-025-1045](#), "applicant" includes any individual or legal entity who holds or controls a direct or indirect interest of 20% or more in a recreational marijuana business, as well as any individual or entity who has an "ownership interest" in the business (see below). Both categories are considered the applicant(s) for a license, and will be considered licensee(s) once a license is issued.

This includes any individual or legal entity entitled to receive 20% or more in revenue or profits from the business, whether or not they are part of the proposed business itself. For example, a landlord receiving 20% or more in profits as part of a rental agreement is considered an applicant.

"Ownership interest" is defined in OAR [845-025-1045](#) and includes:

- Exercising control over, or having the right to exercise control over, the business. This includes having the right to control access to the premises.
- Incurring debt, entering into contracts, or entering into other similar obligations on behalf of the business.
- Being named as a lessee of the proposed premises. If there is no lease, then the property owner is considered to be an applicant for the license.

If an applicant is a legal entity, any individual or other legal entity who meets any of the following criteria is also considered an applicant:

- Managers of a manager-managed LLC
- Principal officers of a corporation
- General partners of a limited partnership

When legal entities own interests in other legal entities at multiple layers, those "nested" legal entities may also be considered applicants depending on the percentage of ownership and role in the business. For example, an entity that holds 50% ownership of a holding company that in turn holds 50% ownership in the business is considered to hold an indirect ownership interest of 25% and would be an applicant for the license.

What other information may be required?

Each individual who is listed as an applicant must complete and sign an [Individual History form](#) that must be submitted as part of the application.

OLCC may ask you to disclose your complete business structure in the future, but that information should not be listed on this form.



OREGON LIQUOR CONTROL COMMISSION

Marijuana Applicant Questionnaire

Section 1 – Business Information

Licensee Name:					
Premises Address:					
City:		State:	OR	ZIP:	

Section 2 – Applicants

List every individual and legal entity who meets the qualifications of an “applicant” for this license, as described in OAR [845-025-1045](#):

- | | |
|--|---|
| <p>1. _____</p> <p>2. _____</p> <p>3. _____</p> <p>4. _____</p> <p>5. _____</p> <p>6. _____</p> <p>7. _____</p> <p>8. _____</p> <p>9. _____</p> <p>10. _____</p> | <p>11. _____</p> <p>12. _____</p> <p>13. _____</p> <p>14. _____</p> <p>15. _____</p> <p>16. _____</p> <p>17. _____</p> <p>18. _____</p> <p>19. _____</p> <p>20. _____</p> |
|--|---|

If there are more than 20 applicants, attach additional pages as needed.

Section 3 – Acknowledgement

I affirm that, in Section 2, I have listed every natural person and legal entity who meets the qualifications of an “applicant” for this business, as described in OAR [845-025-1045](#).

I understand that if my answers are not true and correct that the OLCC may deny my application.

Signature: _____

Date: _____

Name: _____

Title: _____