



# OREGON YOUTH AUTHORITY

## Policy Statement

### Part II – Youth Services (Facilities)



*Subject:*

#### Substance Use Assessment and Treatment in OYA Facilities

*Section – Policy Number:*

**E: Programming – 6.0**

*Supersedes:*

**II-E-6.0 (12/12)  
II-E-6.0 (10/10)**

*Effective Date:*

**06/10/2016**

*Date of Last*

*Review/Revision:*

**None**

**Related Standards and References:**

- [ORS 182.515](#) –182.525 (Evidence-based Programs)
- [ORS 419C.486](#) (Consideration of recommendations of committing court; case planning)
- [ORS 420A.125](#) (Youth offenders; intakes assessments; reformation; placement)
- [ORS 420A.135](#) Secure regional youth facilities
- [ORS 420A.145](#) Regional youth accountability camps
- [ORS 420A.155](#) Regional residential academies
- [OAR 309-018](#) (Residential Substance Use Disorders and Problem Gambling Treatment and Recovery Services)
- Performance-based Standards (PbS) Juvenile Correction and Detention Facilities Programming
- Diagnostic and Statistical Manual Fifth Edition (DSM 5)
- [OYA policy](#): I-A-11.0 (Assessment, Multidisciplinary Teams, and Case Planning)
- [JJIS forms](#): JJIS OYA 3002CP (Comprehensive Case Plan)  
JJIS Risk/Needs Assessment
- JJIS assessments: OYA 4464 (Youth Substance Use and Gambling Intake Pre-Screen)  
OYA 4465 (Youth SUD Diagnostic Assessment)  
OYA 4466 (Youth ASAM Continued Services Criteria)

**Related Procedures:**

- [FAC II-E-6.0](#) Substance Use Assessment and Treatment in OYA Facilities
- [TS I-A-11.0](#) Curriculum Review Committee

**Policy Owner:**

Treatment Services Director

**Approved:**

  
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**I. PURPOSE:**

This policy outlines the type of substance use assessment, treatment curriculum, and treatment dosage OYA staff must use with youth in OYA facilities.

**II. POLICY DEFINITIONS:**

**Assessment:** A process of evaluating, diagnosing, and determining an appropriate level of intervention based on information obtained from the youth in

a personal interview and from other sources, which may include substance abuse screening and assessment instruments.

**Case plan:** A formal plan with prescribed interventions and documentation requirements and a tool to assist staff in managing cases, setting goals and reviewing youths' interventions and progress. A Case Plan constitutes and fulfills the requirements of the Reformation Plan as defined in ORS 420A.005, 420A.125 and 420A.010 and is created and maintained in the statewide Juvenile Justice Information System (JJIS).

**Skill development:** A process for improving a person's abilities in the areas of problem-solving, emotion regulation, and pro-social interaction.

**Substance use treatment:** Interventions that include evidence-based curricula to treat alcohol and other drug addictions and other program supports based on the OYA Principles of Effective Interventions.

**Treatment:** The coordinated provision of services designed to produce a planned outcome in a person's behavior, attitude or general condition. Treatment is based on a thorough assessment of factors contributing to the attitude, condition or behavior.

### III. **POLICY:**

OYA's work with youth includes protecting the public by ensuring youth accountability, promoting change, developing and improving skills, and reducing recidivism. Consistent with best practice, assessment identifies key criminogenic risks and protective factors. Psychological, sociological, cultural, and other factors are considered during assessment in addition to underlying reasons for criminal and problem behaviors. An essential part of the assessment process is to appropriately safeguard against making inappropriate referrals, duplicating services, or unnecessarily restricting placements.

Youth substance use problems are often related to criminal activity. Youth with untreated substance use problems have returned to substance use and criminal activity at higher rates than youth who have been treated.

The relationship between juvenile substance use, treatment, and crime is complex. Programmatic efforts to break the juvenile substance-use-crime cycle must be based on knowledge gained from past work and research. This policy defines a continuum of evidence-based responses to the needs of OYA youth who have substance use involvement in their previous law-breaking behavior.

### IV. **GENERAL STANDARDS:**

#### A. Screening

1. A substance use screening interview must be conducted with each youth within 14 days of their intake admissions by a person that meets the qualifications identified in [OAR 309-018-0125\(5\)](#) to perform substance use assessments. The screening will determine

the need for further assessment of substance use and assist with facility placement and treatment decisions.

