



## OREGON LIQUOR CONTROL COMMISSION

# Marijuana Business Corporate Questionnaire

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### What is this form?

Use this form to provide information on any corporate entity that is part of your business structure for your marijuana license. This includes a corporate entity that will hold the license itself, that has a financial interest in the business to be licensed, or that owns all or part of another legal entity to be licensed.

If your business structure involves more than three legal entities, provide a business structure diagram showing the legal entities involved, which entity or entities will hold the license, and each entity's relationship to one another.

### What happened to the Marijuana Business Structure Form?

From January 2016 to March 2017, marijuana license applicants were directed to use the "Marijuana Business Structure Form." That document has been replaced with the following forms:

- **Marijuana Business Corporate Questionnaire**
- **Marijuana Business Limited Liability Questionnaire**
- **Marijuana Business Limited Partnership Questionnaire**
- **Marijuana Business Sole Proprietor/Other Entity form**
- **Marijuana Business Individual History form**

### What information is required for my corporate entity?

- An Oregon Secretary of State registration number.
- Names of all corporate officers, including President; any Vice President with responsibility over the operation of the business; Secretary; and Treasurer.
- Names of all directors and stockholders and total number of shares held (excluding persons who hold only publicly-traded stocks.)
- Total number of shares of stock authorized to issue, total number issued, and total number unissued.

### Who needs to complete a Marijuana Business Individual History form?

- All principal officers
- All directors owning or controlling 3% or more of the stock
- All natural persons owning or controlling 10% or more of the stock
- Any spouse or domestic partner of any individuals listed above
- Individuals otherwise entitled to a portion of the revenue
- Anyone entitled to exercise "direct control" over the business (see Section 3 of page 3 for definition)
- **Other individuals as required by your License Investigator**
- If any stockholder is another legal entity, that entity will need to complete another corporate questionnaire, limited liability questionnaire, or sole proprietor/other entity form. Include any stockholders who are also listed in any previous section.

### What if I am applying as a registered non-profit?

- Include a current list of all members of the board. An individual history form is not required for these members.
- Declare the on-site manager. The on-site manager will need to complete an individual history form.



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Section 1 - Business Information

<b>Corporation Name:</b>					
<b>Oregon Secretary of State #:</b>					
<b>Mailing Address:</b>					
<b>City:</b>		<b>State:</b>		<b>ZIP:</b>	
<b>Corporation Phone:</b>		<b>Corporation Email:</b>			

Section 2 - Officers

Name	Title
	President
	Secretary
	Treasurer

Section 3 - Board of Directors

<b>Director Name(s)</b>	<b>Director Name(s)</b>

*Attach additional pages if needed.*

Section 4 - Other Interests

<b>Yes</b>	<b>No</b>	
<input type="checkbox"/>	<input type="checkbox"/>	Is any person or entity entitled to a portion of the revenue of this business other than as an officer, director, or stockholder disclosed on this form?
<input type="checkbox"/>	<input type="checkbox"/>	Has any capital been invested in or loaned to the business other than by a stockholder, officer, or director of the corporation?



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Section 5 – Stockholders

Check the box for “direct control” for any person or entity who may, other than as an employee acting under the direction of the owner:

- Exercise control over, or be entitled to exercise control over, the business.
- Incur, or be entitled to incur, debt or similar obligations on behalf of the business.
- Enter into, or be entitled to enter into, a contract or similar obligations on behalf of the business.

Name (First & Last or Entity Name)	Date of Birth (MM/DD/YYYY)	Shares Held (number)	Direct Control?
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Section 6 – Stock Shares

You must disclose how many stocks your corporation has issued, how many it has not issued, and how many total shares it is authorized to issue. If you do not have this information, your questionnaire will not be accepted. If your corporation issues multiple classes of stock, attach additional documentation explaining which individuals or entities hold each type of stock and the voting power of each.

<b>Total Shares Issued:</b>	
<b>Total Shares Unissued:</b>	
<b>Total Shares Authorized to Issue:</b>	

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_