- a) The assessor must complete an OYA-approved screening tool using the screening information.
  - b) The assessor must document the screening results on JJIS assessment OYA 4464 Youth Substance Use and Gambling Intake Pre-screen.
  - c) The assessor must complete a Brief Bio-social Gambling Screen (BBGS).
  - d) The assessor must document the BBGS results on JJIS assessment OYA 4464 Youth Substance Use and Gambling Intake Pre-screen.
2. Re-screening for substance use or gambling problems must also be done at other critical points, such as when a youth tests positive on a urinalysis for drugs or alcohol while incarcerated.

## B. Assessment

1. OYA substance use assessment must conform to the standards of substance use assessment set forth by the Oregon Health Authority, Addictions and Mental Health Division, in [chapter 309](#) of the Oregon Administrative Rules.
2. Within 30 days of placement in a designated substance use treatment program, youth identified as in need of additional assessment will be assessed with the JJIS assessment OYA 4465 Youth SUD Diagnostic Assessment to determine the youth's individual needs for substance use treatment, or an Integrated Assessment in conjunction with the support and oversight of a QMHP.

Refer to facilitywide procedure [FAC II-E-6.0](#) for detailed information on the substance use assessment and screening process.

3. Full comprehensive assessments as identified above must not be conducted more frequently than once per 24-month period unless a significant change in substance use has been identified.

If less than 24 months have elapsed since the most recent assessment, a brief addendum assessment using the Build and Base function in JJIS on the most recent assessment must be completed to reflect any of the youth's changes.

4. JJIS assessment OYA 4466 Youth ASAM Continued Services Criteria must be completed at least every 90 days for youth engaged in substance use treatment.

### C. Treatment matching

1. Treatment matching must emphasize matching level of service to the individual youth's level of need.
2. Youth returning to close custody due to parole violations must be rescreened and matched to the appropriate treatment.
3. Treatment matching based on new information

Youth may be re-screened or re-assessed if additional information becomes available. A youth's case plan for substance use treatment must reflect current information.

### D. Treatment

1. A youth's participation in substance use treatment is voluntary. Refer to facilitywide procedure [FAC II-E-6.0](#) regarding documentation of youth consent and non-compliance process.
2. Treatment recommendations must be assessment-driven and individualized to the youth's needs.
3. Substance use treatment must not begin until a substance use assessment has been completed and the substance use domain is open in the youth's case plan.
4. The initiation of treatment must begin at the earliest possible time after the youth's placement at a designated facility, and based on the recommendations of the OYA 4465 Youth SUD Diagnostic Assessment.
5. Youth must have equal access to treatment services regardless of crime committed or legal status.
6. Substance use treatment curricula must be reviewed and approved by OYA's Curriculum Review Committee prior to use with youth. See Treatment Services agency wide procedure [TS I-A-11.0](#) Curriculum Review Committee for information on this process.
7. OYA substance use treatment must complement other OYA-approved interventions to provide a youth with the necessary tools to support healthy development so the youth may live a productive and crime-free life.
8. The core curriculum for OYA substance use programs must be augmented by and integrated with cognitive-behavioral treatment to further address criminal attitudes, beliefs, and peer associations.
9. Participation in substance use treatment must not be determined by the facility's behavior management system, or the youth's past

behavioral issues. Staff must not prevent a youth from participating in treatment as a consequence for a behavior violation unrelated to the treatment program.

E. Community Transition

A youth's treatment manager or designee must ensure the following documents are available to assist in community placement when continued treatment, including relapse prevention treatment, is recommended as part of the youth's transition plan by the MDT:

1. A treatment summary of the youth's progress in substance use treatment and skill development;
2. A discharge plan signed by the youth, recommending referrals for the youth's ongoing treatment or support; and
3. A relapse prevention plan created and signed by the youth with the support of the treatment provider that outlines struggles, triggers, high-risk situations, coping skills, support systems and plans, and dealing with setbacks.

F. Program Evaluation

1. OYA must measure core competencies related to recovery from a substance use disorder. The competency-based evaluation system must integrate the following three components:
  - a) Evidence-based programming and its evaluation;
  - b) Coordination of the OYA RNA assessment instrument with program interventions and evaluation of those interventions; and
  - c) Use of a standardized case planning form.
2. Programs and individual youth progress must be measured using the following in a three-level competency evaluation process:
  - a) Pre- and post-testing, when available;
  - b) Staff ratings of generally-observed behavioral improvements; and
  - d) JPPO ratings of demonstrated behavior in the community.

**V. LOCAL PROTOCOL REQUIRED: NO**